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IN THE UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

UNITED STATES

v.

MARK FEATHERS

CASE NO. 5:14-cr-000531-RMW

REPORT OF
ANNETTE M. STALKER, CPA/CFF, CFE

AUGUST 22, 2016



Stalker Forensics
Forensic Accounting & Consulting

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I. Nature and Scope of Assignment

I, Annette Stalker, through my firm, Stalker Forensics, have been retained by the Federal Public Defender's Office for the Northern District of California, located in San Jose, California to provide forensic accounting services relative to the criminal matter US v. Feathers. In specific, I have been provided with financial records related to several entities that Mark Feathers ("MF") owned or managed and include: Small Business Capital Corporation ("SBCC"), a California subchapter S corporation, and four mortgage investment funds managed by MF via SBCC: Investors Prime Fund, LLC ("IPF") including subsidiary SB Capital, LLC ("SBC LLC"), SBC Portfolio Fund, LLC ("SPF"), and SBC Senior Commercial Mortgage Fund, LLC ("SCMF"). The entity names, acronyms and relationships are illustrated in Chart 1 below.

In connection with the forensic accounting analysis being performed in the criminal matter, I have been asked to review and provide observations about the supporting records for the financial amounts cited in the Order for Summary Judgment from the underlying civil matter (case number 5:12-cv-03237-EJD).

The observations in this report are based on review of the restored QuickBooks Company files, audited financial statements and related workpapers, tax returns, offering documents, operating agreements, interim reports by the receiver, as well as declarations and briefs filed in the civil matter. On August 11, 2016 several thousand additional pages of audit workpapers pertaining to the entities under receivership were provided. My review of those documents is not yet completed. As such, the observations in this document may be amended and/or supplemented based on review and analysis of the contents of the recently provided records.

II. Introduction

The observations expressed in this report and portions of the information presented in the accompanying exhibits are based on work performed to date. Amendments and additions to this report and accompanying exhibits may be required as indicated or as a result of new evidence, expert discovery, and the testimony of other witnesses at trial.

III. Qualifications

I am the owner of Stalker Forensics which is a Certified Public Accounting firm that provides forensic accounting and consulting services.

I hold a Bachelor of Science degree in Business with a concentration in Accounting from California Polytechnic University in San Luis Obispo, California. I am a Certified Public Accountant, a Certified Fraud Examiner and am Certified in Financial Forensics. I have provided forensic accounting, litigation support, and management consulting services since 1994. My experience, education and professional involvement is more detailed in my current curriculum vitae, included as Exhibit A.

I am a member of the American Institute of Certified Public Accountants ("AICPA"), the professional associate that provides guidance for over 400,000 CPA professionals in the United States. Since 2013, I have served as the Chair of the AICPA's Forensic and Litigation Services ("FLS") Committee. The FLS Committee provides guidance to AICPA Forensic and Valuation Services Section members.

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I am a member of the California Society of Certified Public Accountants ("CalCPA") where I serve as the Chair of the statewide Professional Conduct Committee and as member of the statewide Steering Committee for the Forensic Services Section. I am also an instructor of Forensic Accounting at UC Davis Extension in their Accounting Certificate Program.

IV. Background - Timeline and Parties

Mark Feathers is the founder and former manager of several fund entities that specialized in SBA lending (collectively, "Funds"). He was also the majority shareholder of the corporation that served as the manager of the Funds, SBCC.

At all times relevant to the formation and operation of the Funds, CPA Jeffrey Spiegel and his related firms provided annual audit and tax return preparation services to the Funds.

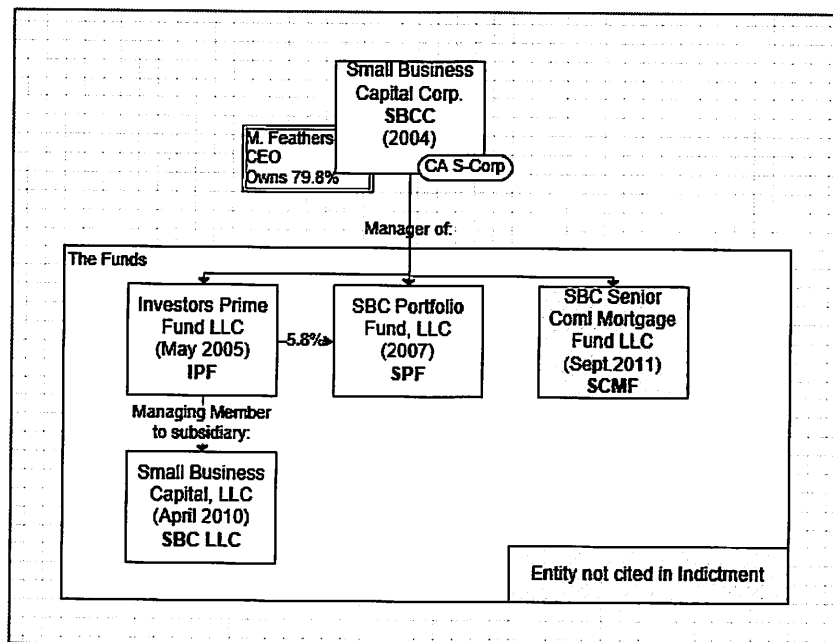
The indictment in the current matter follows a civil complaint filed by the SEC against Mark Feathers ("MF") and related entities on June 21, 2012 for various violations of the US securities acts. SBCC and Fund entity assets were seized and a temporary receiver, the Thomas Seaman Company, was installed on June 26, 2012. By July 10, 2012, the receiver was made permanent.

Several SEC-issued declarations/pleadings were filed during the approximately 19 days between the original complaint filing and the final order that included the installment of a permanent receiver.

An Order Granting the SEC's Motion for Summary Judgment was filed on 8/16/2013. The Order outlines several bases for the decision as detailed in the civil matter action as Document_591.

Chart 1, below, is an Organization Chart that includes entity names and acronyms used throughout this document.

Chart 1



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V. Records Considered

A detailed list of records provided for my review in conjunction with this matter is included at Exhibit B to this document. In general, the relevant records include:

1. Various filings, declarations, and exhibits related to the civil case by the SEC
2. Fund offering documents and operating agreements
3. Audited Financial Statements of SPF, IPF, SBC LLC (subsidiary of IPF) and SCMF for the years ended December 31, 2008 through December 31, 2010
4. Draft Audit Reports and Financial Statements for SPF, IPF, SBC LLC, and SCMF for the year ended December 31, 2011
5. Tax Returns of IPF, SPF, and SCMF for the
6. Tax Returns of SBCC (the funds' manager) for 2009-2010
7. Mortgage Pool Statements (from ABS, system that manages investors and loans)
8. QuickBooks Company Data

VI. Primary Citation of Cash "Advances" Claimed in MSJ (and Mitchell Declaration, Document 479)

The following "Table 1" appears in the order granting the Commission's MSJ. This table was reported in the declaration of Commission employee Sarah Mitchell, a paralegal (civil case Document no. 479). In Ms. Mitchell's declaration, she identifies the method used to create the schedule as being based on print-outs of the general ledger for the Investors Prime Fund, LLC ("IPF") and Small Business Capital Portfolio Fund, LLC ("SPF") "*which were produced to the Commission by the Receiver.*"

The method described represents a summation of all check payments from IPF and SPF, collectively the Funds, to the Manager, SBCC. The inverse, monies that were deposited into a Fund bank account by the Manager, SBCC, does not appear to have been taken into account.¹ There is also no reference to the GAAP-basis audited financial statements for the Funds which reflect the financial transactions between the Fund and Manager as well as provide detail disclosure about the nature and timing of payments by the Fund to the Manager.

¹ For example, the IPF general ledger (from QuickBooks) reflects a sum of four interest payments by Manager SBCC to IPF for a total of \$244,661 as a reduction to the accrued interest receivable of \$324,623 during the years 2011-2012. See Exhibit E, IPF General Ledger Export page 42.

Table 1

| Table 1: Gross Cash Transfers From Funds to SBCC from January 1, 2009 through Date of Receivership | | | | | |
|---|-------------|-------------------------|-------------------------------|--------------------------|---------------|
| Year | Fund | Ledger Acct. No. | Ledger Acct. Name | Cash Paid to SBCC | Source |
| 2009 | IPF | 1770 | Syndication Expense (2009) | \$100,000.00 | A-00624 |
| 2009 | IPF | 1725-22 | Loan 65 | \$100,000.00 | A-00626 |
| 2009 | IPF | 1725-20 | Loan 300001 | \$152,148.37 | A-00627 |
| 2009 | IPF | 1780-4 | Organizational Expenses | \$200,000.00 | A-00632 |
| 2009 | IPF | 6550-2 | Management Fees – SB Capital | \$439,500.00 | A-00789-90 |
| 2009 | SPF | 6550 | Management Fees | \$63,780.00 | A-01212-3 |
| 2009 | SPF | 2050 | Capitalization of Contract | \$540,000.00 | A-01169 |
| 2010 | IPF | 1250 | LT Rec – Fund Mgrs Organ Invt | \$1,374,047.14 | A-00882 |
| 2010 | SPF | 1220 | Due From Manager | \$175,000.00 | A-01273-4 |
| 2011 | IPF | 5020 | Fund Management Fees | \$100,000.00 | A-00959-60 |
| 2011 | IPF | 1250 | LT Rec – Fund Mgrs Organ Invt | \$2,930,000.00 | A-00882-3 |
| 2012 | IPF | 1250 | LT Rec – Fund Mgrs Organ Invt | \$400,000.00 | A-00882-3 |
| 2012 | SPF | 5020 | Fund Management Fee | \$922,927.00 | A-01322 |
| Total | | | | \$7,497,402.51 | |

VII. Summary of Analysis and Observations

My analyses of the specific cash outflow from IPF and SPF as listed in Table 1 include the following observations.

A. The Cash Basis Accounting Method Differs Significantly from the Generally Accepted Accounting Principles (GAAP) Accounting Method

The Receiver used a cash-basis method of accounting that was inconsistent with the Funds' required Generally Accepted Accounting Principles ("GAAP") basis of accounting, as stated in the offering documents and operating agreements. The Receiver has indicated that the version of QuickBooks received did not contain complete records prior to 2010.² The Receiver appears to have recreated the accounting records on a cash basis using the bank records as the source of information. However, for the same time periods in which the Receiver sought to create cash basis accounting records, there were GAAP-compliant audited financial statements available. It is not clear why the Receiver did not use the audited financial statements and the underlying trial balance detail data from the external independent auditor, Spiegel Accountancy Corporation ("SAC"), a Certified Public Accounting firm, as the source for the accounting analysis.

² Receiver's Second Interim Report dated 8/10/2012.

Often in cases where a claim of fraud is made and there are not reliable financial accounting records, an accountant will seek to analyze records from a pure cash basis and perform a simple "Source and Use of Funds" analysis. While this exercise is helpful for determining where the funds came from and where they went, there are shortcomings with regard to using those results as a measure against GAAP-based financial statement elements.

A primary example of this issue is with regard to the collection of entries that have been cast as being part of the "Loans to Manager" issue. Under GAAP, those loans (monies advanced by the Fund to the Manager) are an asset of the Fund. Under Cash Basis, those funds represent an expense of the Fund which decreases the net income or net proceeds on a Profit and Loss statement.

In specific, there are disclosures and explanations in the audited financial statements, QuickBooks accounting records, and auditor-prepared workpapers that are counter to the \$7.497 million portrayed as "Misstatements Regarding Fund Loans and Money Transfers".³

B. Offering Documents' "Use of Proceeds" Section Includes Provision for Payment by the Fund to the Manager for Organizational Expenses

Several parts of the offering documents put the reader on notice about the authority and potential conflicts with the Manager SBCC. For example, the Table of Contents to the January 28, 2011 offering document includes sections entitled RISK FACTORS, COMPENSATION TO MANAGER AND ITS AFFILIATES, CONFLICTS OF INTEREST, and USE OF PROCEEDS.

In particular, the SUMMARY OF THE OFFERING states that *"The Manager can change a portion of the organization and syndication accruals which have been, or may be incurred in the year 2010 and afterwards, and separate from any similar prior year's accruals, up to 1% of the Fund's maximum capitalization of \$250,000,000, from a capital asset to a receivable from the Manager."*⁴ The change referenced above equates to \$2,500,000 while the limits stated in the Use of Proceeds section (see below for details from each Fund's offering documents) reference a 2% anticipated maximum which equates to \$5,000,000. IPF did not exceed the maximum during the 2011 year according to the draft audited financial statements, audit workpapers, and the internal QuickBooks balance sheet report.

The offering documents include provisions whereby the Fund could advance up to a set percentage of the funds' maximum capitalization amount. Based on review of the Use of Proceeds portion of various Fund offering documents, the approximate uses are outlined as being for Organizational/Syndication Expenses, Mortgage Loans, and Reserves. The introductory paragraph indicates that the figures set forth "are only estimates, and actual use of the proceeds will vary."⁵ The maximum limit of the advances to Manager related to organization/syndication expenses are represented as:

Related to IPF

1. The June 9, 2010 offering document for IPF contained a provision for the capitalization of organization and syndication costs of up to 1% of the maximum fund capitalization of \$100 million (\$1,000,000 limit) [Bates SBCC010348].

³ Civil case Order Granting Plaintiffs' Motion for Summary Judgment (case 5:12-cv-03237-EJD Document 591 page 8 line 12)

⁴ Bates page SAC00000621 (and Case 5:12-cv-0237-EJD Document 480, Exhibit 26-12)

⁵ Bates SBCC0011666 (also civil Case 5:12-cv-03237 Document 9-3, page 31 of 59)

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2. The January 28, 2011 offering document for IPF modified that provision to 2% of the maximum fund capitalization of \$250 million (\$5,000,000 limit) [Bates SBCC006960].
3. The June 29, 2011 offering document for IPF reflected another modification to 2% of the maximum fund capitalization of \$500 million (\$10,000,000 limit) [Bates SBCC006911].

Related to SPF

4. The December 28, 2009 offering document for SPF reflects that up to 2% of the maximum fund capitalization of \$50,000,000 (a \$1,000,000 limit) may be advanced to the Manager [Bates SBCC007611].
5. The January 25, 2011 offering document for SPF reflects that up to 2% of the maximum fund capitalization of \$50,000,000 (a \$1,000,000 limit) may be advanced to the Manager [Bates SBCC007611].

It appears that the auditor used the maximum capitalization amount in audit procedures to test the Fund's compliance with the Use of Proceeds provision of the offering and operating agreement.

C. The Advances/Payments to Manager Were Fully Disclosed in the Funds' Audit Reports

For the years ended December 31, 2009 and 2010, the amounts that were recorded by the Funds as capitalized costs (an asset) were reported as either a capitalized cost asset or, later, as part of the Note Receivable-Manager or Due From Manager (another asset) in the audited financial statements of SPF and IPF. The various offering documents for IPF and SPF specified that annual reports including audited financial statements would be provided at investor's request [example for IPF is as of 1/28/2011, Ex. 26-1 thru 26-38].

Email communications between MF and the auditor reveal that between April 2010 and July 2010 there was a change in the CPA's interpretation of accounting rules that guide the types of costs that may be capitalized as organization/syndication costs. The auditor advised MF that the types of costs being reimbursed by the Fund to the Manager did not constitute "syndication" costs but rather a return of member equity. This change in position occurred between April and July of 2010. The auditor issued the **2009 IPF** audit report in April 2010 which included Syndication Costs as an asset of the Fund. Then, by the time the **2009 SPF** audit report was issued in July 2010 the capitalized costs were reported as a Due From Manager asset (as opposed to a capital cost asset). In both the IPF and SPF audit reports, the auditors' opinion was that the financial statements present fairly, in all material respects, the financial position of the Funds.

1. SPF 2009 Audit Report and Financial Statements Note 6, Related Party Transactions, disclosure includes:

Due to Related Party

During the year ended December 31, 2009, advanced \$534,736 to the Fund manager. This receivable from the fund manager is unsecured, has a 5 year term and bears an interest rate of 5% per annum. Payments of \$25,000 per quarter, principal and interest, will begin on January 1, 2011. The receivable from the fund manager is in violation of the Fund's operating agreement and offering circular. Subsequent to December 31, 2009, the Fund Manager is in the process of obtaining approval of this receivable from the Fund members.

The auditor workpaper entitled "Contract Capitalization" and "Interest and Other Receivables" demonstrate that the final balance of \$534,736 amount is comprised of two components: (a) the 2009 advances for syndication costs of \$490,000 that the "auditor noted that the syndication fees incurred during the year were not in accordance with the offering circular for the period ended 12/31/2009, therefore auditor will record AJE#104 to adjust the syndication fee balance to a receivable from fund manager balance"⁶ and (b) an auditor-proposed adjustment (reclassification from management fee expense to receivable) for the previously recorded management fee expense of \$63,780⁷ (along with a few other adjustments) for an ending balance of \$534,736.

Based on the audit report supplemented by the auditor workpapers, it appears that the reported amount Due from Manager of \$534,736 was accurately stated. As such, it appears that the \$540,000 amount included in Table 1 was known, audited and adjusted by Spiegel/SAC and, therefore, not misrepresented by MF or the Fund.

2. SPF 2010 Audit Report and Financial Statements Note 7, Related Party Transactions, disclosure includes details about the amount and terms of the note due from manager.⁸ Specifically, the Note includes details about the non-GAAP requirement to assess collectability of the receivable and the balance and terms of the promissory note as illustrated below:

NOTE 7 - RELATED PARTY TRANSACTIONS (CONTINUED)Note Receivable from Manager

The Fund does not account for its note receivable from Manager in accordance with generally accepted accounting principles (GAAP), which would require the Fund to assess the carrying value of the note receivable for fair value impairment due to the unsecured nature of the note and the lack of certainty of cash flows of the Manager. The Fund carries the note at the full value of the cash advanced to the Manager under the note.

As of December 31, 2010, \$707,464 has been advanced to the Fund manager under the promissory note agreement. The note is unsecured, and bears an interest rate of 7.5% per annum beginning on January 1, 2011. Payment of interest is due quarterly beginning on March 31, 2011 with all principal due on or before January 1, 2016. The receivable from the Fund manager is prohibited by the Fund's operating agreement and offering circular. The Fund manager is currently preparing a revision to the operating agreement.

The \$175,000 reflected as having been transferred to SBCC by SPF in 2010 for "Due From Manager" is included in the audited transactions that result in the balance of \$707,464. The details transactions comprising the \$175,000 are detailed in the SPF Company QuickBooks file as well. See Exhibit C for an excerpt of the SPF General Ledger detail for

⁶ Civil case 5:12-cv-03237-EJD Document 480-5 page 75 of 105

⁷ Civil case 5:12-cv-03237-EJD Document 480-5 page 79 of 105

⁸ Bates page SBCC004905 (also civil case 5:12-cv-03237-EJD Document 480-4, Ex. 28-16)

account no. 1220, Due From Manager. As such, it appears that the \$707,464 amount included in Table 1 was known, audited and disclosed by Spiegel/SAC and, therefore, not misrepresented by MF or the Fund.

3. SPF 2011 Audit Report Draft and Financial Statement Note 10, Related Party Transactions, includes disclosures about the current year balance in Due From Manager of \$690,868. Similar to prior years, the note disclosure includes the balance and terms of the note.⁹

The balance in the 2011 SPF Due from Manager decreased from the prior year. As such, there are no advances listed on Table 1. It should be noted that the QuickBooks General Ledger details of the SPF account 1220, Due From Manager, and account 1215, Interest Receivable on Due From Manager, reflect over \$50,000 of deposits made to the Fund from the Manager.¹⁰ The 2011 audit was never issued but based on the draft report and audit workpapers, the majority of the auditing procedures had been completed. Review of the audit workpapers for the 2011 audit of SPF, some of which were provided to me on August 11, 2016, is not complete and may provide additional insights.

4. IPF 2009 Audit Report and Financial Statements Note 4, Capitalized Costs, include disclosure about the Capitalized Cost asset balance of \$353,779 as of 12/31/2009. There are two transactions for a total of \$300,000 listed in Table 1, represented as advances by IPF to the Manager SBCC. The audited financial statements in Note 4 shows that there was a \$300,000 increase in Syndication Costs (a component of the Capitalized Cost asset). Note 4 provides details about the composition, amounts reimbursed by the Fund to the Manager, and related amortization of the asset.

Below is an excerpt from Note 4 of the IPF 2009 Audited Financial Statement:¹¹

⁹ Civil case 5:12-cv-03237-EJD Document 480-5 Page 46 of 105

¹⁰ Exhibit C, Excerpt of QuickBooks General Ledger export report

¹¹ Bates page SBCC002960 and SBA-027262

NOTE 4 - CAPITALIZED COSTS, NET

Capitalized Costs as shown in the summary below represent Fund disbursements for expenditures incurred during the organization and structuring of the fund and costs of raising long term capital investments. These costs are amortized on a straight line basis over the estimate period that the fund will benefit from the incurred costs. Under the terms of the Offering Circular, the fund manager is entitled to reimbursement from the Fund for all out-of-pocket organization and syndication expenses. The Offering Circular allows for a total reimbursement of 5% of the total Fund capitalization to a maximum of \$500,000. To date the Fund has reimbursed the Manager a total of \$383,929 for organization and syndication costs.

| | <u>2009</u> | <u>2008</u> |
|------------------------------|-------------------|-------------------|
| Organization Costs | \$ 33,929 | \$ 33,929 |
| Restructuring Costs | 51,670 | 51,670 |
| Syndication Costs | <u>350,000</u> | <u>50,000</u> |
| Total Capitalized Costs | 435,599 | 135,599 |
| Accumulated Amortization | <u>(81,820)</u> | <u>(27,202)</u> |
| Total Capitalized Costs, Net | <u>\$ 353,779</u> | <u>\$ 108,397</u> |

Organization Costs – These were incurred when the fund was first organized and represent legal fees and the costs associated with the initial Fund offering.

Restructuring Costs – These were incurred when the fund separated from its previous manager restructured into the current fund. These costs represent legal fees and expenditures related to revisions in the offering circular and management agreement.

Syndication Costs – These are the reimbursements to the Fund Manager for his costs in developing and raising additional capital investments.

Amortization charged to fund operations for the years ended December 31, 2009 and 2008 was \$54,948 and \$18,345, respectively.

The transactions cited in Table 1 are consistent with the IPF Company General Ledger details as well. See Exhibit E for the general ledger detail for IPF exported from QuickBooks, page 44 includes the account 1653, Syndication Costs.

Given the audited financial statements, which is consistent with the IPF QuickBooks general ledger details, it appears that the \$300,000 amount included in Table 1 was known, audited and disclosed by Spiegel/SAC and, therefore, not misrepresented by MF or the Fund.

5. IPF 2010 Audit Report¹² and Financial Statements Note 11, Related Party Transactions, disclose details about the amount and terms of the note due from manager.¹³ The reported balance of the Note Receivable from Fund Manager is \$1,850,000 as of 12/31/2010. This balance includes \$350,000 from the prior year which were reported as part of Capitalized Cost asset which were reclassified to the Note Receivable Due from Fund Manager as of the end of 2010.

Specifically, the Note includes that the funds were advanced to the Fund Manager, that the note is unsecured, and that the receivable was “...prohibited by the Fund’s operating agreement and offering circular, which has been amended and approved by the

¹² Bates page SBCC002966 (and Government Exhibit 11 dated 5-14-12)

¹³ Bates page SBA-027247

Department of Corporations in November 2010."¹⁴ An excerpt from the audit report, Note 11 is below:

| | |
|--|--|
| <p style="text-align: center;">Investors Prime Fund, LLC and Subsidiary Notes to Consolidated Financial Statements Years Ended December 31, 2010 and 2009</p> | |
| NOTE 11 - | RELATED PARTY TRANSACTIONS (CONTINUED) |
| | <u>Note Receivable from Manager (Continued)</u> |
| | As of December 31, 2010, \$1,350,000 has been advanced to the Fund manager under the promissory note agreement. The note is unsecured, and bears an interest rate of 7.5% per annum beginning on January 1, 2011. Payment of interest is due quarterly beginning on March 31, 2011 with all principal due on or before January 1, 2016. The receivable from the Fund manager was prohibited by the Fund's operating agreement and offering circular, which has been amended and approved by the Department of Corporations in November 2010. |

Table 1 includes advances of \$1,374,047 being made during 2010 from IPF to SBCC. This amount is consistent with the prior year balance of \$350,000 and the current year, 12/31/2010, ending balance of \$1,850,000. The general ledger exported detail for IPF is consistent with the total amount listed in Table 1 as well as the supporting details listed in the exhibits to Sarah Mitchell's declaration (Document 479, Exhibit 220-7 and 220-8).

Given the audited financial statements, which are consistent with the IPF QuickBooks general ledger details, it appears that the \$1,374,047 amount included in Table 1 was known, audited and disclosed by Spiegel/SAC and, therefore, not misrepresented by MF or the Fund.

6. IPF 2011 Draft Audit Report Note 12¹⁵ and Workpaper for Due From Fund Manager Lead Sheet¹⁶ and Offering Circular Provide Amounts and Details About the Advances.

The 2011 audit was never issued but based on the draft report and audit workpapers, the audit testing and procedures had been substantially completed. The Note 12 disclosure on the draft audit report states the balance of \$4,863,479 as having been advanced, that the note is unsecured and bears interest at 7.5%. The note also indicates that "Prior to July 2011, the note receivable from the Manager was prohibited by the Fund's operating agreement and offering circular. The operating agreement has been amended and approved by the Department of Corporations to allow the note receivable from Manager up to 1% of the Fund's maximum capitalization of \$500,000,000 or \$5,000,000, but the offering circular was not amended and still prohibits the receivable from Manager."¹⁷ The basis for the Spiegel statement that the offering circular prohibited the receivable because the June 29, 2011 IPF Offering Circular indicates that up to 2% of the Fund's maximum capitalization of \$500,000,000 proceeds may be used for Organizational Expenses (or \$10,000,000).

¹⁴ Bates page SBA-027247

¹⁵ IPF 2011 draft audited financial statements' Note 12 is Exhibit 46-15 of civil case 5:12-cv-03237 Document 480-5

¹⁶ SAC 2011 audit workpaper Due From Fund Manager LS (Lead Sheet) is Bates page SBA-000085

¹⁷ IPF 2011 draft audited financial statements' Note 12 is Exhibit 46-15 of civil case 5:12-cv-03237 Document 480-5

Below is an excerpt from the June 29, 2011 Offering Circular (Case 5:12-cv-03237-EJD, Document 9-2, page 54 of 59):

| USE OF PROCEEDS | | | | |
|---|---------------------|---------------|----------------------|----------------|
| The proceeds from the sale of Units offered hereby will be used approximately as set forth below. The figures set forth below are only estimates, and actual use of proceeds will vary. | | | | |
| | Minimum Offering | Percentage | Maximum Offering | Percentage |
| Organizational Expenses | \$10,000 | 2% | \$10,000,000 | 2% |
| Mortgage Loans ⁽¹⁾ | \$490,000 | 98% | \$490,000,000 | 98.0% |
| TOTAL | \$500,000 | 100.0% | \$500,000,000 | 100.00% |

In either case, the Note Receivable from Manager balance at the end of 2011 was less than \$5,000,000. The audit workpaper demonstrating the audit procedures performed over the 2011 IPF Due From Fund Manager is attached as Exhibit D to this report. The audit workpaper, Note A includes a statement that although the balance is in accordance with the note receivable, the auditor does not believe the balance is in accordance with the offering circular/operating agreement. The auditor's conclusion noted their workpaper (Note A on the workpaper noted above) was a plan to note the non-compliance on the auditor's opinion and in the Notes to the financial statements (as had been done in prior years).

Counter to the auditor's notation in their workpapers regarding the offering circular, the Offering Circular as of January 28, 2011, indicates that a portion of the funds advanced as reimbursement of organizational costs may be reflected as a note receivable on the Fund balance sheet. Below is an excerpt from the IPF Offering Circular:

Tax and Accounting Treatment The Manager can change a portion of organizational and syndication accruals which have been, or may be incurred in the year 2010 and afterwards, and separate from any similar prior year's accruals, up to 1% of the Fund's maximum capitalization of \$250,000,000, from a capitalized asset to a receivable from the Manager. This may eliminate substantial portion of the tax schedule amortization of these expenses and increase earnings. The receivable will be reduced annually over a period of 5 years from Manager contributions, and also generate additional interest earnings to the Fund at the preferred yield of 7.5%.

D. The 2010 Audit Report Opinion Was Qualified Due to Inability to Assess Collectability of Receivable from Fund Manager, Not Due to Impropriety of Fund Advances

The 2010 audit report opinion is qualified for both IPF and SPF. However, the only reason stated for the qualified audit opinion on both audit reports is the Fund's inability to assess the collectability of the receivable due from the Manager to determine whether an allowance would be required against the receivable, which is a departure from GAAP. By definition, a GAAP departure will result in a qualified audit opinion. The audit report opinion references the related Note disclosure that more fully explains the situation.

The IPF 2010 audit report includes that "...the Fund is unable to assess the collectability of the note receivable from the fund manager and thus cannot determine whether an allowance for

loss is necessary which reflects a departure from generally accepted accounting principles.”¹⁸ Reference is made to Note 11 which states the balance and terms of the note including that “the receivable was prohibited by the Fund’s operating agreement and offering circular which **has been amended and approved by the Department of Corporations in November 2010.**” Importantly, the Note details and audit workpaper documentation provide qualifications as to the collectability of the note, not the validity of the note being recorded as an asset of the Fund. Further, the auditor’s reference to the approval by the Department of Corporations appears to support the propriety of the receivable asset being recorded on the balance sheet of the Fund.

The **SPF 2010** audit report includes that “...the Fund is unable to assess the collectability of the note receivable from the fund manager and thus cannot reasonably determine whether an allowance for loss is necessary...” Reference is made to Note 7 which states the balance and terms of the note including that “the receivable from the Fund manager is prohibited by the Fund’s operating agreement and offering circular. The Fund manager is currently preparing a revision to the operating agreement.”

First, the balance and terms of the receivables are fully disclosed. Second, the auditor provided an opinion that the receivable is not in compliance with GAAP due to an inability to assess the collectable value to determine whether an allowance should be recorded. Third, the Notes disclose that the receivable may not have been in compliance with offering documents. Importantly, neither the auditor’s opinion or Note details identify the nature of costs reimbursed by the Funds as being improper.

- E. Permission was obtained by the Manager from the Fund investors to reclassify the capital cost asset. The request was to reclassify the capitalized as a Note Receivable from Manager.

Despite several parts of the various offering documents which convey the broad authority and responsibility of the Manager, the Manager still sought to disclose and obtain investor approval of changes in the Fund operations and accounting.

For example, there is language in the various offering documents that the Use of Proceeds indicate an approximate portion of the proceeds will be used for “Organizational Expenses”, but that the actual use of proceeds will vary. Specific communications related to the “Loans to Manger” topic include:

1. Letters were sent by MF to Fund investors in August 2010 explaining the Manager’s reasoning for re-classifying the Organization/Syndication as Loans to Manager and requesting approval.¹⁹

“Request No. 1 – SB Capital would assume accrued IPF organizational and syndication expenses, **up to 1% of the fund manager’s maximum approved capitalization now and in the future** for the fund...” and “...pay for these expenses through contributions from fund manager’s income.”²⁰

¹⁸ IPF 2010 audited financial statements

¹⁹ Bates page SBCC011137 (also civil case 5:12-cv-03237 Document 480-2, Ex. 25-2), and Bates page G 00521 (also civil case 5:12-cv-03237 Document 9-8, page 14 of 59)

²⁰ *ibid*

The letter includes another reference to a similar measure having been approved by the Fund CPA and implemented for SPF. As of the date of this letter, August 16, 2010, the SPF audit report for 2009 recently been issued (July 14, 2010)²¹ whereby the previously recorded Organization & Syndication capital asset was reported as part of the Due From Manager receivable. It is not unreasonable to infer the CPA had approved of the measure since the auditor provided SPF with a clean (unqualified) audit opinion for the financial statements in which the CPA auditor re-classified the syndication asset to a receivable asset.

2. An updated operating agreement was sent with the requests so it could be considered along with the letter explanation.

F. The Monies Paid to SBCC which are reflected as Management Fee Expenses in Table 1 Do Not Comport with Audited Financial Statements (Income Statement).

1. Table 1 reflects an advance of \$63,780 by SPF in 2009 for Management Fees. However, the audit reports for SPF state that there were no management fees paid by SPF to SBCC. The SPF 2010 Audit Report Note 7, Related Party Transactions, states that "There were no management fees incurred during the years ended December 31, 2010 and 2009."²² Excerpt from the audit report follows:

Management Fees

The Manager shall receive subordinated monthly distributions from the Fund in amounts equal to the remainder of funds available for distribution after all allocations of the higher of prime rate published in the Wall Street Journal as of the last business day of the month, plus 1.5%, or 7.50% (the "floor rate") has been made first to the Members, and after payment of Fund expenses. There were no management fees incurred during the years ended December 31, 2010 and 2009.

An adjustment must have been made at or after the year end to remove the management fee expenses from SPF books for 2009. Since the calculation of earnings and related investor distributions was done on a monthly basis, there may have been payments made during the year (the excess of earnings over the distributions) which were not warranted once the full year was measured.

2. Advancement and later repayment by the Manager to the Fund is outlined in the offering documents. Under Manager's Subordinated Profits Interest in the SPF January 25, 2011 Private Placement Memorandum ("PPM") states that the Manager would may monthly distributions from the Fund. These monies were subject to return if the year-end calculations demonstrate the Fund profits allocated to Members was less than the Member Return. An excerpt from the SPF PPM²³ includes:

²¹ Spiegel Declaration civil case 5:12-cv-03237 Document 480 paragraph 42 (email from Ms. Thomas dated 7/14/2010 stating that the SPF 2009 Audit Report would be issued "today")

²² Bates page SBCC004904

²³ Bates page SBCC0011724

Manager's Subordinated Profits Interest

The Manager shall receive subordinated monthly distributions from the Fund in amounts equal to the remainder of the Fund's net income after all Fund expenses and all allocations of the Member Return to the Members. The Manager is required to disgorge such monthly distributions only if and to the extent that it is later determined, as of the end of that same calendar year, that total Fund profits allocated to Members for that year was less than the Member Return. The Manager does not guaranty the Member Return, and is not required to disgorge any distributions to subsidize the Member Return in later years.

3. Table 1 reflects \$439,500 advanced by IPF in 2009 as being paid for Management Fees. However, according to the audited financial statement, there were no management fee expenses in 2009.²⁴

Below is an excerpt from the IPF audited financial statements:

| Investors Prime Fund, LLC Statements of Income | | |
|---|--------------------------|------------|
| | Years Ended December 31, | |
| | 2009 | 2008 |
| Mortgage Interest Income | \$ 725,733 | \$ 690,365 |
| Operating Expenses: | | |
| Advertising and Marketing | - | 26,426 |
| Amortization Capitalized Costs | 54,948 | 17,974 |
| Amortization SBA License Costs | 3,669 | 371 |
| Consulting | 66,698 | 1,200 |
| Insurance | 25,940 | 8,508 |
| Management Fees | - | 72,500 |
| Meals and Entertainment | - | 1,770 |
| Miscellaneous Fees | 10,618 | 8,251 |
| Professional Fees | 44,922 | 39,875 |
| Total Operating Expenses | 206,795 | 176,875 |
| Income from Operations | 518,938 | 513,490 |

An adjustment must have been made at or after the year end to remove the management fee expenses from IPF books for 2009. Since the calculation of earnings and related investor distributions was done on a monthly basis, there may have been payments made during the year (the excess of earnings over the distributions) which were not warranted once the full year was measured.

4. Table 1 reflects \$100,000 advanced by IPF to Manager for 2011 Fund Management Fees. While there was originally \$100,000 advanced according to the QuickBooks accounting details for IPF, that amount was not reported on the draft audited financial statements for 2011.²⁵ As such, an adjustment must have been made by the auditor. An excerpt from the Note 12, Related Party Transactions, includes:

Management Fees

The Manager shall receive monthly distributions from the Fund in amounts equal to the remainder of funds available for distribution after all allocations of the higher of Prime Rate or 7.5% (the "floor rate") has been made first to the members, and after payment of Fund expenses. During the year ended December 31, 2011, no management fees were earned.

²⁴ Bates page SBCC002956 (and SBA-027257)

²⁵ Reference civil case 5:12-cv-03237-EJD, Document 480-5, Exhibit 46-16

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5. Table 1 also reflects \$922,927 as having been advanced by SPF as Fund Management Fee expense in 2012. There is no SPF audit report since it was mid-year at the time of the asset seizure. The SPF 2012 General Ledger details reflect expenses (payments and journal entries) to Manager, SBCC, as Management Fee expense of \$1.17 million between January 1, 2012 and May 31, 2012. The SPF Profit & Loss Statement generated out of the QuickBooks file shows a Net Income of \$522,199 for the partial year (through May 31st). The detail entries to Fund Management Fees (account no. 5020) include payments by IPF to SBCC for "Excess Earnings" and "Management Fees" which appear to have been paid periodically in 2012. See Exhibit G for the SPF Profit & Loss Report and detail transactions within the Fund Management Fees account generated from QuickBooks for 2012.

Should the year have continued, a year end recalculation and true-up, if needed, would have been made as in the prior years. Given the incomplete accounting for 2012, the advances listed on Table1 for 2012 as management fees paid by SPF in the amount of \$922,927 would require further analysis to determine whether they are a proper expense of the SPF Fund.

- G. Table 1 of the MSJ Order Does Not Consider Monies Advanced/Paid for Troubled Properties as Allowable (Loan 65 and 300001) Despite Language in Offering Circular Related to Loans to Manager.

The two amounts listed on Table1 as having been advanced in 2009 for "Loan 65" and "Loan 300001" are \$100,000 and \$152,148, respectively. Based on information contained in the Spiegel declaration, there is some question as to the status of these loans and the related property.

At this time, the two amounts have been listed as "pending" further analysis since the IPF Company QuickBooks begins with 2010 details, an analysis of the 2009 audit workpapers is necessary to corroborate the details surrounding these advances. At this time, the analysis of the audit workpapers is pending as these were provided recently among over 5,000 pages of PDF documents and multiple native files.

However, if it is shown that the monies paid by IPF to Manager SBCC were related to troubled loan property, there are provisions in the offering documents which permit those transactions.

As early as 2007, the Fund offering documents have contained provisions for advances to the Manager for purposes of financing related to a sale of real estate owned ("REO") or loans purchased as a result of foreclosure.

For example, an excerpt from the SPF offering document from July 26, 2007²⁶ contains this statement:

6. **No Loans to Manager.** No loans will be made by the Fund to the Manager or to any of its affiliates, except for any financing extended as part of a sale of real estate owned or loans purchased as a result of foreclosure. (See "Conflicts of Interest – Sale of Real Estate Owned to Affiliates.")

Similar language is included in the majority of subsequent offering documents.

²⁶ Bates page SBCC011654 (and civil case 5:12-cv-03237 Document 9-3 page 19 of 59)

As early as 2008, the offering documents included a provision outlining the process for defaulted loans or real estate owned. Below is an excerpt from the IPF Offering dated March 11, 2008²⁷ which provides:

Sale of Defaulted Loans or Real Estate Owned to Affiliates

In the event a Fund loan goes into default or the Fund becomes the owner of any real property by reason of foreclosure on a Fund loan, the Manager's first priority will be to arrange the sale of the loan or property for a price that will permit the Fund to recover the full amount of its invested capital plus accrued but unpaid interest and other charges, or so much thereof as can reasonably be obtained in light of current market conditions. In order to facilitate such a sale, the Manager may arrange a sale to persons or entities controlled by or affiliated with the Manager (e.g., to another entity formed by the Manager or its affiliates), for the express purpose of acquiring defaulted loans or foreclosure properties from lenders such as the Fund. The Manager will be subject to conflicts of interest in arranging such sales since it will represent both parties to the transaction. For example, the Fund and the potential buyer will have conflicting interests in determining the purchase price and other terms and conditions of sale. The Manager's decision will not be subject to review by any outside parties.

The Manager shall undertake to resolve these conflicts by setting a purchase price for each defaulted loan or property which is not less than any of the following: (i) the independently appraised value of such loan or property, if any, at the time of sale; (ii) the amount of any third party offer already received, if any; or (iii) the total amount of the Fund's investment in the property. The Fund's investment is deemed to include without limitation the following: the unpaid principal amount of the loan upon which the Fund foreclosed, all unpaid interest accrued to the date of foreclosure, expenditures made to protect the Fund's interest in the property such as payments to senior lienholders and for insurance and taxes, all costs of foreclosure (including attorneys fees actually incurred to prosecute the foreclosure or to obtain relief from stays in bankruptcy), and any advances made by or on behalf of the Fund for any of the foregoing. A portion of the purchase price may be paid by the affiliate executing a promissory note in favor of the Fund, secured by a deed of trust on the property being sold. The total loan-to-value ratio for the property (including the Fund's note and any senior liens) will not exceed 90% of the appraised value of the property, and the note will otherwise contain terms and conditions comparable to those that would be contained in notes executed by third parties.

Again, if the 2009 audit workpapers reflect that the purpose of the two advances cited on Table 1 for Loans 65 and 300001 are related to troubled assets, the funds may have been properly advanced and recorded.

H. The Advances by IPF to Manager Continued in 2012 Under the Provisions Outlined in the Offering Documents and Operating Agreements

By the start of the 2012 calendar year, the operative offering document provided for The amounts listed in Table1 as 2012 advances by IPF to the Manager of \$400,000. The IPF balance sheet from the IPF QuickBooks records show that the balance of the Note Receivable Due from Manager as of May 31, 2012 included the advances of \$400,000 as well as some repayments (where excess earnings was recorded as a reduction to the receivable rather than a deposit).

Since the 2012 year was incomplete, final review and adjusting entries have not been completed or recorded in the financial records. As such, the \$400,000 reported as advances made by IPF in 2012 as Notes Receivable From Manager on Table1 would require further analysis to determine whether they are supported by the operating agreements and disclosures to investors.

²⁷ Bates pages SAC00006719 and SBA-003852

VIII. Summary of Adjusted Table1

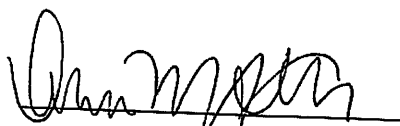
Based on the foregoing analyses and observations, I recreated the Table1 from Sarah Mitchell's declaration and made adjustments to reflect the above analyses.

| [Table 1: Declaration of S. Mitchell, Document 479] | | | | | | | | |
|---|------|--------------|------------------------------|------------|-------------------|-------------------|-----------------|------------------------|
| Year | Fund | GL Acct. No. | GL Account Name | Source | Cash Paid to SBCC | Adjustment | Net | VII. Summary Reference |
| 2009 | IPF | 1770 | Syndication Expense (2009) | A-00624 | \$ 100,000.00 | \$ (100,000.00) | \$ - | C., E. |
| 2009 | IPF | 1725-22 | Loan G5 | A-00626 | \$ 100,000.00 | | \$ 100,000.00 | G. Pending |
| 2009 | IPF | 1725-20 | Loan 300001 | A-00627 | \$ 152,148.37 | | \$ 152,148.37 | G. Pending |
| 2009 | IPF | 1780-4 | Organizational Expenses | A-00632 | \$ 200,000.00 | \$ (200,000.00) | \$ - | C., E. |
| 2009 | IPF | 6550-2 | Management Fees - SB Capital | A-01212 -3 | \$ 439,500.00 | \$ (439,500.00) | \$ - | F.3 |
| 2009 | SPF | 6550 | Management Fees | A-00789-90 | \$ 63,780.00 | \$ (63,780.00) | \$ - | F.1 |
| 2009 | SPF | 2050 | Capitalization of Contract | A-01169 | \$ 540,000.00 | \$ (540,000.00) | \$ - | C. |
| 2010 | IPF | 1250 | LT Rec-Fund Mgrs Organ Invt | A-00882 | \$ 1,374,047.14 | \$ (1,374,047.14) | \$ - | C., E. |
| 2010 | SPF | 1220 | Due From Manager | A-01273-4 | \$ 175,000.00 | \$ (175,000.00) | \$ - | C. |
| 2011 | IPF | 5020 | Fund Management Fees | A-00959-60 | \$ 100,000.00 | \$ (100,000.00) | \$ - | F.4 |
| 2011 | IPF | 1250 | LT Rec-Fund Mgrs Organ Invt | A-00882 | \$ 2,930,000.00 | \$ (2,930,000.00) | \$ - | C., E. |
| Subtotal 2009 through 2011 Items | | | | | \$ 6,174,475.51 | \$ (5,922,327.14) | \$ 252,148.37 | |
| 2012 | IPF | 1250 | LT Rec-Fund Mgrs Organ Invt | A-00882 | \$ 400,000.00 | | \$ 400,000.00 | H. Pending |
| 2012 | SPF | 5020 | Fund Management Fees | A-01322 | \$ 922,927.00 | | \$ 922,927.00 | F.5 Pending |
| Subtotal 2012 (in-process year) Items | | | | | \$ 1,322,927.00 | \$ - | \$ 1,322,927.00 | |
| Grand Total All of Table 1 | | | | | \$ 7,497,402.51 | \$ (5,922,327.14) | \$ 1,575,075.37 | |

The basis for the various adjustments made to Table1 above are reflected in the referenced section within VII. Summary of Analysis and Observations, of this document.

IX. Miscellaneous

For services rendered in my engagement with the Federal Public Defenders' Office, Stalker Forensics is being compensated at the contractually agreed upon rate of \$280 per hour. My work is ongoing and my opinions are subject to revision based on new information (including reports or testimony by other parties and experts), which subsequently may be provided to or obtained by me.


Annette M. Stalker, CPA/CFF, CFE

8/22/2016
Date

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EXHIBIT A

Annette M. Stalker
Certified Public Accountant

PROFESSIONAL LICENSE – STATE OF CALIFORNIA

Certified Public Accountant (CPA) - State of California

PROFESSIONAL CREDENTIALS AND CERTIFICATIONS

Certified in Financial Forensics (CFF) - American Institute of Certified Public Accountants

Certified Fraud Examiner (CFE) - Association of Certified Fraud Examiners

EMPLOYMENT HISTORY

Stalker Forensics
Forensic Accounting and Consulting
Sole Proprietor
2014 - present

UC Davis Extension
Business & Leadership Program
Instructor of Forensic Accounting
2014 - present

Ueltzen & Company, LLP
*Consultants and Accountants to
Management and Counsel*
Principal, Forensic Accounting Practice
2007 - 2013

Maxwell Group, Inc.
Management and Financial Consultants
Founder and Consultant
1994 - 2005

CODA Incorporated
Financial Accounting Software Company
Accounting and Implementation Consultant
1992 - 1994

KPMG Peat Marwick
International Accounting and Consulting Firm
Audit Supervising Senior
1987 - 1992

PROFESSIONAL ACTIVITIES AND SERVICE AFFILIATIONS

American Institute of Certified Public Accountants

- Chair of the Forensic and Litigation Services (FLS) Committee (2013-present) and Member (2011-2013)
- "Forensic & Litigation Services Volunteer of the Year Award" Recipient (2013)
- Chair of the FLS Fraud Task Force (2011- 2014) and Member (2009-present)
- Co-Chair of the National Forensic & Valuation Conference Planning Committee (2016) and Member (2013-present)
- Speaker at "National Forensic & Valuation Services Conference" (2013, 2014, and 2015)

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Annette M. Stalker, CPA/CFF, CFE
Curriculum Vitae
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PROFESSIONAL ACTIVITIES AND SERVICE AFFILIATIONS, CONTINUED

California Society of Certified Public Accountants

- Chair of the State Committee on Professional Conduct (2014-2015) and Member (2008-2013)
- Member of the State Committee on Government Relations (2009 - present)
- Member of the Forensic Services Steering Committee (State Oversight for Economic Damages, Business Valuation, Fraud, and Family Law Practice Sections) (2010 – present)
- Co-Chair of the Sacramento Chapter Attorneys-Bankers-CPA (ABC) Event Committee (2011 – present)
- Director of the Sacramento Chapter Board (2009-2015)

Association of Certified Fraud Examiners, member

Sacramento County Bar Association, member

EDUCATION

Bachelor of Science, Business Administration (Accounting Concentration),
California Polytechnic State University, San Luis Obispo - 1988

CIVIC ACTIVITIES

Roseville Theatre Arts Academy, Founding Officer/Treasurer (2011 – present)

Eureka Schools Foundation, Treasurer (2013-2015)

SACTO (Sacramento Area Commerce & Trade Organization), Member of the Audit Committee (2012-2014) and Member of Finance Committee (2010–2012)

Loyola Marymount University, National Intercollegiate Business Ethics Competition, Panel Judge (1997 - 2010)

CONTACT INFORMATION

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EXHIBIT B

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| Folder / Filename | Description | From | To | Prefix | From | To |
| CD# 1 Received 2015-0911 Tax Returns & Audits | | | | | | |
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| 2008 SPF Tax Return-SEC-Mark feathers-000014937.pdf | Prep. By Schoenholz & Spiegel | 3/26/09 | | SBCC | 006452 | 006598 |
| 2009 SBCC CA Form 5806-Mark feathers-000024878.pdf | Underpmt est tax by corp. | 2/18/11 | | SBCC | 016393 | 016454 |
| IPF 2007 Amended Return-Mark Feathers-000015084.pdf | IPF Form 1065 for 2007 (Amended) | 8/8/08 | | SBCC | 006599 | 006718 |
| IPF 2007 CA Return Pt2-Mark Feathers-000014488.pdf | continued part 2 of 2 2007 IPF | 7/17/08 | | SBCC | 006003 | 006436 |
| IPF 2007 Tax Returns-Mark feathers-000014264.pdf | part 1 of 2 2007 IPF | 7/17/08 | | SBCC | 005779 | 006002 |
| IPF 2009 Tax Return-Mark feathers-000005720.pdf | IPF Form 1065 for 2009 | 4/6/10 | | SAC | 005720 | 006460 |
| IPF 2010 Tax Return-Mark feathers-000002923.pdf | IPF Form 1065 for 2010 | 3/18/11 | | SAC | 002923 | 004005 |
| IPF 2011 K1 Returns-Mark feathers-000020382.pdf | K-1s for IPF (to SB Capital, others) | 3/14/12 | | SBCC | 011897 | 014217 |
| IPF 2011 Tax Returns-Mark feathers-000022703.pdf | IPF Form 1065 for 2011 | 3/14/12 | | SBCC | 014218 | 016333 |
| IPF K1s 2010-Mark feathers-000004255.pdf | | 3/15/11 | | SAC | 004255 | 005717 |
| SBA-027231 SPF Promissory Note SEC Testimony Ex 10.pdf | | 1/1/11 | | SBA | 027231 | 027231 |
| SBA 27232 IPF Audited Stmt 2009-2010 SEC Testimony Ex | Qualified audit opinion | 3/22/11 | | SBA | 027232 | 027250 |
| SBA-027251 IPF Audited Stmt 2008-2009 SEC Testimony E | Unqualified audit opinion | | | SBA | 027251 | 026267 |
| SBA-027268 IPF Audited Stmt 2007-2008 SEC Testimony E | Unqualified audit opinion | 3/16/09 | | SBA | 027268 | 027282 |
| SBA-027283 Loan Master Rpt SEC Testimony Ex 14.pdf | | | 12/28/11 | SBA | 027283 | 027409 |
| SBA-027410 IPF GL SEC Testimony Ex 15.pdf | IPF GL "All Trans" report | 12/31/09 | 12/31/11 | SBA | 027410 | 027553 |
| SBA-027554 SBCC GL SEC Testimony Ex 16.pdf | IPF GL "All Trans" report | 9/30/09 | 1/9/12 | SBA | 027554 | 027818 |
| SBA-027819 Spiegel Ltr CA Taxes SEC Testimony Ex 17.pdf | Ltr to MF re IPF e-filing 2010 TR | 3/15/11 | | SBA | 027819 | 027820 |
| SBA-027821 SPF Mortgage Pool SEC Testimony Ex 18.pdf | Mortgage pool, trans history | 1/9/12 | | SBA | 027821 | 027892 |
| SBA-027893 SBCC Mortgage Pool SEC Testimony Ex 19.pdf | Mortgage pool, trans history | 1/9/12 | | SBA | 027893 | 027909 |
| SBA-027911 SBC Senior Mortgage Pool SEC Testimony Ex 21 | Mortgage pool, trans history | 1/9/12 | | SBA | 027911 | 027913 |
| SBA-027914 Gruebele Email re Ads SEC Testimony Ex 21.pdf | Email to Barbara Kuang/ Ad ex. | 2/21/12 | | SBA | 027914 | 027916 |
| SBA-027917 June 09 IPF Investor Newsletter SEC Testimony | IPF newsletter | 6/4/09 | | SBA | 027917 | 027918 |
| SBA-027927 IPF Chk 2347 SEC Testimony Ex 26.pdf | \$250K ck to SBCC Fund Mgr fr IPF | 6/14/11 | | SBA | 027927 | 027927 |
| SBA-027928 IPF 1250-LT Receivable SEC Testimony Ex 27.pdf | QB Rpt "IPF 1250 LT Rec Fund Mgr Org Im | 12/31/09 | | SBA | 027928 | 027929 |
| SBA-027930 IPF Chk 2355 SEC Testimony Ex 28.pdf | \$100K ck to SBCC Fund Mgt fr IPF | 6/30/11 | | SBA | 027930 | 027930 |
| SBA-028105 Promissory Note IPF SEC Testimony Ex 9.pdf | \$1,850,000 SBCC borrower, IPF lender | 1/1/11 | | SBA | 028105 | 028105 |
| SBC Senior 2011 KI Returns SEC-Mark feathers-000026705 | SCMF | | | SBCC | 018220 | 018280 |
| SBC Senior 2011 Tax Returns SEC-Mark Feathers-00002662 | SCMF | | | SBCC | 018144 | 018217 |
| SBCC 2007 CA Form 5806 SEC-Mark Feathers-000012580.p | Underpmt est tax by corp. | | | SBCC | 004095 | 004164 |
| SBCC 2009 Tax Return SEC-Mark feathers-000024841.pdf | Prep by Young, Craig & Co | 2/18/11 | | SBCC | 016356 | 016392 |
| SBCC 2010 Tax Return SEC-Mark Feathers-000024947.pdf | Prep by Dennis Young | 9/9/11 | | SBCC | 016463 | 016506 |
| SPF 2007 CA form 568 SEC-Mark Feathers-000015219.pdf | Prep by Jeffrey Spiegel, Schoenholz & Spi | 7/22/08 | | SBCC | 006734 | 006784 |
| SPF 2007 Tax Return SEC-Mark Feathers-000015289.pdf | Prep by Jeffrey Spiegel, Schoenholz & Spi | 7/22/08 | | SBCC | 006805 | 006881 |
| SPF 2009 Tax Return SEC-Mark Feathers-000012948.pdf | Prep by Jeffrey Spiegel, Schoenholz & Spi | 7/8/10 | | SBCC | 004463 | 004633 |
| SPF 2010 Tax Return SEC-Mark feathers-000013119.pdf | Prep by Jeffrey Spiegel, Schoenholz & Spi | 3/3/11 | | SBCC | 004634 | 004889 |
| SPF 2011 KI Returns SEC-Mark feathers-000025817.pdf | | 2/17/12 | | SBCC | 017332 | 018123 |
| SPF 2011 Tax Return SEC-Mark Feathers-000025059.pdf | Prep by Jeffrey Spiegel, Schoenholz & Spi | 2/17/12 | | SBCC | 016574 | 017327 |

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|--|--|---------------------------------------|------------|----|----------------|--------|--------|
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| Received 2015-10-16 via Email fr Counsel -Financial related | | | | | | | |
| FILENAME | | | | | | | |
| SBA-000031 IPF audit documents 2011.pdf | | | | | | | |
| Risk assessment/workpapers | | General planning APG | 11/7/11 | | SBA- | 000031 | 000034 |
| Engagement letter | | | 10/14/11 | | SBA- | 000035 | 000040 |
| Engagement acceptance, materiality, internal control wps | | | | | SBA- | 000041 | 000056 |
| SBA-000099 IPF audit and financial docs cont 2011.pdf | | AuditWPs (not Due to Rel Parties) | | | SBA- | 000099 | 000118 |
| SBA-000127 IPF audit docs cont. '11.pdf | | WPs re Equity, IncStmt | | | SBA- | 000127 | 000139 |
| SBA-000152 IPF audit docs re commitments and contingencies at WPs re Commitment/Conting. X100 | | | | | SBA- | 000152 | 000155 |
| SBA-000152 IPF audit docs re commitments and contingencies at SBC LLC (IPF Subsid) Audit 2011 | | | 3/29/12 | | SBA- | 000226 | 000261 |
| SBA-000264 SBCC dec 31, 2011 & 2012 audited financial statements SBC LLC (IPF Subsid) Audit 2011 | | | 3/29/12 | | SBA- | 000264 | 000282 |
| SBA-000283 SBCC audit mortgage loan confirm summary | | SBC LLC audit wps 2010-2011 | | | SBA- | 000283 | 000287 |
| SBA-000327 SBCC audit program docs | | Gen planning, eng accept, materiality | | | SBA- | 000327 | 000344 |
| SBA-000355 SBCC audit report and financial statements april '10 dupl | | | | | SBA- | 000355 | 000366 |
| SBA-000384 SBCC audit difference eval form 3-10-12 | | | 12/31/11 | | SBA- | 000384 | 000395 |
| SBA-000396 SBCC leendeas audit letter 11-9-11 | | SBC LLC mortgage confirm letters | 11/9/11 | | SBA- | 000396 | 000419 |
| SBA-000460 SBCC internal audit docs | | Mortg servicing rights valuation | 12/31/11 | | SBA- | 000460 | 000473 |
| SBA-002598 SBCC audit internal docs | | WPs re Commitment/Conting. X100 | | | SBA- | 002598 | 002600 |
| IPF Audited Financial Statements : | | 2008-2010 audit reports | | | SBA- | 004397 | 004450 |
| Ex 72 Feathers H00069 | | Email btwn Dave G /MF cash needs | 9/22/11 | | SBA- | 004451 | 004451 |
| SBA 27232 IPF Audited Stmts 2009-2010 SEC Testimony Ex 11.pdf | | | | | | | |
| SBA-027251 IPF Audited Stmts 2008-2009 SEC Testimony Ex 12.pdf | | | | | | | |
| SBA-027268 IPF Audited Stmts 2007-2008 SEC Testimony Ex 13.pdf | | | | | | | |
| | | | | | | | |
| Received 2015-10-23 via Email fr Counsel | | | | | | | |
| Offering Documents.xlsx | | Matrix summary of fund offers | | | | none | |
| | | | | | | | |
| Received 2015-10-26 via Email fr Counsel | | | | | | | |
| Operating Agreements: | | | | | | | |
| SAC00006657 IPF Fifth Amended and Restated Operating Agreement 11-5-2010 | | | | | SAC | 006657 | 006683 |
| SBCC006884 IPF Seventh Amended and Restated Operating Agreement 6-1-2011 | | | | | SBCC | 006884 | |
| SBCC007004 IPF Sixth Amended and Restated Operating Agreement 1-28-2011 | | | | | SBCC | 007004 | |
| SBCC007768 SPF Fourth Amended and Restated Operating Agreement 8-10-2011 | | | | | SBCC | 007768 | |
| SBCC010558 IPF Third Amended and Restated Agreement 4-23-2009 | | | | | SBCC | 010558 | |
| SBCC011594 SPF Operating Agreement 7-26-2007 | | | | | SBCC | 011594 | |
| SBCC011618 SPF Third Amended and Restated Operating Agreement 1-25-2011 | | | | | SBCC | 011618 | |
| Offering Documents: | | | | | | | |
| SBA-003833 IPF Offering 3-11-2008 | | IPF | | | SBA- | 003833 | 003873 |
| SBCC006911 IPF Offering 6-29-2011 | | IPF | | | SBCC | 006911 | 006956 |
| SBCC006960 IPF Offering 1-28-2011 | | IPF | | | SBCC | 006960 | |
| SBCC007038 IPF Offering 6-11-2009 | | IPF | | | SBCC | 007038 | |
| SBCC007611 SPF Offering 12-28-2009 | | SPF | | | SBCC | 007611 | |
| SBCC010348 IPF Offering 6-9-2010 | | IPF | | | SBCC | 010348 | |
| SBCC011642 SPF Offering 7-26-2007 | | SPF | | | SBCC | 011642 | |

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| | | From | To | Prefix | From | To |
| SBCC011708 SPF Offering 1-25-2011 | SPF | | | SBCC | 011708 | |
| Received 2015-10-27 via Email fr Counsel | | | | | | |
| 2013_05_23 Jeffrey Spiegel Dec in Support of MSJ with Exhs (reduced size).pdf | | | | | SEC case Doc480 + Ex (>40 | |
| Exhibits: | | | | | | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 Minutes of IPF Board meeting | | 3/18/10 | | SAC | 000950 | 000953 |
| 4 Email re capitalized expenditure/impact to tax return | | 3/23/10 | | SAC | 000948 | 000949 |
| 5 Reply email by Spiegel re ensuring correct items capitalized | | 3/24/10 | | SAC | 000945 | 000947 |
| 6 2nd reply email by Spiegel to MF re IPF Loan Loss Reserve MF created \$150K res as 2% new capital | | 3/24/10 | | SAC | 000942 | 000943 |
| 7 Email from MF to Dan Rollins (SAC) re IPF loan loss reserve | | 3/1/10 | | SAC | 001672 | 001672 |
| 8 Mgt Rep letter from IPF/Feathers to SAC for 2009 audit | | 4/13/10 | | SAC | 000915 | 000918 |
| 9 IPF Audited Stmt 2008-2009 | | 3/31/09 | | SBCC | 002949 | 002965 |
| 10 Select SAC audit workpapers re the IPF 2009 audit | E/L, risk assessment | | | SAC | 001797 | 001801 |
| 11 SAC 2009 IPF audit wp re Engagement Team Discussion | W/P CX-3.2 (dec. para. 37) | | | SAC | 001748 | 001749 |
| 12 IPF 2009 Profit & Loss stmt, part of SAC audit wps | appears to be printed from QB | | | SAC | 002649 | |
| 13 John Lapid (SAC) email w MF re SPF PPM term changes | | 6/22/10 | | SAC | 000906 | 000908 |
| 14 Continued email corresp re Due from Manager details/terms/support | | 6/27/10 | | SAC | 000898 | 000899 |
| 15 Email by SAC (Claire Thomas) to MF re "accounting matters" | | 7/14/10 | | SAC | 000881 | |
| 16 2009 SPF Audited Financial Statements | | 6/23/10 | | SBCC | 004448 | 044462 |
| 17 Email re SBA license (on books at purchase price) | not a depreciable asset | 7/29/10 | | SAC | 000851 | |
| 18 Email btwn Spiegel, MF, Clair Thomas | | 8/9/10 | | | 000812 | 000818 |
| 19 Email btwn Spiegel and MF re SBA Lic and proper co. for recording fee inc, commissions, etc. | | 8/23/10 | | SAC | 000787 | |
| 20 Email re SBA License; IPF June 30, 2010 QB Bal Sheet and P&L | | 7/29/10 | | SAC | 000839 | 000850 |
| 21 SAC E/L for audit of IPF for year end 12/31/2010 | | 9/16/10 | | | | |
| 22 QB Report-IPF P&L Jan-Oct 2010, Bal Sheet as of 10/31/2010 | | 10/31/10 | | SAC | 006466 | 006468 |
| 23 QB Report-IPF Bal Sheet as of 12/31/2010 | | 12/31/10 | | SAC | 006472 | 006473 |
| 24 Corresp. Between SAC John Lapid and Carmen (SB Capital) | | 12/22/10 | | SAC | 000697 | 000699 |
| 25 Ex letter soliciting approval from investors in IPF (and related response form/tally sheets) | | 8/16/10 | | SAC | | |
| 26 IPF Offering Circular and 6th Amended Op Agmt | | 1/28/11 | | SAC | 000619 | |
| 27 2010 and 2009 IPF Audited Financial Statements | | | | | | |
| 28 2010 and 2009 SPF Audited Financial Statements | | 3/22/11 | | SBCC | 004892 | |
| 29 2010 IPF Management Representation letter to Spiegel Accountancy | | 3/22/11 | | SAC | 002854 | |
| 30 Email corresp. Btwn David Gruebele and Claire Thomas re audit Note language re loan to mgr | | 3/23/11 | | SAC | 000597 | |
| 31 Email fr MF to Claire Thomas re SBA position on pmt of premiums to parent co. / capital distrib. SBC | | 11/1/10 | | SAC | 000735 | |
| 32 Engagement letter for IPF audit for year end 12/31/2011 (signed by MF 12/12/2011) | | 10/14/11 | | SAC | 007786 | 007790 |
| 33 Engagement letter for SPF audit for year end 12/31/2011 (signed by MF, not dated) | | 10/14/11 | | SAC | 008327 | |
| 34 Email fr David Gruebele re Par pricing differential | | 11/1/11 | | SAC | 000387 | |
| 35 Email fr MF to Spiegel and Dennis Doss re sunset of SPF, notes to be sold at premium, extra income o | | 2/16/12 | | none | | |
| 36 Email and cite re Purchase of Loans from Affiliates (manager may purchase at premium...) | | 2/24/12 | | G | 000693 | |
| 37 Email btwn MF and Spiegel re loan premiums; MF offers to obtain independ. 3rd party valuation | | 2/27/12 | | G | 000676 | |
| 38 Email by Spiegel requesting IPF promissory notes and Doss confirmation of compliance w OC/Op Agm | | 2/27/12 | | none | | |
| 39 Email by Spiegel asking if MF would like to talk about this (in re loans to mgr) | | 2/27/12 | | none | | |

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| | | From | To | Prefix | From | To |
| 40 Email by Spiegel asking if MF would prefer SAC withdraw from engagement over this very serious situ | | 2/27/12 | | none | | |
| 41 Email by MF to David Gruebele and Mae Saechao instructing them to provide SAC w all needed docs | | 2/28/12 | | G | 000053 | |
| 42 Email fr MF to Spiegel with list of loans for SPF and IPF, text re yields in excess of 18% | | 2/28/12 | | none | | |
| 43 Email fr MF to Spiegel that he's asked Doss to provide SAC w letter re mgr's note for IPF | | 2/28/12 | | none | | |
| 44 Letter fr Doss Law to MF re loans to mgr and selling funds to SB Portfolio Fund at premiums | | 4/24/12 | | SAC | 007900 | 007901 |
| 45 Email fr MF to Spiegel re David working w Steven Clinton at Foley Lardner to dev ppm/bus plan | | 3/8/12 | | G | 000674 | |
| 46 2011 DRAFT IPF Audited FS | | | | | | |
| 47 2011 DRAFT SPF Audited FS | | | | | | |
| 48 SBC Senior Commercial Mortgage Fund LLC DRAFT 2011 Audited FS | | | | | | |
| 49 2009 IPF w/p re Due from Mgr Lead Sheet | Described at dec para. 34 | | | none | | |
| 50-53 2009 SPF audit workpaper excerpts re Due from Mgr, Mgt Fee calc, interest/rec | | | | | | |
| 54 Letter to IPF investors, consent to use IncTax Basis of Acctg Iso GAAP, change to Op Agmt | | 6/4/12 | | none | | |
| 55 Written Consent of IPF Members re amendment to operating agreement | | 6/1/12 | | none | | |
| 56 Email from David Gruebele to MF re "Heritage 3604 cash needs in next week" | | 9/22/11 | | none | | |
| 57 Mgt Rep letter from SPF/Feathers to SAC for 2009 audit | | 6/23/10 | | none | | |
| 58 Mgt Rep letter from SPF/Feathers to SAC for 2010 audit | | 3/22/11 | | none | | |
| end | | | | | | |
| Received 2015-11-02 via Email fr Counsel | | | | | | |
| SBCC011137 SBC (Feathers) Letter to Raineri 8-15-2010 | | 8/15/10 | | SBCC | 011137 | 011138 |

CD#2 Received 2016-01-05 via mail from Counsel - QB Files

Folder: SBA-159356 / SBC008

Accounting**Investor Prime Fund, LLC**

2 Investors Prime Fund, LLC 101007dg.QBW

7/2/2010

SEC**Apr 26 submission to SEC****QB Backups / Restore Files**

Investors Prime Funds, LLC.QBW

5/22/2012

SBC Portfolio Fund, LLC 02-06-2012.QBW

5/22/2012

SBC Sr. Comm'l Mtg Fund, LLC.QBW

5/22/2012

Small Business Capital Corporation.QBW

5/22/2012

Small Business Capital LLC -GAAP Basis.QBW

5/22/2012

Small Business Capital LLC Tax Basis.QBW

5/22/2012

Small Business Capital LLC.QBW

5/22/2012

quickbooks**QB Backups**

QBBackupTemp Sun Jun 03 2012

QBBackupTemp Wed Jun 27 2012

Investors Prime Funds, LLC.QBW

6/27/2012

SBC Portfolio Fund, LLC 02-06-2012.QBW

6/26/2012

SBC Sr. Comm'l Mtg Fund, LLC.QBW

6/26/2012

Deleted Folder/Files due to possible corruption and/or Crypto Wall Virus

(according to Intuit QB ProAdvisor technical support,

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| Small Business Capital Corp.QBW | | 4/26/2012 | | | | |
| Small Business Capital Corporation.QBW | | 6/26/2012 | | | | |
| Small Business Capital LLC.QBW | | 6/26/2012 | | | | |
| CD#3 Received 2016-03-03 via mail from Counsel - Replacement QB Files | | | | | | |
| <i>Five QuickBooks Company Backup Files (*.QBB):</i> | | | | | | |
| Investors Prime Fund, LLC (Backup Jun 29,2012 01 34 PM IPF; data as of 1/1/2010 | | 1/1/10 | | | | |
| SBC Portfolio Fund, LLC 02-06-2012 (Backup Jun 29,2012 SPF; data as of 1/1/2010 | | 1/1/10 | 6/28/12 | | | |
| SBC Sr. Comm'l Mtg Fund, LLC (Backup Jun 29,2012 02 0 SCMF | | 10/6/11 | 6/26/12 | | | |
| Small Business Capital Corporation (Backup Jun 29,2012 SBCC | | 9/30/09 | 6/26/12 | | | |
| Small Business Capital LLC (Backup Jun 29,2012 02 00 PM SBC LLC, sub. of IPF (start 4/2010) | | 4/5/10 | 7/1/12 | | | |
| Received 2016-04-14 via Email fr Counsel | | | | | | |
| SBA-000057 IPF cash receipts-revenue.pdf | Auditor internal control walkthrough/testing workpapers (wp PL4) for: Cash Receipts/Revenue, Cash Disbursements/Expense, Financial Stmt Closs process, Loan Servicing | 11/8/11 | | SBA- | 000057 | 000061 |
| | Standard legal confirm letter to DLA Piper requesting information on pending/threatened litigation | 4/10/12 | | SBA- | 000062 | 000063 |
| SBA-000064 IPF balance 2011.pdf | IPF and SBC LLC Trial Balances (by Account and FS rollup), WPs for Cash, Interest Receivable, Due From Fund Manager LS, Mortgage Rec LS and valuation wps | 4/24/12 | | SBA- | 000064 | 000091 |
| SBA-000092 Broker opinion of value- lodging property brokers inc 3-31-12.pdf | Broker Opinion of Value for Brannan Cottage Inn at 109 Wapoo Ave. Calistoga for WP G200 valuation / fund allowance testing | 3/31/12 | | SBA- | 000092 | 000097 |
| CD#4 Received 2016-0630 via FedEx from Counsel | | | | | | |
| Dkt_53 2012_08_10 Receiver's Second Interim Report and Petition for Instructions | | 8/10/12 | | | | |
| Dkt_1158 2016_04_08 Receiver's 16th Interim Report | | 4/8/16 | | | | |
| SBA-000140 | IPF FIN 48 (ASC 740) Memo, litigation inq | 12/31/11 | | SBA- | 000140 | |
| SBA-000156 | SBC LLC audit wps | 12/31/11 | | SBA- | 000156 | |
| SBA-002601 SPF 2011 Audit docs | SPF 2011 audit wps | | | SBA- | 002601 | |
| Received 2016-0809 via Email from Counsel (MF Depo / Pre-Seizure Docs) | | | | | | |
| 2012_05_14 Feathers Deposition Transcript | | | | | | |
| Dkt_25 2012_07_03 Exhibits 1 and 2 to Bulgozdy Supplemental Dec (Dkt_23) | | | | | | |

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| Order Freezing Assets on Consent | | | | | | |
| Order Freezing Assets | | | | | | |
| SEC Complaint (Doc_7) | | | | | | |
| Received 2016-0810 via Email from Counsel (MF Appellate Brief, Feb 2014)) | | | | | | |
| 13-17304 Appellant Informal Brief | Civil case MF v SEC (appellant brief) | 2/18/14 | | | | |
| CD# 5 Received 2016-0811 via FedEx from Counsel (additional disc from prosecution with audit workpapers) | | | | | | |
| Folder "Speigel" | | | | | | |
| 3.31.11 SBC LLC Compilation | | | | | | |
| 6.30.10 SBC LLC Compilation | | | | | | |
| 9.30.10 SB Capital Corp Trust Review | | | | | | |
| 9.30.10 SBC LLC Compilation | | | | | | |
| 12.31.06 Investors Prime Fund Audit | | | | | | |
| 12.31.07 SBC Portfolio Fund, LLC | | | | | | |
| 12.31.08 SB Capital Corp Trust Review | | | | | | |
| 12.31.08 SBC Portfolio Fund, LLC | | | | | | |
| 12.31.09 SBC Portfolio Fund, LLC | | | | | | |
| 12.31.10 SBC Portfolio Fund, LLC | | | | | | |
| 12.31.11 SB Capital Corp Trust Review | | | | | | |
| 12.31.11 SBC LLC Audit | | | | | | |
| SBC Senior Commerical Fund 12.31.11 Audit | | | | | | |
| SBA-159359_SBA-161951.pdf | | | | | | |
| SBC Sr Comm'l Mortgage Fund 2011 year end audit workpapers | | 2/20/12 | | SBA- | 159359 | 159534 |
| Small Business Capital LLC ("SBC LLC") 2011 year end audit workpapers | | | | SBA- | 159535 | |
| SAC corresp to CA Dept of RE with Trust Account Audit, Small Bus. Capital dba SB Capital | | 5/30/12 | | SBA- | 160337 | |
| SPF T/Bal and correspo re 2010 audit | | | | SBA- | 160389 | 160576 |
| SPF Mortgage Pool Stmt for year 2010 | | | | SBA- | 160577 | 160624 |
| SPF 2010 audit wps continued | | | | SBA- | 160625 | 160758 |
| SPF 2009 F/S (QB source) & Mortgage Pool Stmts for 1/1 - 12/31 2009 + audit wps for 2009 | | | | SBA- | 160759 | |
| SPF 2008 and 2007 audit wps | | | | SBA- | 161167 | |
| IPF 2006 audit wps | | | | SBA- | 161621 | |
| SBC LLC engagement acceptance/ Compilation workpapers for 6/30/2010, and 3/31/2011 | | | | SBA- | 161872 | 161951 |
| Received 2016-0811 via Email from Counsel (Related to capital contribution/ correspondence re legal defense costs) | | | | | | |
| Def Letter re Atty Fees Docket 48 | Doc_48 (ltr fr Fickes/BraunHagey) | 8/3/12 | | | | |
| Def Reply re Atty Fees | Doc_55 (ltr fr Fickes/BraunHagey) | 8/17/12 | | | | |
| Documentation re Def Contrib - Docket 56 | Doc_56 (Ledger/QB re SBCC Capital) | 8/17/12 | | | | |
| Order Denying Legal Fees | Doc_70 | 9/26/12 | | | | |
| SEC Opp to Legal Fees - Docket 51 | Doc_51 (ltr fr Bulgozdy/SEC) | 8/10/12 | | | | |
| Received 2016-0812 via Email from Counsel (Doc_31 MF Opposition and Ex) | | | | | | |
| 31 Feathers Opposition To Defendant Rule12(b)(6) | Doc 31, Civil Case 5:16-cv-00529 | 8/11/2016 | | | | |

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| 31-1 Exhibit 1 | | | | | | |
| 31-2 Exhibit 2 | | | | | | |
| 31-3 Exh 3 | | | | | | |
| 31-4 Exh 4 | | | | | | |
| 31-5 Exh 5 | | | | | | |
| Received 2016-0815 via Email from Counsel (Related to 1st Recvr Rpt, Motion to Conclude Rcvr, | | | | | | |
| Dkt_1164_2016_06_23_Receiver Motion | Doc_1164 | | 6/23/16 | | | |
| SBA-007582 Receiver First Status Report | Doc_30 | | 7/9/12 | | | |
| Received 2016-0817 via Email from Counsel (MF Capital Contrib/Bal) | | | | | | |
| Dkt_56_2012-08_17 Fickes Declaration in Support of Reply Letter Brief.pdf | | | 8/17/12 | | | |

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EXHIBIT C

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2:47 PM

08/18/16

SBC Portfolio Fund, LLC
General LedgerAmounts Due to Mgr match amounts reported/ disclosed
in auditor a- prepared financial statements.
Deposits to SPF by SBCC of over \$380,000 in 2011-2012.

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|------------|-----|------------------------------|--|------------------------------------|---------------|---------------|--------------|
| 1190 - Fund Loans Loss Reserve | | | | | | | | | |
| Total 1190 - Fund Loans Loss Reserve | | | | | | | | | |
| 1195 - Loan Costs | | | | | | | | | 0.00 |
| 1195 - Loan Costs - Accumulated Amortiz | | | | | | | | | |
| General Journal | 05/31/2012 | True up | | | Amort of Loan Costs 05/31/2012 | 1110 - Fund Loans | | 3,319.48 | -3,319.48 |
| Total 1195 - Loan Costs - Accumulated Amortiz | | | | | | | | 3,319.48 | -3,319.48 |
| 1195 - Loan Costs - Other | | | | | | | | | |
| Check | 05/01/2012 | ACH | | investors Prime Fund, LLC | Premium Paid on Purchase of Airp... | 1030 - Heritage - 3695 Operating | 390,841.52 | | 390,841.52 |
| Total 1195 - Loan Costs - Other | | | | | | | 390,841.52 | | 390,841.52 |
| Total 1195 - Loan Costs | | | | | | | 390,841.52 | 0.00 | 390,841.52 |
| 1100 - Fund Loans Receivable - Other | | | | | | | 390,841.52 | 3,319.48 | 387,522.04 |
| Total 1100 - Fund Loans Receivable - Other | | | | | | | | | |
| Total 1100 - Fund Loans Receivable | | | | | | | | | 0.00 |
| 1200 - Other Receivables | | | | | | | 36,153,085.45 | 29,389,155.93 | 6,763,929.52 |
| 1210 - Due Fr SBC Cap Brokers Trust Ac | | | | | | | | | |
| General Journal | 01/23/2010 | 01/10 ... | | | VOID: Loan Act# 864727 Campbell... | -SPLIT- | 0.00 | | 0.00 |
| General Journal | 02/01/2010 | 02/10 ... | | | VOID: Loan Act#35 1350 Natoma p... | 4010 - Loan Interest Income | 0.00 | | 0.00 |
| General Journal | 02/02/2010 | 02/10 ... | | | VOID: Loan #65-1 Lipari pent | -SPLIT- | 0.00 | | 0.00 |
| Total 1210 - Due Fr SBC Cap Brokers Trust Ac | | | | | | | 0.00 | 0.00 | 0.00 |
| 1215 - Int. Receivable on Mgr Due Fro | | | | | | | | | |
| General Journal | 03/31/2011 | Mgr In... | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 13,083.30 | | 13,083.30 |
| General Journal | 04/30/2011 | MGR L... | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,361.10 | | 17,444.40 |
| General Journal | 05/31/2011 | amort | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,506.47 | | 21,950.87 |
| Deposit | 08/30/2011 | | | SB Capital Corp. | CREDIT | | | 21,950.87 | 0.00 |
| General Journal | 07/31/2011 | int rec | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,361.10 | | 4,361.10 |
| General Journal | 08/31/2011 | svc trst | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,506.57 | | 8,867.67 |
| Deposit | 09/29/2011 | 3383 | | SB Capital Corp. | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,569.09 | 8,867.67 | 4,569.09 |
| General Journal | 10/31/2011 | amort | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,421.70 | | 8,990.79 |
| General Journal | 11/30/2011 | 3610 | | SB Capital Corp. | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 17,686.59 | 8,990.79 | 0.00 |
| Deposit | 12/21/2011 | Interest | | | Interest payment on manager due f... | 4105 - Interest Income on Manag... | 5,896.00 | | 17,686.59 |
| General Journal | 12/31/2011 | int. Mg... | | | 2.5% shorted during the year on du... | 4105 - Interest Income on Manag... | 5,896.00 | | 23,582.59 |
| General Journal | 01/31/2012 | int. Mg... | | | Interest Due on Mgr Due From Note | 4105 - Interest Income on Manag... | 5,414.38 | | 28,996.95 |
| General Journal | 02/29/2012 | int. Mg... | | | Interest Due on Mgr Due From Note | 4105 - Interest Income on Manag... | 4,469.17 | | 33,466.12 |
| General Journal | 03/31/2012 | True up | | | March Interest from SB Capital on M... | 4105 - Interest Income on Manag... | 4,421.70 | | 37,887.82 |
| General Journal | 04/30/2012 | True up | | | April Interest from SB Capital on M... | 4105 - Interest Income on Manag... | 3,782.56 | 33,466.12 | 4,421.70 |
| Deposit | 05/18/2012 | int | | SB Capital Corp. | Interest thru 03-31-2012 | 1030 - Heritage - 3695 Operating | | | 8,214.26 |
| General Journal | 05/31/2012 | int | | | Interest from SB Capital on Manag... | 4105 - Interest Income on Manag... | 8,214.26 | | 0.00 |
| General Journal | 05/31/2012 | int | | | pay down interest receivable on du... | 5020 - Fund Management Fees | | | 0.00 |
| Total 1215 - Int. Receivable on Mgr Due Fro | | | | | | | 81,489.71 | 81,489.71 | 0.00 |
| 1225 - Due From Manager | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 534,738.00 | | 534,738.00 |
| General Journal | 01/01/2010 | Rev 0... | | | Reverse Duplicate 09 K-1 Adj | -SPLIT- | 6,923.00 | | 541,661.00 |
| Check | 02/26/2010 | 2001 | | SB Capital Corp. | 2010 syndication exp reimb- move... | 1030 - Heritage - 3695 Operating | 25,000.00 | | 566,661.00 |
| Check | 02/26/2010 | 2005 | | SB Capital Corp. | syndication expense-moved to Due... | 1030 - Heritage - 3695 Operating | 25,000.00 | | 591,661.00 |
| General Journal | 08/01/2010 | Neg A... | | White Revocable Trust | Aug 10 Mgr Contrib-offset negative... | 3010 - Contributions | 10,895.37 | | 602,556.37 |
| Deposit | 09/01/2010 | 103 | | M.J. LaGrone Revocable Trust | M.J. LaGrone reimbursement of vit... | 1030 - Heritage - 3695 Operating | | 2,500.00 | 605,056.37 |
| Deposit | 09/20/2010 | 1033... | | Investors Prime Fund, LLC | Reimb Dodge repairs rvd fr The H... | 1030 - Heritage - 3695 Operating | | 1,263.64 | 598,790.73 |
| Check | 10/18/2010 | 2111 | | SB Capital Corp. | Managers Compensation | 1030 - Heritage - 3695 Operating | 50,000.00 | | 648,790.73 |
| Check | 11/12/2010 | Transfer | | SB Capital Corp. | Transfer to SB Capital Heritage #3... | 1030 - Heritage - 3695 Operating | 50,000.00 | | 698,790.73 |
| Check | 12/14/2010 | 1002 | | SB Capital Corp. | M Feathers purchase of Dodge Truck | 1030 - Heritage - 3695 Operating | 25,000.00 | | 723,790.73 |
| Deposit | 12/30/2010 | AUD J... | | Mark Feathers | Distribute excess funds to Manager | 3030 - Distributions | | 10,000.00 | 733,790.73 |
| General Journal | 12/31/2010 | GAAP... | | | Management Fee at the end of 201... | 1117 - Deferred Expense/Reven... | 6,327.30 | | 740,118.03 |
| General Journal | 01/31/2012 | GAAP... | | | Below Preferred Rate Earnings | 5020 - Fund Management Fees | 25,747.79 | 16,594.98 | 761,616.24 |
| General Journal | 02/28/2012 | mgmt ... | | | Excess Earnings-February | 5020 - Fund Management Fees | | 225,115.34 | 986,731.58 |
| General Journal | 05/31/2012 | int | | | pay down interest receivable on du... | 5020 - Fund Management Fees | | 38,504.63 | 1,025,236.21 |
| Total 1225 - Due From Manager | | | | | | | 753,302.16 | 300,305.89 | 452,996.27 |
| 1200 - Other Receivables - Other | | | | | | | | | |
| Total 1200 - Other Receivables - Other | | | | | | | | | 0.00 |
| Total 1200 - Other Receivables | | | | | | | 834,791.87 | 381,795.60 | 452,996.27 |
| 1400 - Prepays | | | | | | | | | |
| 1410 - Legal Retainers | | | | | | | | | |
| Check | 03/18/2010 | 2008 | | SB Capital Corp. | Refunded back on 3/25 & 5/20/2010 | 1030 - Heritage - 3695 Operating | 100,000.00 | | 100,000.00 |
| Deposit | 03/22/2010 | 1043 | | SB Capital Brokers Trust | \$25K paybk of \$100K SBC PF adv... | 1030 - Heritage - 3695 Operating | | 25,000.00 | 125,000.00 |
| Deposit | 05/21/2010 | 1087 | | SB Capital Brokers Trust | return prepaid legal expenses from ... | 1030 - Heritage - 3695 Operating | | 75,000.00 | 200,000.00 |
| Total 1410 - Legal Retainers | | | | | | | 100,000.00 | 100,000.00 | 0.00 |
| 1420 - Commissions Pre-paid | | | | | | | | | |
| Total 1420 - Commissions Pre-paid | | | | | | | | | 0.00 |
| 1425 - Accum Amortization - commission | | | | | | | | | 0.00 |
| Total 1425 - Accum Amortization - commission | | | | | | | | | 0.00 |
| 1430 - Prepaid Underwriting - Retainer | | | | | | | | | 0.00 |
| Check | 11/17/2011 | 11171... | | Alliance Underwriting, LLC | Retainer for Commercial Credit An... | 1030 - Heritage - 3695 Operating | 8,000.00 | | 8,000.00 |
| Total 1430 - Prepaid Underwriting - Retainer | | | | | | | 8,000.00 | 0.00 | 8,000.00 |

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USA v. Feathers

Case No. CR 14-00531 RMW

EXHIBIT D

USA v. Feathers

Case No. CR 14-00531 RMW

EXHIBIT E

Investors Prime Fund
General Ledger2:52 PM
08/18/16

Account Balances

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|----------------------------|--|-------|------------|------------|------------|
| 1000 - Cash | | | | | | | | | |
| General Journal | 12/31/2009 | 12312009 | | Operating | | | | | |
| Check | 01/04/2010 | 1133 | | Lillian Pe | Set up 01/01/10 Opening Balances on new QB... | | 266,294.00 | | 266,294.00 |
| Check | 01/04/2010 | 1134 | | K & L Gates LLP | Outstanding 12/31/09 | | 487.29 | | 265,806.71 |
| Check | 01/04/2010 | 1135 | | K & L Gates LLP | Inv for Nov 09 charges | | | 18,724.24 | 284,530.95 |
| Check | 01/04/2010 | 1146 | | Dragon Software LLC | Inv for Oct 09 for SBA SBLIC license | | | 8,797.50 | 293,328.45 |
| Check | 01/05/2010 | 1073 | | SB Capital | Fixed Fee Indemnification Expense 2010 for consulting... | | | 23,000.00 | 210,328.45 |
| Deposit | 01/15/2010 | | | Investors Prime Fund, L... | Deposit Transfer to Heritage #3513 | | | 385,022.55 | 595,350.99 |
| Check | 01/15/2010 | 1091 | | Wells Fargo - 6093 | Deposit-Heart#39023368 | | 170,000.00 | | 425,350.99 |
| Check | 01/20/2010 | EFT | | Mass Mutual | Variable Transfer for Safety | | 10,000.00 | | 415,350.99 |
| Check | 01/20/2010 | EFT | | Mass Mutual | Jan Ins premium | | 4,893.32 | | 410,457.67 |
| Check | 01/20/2010 | EFT | | Mass Mutual | Life Insurance | | 0.00 | | 410,457.67 |
| Check | 02/28/2010 | EFT | | Mass Mutual | Service Charge | | | 2,241.99 | 408,215.68 |
| Check | 03/31/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 406,361.66 |
| Check | 03/31/2010 | EFT | | Mass Mutual | Service Charge | | | 4.00 | 406,357.66 |
| Check | 03/31/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 404,503.64 |
| Check | 04/30/2010 | EFT | | Mass Mutual | Service Charge | | | 2.50 | 404,499.14 |
| Check | 05/20/2010 | WFO O... | | Wells Fargo - 6093 | WFO 8/14/09 OS call1139 to PF never cleared | | | 1,952.02 | 402,547.12 |
| Check | 05/20/2010 | EFT | | Mass Mutual | Service Charge | | 50.00 | | 402,497.12 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 400,643.10 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 400,622.10 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 398,768.08 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 398,747.08 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 396,893.06 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 396,872.06 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 395,018.04 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 395,000.04 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 393,146.02 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 393,125.02 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 391,271.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 391,250.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 389,396.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 389,375.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 387,521.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 387,500.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 385,646.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 385,625.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 383,771.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 383,750.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 381,896.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 381,875.00 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 379,999.98 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 379,978.98 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 378,124.96 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 378,103.96 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 376,249.94 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 376,228.94 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 374,374.92 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 374,353.92 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 372,499.90 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 372,478.90 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 370,624.88 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 370,603.88 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 368,749.86 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 368,728.86 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 366,874.84 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 366,853.84 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 364,999.82 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 364,978.82 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 363,124.80 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 363,103.80 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 361,249.78 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 361,228.78 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 359,374.76 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 359,353.76 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 357,499.74 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 357,478.74 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 355,624.72 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 355,603.72 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 353,749.70 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 353,728.70 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 351,874.68 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 351,853.68 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 349,999.66 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 349,978.66 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 348,124.64 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 348,103.64 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 346,249.62 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 346,228.62 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 344,374.60 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 344,353.60 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 342,499.58 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 342,478.58 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 340,624.56 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 340,603.56 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 338,749.54 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 338,728.54 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 336,874.52 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 336,853.52 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 334,999.50 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 334,978.50 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 333,124.48 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 333,103.48 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 331,249.46 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 331,228.46 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 329,374.44 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 329,353.44 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 327,499.42 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 327,478.42 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 325,624.40 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 325,603.40 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 323,749.38 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 323,728.38 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 321,874.36 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 321,853.36 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 319,999.34 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 319,978.34 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 318,124.32 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 318,103.32 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 316,249.30 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 316,228.30 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 314,374.28 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 314,353.28 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 312,499.26 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 312,478.26 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 310,624.24 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 310,603.24 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 308,749.22 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 308,728.22 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 306,874.20 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 306,853.20 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 304,999.18 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 304,978.18 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 303,124.16 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 303,103.16 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 301,249.14 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 301,228.14 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 299,374.12 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 299,353.12 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 297,499.10 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 297,478.10 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 295,624.08 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 295,603.08 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 293,749.06 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 293,728.06 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 291,874.04 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 291,853.04 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Life Insurance | | | 1,854.02 | 289,999.02 |
| Check | 06/21/2010 | EFT | | Mass Mutual | Service Charge | | | 21.00 | 289,978.02 |
| | | | | | | | | | |

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Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|------|-----|-----------------------------|--|----------------------------------|------------|--------------|--------------|
| Check | 04/02/2010 | 1511 | | VOID | VOID: | 6900 · Misc. Expense | | | 740,094.69 |
| Check | 04/02/2010 | 1512 | | VOID | VOID: | 6900 · Misc. Expense | 0.00 | | 740,094.69 |
| Check | 04/02/2010 | 1513 | | VOID | VOID: | 6900 · Misc. Expense | 0.00 | | 740,094.69 |
| Check | 04/02/2010 | 1503 | | Amy J. Fillin | Return 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 938.25 | 739,156.44 |
| Check | 04/02/2010 | 1504 | | TD Ameritrade FBO M... | Refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 245.42 | 738,911.02 |
| Check | 04/02/2010 | 1505 | | Twila Allen Miller Trust | Refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 459.33 | 738,451.69 |
| Check | 04/02/2010 | 1506 | | Joanne Banks Living Tr... | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 830.66 | 737,621.03 |
| Check | 04/02/2010 | 1507 | | Merville Shaw | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 1,102.68 | 736,518.35 |
| Check | 04/02/2010 | 1508 | | Richard J. Foglia | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 874.05 | 735,644.30 |
| Check | 04/02/2010 | 1509 | | Dennis Banks & Richar... | Deposit-Loan #84457 | 5900 · Provision for Loan Losses | | 854.71 | 734,789.59 |
| Deposit | 04/03/2010 | | | Small Business Capital... | Capitalization of LLC - cash to purchase Cash... | -SPLIT- | 505,427.70 | | 1,240,412.91 |
| Check | 04/08/2010 | 1521 | | Small Business Capital... | Capitalization of LLC | 1510 · Investment in SBC LLC | | 1,000,000.00 | 240,412.91 |
| Deposit | 04/08/2010 | | | | Deposit | 3010 · Contributions | 9,500.00 | | 230,912.91 |
| Check | 04/07/2010 | | | | Deposit | 3010 · Contributions | 50,000.00 | | 180,912.91 |
| Check | 04/08/2010 | 2057 | | Luna Family Trust | Refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | 7,000.00 | | 173,912.91 |
| Check | 04/08/2010 | 2058 | | IRA Services FBO Glen... | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 399.79 | 173,513.12 |
| Check | 04/08/2010 | 2059 | | IRA FBO Richard White | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 589.43 | 172,923.69 |
| Check | 04/08/2010 | 2060 | | Linda S. Wilson | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 1,109.13 | 171,814.56 |
| Check | 04/08/2010 | 2061 | | IRA Services FBO April... | refund 2% loan loss adjustment | 5900 · Provision for Loan Losses | | 433.88 | 171,380.68 |
| Check | 04/08/2010 | 2055 | | VOID | Deposit-Loan #864243 | -SPLIT- | | 422.23 | 170,958.45 |
| Check | 04/12/2010 | 2062 | | Prima Mail | VOID: card mailings-printed on Altair#7590 check | 6900 · Misc. Expense | 158,785.99 | | 113,744.34 |
| Check | 04/13/2010 | 2068 | | Prima Mail | remaining bal on April mailing | 6010 · Advertising & Promotion | 0.00 | | 113,744.34 |
| Check | 04/13/2010 | 2067 | | David Gruebele | ABS Consulting 10 days Mar - Apr 2010 | 6010 · Advertising & Promotion | | 572.17 | 113,172.17 |
| Check | 04/13/2010 | 2064 | | VOID | | 6104 · Contracting & Consulting | | 10,000.00 | 103,172.17 |
| Check | 04/13/2010 | 2063 | | IRA Services | Fund agreed to pay Syd Rainer's IRA act fees | 6900 · Misc. Expense | 0.00 | | 103,172.17 |
| Check | 04/13/2010 | 2065 | | IRA Services FBO Pete... | WID to pay Judith's IRA act Fees- Mgr made ... | 5010 · Bank Fees | | 495.00 | 102,677.17 |
| Deposit | 04/14/2010 | | | | Deposit-Loan 59 | 3040 · Withdrawals | | 195.00 | 102,482.17 |
| Deposit | 04/14/2010 | | | | Deposit-Loan #21 | -SPLIT- | 1,000.00 | | 101,482.17 |
| Deposit | 04/14/2010 | | | | Deposit-#BAIG | 4010 · Loan Interest Income | 7,656.25 | | 111,138.42 |
| Check | 04/14/2010 | 1515 | | Garrett Watts | Deposit-#51 | 4010 · Loan Interest Income | 10,376.73 | | 121,515.15 |
| Check | 04/15/2010 | | | | Fund Consulting 4/1/10 thru 6/30/10 | -SPLIT- | 2,500.00 | | 124,015.15 |
| Check | 04/18/2010 | 2069 | | US Postmaster | Deposit | 6104 · Contracting & Consulting | | 4,000.00 | 120,015.15 |
| Check | 04/19/2010 | 2068 | | VOID | IPF postcard postage | 3010 · Contributions | 50,000.00 | | 170,015.15 |
| Deposit | 04/19/2010 | | | | Deposit | 6010 · Advertising & Promotion | | 1,987.22 | 168,027.93 |
| Check | 04/20/2010 | 2071 | | Franchise Tax Board | 2010 Form 3522 SOS#200513910348 | 6900 · Misc. Expense | 0.00 | | 218,027.93 |
| Check | 04/20/2010 | 2070 | | US Postmaster | Postage for Express printing postcard mailing... | 3010 · Contributions | 50,000.00 | | 217,527.93 |
| Check | 04/20/2010 | 1516 | | Richard Azera | 04/01/10-06/30/10 Fund Consulting Fees | 6020 · LLC Fees & Franchise T... | | 800.00 | 216,727.93 |
| Check | 04/20/2010 | 1522 | | Property Sciences | Deposit-Loan #103 | 6104 · Contracting & Consulting | | 1,078.44 | 215,649.49 |
| Check | 04/20/2010 | | | | Advance on Brannon Cottage AKA Four Bros I... | 4010 · Loan Interest Income | 3,511.67 | | 212,137.82 |
| Check | 04/21/2010 | 2072 | | The Charlene A. Cordo... | Deposit | 5035 · Appraisal Fees | | 3,500.00 | 208,637.82 |
| Check | 04/21/2010 | 2073 | | Sunnyvale Historical Soc... | Deposit | 1060 · Interbank Transfers | 20,000.00 | | 188,637.82 |
| Check | 04/21/2010 | 2074 | | James T. Cramer | Capital Withdrawal | 3010 · Contributions | 500.00 | | 188,137.82 |
| Check | 04/22/2010 | | | | rental deposit for 8/5/10 IPF investor dinner | 3040 · Withdrawals | | 10,000.00 | 178,137.82 |
| Check | 04/22/2010 | | | | Act#0893-ACH withdrawal | 6051 · Investor Events | | 637.50 | 177,500.32 |
| Check | 04/22/2010 | | | | Deposit | 3040 · Withdrawals | | 5,000.00 | 172,500.32 |
| Check | 04/22/2010 | | | wire out | Old Republic Title | 3010 · Contributions | 5,000.00 | | 167,500.32 |
| Check | 04/23/2010 | | | | Purchase of Cavallino's portion of S02 loan by ... | 3010 · Contributions | 34,160.66 | | 133,339.66 |
| Check | 04/23/2010 | 2075 | | Donald J. Scherl Revoc... | Deposit | -SPLIT- | | 175,752.40 | 81,531.82 |
| Check | 04/23/2010 | 2076 | | Equity Trust FBO Denni... | Act#0881-ACH account closing withdrawal | 3010 · Contributions | 2,000.00 | | 79,531.82 |
| Check | 04/23/2010 | 2077 | | VOID | Act#0948 Closing withdrawal | 3040 · Withdrawals | 40,000.00 | | 39,531.82 |
| Check | 04/23/2010 | 2078 | | Suter Family Trust - Ac... | Act#0983 account closing withdrawal | 3040 · Withdrawals | | 3,572.31 | 35,959.51 |
| Check | 04/23/2010 | 2080 | | Lee V. Emerson | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 7,071.40 | 28,888.11 |
| Check | 04/23/2010 | 2081 | | Robert M. Lowen M.D. | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 5,219.34 | 23,668.77 |
| Check | 04/23/2010 | 2082 | | John Escobar | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 106,868.67 | 110,537.44 |
| Check | 04/23/2010 | 2083 | | Penso, FBO P.F. Ande... | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 101,558.87 | 9,978.57 |
| Check | 04/23/2010 | 2084 | | TD Ameritrade FBO T.L... | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 103,668.87 | 8,310.70 |
| Check | 04/23/2010 | 2085 | | SBC Portfolio Fund, LLC | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 20,272.54 | 8,038.16 |
| Check | 04/23/2010 | 2086 | | Alta Alliance | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 98,670.00 | 108,598.33 |
| Check | 04/23/2010 | 2087 | | Dept. of Corporations | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 208,268.33 |
| Check | 04/23/2010 | 2088 | | Board of Equalization | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 233,268.33 |
| Check | 04/23/2010 | 2089 | | State Board of Equaliza... | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 258,268.33 |
| Check | 04/23/2010 | 2090 | | Heritage Bank | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 283,268.33 |
| Check | 04/23/2010 | 2091 | | Tin Thi To | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 308,268.33 |
| Check | 04/23/2010 | 2092 | | VOID | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 333,268.33 |
| Check | 04/23/2010 | 2093 | | Cavallino Fund | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 358,268.33 |
| Check | 04/23/2010 | 2094 | | Investors Prime Fund, L... | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 383,268.33 |
| Check | 04/23/2010 | 2095 | | Tu D. of Hoan N. Vu | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 408,268.33 |
| Check | 04/23/2010 | 2096 | | North American Title | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 433,268.33 |
| Check | 04/23/2010 | 2097 | | Heritage Bank | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 458,268.33 |
| Check | 04/23/2010 | 2098 | | Lamorinda Weekly | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 483,268.33 |
| Check | 04/23/2010 | 2099 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 508,268.33 |
| Check | 04/23/2010 | 2100 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 533,268.33 |
| Check | 04/23/2010 | 2101 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 558,268.33 |
| Check | 04/23/2010 | 2102 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 583,268.33 |
| Check | 04/23/2010 | 2103 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 608,268.33 |
| Check | 04/23/2010 | 2104 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 633,268.33 |
| Check | 04/23/2010 | 2105 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 658,268.33 |
| Check | 04/23/2010 | 2106 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 683,268.33 |
| Check | 04/23/2010 | 2107 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 708,268.33 |
| Check | 04/23/2010 | 2108 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 733,268.33 |
| Check | 04/23/2010 | 2109 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 758,268.33 |
| Check | 04/23/2010 | 2110 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 783,268.33 |
| Check | 04/23/2010 | 2111 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 808,268.33 |
| Check | 04/23/2010 | 2112 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 833,268.33 |
| Check | 04/23/2010 | 2113 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 858,268.33 |
| Check | 04/23/2010 | 2114 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 883,268.33 |
| Check | 04/23/2010 | 2115 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 908,268.33 |
| Check | 04/23/2010 | 2116 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 933,268.33 |
| Check | 04/23/2010 | 2117 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 958,268.33 |
| Check | 04/23/2010 | 2118 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 983,268.33 |
| Check | 04/23/2010 | 2119 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,008,268.33 |
| Check | 04/23/2010 | 2120 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,033,268.33 |
| Check | 04/23/2010 | 2121 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,058,268.33 |
| Check | 04/23/2010 | 2122 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,083,268.33 |
| Check | 04/23/2010 | 2123 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,108,268.33 |
| Check | 04/23/2010 | 2124 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,133,268.33 |
| Check | 04/23/2010 | 2125 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,158,268.33 |
| Check | 04/23/2010 | 2126 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,183,268.33 |
| Check | 04/23/2010 | 2127 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,208,268.33 |
| Check | 04/23/2010 | 2128 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,233,268.33 |
| Check | 04/23/2010 | 2129 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,258,268.33 |
| Check | 04/23/2010 | 2130 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,283,268.33 |
| Check | 04/23/2010 | 2131 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,308,268.33 |
| Check | 04/23/2010 | 2132 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,333,268.33 |
| Check | 04/23/2010 | 2133 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,358,268.33 |
| Check | 04/23/2010 | 2134 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,383,268.33 |
| Check | 04/23/2010 | 2135 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,408,268.33 |
| Check | 04/23/2010 | 2136 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,433,268.33 |
| Check | 04/23/2010 | 2137 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,458,268.33 |
| Check | 04/23/2010 | 2138 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,483,268.33 |
| Check | 04/23/2010 | 2139 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,508,268.33 |
| Check | 04/23/2010 | 2140 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,533,268.33 |
| Check | 04/23/2010 | 2141 | | | Act#0851-ACH09 Withdrawal | 3040 · Withdrawals | | 25,000.00 | 1,558,268 |

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08/18/16

Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|---|-------------------------------------|------------|------------|------------|
| Deposit | 05/17/2010 | | | | Deposit | 3010 - Contributions | 15,000.00 | | 215,618.17 |
| Check | 05/17/2010 | 2097 | | SB Capital Corp. | syndication fees | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 165,618.17 |
| Check | 05/17/2010 | 2098 | | VOID | VOID: | 6900 - Misc. Expense | 0.00 | | 165,618.17 |
| Check | 05/19/2010 | WID | | Trust of Michael and Ca... | Partial Redemption | 3040 - Withdrawals | | 25,000.00 | 140,618.17 |
| Check | 05/19/2010 | EFT | | Heritage Bank | Incoming wire fee | 5010 - Bank Fees | | 15.00 | 140,603.17 |
| Check | 05/19/2010 | EFT | | Heritage Bank | Outgoing wire fee - Cohen Trust W/D | 5010 - Bank Fees | | 35.00 | 140,568.17 |
| General Journal | 05/19/2010 | Cash T... | | | Cash Transfer from #7590 to #3513 by wire | 1020 - Torrey Pines- 7590 - Dist... | 25,000.00 | | 165,568.17 |
| Check | 05/20/2010 | 2100 | | Robert M. Lowen, M.D. ... | #0916 partial redemption | 3040 - Withdrawals | | 10,000.00 | 155,568.17 |
| Check | 05/20/2010 | 2101 | | IRA Services FBO Maril... | #941 partial redemption | 3040 - Withdrawals | | 10,000.00 | 145,568.17 |
| Deposit | 05/21/2010 | | | | Deposit-#51 | -SPLIT- | | | 151,868.17 |
| Check | 05/24/2010 | 2102 | | Lee V. Emerson | #0851 partial withdrawal | 3010 - Contributions | | 10,000.00 | 143,868.17 |
| Check | 05/24/2010 | 2103 | | Sauer Family Trust | #0881 partial redemption | 3040 - Withdrawals | | 10,000.00 | 133,868.17 |
| Check | 05/24/2010 | 2104 | | TD Ameritrade FBO T.L... | #0884 partial redemption | 3040 - Withdrawals | | 10,000.00 | 123,868.17 |
| Check | 05/24/2010 | 2108 | | TD Ameritrade FBO Ca... | #0891 partial redemption | 3040 - Withdrawals | | 10,000.00 | 113,868.17 |
| Check | 05/24/2010 | 2109 | | Farideh & Rahmatollah ... | #0858 partial redemption | 3040 - Withdrawals | | 10,000.00 | 103,868.17 |
| Check | 05/24/2010 | 2110 | | IRA Services FBO Maur... | #0857 partial redemption | 3040 - Withdrawals | | 10,000.00 | 93,868.17 |
| Check | 05/24/2010 | 2107 | | VOID | VOID: | 6900 - Misc. Expense | 0.00 | | 93,868.17 |
| Check | 05/24/2010 | 2106 | | VOID | VOID: | 6900 - Misc. Expense | 0.00 | | 93,868.17 |
| Check | 05/24/2010 | 2105 | | VOID | VOID: | 6900 - Misc. Expense | 0.00 | | 93,868.17 |
| Deposit | 05/24/2010 | 2111 | | VOID | Deposit | 3010 - Contributions | 55,000.00 | | 148,868.17 |
| Check | 05/24/2010 | 2112 | | SBC Portfolio Fund, LLC | IPF Act#0895 trf to SBC PF Act#0134 | 3040 - Withdrawals | | 45,000.00 | 103,868.17 |
| Check | 05/26/2010 | Wire Out | | Miller Mayer, LLP Attor... | Legal Retainer | 1410 - Legal Retainers | 25,012.00 | | 78,856.17 |
| Check | 05/26/2010 | EFT | | Heritage Bank | outgoing wire fee - Miller Mayer LLP Attorney ... | 5010 - Bank Fees | | 35.00 | 78,821.17 |
| Deposit | 05/28/2010 | | | | Deposit-BAIG | -SPLIT- | 504,031.25 | | 582,852.42 |
| Deposit | 05/28/2010 | | | | Deposit-#200000 | -SPLIT- | 2,850.00 | | 585,002.42 |
| Deposit | 05/28/2010 | | | | Deposit-#103 | 4010 - Loan Interest Income | 1,136.67 | | 586,039.09 |
| Deposit | 05/28/2010 | | | | Deposit-#51 | 1110 - Fund Loans | 3,500.00 | | 590,439.09 |
| Check | 05/28/2010 | 2113 | | SB Capital Corp. | 2010 syndication expenses | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 540,439.09 |
| Deposit | 06/02/2010 | | | | Deposit | 3010 - Contributions | 45,000.00 | | 585,439.09 |
| Deposit | 06/03/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 635,439.09 |
| Deposit | 06/03/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 660,439.09 |
| Check | 06/03/2010 | 2114 | | John Elder | Deposit on 6/5/10 IPF investor dinner | 6051 - Investor Events | | 2,000.00 | 658,439.09 |
| Check | 06/04/2010 | 2115 | | TD Ameritrade FBO T.L... | Act# 0864 Capital redemption | 3040 - Withdrawals | | 25,000.00 | 633,439.09 |
| Check | 06/04/2010 | 2116 | | TD Ameritrade FBO Ca... | VOID: Act#0891 capital redemption - replaced ... | 3040 - Withdrawals | 0.00 | | 633,439.09 |
| Check | 06/04/2010 | 2117 | | Robert M. Lowen, M.D. ... | Act#0916 capital redemption | 3040 - Withdrawals | | 25,000.00 | 608,439.09 |
| Check | 06/04/2010 | 2118 | | IRA Services FBO Maril... | Act#0941 capital redemption | 3040 - Withdrawals | | 20,000.00 | 588,439.09 |
| Check | 06/04/2010 | 2119 | | Farideh & Rahmatollah ... | Act#0956 capital redemption | 3040 - Withdrawals | | 20,000.00 | 568,439.09 |
| Check | 06/04/2010 | 2120 | | IRA Services FBO Maur... | VOID: Act#0957 capital redemption replaced b... | 3040 - Withdrawals | 0.00 | | 568,439.09 |
| Deposit | 06/04/2010 | | | | Deposit | 3010 - Contributions | 200,000.00 | | 768,439.09 |
| Check | 06/07/2010 | 2121 | | John Elder | Investor dinner caterer | 6051 - Investor Events | | 3,500.00 | 764,939.09 |
| Deposit | 06/07/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 789,939.09 |
| Deposit | 06/07/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 819,939.09 |
| Check | 06/07/2010 | 2122 | | VOID | VOID: | 6900 - Misc. Expense | 1,000.00 | | 820,939.09 |
| Check | 06/08/2010 | 2123 | | Spiegel Accountancy C... | 2010 inv: 4/7 #1284, 4/19 #1368, 5/27 #1496 | 6103 - Audit & Tax | 0.00 | | 820,939.09 |
| Deposit | 06/08/2010 | | | | Deposit | -SPLIT- | 100,000.00 | 8,074.94 | 812,864.15 |
| Check | 06/08/2010 | 2124 | | Investors Prime Fund, L... | Transfer funds from #3513 to Altaq #7590 | 1080 - Interbank Transfers | | 100,000.00 | 812,864.15 |
| Check | 06/08/2010 | Wire out | | Chicago Title | Mardock Loan #108 | 1110 - Fund Loans | 475,200.00 | | 337,664.15 |
| Check | 06/08/2010 | Wire Fee | | Heritage Bank | Mardock loan wire fee charged by Heritage | 5010 - Bank Fees | | 35.00 | 337,629.15 |
| Deposit | 06/09/2010 | | | | Deposit | 3010 - Contributions | 300.00 | | 337,929.15 |
| Deposit | 06/09/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 338,429.15 |
| Deposit | 06/09/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 368,429.15 |
| Deposit | 06/09/2010 | | | | Deposit | 3010 - Contributions | 300.00 | | 368,729.15 |
| Deposit | 06/09/2010 | | | | Deposit | 1410 - Legal Retainers | 23,192.00 | | 391,921.15 |
| Check | 06/09/2010 | Wire fee | | Heritage Bank | Wire in fee for wire from Miller Mayer Attys | 5010 - Bank Fees | | 15.00 | 391,906.15 |
| Check | 06/11/2010 | 2125 | | TD Ameritrade FBO Ca... | #0891 Capital Redemption | 3040 - Withdrawals | | 15,000.00 | 376,906.15 |
| Check | 06/11/2010 | 2126 | | David Gruebele | IPF Consulting 04-13/10 - 06/11/10 | 6104 - Contracting & Consulting | | 10,000.00 | 366,906.15 |
| Deposit | 06/15/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 391,906.15 |
| Check | 06/15/2010 | 2128 | | Ohio National Assuranc... | Life Ins policy#6945172- Ramur loan#51 6/8/1... | 6900 - Misc. Expense | | 1,635.00 | 380,271.15 |
| Check | 06/15/2010 | 2127 | | VOID | VOID: | 6900 - Misc. Expense | 0.00 | | 380,271.15 |
| Deposit | 06/15/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 400,271.15 |
| Deposit | 06/15/2010 | | | | Deposit | 3010 - Contributions | 100.00 | | 400,371.15 |
| Deposit | 06/15/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 400,621.15 |
| Check | 06/15/2010 | 2130 | | Peter Eberle | 6/5/10 Annual IPF Investor Dinner expenses | 6051 - Investor Events | | 2,550.00 | 398,071.15 |
| Check | 06/15/2010 | 2131 | | Tunberg Testamentary ... | #0886 Capital Redemption | 3040 - Withdrawals | | 25,000.00 | 373,071.15 |
| Deposit | 06/15/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 373,321.15 |
| Check | 06/15/2010 | 2129 | | IRA Services FBO Maur... | Capital Redemption withdrawal | 3040 - Withdrawals | | 25,000.00 | 348,321.15 |
| Check | 06/15/2010 | Bank Fee | | Heritage Bank | Stop payment fee | 5010 - Bank Fees | | 20.00 | 348,301.15 |
| Deposit | 06/17/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 373,301.15 |
| Deposit | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 9,187.50 | | 382,488.65 |
| Deposit | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,311.25 | | 384,799.90 |
| Deposit | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 4,238.58 | | 389,038.48 |
| Check | 06/17/2010 | 2132 | | SB Capital Corp. | Syndication Expenses | 1110 - Fund Loans | | 25,000.00 | 364,038.48 |
| Check | 06/17/2010 | Wire out | | Old Republic Title | Gupta loan#81-REPURCH repurchase of Gupt... | 1250 - LT Rec - Fund Mgrs Org... | | 25,000.00 | 339,038.48 |
| Check | 06/17/2010 | Wire Fee | | Heritage Bank | Gupta loan wire out fee | -SPLIT- | 155,309.71 | | 212,229.77 |
| Check | 06/19/2010 | 2133 | | Titan-PME Equipment ... | #51 Rampur loan funding increase to purchase... | 5010 - Bank Fees | | 35.00 | 212,194.77 |
| Check | 06/21/2010 | 2134 | | TD Ameritrade FBO T.L... | Act#0884 Capital Redemption | 1110 - Fund Loans | 10,815.37 | | 201,379.40 |
| Check | 06/21/2010 | 2135 | | IRA Services FBO Maur... | #0857 Capital Redemption | 3040 - Withdrawals | | 25,000.00 | 176,379.40 |
| Check | 06/21/2010 | 2135 | | Farideh & Rahmatollah ... | #0936-CHK Capital Redemption | 3040 - Withdrawals | | 10,000.00 | 166,379.40 |
| Deposit | 06/22/2010 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 141,379.40 |
| Deposit | 06/22/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 266,379.40 |
| Deposit | 06/22/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 266,629.40 |
| Deposit | 06/23/2010 | | | | Deposit | 1080 - Interbank Transfers | 2,000.00 | | 268,629.40 |
| Check | 06/24/2010 | 2138 | | SB Capital Corp. | Reimburse deposit of SB Cap Corp c/w#766 c... | 1080 - Interbank Transfers | | 2,000.00 | 266,629.40 |
| Check | 06/24/2010 | 2137 | | Small Business Capital... | Capital Investment | 1510 - Investment in SBC LLC | 100,000.00 | | 166,629.40 |
| Check | 06/25/2010 | 2139 | | TD Ameritrade FBO T.L... | #0864 Redemption Withdrawal | 3040 - Withdrawals | | 35,000.00 | 131,629.40 |
| Check | 06/25/2010 | 2140 | | Jerome Weigert | #0888 Capital Redemption | 3040 - Withdrawals | | 25,000.00 | 106,629.40 |
| Check | 06/25/2010 | 2141 | | Tu D. or Hoan N. Vu | #0881 Capital Redemption | 3040 - Withdrawals | | 15,000.00 | 91,629.40 |
| Deposit | 06/25/2010 | | | | Deposit | 4020 - Loan Fee Income | 93,172.50 | | 184,801.90 |
| Check | 06/25/2010 | 2142 | | SB Capital Corp. | 2010 Syndication Costs | 1250 - LT Rec - Fund Mgrs Org... | | 100,000.00 | 84,801.90 |
| Deposit | 06/28/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 109,801.90 |
| Deposit | 06/29/2010 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 209,801.90 |
| Check | 06/30/2010 | Bank Trf | | Small Business Capital... | Capital Transfer from IPF (parent) to SBC LLC... | 1510 - Investment in SBC LLC | | 50,000.00 | 159,801.90 |
| Check | 06/30/2010 | 2143 | | Macan Singh | Service Charge | 5010 - Bank Fees | | 6.00 | 159,795.90 |
| Check | 07/06/2010 | 2143 | | 1985 Massung Living Tr... | #0937 Capital Redemption Withdrawal | 3040 - Withdrawals | | 10,000.00 | 149,795.90 |
| Check | 07/06/2010 | 2144 | | Jon W. Steil | #0968 Capital Redemption Withdrawal | 3040 - Withdrawals | | 15,000.00 | 134,795.90 |
| Check | 07/06/2010 | 2145 | | | Capital Redemption Withdrawal | 3040 - Withdrawals | | 5,000.00 | 129,795.90 |
| Deposit | 07/06/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 134,795.90 |
| Deposit | 07/07/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 164,795.90 |
| Deposit | 07/07/2010 | | | | Deposit | 3010 - Contributions | 50.00 | | 164,845.90 |
| Deposit | 07/07/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 169,845.90 |
| Deposit | 07/08/2010 | | | | Deposit | 4010 - Loan Interest Income | 10,000.00 | | 179,845.90 |
| Deposit | 07/08/2010 | | | | Deposit | 4010 - Loan Interest Income | 10,000.00 | | 189,845.90 |
| Deposit | 07/08/2010 | | | | Deposit | -SPLIT- | 7,000.00 | | 186,845.90 |
| Deposit | 07/08/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,686.20 | | 189,532.10 |
| Deposit | 07/09/2010 | | | | Deposit | 3010 - Contributions | 1,100.00 | | 200,632.10 |
| Check | 07/09/2010 | 2147 | | Sauer Family Trust | #0861 Capital Redemption Withdrawal | 3040 - Withdrawals | | 33,205.35 | 167,426.75 |
| Check | 07/09/2010 | 2148 | | TD Ameritrade FBO Ca... | # 891 Capital Redemption Withdrawal | 3040 - Withdrawals | | 15,481.88 | 151,944.87 |
| Check | 07/09/2010 | 2149 | | IRA Services FBO Maril... | #0941 Capital Redemption Withdrawal | 3040 - Withdrawals | | 17,485.78 | 134,459.09 |

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08/18/16

Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|------|-----|---------------------------|---|----------------------------------|------------|--------------|--------------|
| Check | 07/09/2010 | 2150 | | IRA Services FBO Maur... | #0957 Capital Redemption Withdrawal | 3040 - Withdrawals | | 24,343.22 | 110,135.87 |
| Deposit | 07/09/2010 | | | | Deposit | 3010 - Contributions | 40,000.00 | | 150,135.87 |
| Deposit | 07/12/2010 | | | | Deposit | 1060 - Interbank Transfers | 12,500.00 | | 162,635.87 |
| Check | 07/12/2010 | 2146 | | Tu D. or Hoan N. Vu | #0881 Capital Redemption | 3040 - Withdrawals | | 25,000.00 | 137,635.87 |
| Deposit | 07/13/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 162,635.87 |
| Deposit | 07/14/2010 | | | | Deposit | 3010 - Contributions | 18,832.00 | | 181,467.87 |
| Check | 07/14/2010 | 2151 | | SB Capital Corp. | 2010 Syndication | 1250 - LT Rec - Fund Mgrs Org... | | 20,000.00 | 161,467.87 |
| Deposit | 07/14/2010 | | | | Deposit | 3010 - Contributions | 400.00 | | 161,867.87 |
| Check | 07/15/2010 | 2152 | | St. Clair 2003 Trust | Withdrawal from #856 to SBC PF#0136 | 3040 - Withdrawals | | 50,000.00 | 111,867.87 |
| Check | 07/15/2010 | 2153 | | SB Capital Corp. | 2010 Syndication Costs | 1250 - LT Rec - Fund Mgrs Org... | | 30,000.00 | 81,867.87 |
| Deposit | 07/15/2010 | | | | Deposit | -SPLIT- | 7,000.00 | | 88,867.87 |
| Deposit | 07/15/2010 | | | | Deposit | 4010 - Loan Interest Income | 17,543.42 | | 106,411.29 |
| Deposit | 07/15/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 106,661.29 |
| Deposit | 07/15/2010 | | | | Deposit | 4010 - Loan Interest Income | 4,238.58 | | 110,900.87 |
| Deposit | 07/15/2010 | | | | Deposit | 1060 - Interbank Transfers | 623.40 | | 111,524.27 |
| Deposit | 07/16/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 136,524.27 |
| Deposit | 07/16/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 161,524.27 |
| Check | 07/18/2010 | 2154 | | Alta Alliance | Transfer funds from #3513 to Altaq #7590 | 1110 - Fund Loans | 79,200.00 | | 240,724.27 |
| Deposit | 07/19/2010 | | | | Deposit | 1060 - Interbank Transfers | | 25,000.00 | 215,724.27 |
| Deposit | 07/20/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 215,974.27 |
| Deposit | 07/20/2010 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 315,974.27 |
| Deposit | 07/20/2010 | | | | Deposit | 3010 - Contributions | 25,690.46 | | 341,664.73 |
| Deposit | 07/20/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 391,664.73 |
| Check | 07/21/2010 | 2155 | | Lee V. Emerson | #0851-09 Capital Redemption Withdrawal | 3040 - Withdrawals | | 25,000.00 | 366,664.73 |
| Check | 07/21/2010 | 2156 | | TD Ameritrade FBO T.L... | #0864 Capital Redemption Withdrawal | 3040 - Withdrawals | | 25,000.00 | 341,664.73 |
| Check | 07/21/2010 | 2157 | | TD Ameritrade FBO Ja... | #0903 Capital Redemption Withdrawal | 3040 - Withdrawals | | 25,000.00 | 316,664.73 |
| Check | 07/21/2010 | 2158 | | Robert M. Lowen, M.D. ... | #0916 Capital Redemption Withdrawal | 3040 - Withdrawals | | 25,000.00 | 291,664.73 |
| Deposit | 07/21/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 292,164.73 |
| Deposit | 07/22/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 292,664.73 |
| Deposit | 07/22/2010 | | | | Deposit | 3010 - Contributions | 24,674.07 | | 317,338.80 |
| Deposit | 07/23/2010 | | | | Deposit | 3010 - Contributions | 35,000.00 | | 352,338.80 |
| Deposit | 07/23/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 377,338.80 |
| Deposit | 07/23/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 407,338.80 |
| Deposit | 07/27/2010 | | | | Deposit | 3010 - Contributions | 27,748.24 | | 435,087.04 |
| Check | 07/27/2010 | 2159 | | Lee V. Emerson | #0851 Final Capital Redemption | 3040 - Withdrawals | | 28,771.45 | 406,315.59 |
| Check | 07/27/2010 | 2160 | | TD Ameritrade FBO Ja... | #0903 Final Capital Redemption | 3040 - Withdrawals | | 26,903.88 | 379,411.71 |
| Check | 07/27/2010 | 2161 | | Robert M. Lowen, M.D. ... | #0916 Final Capital Redemption | 3040 - Withdrawals | | 27,147.62 | 352,264.09 |
| Check | 07/27/2010 | 2162 | | Farideh & Rahmatollah ... | #0956 Final Capital Redemption | 3040 - Withdrawals | | 25,000.00 | 327,264.09 |
| Check | 07/27/2010 | 2163 | | Jerome Weigert | #0868 Capital redemption | 3040 - Withdrawals | | 25,000.00 | 302,264.09 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 471.91 | | 302,736.00 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 472.00 | | 303,208.00 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 303,708.00 |
| Check | 07/28/2010 | | | Wire Out | Loan#113 Gupta Funding | 1110 - Fund Loans | | 162,500.00 | 141,208.00 |
| Check | 07/28/2010 | | | Wire Fee | Wire out fee-Gupta loan #113 | 5010 - Bank Fees | | 35.00 | 141,173.00 |
| Deposit | 07/28/2010 | | | | Deposit | 4010 - Loan Interest Income | 976.80 | | 142,149.80 |
| Deposit | 07/28/2010 | | | | Deposit | 4010 - Loan Interest Income | 9,187.50 | | 151,337.30 |
| Deposit | 07/28/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,311.25 | | 153,648.55 |
| Deposit | 07/28/2010 | | | | Deposit | 1110 - Fund Loans | 1,808.43 | | 155,456.98 |
| Deposit | 07/28/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,133.22 | | 156,590.20 |
| Deposit | 07/28/2010 | | | | Deposit | 1060 - Interbank Transfers | 79,200.00 | | 235,790.20 |
| Deposit | 07/28/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,136.67 | | 236,926.87 |
| Deposit | 07/28/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,500.00 | | 240,426.87 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 472,000.00 | | 712,426.87 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 717,426.87 |
| Deposit | 07/28/2010 | | | | Deposit | -SPLIT- | 2,870.00 | | 720,296.87 |
| Deposit | 07/28/2010 | | | | Deposit | -SPLIT- | 10,000.00 | | 730,296.87 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 780,296.87 |
| Deposit | 07/28/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 780,796.87 |
| Check | 07/30/2010 | 2164 | | TD Ameritrade FBO T.L... | #0864 Final Capital Redemption Withdrawal | 3040 - Withdrawals | | 49,665.08 | 730,301.79 |
| Check | 07/30/2010 | 2165 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 730,301.79 |
| Check | 07/30/2010 | 2166 | | 2010 Syndication | 1250 - LT Rec - Fund Mgrs Org... | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 680,301.79 |
| Check | 07/30/2010 | 2167 | | Heritage Bank | Mandock paid twice by BT 16th & 30th | 1060 - Interbank Transfers | | 79,200.00 | 601,101.79 |
| Check | 07/31/2010 | 2168 | | Frank E. Allen Trust | #1048 WD due to ck amt error on 7/28 deposit | 3040 - Withdrawals | | 472.00 | 600,629.79 |
| Check | 07/31/2010 | 2169 | | SBC Portfolio Fund, LLC | Reimb Dep Error on 7/15/10 cld#1124 | 1060 - Interbank Transfers | | 623.40 | 600,006.39 |
| Check | 07/31/2010 | | | | Service Charge | 5010 - Bank Fees | 34.50 | | 600,006.70 |
| Check | 08/01/2010 | 2170 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2171 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2172 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2173 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2174 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2175 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2176 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2177 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2178 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2179 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2180 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2181 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2182 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/01/2010 | 2183 | | VOID | VOID | 6900 - Misc. Expense | 0.00 | | 600,006.70 |
| Check | 08/03/2010 | 2184 | | Alta Alliance | Trf funds fr #3513 to #7590 for distributions | 1060 - Interbank Transfers | | 35,000.00 | 565,006.70 |
| Deposit | 08/04/2010 | | | | Deposit | 4015 - Loan Servicing Fee | 151.50 | | 565,158.20 |
| Deposit | 08/04/2010 | | | | Deposit | 1210 - Due Fr SBC Cap Brokers... | 210.00 | | 565,368.20 |
| Deposit | 08/04/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,500.00 | | 568,868.20 |
| Deposit | 08/04/2010 | | | | Deposit | -SPLIT- | 6,088.28 | | 574,956.48 |
| Deposit | 08/04/2010 | | | | Deposit | -SPLIT- | 149,561.81 | | 724,518.29 |
| Check | 08/04/2010 | 2185 | | SB Capital Corp. | 2010 Syndication | 1250 - LT Rec - Fund Mgrs Org... | | 25,000.00 | 699,518.29 |
| Check | 08/05/2010 | | | Ret Ck ... | Redeposited item charge | 5010 - Bank Fees | | 10.00 | 699,508.29 |
| Deposit | 08/06/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 724,508.29 |
| Check | 08/06/2010 | 2186 | | Mass Mutual | 6060 - Insurance | 6060 - Insurance | | 3,908.04 | 720,600.25 |
| Check | 08/06/2010 | 2187 | | VOID | 6900 - Misc. Expense | 6900 - Misc. Expense | 0.00 | | 720,600.25 |
| Deposit | 08/09/2010 | | | | Deposit | -SPLIT- | 508,058.31 | | 1,228,658.56 |
| Deposit | 08/09/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,500.00 | | 1,232,158.56 |
| Deposit | 08/11/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 1,237,158.56 |
| Deposit | 08/11/2010 | | | | Deposit | 3010 - Contributions | 50.00 | | 1,237,208.56 |
| Deposit | 08/11/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 1,237,708.56 |
| Deposit | 08/11/2010 | | | | Deposit | 3010 - Contributions | 15,000.00 | | 1,302,708.56 |
| Deposit | 08/11/2010 | | | | Deposit | 3010 - Contributions | 150.00 | | 1,302,858.56 |
| Deposit | 08/11/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 1,352,858.56 |
| Check | 08/12/2010 | 2188 | | Alta Alliance | Trf to Alta #7590 | 1060 - Interbank Transfers | | 1,000,000.00 | 352,858.56 |
| Check | 08/12/2010 | 2189 | | SBC Portfolio Fund, LLC | Trf Natto funds from IPF#095 to SBC PF#134 | 3040 - Withdrawals | | 10,000.00 | 342,858.56 |
| Check | 08/12/2010 | 2190 | | Jerome Weigert | Capital Redemption Withdrawal | 3040 - Withdrawals | | 50,000.00 | 292,858.56 |
| Check | 08/12/2010 | 2191 | | SB Capital Corp. | 2010 syndication | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 242,858.56 |
| Check | 08/12/2010 | 2192 | | SBC Portfolio Fund, LLC | Trf Patapoff fr IPF#096 to SBC PF#0125 | 3040 - Withdrawals | | 100,000.00 | 142,858.56 |
| Check | 08/13/2010 | 2193 | | David Gruebele | Consulting June 14-Aug 13, 2010 | 6104 - Contracting & Consulting | | 10,000.00 | 132,858.56 |
| Deposit | 08/13/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,311.25 | | 135,169.81 |
| Deposit | 08/13/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,298.70 | | 138,468.51 |
| Deposit | 08/13/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 188,468.51 |
| Deposit | 08/13/2010 | | | | Deposit | 4015 - Loan Servicing Fee | 755.28 | | 189,223.79 |
| Deposit | 08/13/2010 | | | | Deposit | -SPLIT- | 3,500.00 | | 192,723.79 |
| Deposit | 08/13/2010 | | | | Deposit | -SPLIT- | 4,239.58 | | 196,963.37 |
| Deposit | 08/13/2010 | | | | Deposit | 4010 - Loan Interest Income | 9,187.50 | | 206,148.87 |

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Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|---------------------------|--|----------------------------------|--------------|------------|--------------|
| Deposit | 08/16/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 231,148.87 |
| Deposit | 08/16/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 241,148.87 |
| Deposit | 08/16/2010 | | | | Deposit | 3010 - Contributions | 65,206.47 | | 306,355.34 |
| Deposit | 08/16/2010 | | | | Deposit | 3040 - Withdrawals | 500.00 | | 306,855.34 |
| Check | 08/17/2010 | 2194 | | Tin Thi To | W/O of Mgr referral bonus on 8/16 | | | 500.00 | 306,355.34 |
| Check | 08/17/2010 | 2196 | | Small Business Capital... | Reimb LLC for chg992 4/6/10 | | | 150,000.00 | 156,355.34 |
| Deposit | 08/17/2010 | | | | Deposit | 1250 - LT Rec - Fund Mgrs Org... | | | 206,355.34 |
| Check | 08/17/2010 | 2195 | | Anton Haramis | Dolores Park Loan Fee | | | 5,000.00 | 201,355.34 |
| Check | 08/18/2010 | 2197 | | SB Capital Corp. | Doss Law part of inc#64083663771 | | | 3,887.50 | 197,467.84 |
| Deposit | 08/18/2010 | | | | Deposit | 5040 - Loan Fees | | | 197,467.84 |
| Deposit | 08/18/2010 | | | | Deposit | 2510 - Misc. Other Liabilities | | | 201,355.34 |
| Deposit | 08/18/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 201,855.34 |
| Deposit | 08/18/2010 | | | | Deposit | 1230 - Due Fr SB Capital LLC | 6,761.33 | | 208,616.67 |
| Deposit | 08/18/2010 | | | | Deposit | 1230 - Due Fr SB Capital LLC | 126,832.39 | | 335,449.06 |
| Deposit | 08/18/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 385,449.06 |
| Deposit | 08/18/2010 | | | | Deposit | 4010 - Loan Interest Income | 6,933.76 | | 392,382.82 |
| Deposit | 08/20/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 442,382.82 |
| Check | 08/23/2010 | 2198 | | The Kim N. Nguyen Livi... | Withdrawal of Mgr Ref Bonus Contrib | | | | 442,382.82 |
| Deposit | 08/23/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 492,382.82 |
| Check | 08/24/2010 | 2198 | | The Kim N. Nguyen Livi... | W/O of Mgr Referral Bonus | | | 750.00 | 487,632.82 |
| Deposit | 08/24/2010 | | | | Deposit | 3040 - Withdrawals | | | 488,382.82 |
| Deposit | 08/24/2010 | | | | Deposit | -SPLIT- | 750.00 | | 488,382.82 |
| Deposit | 08/24/2010 | | | | Deposit | 4010 - Loan Interest Income | 162.50 | | 488,545.32 |
| Deposit | 08/24/2010 | | | | Deposit | -SPLIT- | 6,150.00 | | 494,695.32 |
| Check | 08/24/2010 | 2198 | | Chris Doyle | #1042 partial withdrawal | | | | 494,695.32 |
| Check | 08/24/2010 | 2200 | | Small Business Capital... | Trf funds for loan funding | | | 25,000.00 | 469,695.32 |
| Deposit | 08/24/2010 | | | | Deposit | 3040 - Withdrawals | | | 470,945.32 |
| Check | 08/25/2010 | 2201 | | SB Capital Corp. | advance to manager | | | 200,000.00 | 270,945.32 |
| Deposit | 08/25/2010 | | | | Deposit | 1510 - Investment in SBC LLC | 15,000.00 | | 285,945.32 |
| Deposit | 08/25/2010 | | | | Deposit | 3010 - Contributions | 20,000.00 | | 305,945.32 |
| Deposit | 08/25/2010 | | | | Deposit | 3010 - Contributions | 200.00 | | 306,145.32 |
| Deposit | 08/26/2010 | | | | Deposit | 3010 - Contributions | 150.00 | | 306,295.32 |
| Deposit | 08/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 331,295.32 |
| Deposit | 08/26/2010 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 431,295.32 |
| Deposit | 08/27/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 461,295.32 |
| Deposit | 08/27/2010 | | | | Deposit | 3010 - Contributions | 75,000.00 | | 536,295.32 |
| Deposit | 08/30/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 546,295.32 |
| Deposit | 08/30/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 546,795.32 |
| Deposit | 08/30/2010 | | | | Deposit | 3010 - Contributions | 750.00 | | 547,545.32 |
| Deposit | 08/30/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 547,795.32 |
| Deposit | 08/30/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 577,795.32 |
| Deposit | 08/31/2010 | | | | Deposit | 3010 - Contributions | 12,000.00 | | 589,795.32 |
| Deposit | 08/31/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 564,795.32 |
| Check | 08/31/2010 | | | | Deposit | -SPLIT- | 25,000.00 | | 589,795.32 |
| Check | 08/31/2010 | 2203 | | Tin Thi To | Service Charge | | | 40.50 | 589,754.82 |
| Check | 08/31/2010 | 2202 | | Small Business Capital... | #1028 W/O of Mgr Ref Bonus | | | 750.00 | 589,004.82 |
| Deposit | 08/31/2010 | | | | 1510 - Investment in SBC LLC | | | 200,000.00 | 389,004.82 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 250.00 | | 389,254.82 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 120.00 | | 389,374.82 |
| Deposit | 08/31/2010 | | | | 1110 - Fund Loans | | 3,500.00 | | 392,874.82 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 50,000.00 | | 442,874.82 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 75,000.00 | | 517,874.82 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 500.00 | | 518,374.82 |
| Check | 08/31/2010 | 2204 | | SB Capital Corp. | 2010 org exp fees | | | 25,000.00 | 493,374.82 |
| Check | 08/31/2010 | 2205 | | Tunberg Testamentary ... | #0886-ACH Withdrawal | | | 30,000.00 | 463,374.82 |
| Check | 08/31/2010 | 2206 | | Jerome Weigert | #0898-ACH withdrawal | | | 25,000.00 | 438,374.82 |
| Check | 08/31/2010 | 2207 | | Heritage Bank | Deposit Slip printing charge | | | 11.00 | 438,363.82 |
| Deposit | 08/31/2010 | | | | 1110 - Fund Loans | | 3,500.00 | | 441,863.82 |
| Deposit | 08/31/2010 | | | | Deposit | -SPLIT- | 4,239.58 | | 446,103.40 |
| Deposit | 08/31/2010 | | | | 4015 - Loan Servicing Fee | | 754.18 | | 446,857.58 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 3,500.00 | | 450,357.58 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 30,000.00 | | 480,357.58 |
| Check | 08/31/2010 | 2207 | | Small Business Capital... | 2010 Syndication | | | 50,000.00 | 430,357.58 |
| Deposit | 08/31/2010 | | | | 1250 - LT Rec - Fund Mgrs Org... | | 82,853.75 | | 513,211.33 |
| Deposit | 08/31/2010 | | | | 7110 - Subsid. Inc. - Loan Premi... | | 100,000.00 | | 613,211.33 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 300.00 | | 613,511.33 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 26,418.88 | | 640,930.21 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 25,000.00 | | 665,930.21 |
| Check | 08/31/2010 | 2208 | | SBC Portfolio Fund, LLC | W/O to trf funds fr #932 to SBC PF#0137 | | | 88,683.54 | 577,246.67 |
| Check | 08/31/2010 | 2209 | | VOID | | | | | 577,246.67 |
| Check | 08/31/2010 | 2210 | | VOID | | | | | 577,246.67 |
| Deposit | 08/31/2010 | | | | 6900 - Misc. Expense | | 0.00 | | 577,246.67 |
| Deposit | 08/31/2010 | | | | 6900 - Misc. Expense | | 0.00 | | 577,246.67 |
| Deposit | 08/31/2010 | | | | 1050 - Interbank Transfers | | 350,000.00 | | 927,246.67 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 53,000.00 | | 980,246.67 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 2,000.00 | | 982,246.67 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 2,000.00 | | 984,246.67 |
| Check | 08/31/2010 | EFT | | Mass Mutual | | | | 1,954.02 | 982,296.65 |
| Deposit | 08/31/2010 | | | | 6080 - Insurance | | | | 982,296.65 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 1,133.22 | | 983,429.87 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 3,052.50 | | 986,482.37 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 7,000.00 | | 993,482.37 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 9,187.50 | | 1,002,669.87 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 1,825.00 | | 1,004,494.87 |
| Deposit | 08/31/2010 | | | | 4010 - Loan Interest Income | | 2,311.25 | | 1,006,806.12 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 14,000.00 | | 1,020,806.12 |
| Check | 08/31/2010 | 2211 | | Investor | Crosswell Morris Refund-Unqualified investor | | | 25,000.00 | 995,806.12 |
| Check | 08/31/2010 | 2212 | | IRA Services FBO Syd ... | #0845 Partial Withdrawal to replenish IRA Svc... | | | 340.83 | 995,465.29 |
| Check | 08/31/2010 | 2213 | | Keiretsu Forum | Presentation Fee | | | 12,500.00 | 982,965.29 |
| Deposit | 08/31/2010 | | | | 6010 - Advertising & Promotion | | | | 982,965.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 500.00 | | 983,465.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 25,000.00 | | 1,008,465.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 25,000.00 | | 1,033,465.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 25,000.00 | | 1,058,465.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 5,000.00 | | 1,063,465.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 35,000.00 | | 1,098,465.29 |
| Deposit | 08/31/2010 | | | | 3010 - Contributions | | 15,000.00 | | 1,113,465.29 |
| Check | 08/31/2010 | 2214 | | Pillsbury Winthrop Sha... | IPF Legal Retainer | | | 15,000.00 | 1,098,465.29 |
| Check | 08/31/2010 | Transfer | | SB Capital LLC | Trf fr IPF to SBC LLC as they are the note owner | | | 855,825.00 | 242,640.29 |
| Deposit | 08/31/2010 | | | | 1410 - Legal Retainers | | | | 242,640.29 |
| Deposit | 08/31/2010 | | | | 1110 - Fund Loans | | 96,131.96 | | 338,772.25 |
| Deposit | 08/31/2010 | | | | 7110 - Subsid. Inc. - Loan Premi... | | 2.00 | | 338,774.25 |
| Check | 08/31/2010 | 2215 | | Heritage Bank | Loc loan fee | | | 500.00 | 338,274.25 |
| Deposit | 08/31/2010 | | | | 6510 - Lender Loan Fees | | 270.00 | | 338,544.25 |
| Deposit | 08/31/2010 | | | | 1110 - Fund Loans | | 7,000.00 | | 345,544.25 |
| Deposit | 08/31/2010 | | | | 1110 - Fund Loans | | 3,180.00 | | 348,724.25 |
| Check | 08/31/2010 | Transfer | | SB Capital LLC | Transfer funds to SBC LLC for loan fundings | | | 250,000.00 | 98,724.25 |
| Check | 08/31/2010 | | | | 1510 - Investment in SBC LLC | | | | 98,724.25 |
| Check | 08/31/2010 | | | | Deposit | -SPLIT- | 1,059,411.84 | | 2,048,136.09 |
| Check | 08/31/2010 | | | | 1110 - Fund Loans | | 855,355.00 | | 2,903,491.09 |
| Check | 08/31/2010 | | | | 6505 - Bank Fees and Service ... | | 300.00 | | 2,903,791.09 |
| Check | 08/31/2010 | | | | 3010 - Contributions | | 25,000.00 | | 2,928,791.09 |
| Check | 08/31/2010 | | | | 1050 - Interbank Transfers | | | 50,000.00 | 2,978,791.09 |
| Check | 08/31/2010 | | | | 3040 - Withdrawals | | | 5,000.00 | 2,983,791.09 |
| Check | 08/31/2010 | | | | 3040 - Withdrawals | | | 25,000.00 | 2,958,791.09 |
| Check | 08/31/2010 | | | | 6900 - Misc. Expense | | 0.00 | | 2,958,791.09 |
| Check | 08/31/2010 | | | | 6900 - Misc. Expense | | 0.00 | | 2,958,791.09 |
| Check | 08/31/2010 | | | | 3040 - Withdrawals | | | 229.12 | 2,958,561.97 |

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Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|-----------|-----|----------------------------|--|-------------------------------------|------------|--------------|--------------|
| Deposit | 10/05/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 1,978,484.87 |
| Check | 10/05/2010 | | | | Patapoff trf fr IPF#0986 to SBC PF | 3040 - Withdrawals | | 100,000.00 | 1,878,484.87 |
| Deposit | 10/06/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 753.08 | | 1,879,237.95 |
| Deposit | 10/06/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 71.29 | | 1,879,309.24 |
| Check | 10/06/2010 | 2221 | | Jerome Weigert | #0888 Withdrawal | 3040 - Withdrawals | | 35,000.00 | 1,844,309.24 |
| Check | 10/06/2010 | 2222 | | IRA Services FBO Caro... | Withdrawal | 3040 - Withdrawals | | 50,000.00 | 1,794,309.24 |
| Check | 10/06/2010 | 2223 | | Kim Nhem Nguyen Liv ... | #1025 Mgr Ref Bonus Contrib withdrawal | 3040 - Withdrawals | | 250.00 | 1,794,059.24 |
| Check | 10/06/2010 | 2224 | | David Gruebele | 08/13/10 - 09/30/10 Consulting | 6104 - Contracting & Consulting | | 11,700.00 | 1,782,359.24 |
| Check | 10/06/2010 | | | Heritage Bank | Rampur ck redeposited item charge | 5010 - Bank Fees | 75,000.00 | 10.00 | 1,857,369.24 |
| Deposit | 10/12/2010 | | | | Deposit | 3010 - Contributions | 330,000.00 | | 2,187,369.24 |
| Check | 10/12/2010 | 101210 | | Far West Capital Ventur... | Retention for strategic advisory services | 1420 - Misc. Prepaids | | 15,000.00 | 2,172,369.24 |
| Check | 10/12/2010 | 101210... | | Heritage Bank | Bank Fee for outgoing wire to Far West | 5010 - Bank Fees | 2,311.25 | 35.00 | 2,170,052.99 |
| Deposit | 10/13/2010 | | | | Deposit | 4010 - Loan Interest Income | | | 2,170,052.99 |
| Deposit | 10/13/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,100.00 | | 2,173,152.99 |
| Deposit | 10/13/2010 | | | | Deposit | -SPLIT- | 4,238.58 | | 2,177,391.57 |
| Deposit | 10/13/2010 | | | | Deposit | 1110 - Fund Loans | 3,500.00 | | 2,180,891.57 |
| Deposit | 10/13/2010 | | | | Deposit | 7110 - Subsid. Inc. - Loan Premi... | 101,801.70 | | 2,282,693.27 |
| Check | 10/13/2010 | 2225 | | State Board of Equaliza... | #S1 Rampur loan increase for Security Deposit... | 1110 - Fund Loans | | 50,000.00 | 2,232,693.27 |
| Check | 10/13/2010 | 2226 | | Jerome Weigert | #0888 Final Withdrawal | 3040 - Withdrawals | | 37,413.39 | 2,195,279.88 |
| Deposit | 10/15/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,220,279.88 |
| Deposit | 10/15/2010 | | | | Deposit | 3010 - Contributions | 25.00 | | 2,220,304.88 |
| Deposit | 10/16/2010 | | | | Deposit | 3010 - Contributions | 24,975.00 | | 2,245,279.88 |
| Deposit | 10/16/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 2,245,529.88 |
| Check | 10/18/2010 | 2227 | | Heritage Bank of Comm... | post due 10/24/10 | 6500 - Interest Expense | | 722.22 | 2,245,852.10 |
| Check | 10/18/2010 | 2228 | | Dept. of Corporations | Application for securities offering | 6030 - Business License & Fees | | 50.00 | 2,245,802.10 |
| Check | 10/19/2010 | 2229 | | Alameda County Recor... | DOT Modification 4500 Park Ave-Rampur | 5080 - Lender Services Costs | | 21.00 | 2,245,781.10 |
| Deposit | 10/20/2010 | | | | Deposit | 3010 - Contributions | 94,564.22 | | 2,340,345.32 |
| Check | 10/20/2010 | EFT | | Mass Mutual | | 6080 - Insurance | | 1,954.02 | 2,338,391.30 |
| Deposit | 10/21/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 2,348,391.30 |
| Check | 10/21/2010 | 102110 | | Far West Capital Ventur... | Strategic Advisor Retainer | 3010 - Contributions | 50,000.00 | | 2,298,391.30 |
| Check | 10/21/2010 | Wire Fee | | Heritage Bank | Wire out Fee | 1420 - Misc. Prepaids | | 100,000.00 | 2,198,391.30 |
| Deposit | 10/26/2010 | | | | Deposit-#51 | 5010 - Bank Fees | 3,500.00 | 35.00 | 2,194,891.30 |
| Deposit | 10/26/2010 | | | | Deposit-#103 | 1110 - Fund Loans | 3,450.00 | | 2,198,341.30 |
| Deposit | 10/26/2010 | | | | Deposit-NeedyWu | -SPLIT- | 1,136.87 | | 2,200,478.17 |
| Deposit | 10/26/2010 | | | | Deposit | 4010 - Loan Interest Income | 412.50 | | 2,201,890.67 |
| Deposit | 10/26/2010 | | | | Deposit | -SPLIT- | 31,111.24 | | 2,233,001.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 2,243,001.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 100.00 | | 2,243,101.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,268,101.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,293,101.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 2,293,351.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 2,293,601.91 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,318,601.91 |
| Deposit | 10/26/2010 | | | | Deposit | -SPLIT- | 20,002.59 | | 2,338,604.50 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 200.03 | | 2,338,804.53 |
| Check | 10/26/2010 | 2230 | | SBC Portfolio Fund, LLC | Indian Muslim trf fr IPF#0882 to SBC PF #0124 | 3040 - Withdrawals | | 200,000.00 | 2,138,804.53 |
| Deposit | 10/26/2010 | | | | Deposit | 1110 - Fund Loans | 124,000.00 | | 2,262,804.53 |
| Deposit | 10/26/2010 | | | | Deposit | 1110 - Fund Loans | 162,500.00 | | 2,425,304.53 |
| Check | 10/26/2010 | 2231 | | SBC Portfolio Fund, LLC | Indian Muslim #0982 Trf to SBC PF#0124 | 3040 - Withdrawals | | 200,000.00 | 2,225,304.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 2,275,304.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 2,275,804.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 2,285,804.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 100.00 | | 2,285,904.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 20,000.00 | | 2,305,904.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 200.00 | | 2,306,104.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 2,311,104.53 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 3,750.00 | | 2,314,854.53 |
| Deposit | 10/26/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 641.64 | | 2,315,496.17 |
| Deposit | 10/26/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 3,205.13 | | 2,318,701.30 |
| Deposit | 10/26/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 701.84 | | 2,319,403.14 |
| Deposit | 10/26/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 3,500.00 | | 2,322,903.14 |
| Check | 10/26/2010 | 2232 | | The Charlene A. Cordo... | #0830 Withdrawal | 3040 - Withdrawals | | 10,000.00 | 2,312,903.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 2,317,903.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 100.00 | | 2,318,003.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 848.66 | | 2,318,851.80 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,343,851.80 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 2,344,101.80 |
| Check | 10/26/2010 | 2233 | | VOID | | 6800 - Misc. Expense | 0.00 | | 2,344,101.80 |
| Check | 10/26/2010 | 2234 | | David Gruebele | Sep 30 - Oct + TR Bonus \$2,000 for Feathers ... | 6104 - Contracting & Consulting | | 10,500.00 | 2,333,601.80 |
| Check | 10/26/2010 | 2235 | | VOID | | 6800 - Misc. Expense | 0.00 | | 2,333,601.80 |
| Check | 10/26/2010 | 2236 | | Stephanie Dao | Withdrawal of Mgr Ref Bonus #1073 | 3040 - Withdrawals | | 948.66 | 2,332,653.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,357,653.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 2,407,653.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 2,408,153.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 30,000.00 | | 2,438,153.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 300.00 | | 2,438,453.14 |
| Check | 10/26/2010 | 2237 | | Richard Azera | Consulting | 6104 - Contracting & Consulting | | 6,000.00 | 2,432,453.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 2,532,453.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,557,453.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,582,453.14 |
| Deposit | 10/26/2010 | | | | Deposit | -SPLIT- | 3,000.00 | | 2,585,453.14 |
| Deposit | 10/26/2010 | | | | Deposit | 4015 - Loan Servicing Fee | 688.51 | | 2,586,141.65 |
| Deposit | 10/26/2010 | | | | Deposit | 4010 - Loan Interest Income | 452.83 | | 2,586,594.48 |
| Deposit | 10/26/2010 | | | | Deposit | -SPLIT- | 4,238.58 | | 2,590,833.06 |
| Deposit | 10/26/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,311.25 | | 2,593,144.31 |
| Deposit | 10/26/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,625.00 | | 2,594,769.31 |
| Deposit | 10/26/2010 | | | | Deposit | -SPLIT- | 3,000.00 | | 2,597,769.31 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 155,608.89 | | 2,753,378.20 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 250.00 | | 2,753,628.20 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 2,778,628.20 |
| Check | 10/26/2010 | Transfer | | Investors Prime Fund, L... | Trf funds to MM acct#3596 | 1060 - Interbank Transfers | | 2,500,000.00 | 2,278,628.20 |
| Check | 10/26/2010 | EFT | | Mass Mutual | | 6090 - Insurance | | 1,954.02 | 2,276,674.18 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 26,000.00 | | 404,683.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 429,683.14 |
| Check | 10/26/2010 | Transfer | | SB Capital Corp. | Transfer to SB Capital Corp #3504 | 1250 - LT Rec - Fund Mgrs Org... | | 100,000.00 | 454,683.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 500.00 | | 455,183.14 |
| Deposit | 10/26/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 505,183.14 |
| Check | 10/26/2010 | 2238 | | IRA Services FBO Caro... | #0852 Carol Cohen Withdrawal | 3040 - Withdrawals | | 33,333.33 | 471,849.81 |
| Check | 10/26/2010 | EFT | | Heritage Bank | Deluxe Printing - deposit slip order | 6055 - Printing, forms, stationary | | 11.00 | 471,838.81 |
| Check | 10/26/2010 | 2239 | | Alta Alliance | Trf to Alta #7590 for Dec Distribution | -SPLIT- | 3,205.13 | | 475,043.94 |
| Deposit | 12/06/2010 | | | | Deposit-#0920 | 1060 - Interbank Transfers | | 50,000.00 | 425,043.94 |
| | | | | | | 3010 - Contributions | 47,043.67 | | 473,847.61 |

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Investors Prime Fund

08/19/16

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|----------------------------|--|-------------------------------------|------------|--------------|--------------|
| Deposit | 12/06/2010 | | | | Deposit-#NeelyAMu | 1155 - Servicing Trust Balance | 662.66 | | 474,510.27 |
| Deposit | 12/06/2010 | | | | Deposit-#1035 | 3010 - Contributions | 35,261.21 | | 509,771.48 |
| Deposit | 12/06/2010 | | | | Deposit-Marshall | 1155 - Servicing Trust Balance | 750.61 | | 510,522.09 |
| Deposit | 12/06/2010 | | | | Deposit-#103 | 1155 - Servicing Trust Balance | 1,136.67 | | 511,658.76 |
| Check | 12/06/2010 | 2240 | | Doss Law, A Law Corpo... | Matter 0002 \$2,712.28, Matter 0004 \$4,105.54 | -SPLIT- | | 6,817.82 | 504,840.94 |
| Check | 12/06/2010 | 2241 | | SBC Portfolio Fund, LLC | Trf LeFelix #0952 to new SBC PF #0139 | 3040 - Withdrawals | | 147,999.02 | 356,841.32 |
| Check | 12/06/2010 | 2242 | | David Gruebels | Nov 2010 consulting | 6104 - Contracting & Consulting | | 7,500.00 | 349,341.32 |
| Deposit | 12/08/2010 | | | | Deposit-#51 Redass | -SPLIT- | 0.00 | | 349,341.32 |
| Deposit | 12/07/2010 | 120610 | | Heritage Bank of Comm... | LOC pmt due as of 12/3/10 | 6500 - Interest Expense | | 1,399.30 | 347,942.02 |
| Deposit | 12/07/2010 | | | | Deposit-#058 | 3010 - Contributions | 10,000.00 | | 357,942.02 |
| Deposit | 12/07/2010 | | | | Deposit-#1035 | 3010 - Contributions | 352.61 | | 358,294.63 |
| Deposit | 12/07/2010 | | | | Deposit-#0981 | 3010 - Contributions | 400.00 | | 358,694.63 |
| Deposit | 12/07/2010 | | | | Deposit-#0958 | 3010 - Contributions | 100.00 | | 358,794.63 |
| Deposit | 12/07/2010 | | | | Deposit-#0987 | 3010 - Contributions | 25,000.00 | | 383,794.63 |
| Deposit | 12/08/2010 | | | | Deposit-#0987 | 3010 - Contributions | 250.00 | | 384,044.63 |
| Deposit | 12/08/2010 | | | | Deposit-#1038 | 3010 - Contributions | 7,000.00 | | 391,044.63 |
| Deposit | 12/08/2010 | | | | Deposit-#1058 | 3010 - Contributions | 70.00 | | 391,114.63 |
| Deposit | 12/08/2010 | | | | Deposit-#1036 | 3010 - Contributions | 1,200.00 | | 392,314.63 |
| Deposit | 12/08/2010 | | | | Deposit-#1036 | 3010 - Contributions | 122.00 | | 392,436.63 |
| Check | 12/09/2010 | 2243 | | Anthony Lok | #0953 Lak partial redemption | 3010 - Contributions | 11,000.00 | | 403,436.63 |
| Deposit | 12/10/2010 | | | | Deposit-#0244 | 3040 - Withdrawals | | 25,000.00 | 378,436.63 |
| Deposit | 12/10/2010 | | | | Deposit-#944 | 3010 - Contributions | 5,000.00 | | 383,436.63 |
| Deposit | 12/10/2010 | | | | Deposit-#1074 | 3010 - Contributions | 50,000.00 | | 433,436.63 |
| Check | 12/10/2010 | 2244 | | Ronald and Mary Pierce... | #0989 Pierce partial distribution | 3010 - Contributions | 500.00 | | 433,936.63 |
| Deposit | 12/13/2010 | | | | Deposit-#1004 | 3010 - Contributions | 25,025.00 | | 458,961.63 |
| Deposit | 12/13/2010 | | | | Deposit-#0960 | 3010 - Contributions | 1,683.91 | | 460,645.54 |
| Deposit | 12/13/2010 | | | | Deposit-#0974 | 3010 - Contributions | 25,000.00 | | 484,645.54 |
| Deposit | 12/13/2010 | | | | Deposit-#0974 | 3010 - Contributions | 250.00 | | 484,745.54 |
| Deposit | 12/13/2010 | | | | Deposit-#1001 | 3010 - Contributions | 50,000.00 | | 534,745.54 |
| Deposit | 12/13/2010 | | | | Deposit-#1001 | 3010 - Contributions | 500.00 | | 535,245.54 |
| Deposit | 12/13/2010 | | | | Deposit-#1040 | 3010 - Contributions | 25,000.00 | | 560,245.54 |
| Deposit | 12/13/2010 | | | | Deposit-#1040 | 3010 - Contributions | 250.00 | | 560,495.54 |
| Deposit | 12/14/2010 | | | | Deposit-Pitts | 4015 - Loan Servicing Fee | 830.14 | | 561,325.68 |
| Deposit | 12/14/2010 | | | | Deposit-SQ2 | 4015 - Loan Servicing Fee | 781.57 | | 562,107.25 |
| Deposit | 12/14/2010 | | | | Deposit-#108 | -SPLIT- | 4,238.58 | | 566,325.83 |
| Deposit | 12/14/2010 | | | | Deposit-#113 | 1150 - Fund Loans Interest Rec... | 378.17 | | 566,704.00 |
| Deposit | 12/14/2010 | | | | Deposit-#51 | 1110 - Fund Loans | 3,500.00 | | 570,204.00 |
| Deposit | 12/14/2010 | | | | Deposit-#20000 | 1110 - Fund Loans | 3,000.00 | | 573,204.00 |
| Deposit | 12/14/2010 | | | | Deposit-#1038 | 3010 - Contributions | 5,000.00 | | 578,204.00 |
| Deposit | 12/14/2010 | | | | Deposit-#0959 | 3010 - Contributions | 15,000.00 | | 593,204.00 |
| Check | 12/14/2010 | Transfer | | SB Capital Corp. | Transfer to SB Capital Heritage #3604 | 3010 - Contributions | 5,000.00 | | 598,204.00 |
| Check | 12/14/2010 | Wire Out | | Far West Capital Ventur... | Retainer - new agreement to come | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 548,204.00 |
| Deposit | 12/14/2010 | | | | Deposit-#1045 | 3010 - Contributions | 50.00 | | 548,254.00 |
| Deposit | 12/14/2010 | | | | Deposit-#0959 | 3010 - Contributions | 150.00 | | 548,404.00 |
| Check | 12/14/2010 | 121410 | | Heritage Bank of Comm... | LOC pmt due 12/14/10 | 3010 - Contributions | 50.00 | | 548,454.00 |
| Deposit | 12/15/2010 | | | | Deposit-#1086 | 6500 - Interest Expense | | 1,354.16 | 549,808.16 |
| Deposit | 12/15/2010 | | | | Deposit-#1086 | 3010 - Contributions | 100,000.00 | | 649,808.16 |
| Deposit | 12/15/2010 | | | | Deposit-#1085 | 3010 - Contributions | 100,000.00 | | 749,808.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1085 | 3010 - Contributions | 25,000.00 | | 774,808.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1087 | 3010 - Contributions | 2,000.00 | | 776,808.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1072 | 3010 - Contributions | 2,000.00 | | 778,808.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1072 | 3010 - Contributions | 52,000.00 | | 830,808.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1030 | 3010 - Contributions | 500.00 | | 831,308.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1030 | 3010 - Contributions | 50,000.00 | | 881,308.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1044 | 3010 - Contributions | 30,000.00 | | 911,308.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1044 | 3010 - Contributions | 300.00 | | 911,608.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1059 | 3010 - Contributions | 50,000.00 | | 961,608.16 |
| Deposit | 12/16/2010 | | | | Deposit-#1059 | 3010 - Contributions | 500.00 | | 962,108.16 |
| Check | 12/17/2010 | 2245 | | Doss Law, A Law Corpo... | 12/3/10 #65677 Matter 2685-0004 | 3010 - Contributions | 10,000.00 | | 972,108.16 |
| Check | 12/17/2010 | | | | Deposit-#1032 | 6101 - Legal | | 465.71 | 972,573.87 |
| Check | 12/17/2010 | 2246 | | Luce, Forward, Hamilto... | Retainer | 3010 - Contributions | 100.00 | | 972,673.87 |
| Deposit | 12/20/2010 | | | | Deposit-#1066 | 1410 - Legal Retainers | 15,000.00 | | 987,673.87 |
| Deposit | 12/20/2010 | | | | Deposit-#1066 | 3010 - Contributions | 10,000.00 | 10,000.00 | 997,673.87 |
| Deposit | 12/20/2010 | | | | Deposit-#0960 | 3010 - Contributions | 100.00 | | 997,773.87 |
| Deposit | 12/20/2010 | | | | Deposit-#0973 | 3010 - Contributions | 300.00 | | 998,073.87 |
| Deposit | 12/20/2010 | | | | Deposit-#1089 | 3010 - Contributions | 150.00 | | 998,223.87 |
| Check | 12/20/2010 | EFT | | Mass Mutual | 6060 - Insurance | 40,000.00 | | 1,854.02 | 1,000,077.89 |
| Deposit | 12/20/2010 | | | | Deposit - #0960 | 3010 - Contributions | 3,316.09 | | 1,003,393.98 |
| Deposit | 12/21/2010 | | | | Deposit-#1090 | 3010 - Contributions | 25,000.00 | | 1,028,393.98 |
| Deposit | 12/21/2010 | | | | Deposit-#0100 | -SPLIT- | 2,311.25 | | 1,030,705.23 |
| Deposit | 12/21/2010 | | | | Deposit-#51 | 1110 - Fund Loans | 3,500.00 | | 1,034,205.23 |
| Deposit | 12/21/2010 | | | | Deposit-#1091 | 3010 - Contributions | 100,000.00 | | 1,134,205.23 |
| Check | 12/22/2010 | 122210 | | Heritage Bank | Trf fr #3513 to Heritage #3598 MM act | 1060 - Interbank Transfers | | 1,000,000.00 | 134,205.23 |
| Deposit | 12/23/2010 | | | | Deposit-#1021 | 3010 - Contributions | 50,000.00 | | 184,205.23 |
| Check | 12/23/2010 | 2247 | | SB Capital Corp. | Deposit-Bragato sale | 7110 - Subsid. Inc. - Loan Premi... | 168,750.00 | | 352,955.23 |
| Deposit | 12/23/2010 | | | | Deposit-#1021 | 1250 - LT Rec - Fund Mgrs Org... | | 174,047.17 | 526,992.40 |
| Deposit | 12/23/2010 | | | | Deposit-#1080 | 3010 - Contributions | 500.00 | | 527,492.40 |
| Check | 12/24/2010 | 2248 | | Pillsbury Winthrop Sha... | Deposit-#1078 | 3010 - Contributions | 250.00 | | 527,742.40 |
| Deposit | 12/24/2010 | | | | Deposit-#1092 | -SPLIT- | | 634.75 | 528,377.15 |
| Deposit | 12/24/2010 | | | | Deposit-#1046 | 3010 - Contributions | 50,000.00 | | 578,377.15 |
| Deposit | 12/24/2010 | | | | Deposit-#1019 | 3010 - Contributions | 14,250.00 | | 592,627.15 |
| Deposit | 12/27/2010 | | | | Deposit-#1020 | 3010 - Contributions | 60,647.67 | | 653,274.82 |
| Check | 12/28/2010 | 2249 | | SB Capital Corp. | Deposit-#0949 | 3010 - Contributions | 10,000.00 | | 663,274.82 |
| Deposit | 12/29/2010 | | | | #0960 Refund of overpdr Mgr Ref Bonus | 3010 - Contributions | 25,000.00 | | 688,274.82 |
| Deposit | 12/29/2010 | | | | Deposit-#1020 | 3010 - Contributions | 25,000.00 | 250.00 | 713,274.82 |
| Deposit | 12/29/2010 | | | | Deposit-#0949 | 3010 - Contributions | 100.00 | | 713,374.82 |
| Check | 12/31/2010 | 2250 | | SB Capital Corp. | 2007 CA #3522 pd by SB Cap Corp ckt#2000 o... | 3010 - Contributions | 250.00 | 800.00 | 713,624.82 |
| Deposit | 12/31/2010 | | | | Deposit-#103 | 9020 - LLC Fees & Franchise T... | 250.00 | | 713,874.82 |
| Deposit | 12/31/2010 | | | | Deposit-#655 | 1150 - Fund Loans Interest Rec... | 265.22 | | 714,140.04 |
| Deposit | 12/31/2010 | | | | Deposit-#300001 | -SPLIT- | 60,056.60 | | 774,196.64 |
| Check | 01/01/2011 | 2251 | | Jon W. Steil | Deposit-#1046 | 3010 - Contributions | 500.00 | | 774,696.64 |
| Check | 01/01/2011 | 2252 | | Alta Alliance | #0912 partial withdrawal | 3010 - Contributions | 142.50 | | 774,839.14 |
| Deposit | 01/06/2011 | | | | Trf funds to Alta #7590 for distrib | 3040 - Withdrawals | | 5,000.00 | 779,839.14 |
| Check | 01/06/2011 | 2253 | | David Gruebels | Dec 2010 Consulting | 1060 - Interbank Transfers | | 30,000.00 | 749,839.14 |
| Check | 01/06/2011 | 2254 | | Anthony Lok | #0953 Partial Redemption | 1230 - Due Fr SB Capital LLC | 56,160.00 | | 693,679.14 |
| Check | 01/06/2011 | 2255 | | IRA Services FBO Caro... | #0952 Partial Redemption | 6104 - Contracting & Consulting | | 8,575.00 | 685,104.14 |
| Deposit | 01/07/2011 | | | | Deposit-#1090 | 3040 - Withdrawals | | 88,867.24 | 596,236.90 |
| Deposit | 01/10/2011 | | | | Deposit-#1090 | 3040 - Withdrawals | 250.00 | | 596,486.90 |
| Deposit | 01/10/2011 | | | | Deposit-Bragato | 4015 - Loan Servicing Fee | 759.38 | | 597,246.28 |
| Deposit | 01/10/2011 | | | | Deposit-Marshall | 4015 - Loan Servicing Fee | 624.57 | | 597,870.85 |
| Deposit | 01/10/2011 | | | | Deposit-#51 Rampur | -SPLIT- | 3,500.00 | | 601,370.85 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|------------------------------|---|------------------------------------|------------|------------|------------|
| Deposit | 01/10/2011 | | | | Deposit-#51 Rampur | 1110 - Fund Loans | 3,500.00 | | 342,633.14 |
| Deposit | 01/10/2011 | | | | Deposit-#108 | -SPLIT- | 3,205.13 | | 345,838.27 |
| Deposit | 01/10/2011 | | | | Deposit-#1093 | 3010 - Contributions | 122,000.00 | | 467,838.27 |
| Deposit | 01/11/2011 | | | | Deposit-#1094 | 3010 - Contributions | 25,000.00 | | 492,838.27 |
| Deposit | 01/11/2011 | | | | Deposit-#1092 | 3010 - Contributions | 10,000.00 | | 502,838.27 |
| Deposit | 01/11/2011 | | | | Deposit-Harris Lumber | 1230 - Due Fr SB Capital LLC | 180,000.00 | | 682,838.27 |
| Deposit | 01/11/2011 | | | | Deposit-#1089 | 3010 - Contributions | 25,000.00 | | 707,838.27 |
| Check | 01/11/2011 | | | Heritage Bank | For Deluxe check printing | 6055 - Printing, forms, stationary | | 24.50 | 707,813.77 |
| Check | 01/11/2011 | EFT | | SB Capital Corp. | Northwoods/Devon note sale profit participation | 1250 - LT Rec - Fund Mgrs Org... | | 200,000.00 | 507,813.77 |
| Check | 01/11/2011 | 2256 | | Office of Thrift Supervis... | Application Fee to purchase Bank (Thrift) | 1518 - Capitalization of LLC | | 14,423.00 | 493,390.77 |
| Deposit | 01/12/2011 | | | | Deposit-#1098 | 3010 - Contributions | 250.00 | | 493,640.77 |
| Deposit | 01/12/2011 | | | | Deposit-#0908 | 3010 - Contributions | 50,000.00 | | 543,640.77 |
| Deposit | 01/12/2011 | | | | Deposit-#0908 | 3010 - Contributions | 250.00 | | 543,890.77 |
| Deposit | 01/12/2011 | | | | Deposit-#1095 | 3010 - Contributions | 28,132.41 | | 572,023.18 |
| Check | 01/13/2011 | 2257 | | Dept. of Corporations | Deposit-#1096 | -SPLIT- | 30,000.00 | | 602,023.18 |
| Check | 01/13/2011 | 2258 | | Doss Law, A Law Corpo... | Application fee | 6030 - Business License & Fees | | 50.00 | 601,973.18 |
| Check | 01/13/2011 | 2259 | | Spiegel Accountancy C... | 1/1/11 #10081 -bank language | 6101 - Legal | | 779.17 | 597,289.01 |
| Check | 01/13/2011 | 2260 | | Kao Family 1990 Trust | 12/9/10 #2152 12/21/10 audit work thru 11/30/10 | 8103 - Audit & Tax | | 3,825.00 | 597,289.01 |
| Deposit | 01/14/2011 | | | | #1008 W/D of Jan 2011 Distribution | 3040 - Withdrawals | | 8,837.47 | 587,431.54 |
| Deposit | 01/14/2011 | | | | Deposit-#1086 | 3010 - Contributions | 250.00 | | 587,681.54 |
| Deposit | 01/14/2011 | | | | Deposit-#1002 | 3010 - Contributions | 250.00 | | 587,931.54 |
| Check | 01/14/2011 | EFT | | Heritage Bank of Comm... | Deposit-#1091 | 3010 - Contributions | 250.00 | | 588,181.54 |
| Deposit | 01/18/2011 | | | | int prnt - reversed on same day to Heritage M... | 4500 - Interest Expense | | 1,399.30 | 589,580.84 |
| Deposit | 01/18/2011 | | | | Deposit-#1045 | 3010 - Contributions | 25,000.00 | | 614,580.84 |
| Deposit | 01/18/2011 | | | | Deposit-#1045 | 3010 - Contributions | 250.00 | | 614,830.84 |
| Deposit | 01/20/2011 | | | | Deposit-#1000 | 4010 - Loan Interest Income | 2,311.25 | | 617,142.09 |
| Deposit | 01/20/2011 | | | | Deposit-#1006 | -SPLIT- | 4,239.58 | | 621,381.67 |
| Deposit | 01/20/2011 | | | | Deposit-#20000 | -SPLIT- | 3,000.00 | | 624,381.67 |
| Deposit | 01/20/2011 | | | | Deposit-NeelyWu | 4015 - Loan Servicing Fee | 752.83 | | 625,134.50 |
| Deposit | 01/20/2011 | | | | Deposit-SO2 | 4015 - Loan Servicing Fee | 711.11 | | 625,845.61 |
| Deposit | 01/20/2011 | | | | Deposit-SO2 | 4015 - Loan Servicing Fee | 713.17 | | 626,558.78 |
| Check | 01/20/2011 | EFT | | Mass Mutual | Deposit-#51 | 1110 - Fund Loans | 3,500.00 | | 623,058.78 |
| Check | 01/20/2011 | 2261 | | VOID | #6027344a-monthly premium | 1470 - Cash Surrender Value of ... | | 1,854.02 | 621,204.76 |
| Deposit | 01/21/2011 | | | | Deposit-#0991 | 6900 - Misc. Expense | 0.00 | | 621,204.76 |
| Deposit | 01/21/2011 | | | | Deposit-#0991 | 3010 - Contributions | 17,570.00 | | 638,774.76 |
| Deposit | 01/21/2011 | | | | Deposit-#1057 | 3010 - Contributions | 175.70 | | 638,950.46 |
| Deposit | 01/24/2011 | | | | Deposit-#1057 | 3010 - Contributions | 15,000.00 | | 653,950.46 |
| Deposit | 01/24/2011 | | | | Deposit-#1066 | 3010 - Contributions | 150.00 | | 654,100.46 |
| Deposit | 01/24/2011 | | | | Deposit-#1066 | 3010 - Contributions | 10,000.00 | | 664,100.46 |
| Deposit | 01/24/2011 | | | | Deposit-#1066 | 3010 - Contributions | 100.00 | | 664,200.46 |
| Deposit | 01/24/2011 | | | | Deposit-#1097 | 3010 - Contributions | 25,000.00 | | 689,200.46 |
| Deposit | 01/24/2011 | | | | Deposit-#1092 | 3010 - Contributions | 10,000.00 | | 699,200.46 |
| Check | 01/24/2011 | 2262 | | Luca, Forward, Hamilto... | 12/31/10 #6680154 Matter #00001 File #39225 | 6101 - Legal | | 10,148.74 | 709,349.20 |
| Check | 01/24/2011 | EFT | | SB Capital Corp. | Manag Fee | 5020 - Fund Management Fees | | 100,000.00 | 609,349.20 |
| Deposit | 01/25/2011 | | | | Deposit-#0331 | 3010 - Contributions | 10,000.00 | | 599,349.20 |
| Deposit | 01/25/2011 | | | | Deposit-#0331 | 3010 - Contributions | 100.00 | | 599,449.20 |
| Check | 01/26/2011 | EFT | | Interactive Brokers | Deposit-#1098 | 3010 - Contributions | 50,000.00 | | 549,449.20 |
| Deposit | 01/27/2011 | | | | Deposit for future IPF stock holdings | 1459 - Misc. AR | | 100,000.00 | 449,449.20 |
| Deposit | 01/27/2011 | | | | Deposit-#1058 | 3010 - Contributions | 25,000.00 | | 474,449.20 |
| Deposit | 01/27/2011 | | | | Deposit-#1058 | 3010 - Contributions | 250.00 | | 474,699.20 |
| Check | 01/28/2011 | 2263 | | Tunberg Testamentary ... | #0686 Capital Withdrawal | 3040 - Withdrawals | | 10,000.00 | 464,699.20 |
| Check | 01/28/2011 | 2265 | | Doss Law, A Law Corpo... | Matter 0001 | -SPLIT- | | 2,882.44 | 461,816.76 |
| Deposit | 01/31/2011 | | | | Deposit-#0549 | 3010 - Contributions | 20,000.00 | | 481,816.76 |
| Check | 01/31/2011 | 0131110 | | SB Capital Corp. | Deposit-#0648 | 3010 - Contributions | 200.00 | | 481,616.76 |
| Check | 02/01/2011 | | | | Organizational Fees | 1250 - LT Rec - Fund Mgrs Org... | | 100,000.00 | 381,616.76 |
| Check | 02/01/2011 | 020111 | | Heritage Bank | Deposit-#1042 | 3010 - Contributions | 10,000.00 | | 371,616.76 |
| Check | 02/02/2011 | 2264 | | David Gruebele | Trf to #7590 for distrib - counter ck to purchas... | 1060 - Interbank Transfers | | 50,000.00 | 321,616.76 |
| Deposit | 02/03/2011 | | | | Jan consulting fees | 6104 - Contracting & Consulting | | 10,627.50 | 310,989.26 |
| Deposit | 02/04/2011 | | | | Deposit-#1062 | 3010 - Contributions | 26,000.00 | | 284,989.26 |
| Check | 02/04/2011 | 020411 | | Boyer Naito | Deposit-#1054 | 3010 - Contributions | 10,000.00 | | 274,989.26 |
| Deposit | 02/07/2011 | | | | #0895 Withdrawal | 3040 - Withdrawals | | 20,000.00 | 254,989.26 |
| Deposit | 02/07/2011 | | | | Deposit-#1024 | 3010 - Contributions | 25,000.00 | | 229,989.26 |
| Deposit | 02/07/2011 | | | | Deposit-#1024 | 3010 - Contributions | 250.00 | | 229,739.26 |
| Deposit | 02/07/2011 | | | | Deposit-#1035 | -SPLIT- | 25,000.00 | | 204,739.26 |
| Deposit | 02/07/2011 | | | | Deposit-#1035 | 3010 - Contributions | 250.00 | | 204,489.26 |
| Deposit | 02/07/2011 | | | | Deposit-#1051 | 3010 - Contributions | 3,000.00 | | 201,489.26 |
| Deposit | 02/07/2011 | | | | Deposit-#1051 | 3010 - Contributions | 250.00 | | 201,239.26 |
| Deposit | 02/07/2011 | | | | Deposit-#10821 | 3010 - Contributions | 250.00 | | 200,989.26 |
| Deposit | 02/08/2011 | | | | Deposit-1057 | 3010 - Contributions | 77.05 | | 200,912.21 |
| Deposit | 02/08/2011 | | | | Deposit-#51 | 1155 - Servicing Trust Balance | 3,500.00 | | 204,412.21 |
| Deposit | 02/08/2011 | | | | Deposit-#51 | -SPLIT- | 3,500.00 | | 207,912.21 |
| Deposit | 02/08/2011 | | | | Deposit-#103 | 1155 - Servicing Trust Balance | 3,150.57 | | 211,062.78 |
| Deposit | 02/08/2011 | | | | Deposit-#1030 | 3010 - Contributions | 25,000.00 | | 236,062.78 |
| Deposit | 02/10/2011 | | | | Deposit-#1030 | 3010 - Contributions | 250.00 | | 236,312.78 |
| Deposit | 02/11/2011 | | | | Deposit-#1099 | 3010 - Contributions | 25,000.00 | | 261,312.78 |
| Deposit | 02/11/2011 | | | | Deposit | 1010 - Wells Fargo - 6093 - Ope... | 678.31 | | 261,991.09 |
| Deposit | 02/11/2011 | | | | Deposit-#1099 | 3010 - Contributions | 250.00 | | 262,241.09 |
| Check | 02/11/2011 | 021111 | | SB Capital Corp. | Deposit-#1054 | 3010 - Contributions | 100.00 | | 262,141.09 |
| Check | 02/14/2011 | | | | IPF Offering Agreement - Org Exp | 1250 - LT Rec - Fund Mgrs Org... | | 100,000.00 | 162,141.09 |
| Check | 02/14/2011 | Wire Out | | Old Republic Title | Deposit-#1020 | 3010 - Contributions | 5,000.00 | | 157,141.09 |
| Check | 02/15/2011 | | | | Four Bros Escrow Fees | 5050 - Lender Services Costs | | 3,816.00 | 153,325.09 |
| Check | 02/16/2011 | 2266 | | St. Clair 2003 Trust | Deposit-#51 | 1110 - Fund Loans | 3,500.00 | | 149,825.09 |
| Check | 02/16/2011 | | | | #0858 Capital Withdrawal | 3040 - Withdrawals | | 4,000.00 | 145,825.09 |
| Check | 02/17/2011 | 2268 | | Bonnie Benson | Deposit-#1020 | 3010 - Contributions | 50.00 | | 145,775.09 |
| Check | 02/17/2011 | 2269 | | Bonnie Benson | #1031 Benson Withdrawal | 3040 - Withdrawals | | 5,109.62 | 140,665.47 |
| Check | 02/17/2011 | 2270 | | Spiegel Accountancy C... | #1031 Benson Withdrawal | 3040 - Withdrawals | | 5,109.62 | 135,555.85 |
| Check | 02/17/2011 | 2271 | | Doss Law, A Law Corpo... | 2/1/11 #2340 12/31/10 Audit progress billing | 6103 - Audit & Tax | | 3,430.00 | 132,125.85 |
| Deposit | 02/17/2011 | | | | 2/2/11 #10168 | 6101 - Legal | | 1,969.00 | 130,156.85 |
| Check | 02/17/2011 | 2267 | | Hoge, Fenton, Jones & ... | Deposit-#1014 | 3010 - Contributions | 2,000.00 | | 128,156.85 |
| Deposit | 02/17/2011 | | | | Emerson settlement | 6101 - Legal | | 25,000.00 | 103,156.85 |
| Deposit | 02/17/2011 | | | | Deposit-4 Bros | 4010 - Loan Interest Income | 3,532.67 | | 106,689.52 |
| Deposit | 02/17/2011 | | | | Deposit-1086 | -SPLIT- | 200,000.00 | | 86,689.52 |
| Deposit | 02/17/2011 | | | | Deposit-#1086 | 3010 - Contributions | 1,000.00 | | 85,689.52 |
| Deposit | 02/17/2011 | | | | Deposit-#1100 | 3010 - Contributions | 24,700.00 | | 60,989.52 |
| Deposit | 02/18/2011 | | | | Deposit-#1014 | 3010 - Contributions | 20.00 | | 60,969.52 |
| Deposit | 02/22/2011 | | | | Deposit-#0890 | 3010 - Contributions | 100,000.00 | | 160,969.52 |
| Deposit | 02/22/2011 | | | | Deposit-#1052 | 3010 - Contributions | 10,000.00 | | 170,969.52 |
| Check | 02/22/2011 | EFT | | Mass Mutual | Deposit-#0533 | 3010 - Contributions | 2,000.00 | | 168,969.52 |
| Deposit | 02/23/2011 | | | | Deposit-1101 | 1470 - Cash Surrender Value of ... | | 1,954.02 | 167,015.50 |
| Deposit | 02/23/2011 | | | | Deposit-1102 | 3010 - Contributions | 25,000.00 | | 142,015.50 |
| Deposit | 02/24/2011 | | | | Deposit-#0921-09 | 3010 - Contributions | 15,000.00 | | 127,015.50 |
| Deposit | 02/24/2011 | | | | Deposit-#1032 | 3010 - Contributions | 5,000.00 | | 122,015.50 |
| Deposit | 02/24/2011 | | | | Deposit-#1004 | 3010 - Contributions | 40,000.00 | | 82,015.50 |
| Deposit | 02/28/2011 | | | | Deposit-0980 | 3010 - Contributions | 1,000.00 | | 81,015.50 |
| Deposit | 02/28/2011 | | | | Deposit-#0853 | 3010 - Contributions | 20.00 | | 80,995.50 |
| Deposit | 02/28/2011 | | | | Deposit-#1004 | 3010 - Contributions | 400.00 | | 80,595.50 |
| Deposit | 02/28/2011 | | | | Deposit-#0921-09 | 3010 - Contributions | 150.00 | | 80,445.50 |
| Deposit | 02/28/2011 | | | | Deposit-#1032 | 3010 - Contributions | 50.00 | | 80,395.50 |
| Deposit | 02/28/2011 | | | | Deposit-#1052 | 3010 - Contributions | 100.00 | | 80,295.50 |
| Deposit | 02/28/2011 | | | | Deposit-#0100 | 4010 - Loan Interest Income | 2,311.25 | | 82,606.75 |
| Deposit | 02/28/2011 | | | | Deposit-#51 Rampur | 1110 - Fund Loans | 3,500.00 | | 86,106.75 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|----------------------------|--|-------------------------------------|------------|------------|--------------|
| Deposit | 02/28/2011 | | | | Deposit-#108 | 4010 - Loan Interest Income | 3,048.84 | | 889,694.71 |
| Deposit | 02/28/2011 | | | | Deposit-#20000 | -SPLIT- | 3,150.00 | | 891,844.71 |
| Deposit | 02/28/2011 | | | | Deposit-#59 | 4110 - Late Fee Income | 3,532.67 | | 895,377.38 |
| Check | 02/28/2011 | 2272 | | Tin Thi To | #1028 Withdrawal | 3040 - Withdrawals | | 25,000.00 | 870,377.38 |
| Check | 02/28/2011 | 2273 | | Xinhong Huang & Daton... | #0326 Withdrawal | 3040 - Withdrawals | | 28,793.63 | 840,583.75 |
| Check | 02/28/2011 | 2274 | | IRA Services FBO Caro... | #0852 Withdrawal | 3040 - Withdrawals | | 30,000.00 | 810,583.75 |
| Check | 02/28/2011 | 2275 | | Tunberg Testamentary ... | #886 Withdrawal | 3040 - Withdrawals | | 10,000.00 | 800,583.75 |
| Check | 02/28/2011 | 2276 | | 1985 Massung Living Tr... | #0968 Withdrawal | 3040 - Withdrawals | | 10,000.00 | 790,583.75 |
| Check | 02/28/2011 | 2277 | | VOID | | 6900 - Misc. Expense | 0.00 | | 790,583.75 |
| Check | 02/28/2011 | 2278 | | VOID | | 6900 - Misc. Expense | 0.00 | | 790,583.75 |
| Check | 02/28/2011 | 2279 | | VOID | | 6900 - Misc. Expense | 0.00 | | 790,583.75 |
| Check | 02/28/2011 | 2280 | | VOID | | 6900 - Misc. Expense | 0.00 | | 790,583.75 |
| Check | 02/28/2011 | 2281 | | VOID | | 6900 - Misc. Expense | 0.00 | | 790,583.75 |
| Check | 02/28/2011 | 2282 | | VOID | | 6900 - Misc. Expense | 0.00 | | 790,583.75 |
| Check | 02/28/2011 | 2283 | | SB Capital Brokers Trus... | 2/11/11 Rampur clid#1378 bounced on 2/17 but... | 1155 - Servicing Trust Balance | | 3,500.00 | 787,083.75 |
| Check | 02/28/2011 | 2284 | | SB Capital Brokers Trus... | 2/14/11 Four Bros dep to #3513, posted in AB... | 4010 - Loan Interest Income | | 3,532.67 | 783,551.08 |
| Deposit | 03/01/2011 | | | | Deposit-1073 | -SPLIT- | | | 783,551.08 |
| Check | 03/01/2011 | 2285 | | Alta Alliance | Trf funds to Alta (Torrey Pines bmk) #7590 for ... | 1060 - Interbank Transfers | 30,000.00 | | 813,551.08 |
| Check | 03/01/2011 | 2286 | | David Gruebele | 12 days in Feb | 6104 - Contracting & Consulting | | 50,000.00 | 763,551.08 |
| Deposit | 03/03/2011 | | | | Deposit-#1104 | 3010 - Contributions | | 11,250.00 | 752,301.08 |
| Deposit | 03/03/2011 | | | | Deposit-#1103 | 3010 - Contributions | 30,000.00 | | 782,301.08 |
| Deposit | 03/04/2011 | | | | Deposit-#1103 | 3010 - Contributions | 25,000.00 | | 807,301.08 |
| Check | 03/04/2011 | 2287 | | Luca, Forward, Hamilto... | 1/3/11 #8685051 | 3010 - Contributions | 25,000.00 | | 832,301.08 |
| Deposit | 03/07/2011 | | | | Deposit-#1105 | -SPLIT- | | | 832,301.08 |
| Deposit | 03/08/2011 | | | | Deposit-#1106 | -SPLIT- | 100,000.00 | | 932,301.08 |
| Deposit | 03/08/2011 | | | | Deposit-#1107 | 3010 - Contributions | 50,000.00 | | 1,012,301.08 |
| Deposit | 03/08/2011 | | | | Deposit-#1107 | 3010 - Contributions | 1,000.00 | | 1,013,301.08 |
| Deposit | 03/08/2011 | | | | Deposit-#1106 | 3010 - Contributions | 500.00 | | 1,013,801.08 |
| Deposit | 03/08/2011 | | | | Deposit-#1030 | 3010 - Contributions | 100,000.00 | | 1,113,801.08 |
| Check | 03/08/2011 | 2288 | | Tu D. or Hoan N. Vu | #0981 partial redemption | 3040 - Withdrawals | | 32,481.77 | 1,081,319.31 |
| Check | 03/08/2011 | 2289 | | Compas Point Builders, ... | Dwn prnt on construction contract for Whiskey ... | 1153 - Fund Loan Expense Adv... | | 10,000.00 | 1,071,319.31 |
| Check | 03/08/2011 | 2290 | | Compas Point Builders, ... | Draw request #1 - Whiskey Junction project | 1153 - Fund Loan Expense Adv... | | 40,000.00 | 1,031,319.31 |
| Deposit | 03/08/2011 | | | | Deposit-#1105 | 3010 - Contributions | 250.00 | | 1,031,569.31 |
| Deposit | 03/08/2011 | | | | Deposit-#1105 | 3010 - Contributions | 500.00 | | 1,032,069.31 |
| Deposit | 03/08/2011 | | | | Deposit-#1073 | 3010 - Contributions | 300.00 | | 1,032,369.31 |
| Check | 03/11/2011 | 2291 | | Doss Lew, A Law Corpo... | Deposit-#1030 | 3010 - Contributions | 1,000.00 | | 1,033,369.31 |
| Check | 03/11/2011 | 2292 | | Doss Lew, A Law Corpo... | DOC Permit Renewal- expires 6/8/11 | -SPLIT- | | 8,676.25 | 1,024,693.06 |
| Deposit | 03/11/2011 | | | | Deposit-#1108 | 6101 - Legal | | 2,500.00 | 1,027,193.06 |
| Check | 03/11/2011 | 2293 | | Spiegel Accountancy C... | 3/1/11 inv#2517 Progress billing 12/31/10 Audit | 3010 - Contributions | 250,000.00 | | 1,277,193.06 |
| Check | 03/11/2011 | Transfer | | SB Capital Corp. | 3/1/11 inv#2517 Progress billing 12/31/10 Audit | 6103 - Audit & Tax | 2,500.00 | | 1,279,693.06 |
| Deposit | 03/14/2011 | | | | Transfer to SB Cap Corp #3604 | 1250 - LT Rec - Fund Mgrs Org... | | 200,000.00 | 1,479,693.06 |
| Deposit | 03/14/2011 | | | | Deposit-#1109 | 3010 - Contributions | 25,000.00 | | 1,504,693.06 |
| Check | 03/14/2011 | 2294 | | VOID | Deposit-#1109 | 3010 - Contributions | 50,000.00 | | 1,454,693.06 |
| Check | 03/14/2011 | Wire Out | | The Charlene A. Cordo... | #0930 Withdrawal | 6900 - Misc. Expense | | 0.00 | 1,454,693.06 |
| Check | 03/14/2011 | 2295 | | Macan Singh | #0937 withdrawal | 3040 - Withdrawals | | 10,000.00 | 1,444,693.06 |
| Check | 03/15/2011 | 2296 | | Hutchinson Trust | Deposit-#0948 | 3040 - Withdrawals | | 9,000.00 | 1,435,693.06 |
| Check | 03/16/2011 | 2297 | | Franchise Tax Board | #0948 Withdrawal | 3010 - Contributions | 5,000.00 | | 1,430,693.06 |
| Check | 03/16/2011 | 2298 | | Franchise Tax Board | 2011 Form 3522 SOS#200513910348 | 3040 - Withdrawals | | 8,000.00 | 1,422,693.06 |
| Check | 03/16/2011 | 2299 | | Franchise Tax Board | VOID: | 2300 - Income Taxes Payable | | 900.00 | 1,421,793.06 |
| Check | 03/16/2011 | 2299 | | Franchise Tax Board | VOID: | 2300 - Income Taxes Payable | | | 1,421,793.06 |
| Deposit | 03/18/2011 | | | SB Capital Brokers Trus... | Deposit-#129 | 4010 - Loan Interest Income | 2,194.65 | | 1,423,987.71 |
| Deposit | 03/18/2011 | | | SB Capital Brokers Trus... | Deposit-#20000 | -SPLIT- | 3,000.00 | | 1,426,987.71 |
| Deposit | 03/18/2011 | | | SB Capital Brokers Trus... | Deposit-#51 | 1155 - Servicing Trust Balance | 3,500.00 | | 1,430,487.71 |
| Deposit | 03/18/2011 | | | SB Capital Brokers Trus... | Deposit-#0100 | -SPLIT- | 2,311.25 | | 1,432,798.96 |
| Check | 03/18/2011 | 0318141 | | Compas Point Builders, ... | Draw #4 for Whiskey Junction | 1153 - Fund Loan Expense Adv... | | 73,553.77 | 1,359,245.19 |
| Check | 03/21/2011 | EFT | | Mass Mutual | | 1470 - Cash Surrender Value of ... | | 1,954.02 | 1,361,199.21 |
| Check | 03/21/2011 | 45331 | | IRA Services FBO Davi... | Deposit-1055 | 3010 - Contributions | 50,000.00 | | 1,311,199.21 |
| Check | 03/22/2011 | 2782 | | Gordon Feng | Consulting Fee 03/21/11 | 6104 - Contracting & Consulting | | 10,000.00 | 1,301,199.21 |
| Check | 03/22/2011 | 2301 | | Franchise Tax Board | Acct 1055 - IRA Services FBO Shirley Davism... | 2300 - Income Taxes Payable | 500.00 | | 1,299,699.21 |
| Check | 03/22/2011 | 2302 | | Franchise Tax Board | 2010 Form 3588 SOS#200513910348 | 2300 - Income Taxes Payable | | 2,500.00 | 1,297,199.21 |
| Check | 03/22/2011 | 2303 | | Dept. of Corporations | 2011 Form 3536 SOS#200513910348 | -SPLIT- | | 2,500.00 | 1,294,699.21 |
| Check | 03/23/2011 | 6806 | | R. Peter Griffin DDS | 2011 DOC Permit Renewal | 6030 - Business License & Fees | | 2,500.00 | 1,292,199.21 |
| Check | 03/23/2011 | 2755 | | Judith Zaratan | Acct 098 - added contribution | 3010 - Contributions | 10,000.00 | | 1,282,199.21 |
| Check | 03/25/2011 | 2305 | | Small Business Capital... | Acct 1014 - added contribution | 3010 - Contributions | 1,000.00 | | 1,281,199.21 |
| Check | 03/28/2011 | 1033 | | Monique Bjornal | reimburse SBC LLC for payment of invoice #6... | 6101 - Legal | | 3,652.92 | 1,277,546.29 |
| Deposit | 03/28/2011 | | | SB Capital Brokers Trus... | Acct 0975 - added contribution | 3010 - Contributions | 50,000.00 | | 1,327,546.29 |
| Deposit | 03/28/2011 | | | SB Capital Brokers Trus... | Deposit | -SPLIT- | 6,476.16 | | 1,334,022.45 |
| Deposit | 03/28/2011 | | | SB Capital Brokers Trus... | Deposit | -SPLIT- | 3,500.00 | | 1,337,522.45 |
| Deposit | 03/30/2011 | 158 | | Donald Dong | #11 - Rampur | 1110 - Fund Loans | 3,500.00 | | 1,341,022.45 |
| Deposit | 03/30/2011 | 1501 | | Martha N. Ferde | ACCT 1110-ACH - opening account balance | 3010 - Contributions | 25,000.00 | | 1,366,022.45 |
| Deposit | 03/30/2011 | 45628 | | IRA Services FBO Soto... | Acct 0853 - added contribution | 3010 - Contributions | 2,500.00 | | 1,368,522.45 |
| Deposit | 03/31/2011 | | | | Acct 1023 - added contribution | 3010 - Contributions | 5,897.16 | | 1,374,419.61 |
| Deposit | 03/31/2011 | | | | Deposit | 3010 - Contributions | 35,000.00 | | 1,409,419.61 |
| Deposit | 03/31/2011 | | | | Deposit | 3010 - Contributions | 10.00 | | 1,409,429.61 |
| Deposit | 03/31/2011 | | | | Deposit | 3010 - Contributions | 100.00 | | 1,409,529.61 |
| Deposit | 03/31/2011 | | | | Deposit | 3010 - Contributions | 500.00 | | 1,410,029.61 |
| Check | 03/31/2011 | | | | Deposit | 3010 - Contributions | 350.00 | | 1,409,679.61 |
| Check | 03/31/2011 | | | | Deposit | 3010 - Contributions | 25.00 | | 1,409,654.61 |
| Deposit | 04/01/2011 | | | Santa Clara County Re... | Fictitious Business name filing | 6030 - Business License & Fees | | 37.35 | 1,409,691.96 |
| Deposit | 04/01/2011 | 2306 | | Investors Prime Fund, L... | TRANSFER FROM 3513 TO TOREY PINES7... | 3010 - Contributions | 25,000.00 | | 1,434,691.96 |
| Check | 04/01/2011 | 2308 | | 1985 Massung Living Tr... | partial withdrawal | 1020 - Torrey Pines- 7590 - Dist... | | 150,000.00 | 1,284,691.96 |
| Check | 04/04/2011 | | | Compas Point Builders, ... | Whiskey Junction loan advance for construction | 3040 - Withdrawals | 10,000.00 | | 1,274,691.96 |
| Check | 04/04/2011 | 2307 | | David Gruebele | 13 days consulting for March | 1153 - Fund Loan Expense Adv... | | 30,196.80 | 1,244,495.16 |
| Check | 04/05/2011 | eft | | SB Capital Corp. | Transfer to SB Cap Corp #3604 | 6104 - Contracting & Consulting | | 12,187.50 | 1,232,307.66 |
| Check | 04/05/2011 | | | Small Business Capital... | increase investment in SBC LLC for Pennine... | 1250 - LT Rec - Fund Mgrs Org... | | 100,000.00 | 1,132,307.66 |
| Deposit | 04/06/2011 | | | | Deposit | 1510 - Investment in SBC LLC | 5,000.00 | | 1,127,307.66 |
| Deposit | 04/06/2011 | 2309 | | Tsz-Kin Kwok | Partial Withdrawal | 3010 - Contributions | | 30,000.00 | 1,097,307.66 |
| Deposit | 04/06/2011 | | | | Deposit | 3040 - Withdrawals | | 250.00 | 1,097,057.66 |
| Deposit | 04/06/2011 | | | | Deposit | 3010 - Contributions | | 100.00 | 1,097,157.66 |
| Deposit | 04/06/2011 | | | | Deposit | 3010 - Contributions | | 50.00 | 1,097,207.66 |
| Deposit | 04/06/2011 | | | | Deposit | 3010 - Contributions | 6,000.00 | | 1,103,207.66 |
| Deposit | 04/09/2011 | EFT | | SB Capital Corp. | Deposit | 1250 - LT Rec - Fund Mgrs Org... | | 100,000.00 | 1,203,207.66 |
| Deposit | 04/11/2011 | | | | Deposit | 3010 - Contributions | 40,000.00 | | 1,243,207.66 |
| Check | 04/11/2011 | 2310 | | VA Compass FBO Felix... | partial withdrawal | 3010 - Contributions | 34,836.29 | | 1,208,371.37 |
| Check | 04/12/2011 | 2311 | | Tu D. or Hoan N. Vu | Partial Withdrawal | 3040 - Withdrawals | 25,000.00 | | 1,183,371.37 |
| Check | 04/12/2011 | 2312 | | Bradley K. Damon | partial withdrawal | 3040 - Withdrawals | | 32,481.77 | 1,150,889.60 |
| Check | 04/12/2011 | 2313 | | Sahare Damon | partial withdrawal | 3040 - Withdrawals | | 6,000.00 | 1,144,889.60 |
| Deposit | 04/12/2011 | | | | Deposit | 3040 - Withdrawals | | 6,000.00 | 1,138,889.60 |
| Deposit | 04/12/2011 | | | | Deposit | 3010 - Contributions | 15,000.00 | | 1,153,889.60 |
| Deposit | 04/12/2011 | | | | Deposit | 3010 - Contributions | 250.00 | | 1,154,139.60 |
| Deposit | 04/12/2011 | | | | Deposit | 3010 - Contributions | 349.36 | | 1,154,488.96 |
| Deposit | 04/12/2011 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 1,179,488.96 |
| Deposit | 04/15/2011 | | | | Deposit | 3010 - Contributions | 9,901.00 | | 1,189,389.96 |
| Deposit | 04/15/2011 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 1,289,389.96 |
| Check | 04/18/2011 | 2315 | | Frank E. Allen Trust | partial withdrawal | 3040 - Withdrawals | 50,000.00 | | 1,239,389.96 |
| Check | 04/18/2011 | 2314 | | Ronald and Mary Pierce... | partial withdrawal | 3040 - Withdrawals | | 160,000.00 | 1,079,389.96 |

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All Transactions

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Investors Prime Fund
General Ledger
All Transactions

2:53 PM
08/19/16

Annual Bal.

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|------|-----|---|---------------------------------------|------------------------------------|------------|--------------|--------------|
| Deposit | 07/15/2011 | | | James Pa Kuen Chiang... | Deposit | 3010 - Contributions | | | 1,688,716.79 |
| Deposit | 07/15/2011 | | | R. Peter Griffin DDS | Deposit | 3010 - Withdrawals | 40,000.00 | | 1,688,716.79 |
| Check | 07/15/2011 | | | SBC Portfolio Fund, LLC | Stephan Hengstler transfer to SBC PF | 1250 - LT Rec - Fund Mgrs Org... | 20,000.00 | 25,000.00 | 1,683,715.78 |
| Check | 07/15/2011 | | | First Capital Corp. | 4572 HERITAGE DIRECT ONLINE TRANS... | 3010 - Contributions | | 1,000,000.00 | 1,083,715.78 |
| Check | 07/15/2011 | | | First Capital Corp. | Fund Loan Ldg Lgn Lgn | 3010 - Fund Loans | | | 1,083,715.78 |
| Deposit | 07/19/2011 | | | Lloyd E. Eater | Deposit | 3010 - Contributions | 55,000.00 | | 1,138,715.78 |
| Deposit | 07/19/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 50,000.00 | | 1,188,715.78 |
| Deposit | 07/19/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 400.00 | | 1,189,115.78 |
| Deposit | 07/19/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 200.00 | | 1,189,315.78 |
| Check | 07/19/2011 | | | Miss Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1470 - Cash Surrender Value of ... | 5,500.00 | 1,854.02 | 1,183,815.78 |
| Check | 07/20/2011 | 2368 | | VOID: | | -SPLIT- | 0.00 | | 1,183,815.78 |
| Check | 07/25/2011 | | | return Maroed funds for NSF Check - #1370 | | 1150 - Fund Loans Interest Rec... | | 3,301.03 | 1,187,116.81 |
| Check | 07/25/2011 | 2367 | | return Maroed funds for NSF Check - #1378 | | 1150 - Fund Loans Interest Rec... | | 3,046.65 | 1,190,163.46 |
| Check | 07/25/2011 | 2369 | | Whiskey Junction trend facing | | 1153 - Fund Loans Interest Rec... | | 84.33 | 1,190,247.79 |
| Check | 07/25/2011 | 2370 | | Whiskey Junction trend facing | | 1153 - Fund Loans Interest Rec... | | 84.33 | 1,190,332.12 |
| Deposit | 07/25/2011 | | | David Hernandez | Deposit | 3010 - Contributions | 31,000.00 | | 1,221,332.12 |
| Deposit | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 500.00 | | 1,221,832.12 |
| Deposit | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 500.00 | | 1,222,332.12 |
| Deposit | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 3,204.51 | | 1,225,536.63 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 1110 - Fund Loans | | 100,000.00 | 1,125,536.63 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 1250 - LT Rec - Fund Mgrs Org... | | | 1,125,536.63 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 100,000.00 | | 1,025,536.63 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 25,000.00 | | 1,000,536.63 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 74,882.07 | 5,000.00 | 925,654.56 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 50,000.00 | | 875,654.56 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 2,483.05 | | 873,171.51 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 1020 - Torrey Pines-7550 - Dist... | | 40,000.00 | 833,171.51 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 50,000.00 | | 783,171.51 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 900.00 | | 782,271.51 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 1,000.00 | | 781,271.51 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 1,000.00 | | 780,271.51 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 748.82 | | 779,522.69 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 1153 - Fund Loans Interest Rec... | | 44,853.81 | 734,668.88 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 6104 - Contracting & Consulting | | 10,000.00 | 724,668.88 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 25,000.00 | | 699,668.88 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 20,000.00 | | 679,668.88 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 20,000.00 | | 659,668.88 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 5,734.51 | | 653,934.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 2,870.00 | | 651,064.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 1,000.00 | | 650,064.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 1,000.00 | | 649,064.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 649,054.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 649,044.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 649,034.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 649,024.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 649,014.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 649,004.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,994.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,984.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,974.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,964.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,954.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,944.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,934.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,924.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,914.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,904.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,894.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,884.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,874.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,864.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,854.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,844.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,834.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,824.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,814.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,804.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,794.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,784.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,774.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,764.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,754.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,744.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,734.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,724.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,714.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,704.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,694.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,684.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,674.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,664.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,654.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,644.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,634.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,624.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,614.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,604.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,594.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,584.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,574.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,564.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,554.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,544.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,534.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,524.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,514.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,504.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,494.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,484.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,474.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,464.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,454.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,444.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,434.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,424.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,414.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,404.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,394.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,384.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,374.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,364.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,354.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,344.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,334.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,324.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,314.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,304.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,294.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,284.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,274.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,264.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,254.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,244.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,234.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,224.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,214.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,204.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,194.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,184.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,174.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 10.00 | | 648,164.37 |
| Check | 07/25/2011 | | | SB Capital Corp. | Deposit</ | | | | |

Investors Prime Fund
General Ledger
All TransactionsPage 14

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08/18/16

Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|------|-----|--|--------|-------------------------------------|--------------|--------------|--------------|
| Deposit | 12/22/2011 | | | Yuli Tuttle | CREDIT | | | | |
| Deposit | 12/22/2011 | | | SB Capital Corp. | CREDIT | 3010 - Contributions | 50,000.00 | | 1,317,463.79 |
| Deposit | 12/22/2011 | | | Henry ShaoLin & Joan ... | CREDIT | 3010 - Contributions | 110.00 | | 1,317,573.79 |
| Deposit | 12/23/2011 | | | Krishna Bhola & Jennife... | CREDIT | 3010 - Contributions | 25,000.00 | | 1,342,573.79 |
| Deposit | 12/27/2011 | | | Mohamed Dhalla CPA ... | CREDIT | 3010 - Contributions | 25,000.00 | | 1,367,573.79 |
| Check | 12/28/2011 | | | IRA Services FBO Seid... | CREDIT | 3010 - Contributions | 25,000.00 | | 1,392,573.79 |
| Check | 12/28/2011 | | | Small Business Capital... | CREDIT | 3010 - Contributions | 25,000.00 | | 1,417,573.79 |
| Deposit | 12/28/2011 | | | SB Capital Corp. | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 500,000.00 | 917,573.79 |
| Deposit | 12/28/2011 | | | Mark Sun Lin | CREDIT | 3010 - Contributions | 3,000.00 | 75,000.00 | 842,573.79 |
| Check | 12/28/2011 | | | Mark Sun Lin | CREDIT | 3010 - Contributions | 7,600.00 | | 845,573.79 |
| Check | 12/28/2011 | | | SB Capital Corp. | CREDIT | 3010 - Contributions | 14,400.00 | | 853,173.79 |
| Check | 12/29/2011 | ach | | SBC Portfolio Fund, LLC | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 25,000.00 | 867,573.79 |
| Deposit | 12/29/2011 | | | SBC Senior Commercial... | CREDIT | 3010 - Contributions | | 313,006.26 | 842,573.79 |
| Deposit | 12/29/2011 | | | Lindner Family Trust | CREDIT | 1110 - Fund Loans | | 250,000.00 | 529,567.53 |
| Deposit | 12/29/2011 | | | IRA Services FBO Sil... | CREDIT | 3010 - Contributions | 6,000.00 | | 278,567.53 |
| Deposit | 12/29/2011 | | | John Lindner ITF Angell... | CREDIT | 3010 - Contributions | 8,087.46 | | 286,567.53 |
| Deposit | 12/29/2011 | | | Henry ShaoLin & Joan ... | CREDIT | 3010 - Contributions | 1,000.00 | | 293,654.99 |
| Deposit | 12/29/2011 | | | Robert A. Luenberger | CREDIT | 3010 - Contributions | 25,000.00 | | 294,654.99 |
| Check | 12/30/2011 | | | Investors Prime Fund, L... | CREDIT | 3010 - Contributions | 50,000.00 | | 319,654.99 |
| Check | 12/30/2011 | | | SB Capital Corp. | CREDIT | 3010 - Contributions | 40,000.00 | | 369,654.99 |
| Check | 12/30/2011 | | | SBC Portfolio Fund, LLC | CREDIT | 1030 - Heritage - 3596 - Money ... | 1,023,088.23 | | 409,654.99 |
| Check | 12/30/2011 | | | Re-purchase subordinated position in Brannon ... | CREDIT | 1110 - Fund Loans | | 1,023,088.23 | 1,432,744.22 |
| Check | 12/30/2011 | | | Christopher Alange | CREDIT | 3010 - Contributions | 50,000.00 | 1,023,088.23 | 1,482,744.22 |
| Check | 12/30/2011 | | | R. Peter Griffith DDS | CREDIT | 3010 - Contributions | 175,000.00 | | 1,657,744.22 |
| Check | 12/30/2011 | | | Ali Tabatabaiein | CREDIT | 3010 - Contributions | 50,000.00 | | 1,707,744.22 |
| Check | 12/30/2011 | | | Amir H & Louise F Sohr... | CREDIT | 3010 - Contributions | 50,000.00 | | 1,757,744.22 |
| Check | 12/30/2011 | | | Ovadia Trust | CREDIT | 3010 - Contributions | 50,000.00 | | 1,807,744.22 |
| Check | 12/30/2011 | | | Ovadia Trust | CREDIT | 3010 - Contributions | 23,000.00 | | 1,830,744.22 |
| Check | 12/30/2011 | | | Judith Zaratan | CREDIT | 3010 - Contributions | 17,000.00 | | 1,847,744.22 |
| Check | 12/30/2011 | | | SB Capital Corp. | CREDIT | 3010 - Contributions | 1,000.00 | | 1,848,744.22 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 1110 - Fund Loans | 3,500.00 | | 1,852,244.22 |
| Check | 12/30/2011 | 2428 | | Torey Pines Bank | CREDIT | 3010 - Contributions | 50,000.00 | | 1,902,244.22 |
| Check | 12/30/2011 | | | Weisbach 1886 Family T... | CREDIT | 1020 - Torrey Pines- 7590 - Dist... | | 100,000.00 | 2,002,244.22 |
| Check | 12/30/2011 | | | IRA Services FBO Morca... | CREDIT | 3010 - Contributions | 25,000.00 | | 2,027,244.22 |
| Check | 12/30/2011 | | | Robert Swenwick | CREDIT | 3010 - Contributions | 45,328.82 | | 2,072,573.04 |
| Check | 12/30/2011 | ach | | SBC Senior Commercial... | CREDIT | 3010 - Contributions | 30,000.00 | | 2,102,573.04 |
| Check | 12/30/2011 | | | Note Purchase - 3AM note - 173371 HERITA... | CREDIT | 1110 - Fund Loans | | 75,000.00 | 2,177,573.04 |
| Check | 12/30/2011 | 2432 | | Legal Fees - IPF Bank Holding company - for ... | CREDIT | 6101 - Legal | | 13,057.68 | 2,190,630.72 |
| Check | 12/30/2011 | 2433 | | #1006 Fadia Damon - partial withdrawal | CREDIT | 3040 - Withdrawals | | 2,000.00 | 2,192,630.72 |
| Check | 12/30/2011 | | | Fadia Damon | CREDIT | 3010 - Contributions | | 5,000.00 | 2,197,630.72 |
| Check | 12/30/2011 | | | Dan L. Silva | CREDIT | 3040 - Withdrawals | 10,000.00 | | 2,207,630.72 |
| Check | 12/30/2011 | 2429 | | #1098 R. Peter Griffith DDS | CREDIT | 3040 - Withdrawals | | 1,788.80 | 2,209,419.52 |
| Check | 12/30/2011 | | | United States Treasury | CREDIT | 3040 - Withdrawals | | 447.20 | 2,210,866.72 |
| Check | 12/30/2011 | | | SB Capital Corp. | CREDIT | 3010 - Contributions | 500.00 | | 2,211,366.72 |
| Check | 12/30/2011 | | | Bracewell & Giuliani, LLP | CREDIT | 6101 - Legal | | 12,495.00 | 2,223,861.72 |
| Check | 12/30/2011 | | | Flauda Klug | CREDIT | 3010 - Contributions | 100,000.00 | | 2,323,861.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 50,000.00 | | 2,373,861.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 250.00 | | 2,374,111.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 250.00 | | 2,374,361.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 250.00 | | 2,374,611.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 80.00 | | 2,374,691.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 250.00 | | 2,374,941.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 150.00 | | 2,375,091.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 500.00 | | 2,375,591.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 25,000.00 | | 2,400,591.72 |
| Check | 12/30/2011 | 2434 | | 565814 HERITAGE DIRECT ONLINE TRANS... | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 25,000.00 | 2,425,591.72 |
| Check | 12/30/2011 | | | Joseph & Jorette Bugna... | CREDIT | 3010 - Contributions | 50,000.00 | | 2,475,591.72 |
| Check | 12/30/2011 | | | Krishna Bhola & Jennifer W. Bhola-WA... | CREDIT | 3040 - Withdrawals | | 250.00 | 2,475,841.72 |
| Check | 12/30/2011 | | | Wendi J. Dick | CREDIT | 3010 - Contributions | 50,000.00 | | 2,525,841.72 |
| Check | 12/30/2011 | ach | | Ron Gordon | CREDIT | 3010 - Contributions | 25,000.00 | | 2,550,841.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 25,000.00 | 2,575,841.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3040 - Withdrawals | | 50,000.00 | 2,625,841.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 3,500.00 | | 2,629,341.72 |
| Check | 12/30/2011 | | | Sandra Khoury | CREDIT | 3010 - Contributions | 3,500.00 | | 2,632,841.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 25,000.00 | | 2,657,841.72 |
| Check | 12/30/2011 | | | Joseph & Jorette Bugna... | CREDIT | 3010 - Contributions | 500.00 | | 2,658,341.72 |
| Check | 12/30/2011 | | | Dan L. Silva | CREDIT | 3010 - Contributions | 500.00 | | 2,658,841.72 |
| Check | 12/30/2011 | 2435 | | Judith Zaratan | CREDIT | 3010 - Contributions | 100.00 | | 2,658,941.72 |
| Check | 12/30/2011 | | | Spiegel Accountancy C... | CREDIT | 3010 - Contributions | 1,500.00 | | 2,660,441.72 |
| Check | 12/30/2011 | | | Gracella Lenhart | CREDIT | 6103 - Audit & Tax | | 2,145.00 | 2,662,586.72 |
| Check | 12/30/2011 | | | Elisabeth H. McClintock | CREDIT | 3010 - Contributions | 25,000.00 | | 2,687,586.72 |
| Check | 12/30/2011 | | | SB Capital | CREDIT | 3010 - Contributions | 25,000.00 | | 2,712,586.72 |
| Check | 12/30/2011 | ACH | | SBC Portfolio Fund, LLC | CREDIT | 3040 - Withdrawals | | 25,000.00 | 2,737,586.72 |
| Check | 12/30/2011 | | | #0153 W. Ronal Raecker Contribution | CREDIT | 3010 - Contributions | 30,000.00 | | 2,767,586.72 |
| Check | 12/30/2011 | ACH | | SB Capital | CREDIT | 3010 - Contributions | 30,000.00 | | 2,797,586.72 |
| Check | 12/30/2011 | | | SBC Portfolio Fund, LLC | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 2,847,586.72 |
| Check | 12/30/2011 | ACH | | 1155 IRA Services FBO Michael Bird | CREDIT | 3040 - Withdrawals | | 90,900.00 | 2,938,486.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 1,000.00 | | 2,939,486.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 250.00 | | 2,940,486.72 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 250.00 | | 2,941,486.72 |
| Check | 12/30/2011 | ACH | | Mass Mutual | CREDIT | 3010 - Contributions | 15.00 | | 2,941,501.72 |
| Check | 12/30/2011 | | | Compas Point Builders, ... | CREDIT | 1470 - Cash Surrender Value of ... | | 1,954.02 | 2,943,455.74 |
| Check | 12/30/2011 | | | Edward Minasian | CREDIT | 1153 - Fund Loan Expense Adv... | | 4,134.90 | 2,947,590.64 |
| Check | 12/30/2011 | 2436 | | VOID: #1192 Ronald Gordon | CREDIT | 3040 - Withdrawals | 25,000.00 | | 2,972,590.64 |
| Check | 12/30/2011 | ACH | | Investors Prime Fund, L... | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | 0.00 | | 2,972,590.64 |
| Check | 12/30/2011 | | | Richard E. Moore | CREDIT | 3010 - Contributions | 250.00 | 50,000.00 | 3,022,590.64 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 50,000.00 | | 3,072,590.64 |
| Check | 12/30/2011 | | | IRA Services FBO Lese... | CREDIT | 3010 - Contributions | 60,984.96 | | 3,133,575.60 |
| Check | 12/30/2011 | ACH | | SB Capital | CREDIT | 3010 - Contributions | 30,000.00 | | 3,163,575.60 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 50,000.00 | 3,213,575.60 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 190,028.48 | | 3,403,604.08 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 609.85 | | 3,404,213.93 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 55,982.45 | | 3,460,196.38 |
| Check | 12/30/2011 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 4,827.00 | | 3,465,023.38 |
| Check | 12/30/2011 | | | Leo Family Trust - J. & ... | CREDIT | 3010 - Contributions | 5,901.16 | | 3,470,924.54 |
| Check | 12/30/2011 | 2437 | | SB Capital | CREDIT | 3010 - Contributions | 25,000.00 | | 3,495,924.54 |
| Check | 12/30/2011 | | | Jon W. Steil | CREDIT | 3040 - Withdrawals | 250.00 | | 3,496,174.54 |
| Check | 12/30/2011 | ACH | | SBC Portfolio Fund, LLC | CREDIT | 3040 - Withdrawals | | 2,819.72 | 3,498,994.26 |
| Check | 12/30/2011 | 2438 | | #0873 Stephan Hengster | CREDIT | 3040 - Withdrawals | | 20,000.00 | 3,518,994.26 |
| Check | 12/30/2011 | 2440 | | #1233 Bagwell, Joseph A. | CREDIT | 3040 - Withdrawals | | 2,000.00 | 3,520,994.26 |
| Check | 12/30/2011 | 2439 | | January Invoice | CREDIT | 6104 - Contracting & Consulting | | 1,056,360.41 | 4,577,354.67 |
| Check | 12/30/2011 | | | Ron Gordon | CREDIT | 3040 - Withdrawals | | 15,000.00 | 4,592,354.67 |
| Check | 12/30/2011 | 2441 | | Patricia Pitts | CREDIT | 3010 - Contributions | 25,000.00 | | 4,617,354.67 |
| Check | 12/30/2011 | | | Torey Pines Bank | CREDIT | 1020 - Torrey Pines- 7590 - Dist... | | 50,000.00 | 4,667,354.67 |
| Check | 12/30/2011 | ach | | IRA Services FBO Bird, ... | CREDIT | 3010 - Contributions | | 50,000.00 | 4,717,354.67 |
| Check | 12/30/2011 | | | SBC Senior Commercial... | CREDIT | 3010 - Contributions | 60,000.00 | | 4,777,354.67 |
| Check | 12/30/2011 | | | 359888 HERITAGE DIRECT ONLINE TRANS... | CREDIT | 3040 - Withdrawals | | 400,000.00 | 4,877,354.67 |
| Check | 12/30/2011 | 2442 | | 088421 HERITAGE DIRECT ONLINE TRANS... | CREDIT | 1250 - LT Rec - Fund Mgrs Org... | | 4,200.37 | 4,881,555.04 |
| Check | 12/30/2011 | | | IRA Services FBO John ... | CREDIT | 3040 - Withdrawals | | | 4,881,555.04 |
| Check | 12/30/2011 | | | #1062 IRA Services FBO Jon Steil | CREDIT | 3010 - Contributions | 400,000.00 | | 4,881,555.04 |
| Check | 12/30/2011 | | | WIRE/IN-20120300140;ORG EQUITY INDEX... | CREDIT | 3010 - Contributions | 25,000.00 | | 4,906,555.04 |
| Check | 12/30/2011 | | | Steven Cortocan TTEE | CREDIT | 3010 - Contributions | 25,000.00 | | 4,931,555.04 |
| Check | 12/30/2011 | | | Mohamed Dhalla CPA ... | CREDIT | 3010 - Contributions | 25,000.00 | | 4,956,555.04 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|-----------------------------|--|-------------------------------------|--------------|--------------|--------------|
| Deposit | 02/08/2012 | | | Pauline Schwartz | CREDIT | 3010 - Contributions | 50,000.00 | | 1,120,860.04 |
| Deposit | 02/10/2012 | | | IRA Services FBO Pest... | CREDIT | 3010 - Contributions | 19,150.70 | | 1,139,810.74 |
| Deposit | 02/10/2012 | | | Pauline Schwartz | CREDIT | 3010 - Contributions | 500.00 | | 1,140,310.74 |
| Deposit | 02/10/2012 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 25,000.00 | | 1,165,310.74 |
| Deposit | 02/10/2012 | | | Gladya D. McDowell | CREDIT | 3010 - Contributions | 250.00 | | 1,165,560.74 |
| Deposit | 02/10/2012 | | | Lawrence A. & Frances ... | CREDIT | 3010 - Contributions | 20,000.00 | | 1,185,560.74 |
| Deposit | 02/10/2012 | | | Lawrence A. & Frances ... | CREDIT | 3010 - Contributions | 200.00 | | 1,185,760.74 |
| Check | 02/13/2012 | 2443 | | Pauline Schwartz | Withdrawal of Mgr Bonus | 3040 - Withdrawals | | 500.00 | 1,185,260.74 |
| Deposit | 02/13/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 43,000.00 | | 1,228,260.74 |
| Deposit | 02/13/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 25,000.00 | | 1,253,260.74 |
| Check | 02/13/2012 | | | SB Capital | 151787 HERITAGE DIRECT ONLINE TRANS... | 1250 - LT Rec - Fund Mgrs Org... | | 150,000.00 | 1,000,590.74 |
| Check | 02/13/2012 | 2469 | | Donald R. White-Alame... | PN-24-549-2-1 4500 Park Blvd. Oakland | 1110 - Fund Loans | | 102,670.00 | 1,253,260.74 |
| Check | 02/14/2012 | 2444 | | The Kim N. Nguyen Livl... | #1025 Mgr Bonus Withdrawal | 3040 - Withdrawals | | 430.00 | 1,000,150.74 |
| Deposit | 02/14/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 250.00 | | 1,000,410.74 |
| Deposit | 02/14/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 430.00 | | 1,000,840.74 |
| Deposit | 02/14/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 100,000.00 | | 1,100,840.74 |
| Deposit | 02/14/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 40,000.00 | | 1,140,840.74 |
| Check | 02/15/2012 | | | Martha N. Fereds | #0853 Withdrawal | 3040 - Withdrawals | | 3,500.00 | 1,137,340.74 |
| Check | 02/15/2012 | 2450 | | SBC Portfolio Fund, LLC | #1139 IRA Services FBO: Petersen, Pete | 3030 - Distributions | | 1,689.83 | 1,135,650.81 |
| Check | 02/15/2012 | 2445 | | DLA Piper, LP | Inv# 2670890 & 2660711 | -SPLIT- | | 30,749.00 | 1,104,901.81 |
| Check | 02/15/2012 | 2446 | | Spiegel Accountancy C... | #3781 | 6103 - Audit & Tax | | 1,790.00 | 1,103,111.81 |
| Check | 02/15/2012 | 2447 | | Doss Law, A Law Corps... | Inv# 11288 | 6101 - Legal | | 3,151.20 | 1,099,960.61 |
| Check | 02/15/2012 | 2448 | | McAndrew, Allen & Ma... | #20067 | 6101 - Legal | | 1,098,898.11 | |
| Check | 02/15/2012 | 2449 | | FGL Environmental | VOID: Water Testing for Whiskey Junction | 1153 - Fund Loan Expense Adv... | 0.00 | | 1,098,898.11 |
| Deposit | 02/16/2012 | | | RB Williams Inc, Define... | Deposit | 4010 - Loan Interest Income | 431.25 | | 1,099,329.36 |
| Deposit | 02/16/2012 | | | RB Williams Inc, Define... | Deposit | -SPLIT- | | 14,000.00 | 1,113,329.36 |
| Deposit | 02/16/2012 | | | SBC Senior Commercial... | Deposit | -SPLIT- | 302,850.00 | | 1,416,179.36 |
| Deposit | 02/16/2012 | | | SBC Senior Commercial... | Deposit | 1110 - Fund Loans | | 10,000.00 | 1,426,179.36 |
| Deposit | 02/16/2012 | | | SBC Senior Commercial... | Deposit | 1110 - Fund Loans | 250,000.00 | | 1,741,179.36 |
| Check | 02/16/2012 | ACH | | SBC Senior Commercial... | 605605 HERITAGE DIRECT ONLINE TRANS... | 1110 - Fund Loans | | 448,673.56 | 1,292,505.80 |
| Check | 02/16/2012 | ACH | | SBC Portfolio Fund, LLC | 605459 HERITAGE DIRECT ONLINE TRANS... | 1110 - Fund Loans | | 747,789.26 | 544,716.54 |
| Check | 02/17/2012 | | | Amir H & Louise F Sohr... | CREDIT | 3010 - Contributions | 100,000.00 | | 644,716.54 |
| Deposit | 02/17/2012 | | | Amir H & Louise F Sohr... | CREDIT | 3010 - Contributions | 1,000.00 | | 645,716.54 |
| Check | 02/21/2012 | 2451 | | The Charlene A. Corda... | Withdrawal | 3040 - Withdrawals | | 10,000.00 | 635,716.54 |
| Check | 02/21/2012 | ACH | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1470 - Cash Surrender Value of ... | | 1,854.02 | 633,762.52 |
| Deposit | 02/21/2012 | | | Zaratan, Nilo & Judith T... | CREDIT | 3010 - Contributions | 1,000.00 | | 634,762.52 |
| Check | 02/21/2012 | 2452 | | Amir H & Louise F Sohr... | Withdrawal | 3040 - Withdrawals | | 1,000.00 | 633,762.52 |
| Deposit | 02/21/2012 | | | Aure C. Silva | Deposit | 3010 - Contributions | 25,000.00 | | 658,762.52 |
| Deposit | 02/23/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 10.00 | | 658,772.52 |
| Deposit | 02/23/2012 | | | Lloyd E. Eater | Deposit | 3010 - Contributions | 25,000.00 | | 683,772.52 |
| Deposit | 02/23/2012 | | | SB Capital | Deposit | 3010 - Contributions | 250.00 | | 684,022.52 |
| Check | 02/23/2012 | 2458 | | California Secretary of ... | UCC Financing Statement Amendment for Air... | 5040 - Loan Fees | | 30.00 | 683,992.52 |
| Check | 02/23/2012 | 2460 | | Alameda County Recor... | VOID: 47300 Kate, LLC Assignment of Deed o... | 5060 - Lender Services Costs | 0.00 | | 683,992.52 |
| Check | 02/23/2012 | 2461 | | San Luis Obispo County... | Recording Fee for Angus San, LLC | 5060 - Lender Services Costs | | 34.00 | 683,958.52 |
| Check | 02/23/2012 | 2462 | | Napa County Recorder... | VOID: Recording Fee for Airport Blvd Realty, ... | 5060 - Lender Services Costs | 0.00 | | 683,958.52 |
| Check | 02/23/2012 | 2463 | | City and County of San ... | Recording Fee for White Walls | 5060 - Lender Services Costs | | 17.00 | 683,941.52 |
| Check | 02/23/2012 | 2464 | | San Mateo County Rec... | Recording Fee for David Sherwin | 5060 - Lender Services Costs | | 15.00 | 683,926.52 |
| Deposit | 02/24/2012 | | | SB Capital | CREDIT | 3010 - Contributions | 200.00 | | 684,126.52 |
| Deposit | 02/24/2012 | | | Larry Minter | CREDIT | 3010 - Contributions | 20,000.00 | | 704,126.52 |
| Deposit | 02/24/2012 | | | RB Williams Inc, Define... | Deposit | -SPLIT- | 20,000.00 | | 724,126.52 |
| Deposit | 02/24/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 200.00 | | 724,326.52 |
| Check | 02/24/2012 | 2453 | | Michael Gagnon | Withdrawal | 3040 - Withdrawals | 50,000.00 | | 774,326.52 |
| Check | 02/24/2012 | 2454 | | Krishna Bhola & Jennif... | Withdrawal | 3040 - Withdrawals | | 608.85 | 773,716.67 |
| Check | 02/24/2012 | | | IRA Services FBO Pete... | Withdrawal | 3040 - Withdrawals | | 5,000.00 | 768,716.67 |
| Deposit | 02/28/2012 | | | Stephan Hengstler | Deposit | 3010 - Contributions | 25,000.00 | | 793,716.67 |
| Deposit | 02/28/2012 | | | An Nian Zhong | Deposit | 3010 - Contributions | 20,000.00 | | 813,716.67 |
| Deposit | 02/28/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 10,000.00 | | 823,716.67 |
| Deposit | 02/28/2012 | | | SB Capital | Deposit | 3010 - Contributions | 250.00 | | 823,966.67 |
| Deposit | 02/28/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 100.00 | | 824,066.67 |
| Check | 02/28/2012 | | | An Nian Zhang | Deposit | 3010 - Contributions | 200.00 | | 824,266.67 |
| Check | 02/28/2012 | ach | | SBC Portfolio Fund, LLC | Rhena Leibof-Capital Transferred to SBC PF | 3040 - Withdrawals | | 25,000.00 | 799,266.67 |
| Check | 02/28/2012 | w/e | | Deluxe Business System | DELUXE BUS SYS. BUS PRODS 72506964 | 6055 - Printing, forms, stationary | | 92.49 | 799,174.18 |
| Check | 02/28/2012 | 2466 | | David Grubele | For Feb. 2012 | 6104 - Contracting & Consulting | | 13,000.00 | 786,174.18 |
| Check | 02/28/2012 | 2465 | | Napa County Recorder... | Airport Blvd. Realty Recording Fee | 5060 - Lender Services Costs | | 43.00 | 786,131.18 |
| Deposit | 02/29/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 50,000.00 | | 836,131.18 |
| Deposit | 02/29/2012 | | | Harold H. Turner | CREDIT | 3010 - Contributions | 25,000.00 | | 861,131.18 |
| Deposit | 03/01/2012 | | | IRA Services FBO Roe... | CREDIT | 3010 - Contributions | 25,000.00 | | 886,131.18 |
| Deposit | 03/02/2012 | | | Robert & Patricia Dale ... | CREDIT | 3010 - Contributions | 25,000.00 | | 911,131.18 |
| Deposit | 03/02/2012 | | | Investors Prime Fund, L... | CREDIT | 3010 - Contributions | 500.00 | | 911,631.18 |
| Check | 03/05/2012 | 2467 | | Torrey Pines Bank | TRANSFER FROM HERITAGE 3513 TO TP 7... | 1020 - Torrey Pines- 7590 - Dist... | | 60,000.00 | 851,631.18 |
| Deposit | 03/05/2012 | | | Lloyd E. Eater | CREDIT | 3010 - Contributions | 30,000.00 | | 881,631.18 |
| Deposit | 03/05/2012 | | | Yuli Tuttle | Deposit | 3010 - Contributions | 20,000.00 | | 901,631.18 |
| Deposit | 03/05/2012 | | | | Deposit | 3010 - Contributions | 1,000.00 | | 902,631.18 |
| Deposit | 03/05/2012 | | | | Deposit | 3010 - Contributions | 10,117.75 | | 912,748.93 |
| Deposit | 03/06/2012 | | | | CREDIT | 3010 - Contributions | 300.00 | | 913,048.93 |
| Deposit | 03/06/2012 | | | | Deposit | 3010 - Contributions | 10.00 | | 913,058.93 |
| Deposit | 03/06/2012 | | | | Deposit | 3010 - Contributions | 200.00 | | 913,258.93 |
| Deposit | 03/06/2012 | | | | Deposit | 3010 - Contributions | 750.00 | | 914,018.93 |
| Deposit | 03/06/2012 | | | SB Capital | CREDIT | 3010 - Contributions | 250.00 | | 914,268.93 |
| Deposit | 03/06/2012 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 939,268.93 |
| Check | 03/07/2012 | ach | | SBC Senior Commercial... | purchase 3am loan from sbc sr. commf | 1110 - Fund Loans | | 564,833.75 | 374,435.18 |
| Check | 03/07/2012 | ach | | SBC Senior Commercial... | Premium paid for 3am from Sr. Commf | 1160 - Loan Costs | | 128,052.00 | 246,383.18 |
| Check | 03/07/2012 | ach | | SBC Senior Commercial... | purchase of Focus Hospitality from SBC Sr. C... | 1110 - Fund Loans | | 301,125.00 | -54,841.82 |
| Deposit | 03/07/2012 | | | SBC Portfolio Fund, LLC | premium paid for Focus Hospitality from SBC ... | 1160 - Loan Costs | | 63,036.00 | -11,877.82 |
| Deposit | 03/07/2012 | | | SBC Portfolio Fund, LLC | 495008 HERITAGE DIRECT ONLINE TRANS... | 3010 - Contributions | 300,289.00 | | 182,411.18 |
| Deposit | 03/07/2012 | | | Slocum Family Trust | CREDIT | 3010 - Contributions | 20,000.00 | | 202,411.18 |
| Deposit | 03/08/2012 | | | RB Williams Inc, Define... | CREDIT | 3010 - Contributions | 200.00 | | 202,611.18 |
| Deposit | 03/08/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 25,000.00 | | 227,611.18 |
| Deposit | 03/08/2012 | | | | Deposit | 3010 - Contributions | 90,000.00 | | 317,611.18 |
| Deposit | 03/08/2012 | | | | Deposit | 3010 - Contributions | 8,008.67 | | 325,619.85 |
| Deposit | 03/08/2012 | | | | Deposit | 1517 - Interactive Brokers Cash ... | 99,800.00 | | 425,419.85 |
| Deposit | 03/08/2012 | | | Small Business Capital... | 659567 HERITAGE DIRECT ONLINE TRANS... | -SPLIT- | 1,012,028.62 | | 1,438,548.47 |
| Deposit | 03/09/2012 | | | | Deposit | 3010 - Contributions | 250,000.00 | | 1,688,548.47 |
| Deposit | 03/09/2012 | | | | Deposit | 3010 - Contributions | 99,740.42 | | 1,788,288.89 |
| Deposit | 03/09/2012 | | | | Deposit | 3010 - Contributions | 12,879.24 | | 1,801,168.13 |
| Deposit | 03/09/2012 | | | | Deposit | 3010 - Contributions | 35,000.00 | | 1,836,168.13 |
| Deposit | 03/09/2012 | | | | Deposit | 3010 - Contributions | 428,308.03 | | 2,264,476.16 |
| Deposit | 03/09/2012 | | | | Deposit | 3010 - Contributions | 7,500.00 | | 2,272,976.16 |
| Deposit | 03/12/2012 | | | | Deposit | 3010 - Contributions | 40,000.00 | | 2,312,976.16 |
| Deposit | 03/12/2012 | | | | Deposit | 3010 - Contributions | 45,885.87 | | 2,358,862.03 |
| Deposit | 03/12/2012 | | | | Deposit | 3010 - Contributions | 103,043.74 | | 2,461,905.77 |
| Check | 03/12/2012 | 3132013 | | SBC Portfolio Fund, LLC | 131782 HERITAGE DIRECT ONLINE TRANS... | 1110 - Fund Loans | | 1,178,500.00 | 1,283,205.77 |
| Check | 03/12/2012 | 3132014 | | SBC Portfolio Fund, LLC | 132791 HERITAGE DIRECT ONLINE TRANS... | 1160 - Loan Costs | | 25,888.00 | 1,257,317.77 |
| Check | 03/12/2012 | 3132015 | | SBC Portfolio Fund, LLC | 13275 HERITAGE DIRECT ONLINE TRANS... | 1110 - Fund Loans | | 786,000.00 | 471,317.77 |
| Check | 03/12/2012 | 3132016 | | SBC Portfolio Fund, LLC | 131802 HERITAGE DIRECT ONLINE TRANS... | 1160 - Loan Costs | | 48,669.00 | 412,648.77 |
| Check | 03/12/2012 | 2468 | | IRA Services Jon Steil | Withdrawal | 3040 - Withdrawals | | 144.92 | 412,403.85 |
| Deposit | 03/13/2012 | | | Investors Prime Fund, L... | CREDIT | 3010 - Contributions | 400.00 | | 412,803.85 |
| Deposit | 03/13/2012 | | | RB Williams Inc, Define... | Deposit | 3010 - Contributions | 50,000.00 | | 462,803.85 |
| Check | 03/13/2012 | 3132012 | | SBC Portfolio Fund, LLC | Transfer to SBC PF #0164 | 3040 - Withdrawals | | 25,000.00 | 437,803.85 |
| Check | 03/13/2012 | 2470 | | Alameda County Recor... | VOID: Kato-Assignment of Deed of Trust GJE... | 5060 - Lender Services Costs | 0.00 | | 437,803.85 |
| General Journal | 03/13/2012 | Servic... | | Alameda County Recor... | For CHK 2470 voided on 05/01/2012 | 5060 - Lender Services Costs | | 18.00 | 437,785.85 |
| Check | 03/14/2012 | 2473 | | Clerk-Recorder's Office ... | VOID: Recording Fee-UCC-1 Marshall GJE, R... | 5120 - Recording and Filing Fees | 0.00 | | 437,785.85 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|-----------------------------|---|-------------------------------------|--------------|--------------|--------------|
| Check | 03/14/2012 | 2472 | | Torrey Pines Bank | Transfer | 1020 - Torrey Pines- 7590 - Dist... | | | 405,785.85 |
| Deposit | 03/14/2012 | | | | Deposit | 3010 - Contributions | 9,000.00 | | 418,785.85 |
| Deposit | 03/14/2012 | | | SBC Portfolio Fund, LLC | 463840 HERITAGE DIRECT ONLINE TRANS... | 3010 - Contributions | 300,000.00 | | 718,785.85 |
| General Journal | 03/14/2012 | GAAP ... | | Clerk-Recorder's Office ... | For CHK 2473 voided on 04/19/2012 | 5120 - Recording and Filing Fees | | 10.00 | 718,775.85 |
| Check | 03/15/2012 | 2476 | | Doss Law, A Law Corpo... | Invt# 11347 General Work-DOC | 6101 - Legal | | 630.91 | 718,144.94 |
| Deposit | 03/16/2012 | | | | CREDIT | 3010 - Contributions | 25,000.00 | | 743,144.94 |
| Deposit | 03/16/2012 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 793,144.94 |
| Deposit | 03/16/2012 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 818,144.94 |
| Check | 03/16/2012 | 2477 | | Spiegel Accountancy C... | CHECK | 6103 - Audit & Tax | | 3,265.00 | 814,879.94 |
| Check | 03/16/2012 | 2478 | | DLA Piper, LP | CHECK | -SPLIT- | | 38,345.72 | 776,534.22 |
| Check | 03/19/2012 | 2475 | | Franchise Tax Board | CHECK | 1430 - Prepaid Income Taxes | | 2,500.00 | 774,034.22 |
| Check | 03/19/2012 | 2474 | | Franchise Tax Board | CHECK | 1430 - Prepaid Income Taxes | | | 771,534.22 |
| Check | 03/18/2012 | 3192012 | | SBC Senior Commercia... | 134984 HERITAGE DIRECT ONLINE TRANS... | 3040 - Withdrawals | | 100,000.00 | 673,234.22 |
| Deposit | 03/18/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 76,000.00 | | 749,234.22 |
| Deposit | 03/19/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 750.00 | | 749,984.22 |
| Check | 03/19/2012 | 2479 | | Torrey Pines Bank | CHECK | 1020 - Torrey Pines- 7590 - Dist... | | 105,000.00 | 644,984.22 |
| Deposit | 03/18/2012 | | | Ke Rui Lei and Yi Qun ... | CREDIT | 3010 - Contributions | 20,000.00 | | 665,184.22 |
| Deposit | 03/20/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 200.00 | | 665,184.22 |
| Deposit | 03/20/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 217,600.00 | | 882,784.22 |
| Check | 03/20/2012 | 2480 | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1470 - Cash Surrender Value of ... | | 1,954.02 | 880,830.20 |
| Check | 03/21/2012 | | | SB Capital Brokers Trus... | Reimburse Trust account for Cardland Fees | 5080 - Lender Services Costs | | 1,800.00 | 879,030.20 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | 4010 - Loan Interest Income | 281.25 | | 879,311.45 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 1,562.77 | | 880,874.22 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 2,082.23 | | 882,956.45 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 4,893.86 | | 887,850.31 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 7,000.00 | | 894,850.31 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 7,000.00 | | 901,850.31 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 18,518.45 | | 920,368.76 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 5,085.43 | | 925,454.19 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 3,235.47 | | 928,689.66 |
| Deposit | 03/22/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 1,886.82 | | 930,580.48 |
| Deposit | 03/22/2012 | | | Robert T. Winters | CREDIT | 3010 - Contributions | 25,000.00 | | 955,580.48 |
| Deposit | 03/22/2012 | | | Frederick Schwalbach | VOID: CREDIT | 3010 - Contributions | 50,000.00 | | 1,005,580.48 |
| Deposit | 03/28/2012 | | | Don R Castleman Acco... | WIRE/IN-201208600313:ORG DON R. CASTL... | 3010 - Contributions | 100,000.00 | | 1,105,580.48 |
| Deposit | 03/28/2012 | | | Sterling Trust | CREDIT | 3010 - Contributions | 35,000.00 | | 1,140,580.48 |
| Deposit | 03/28/2012 | | | Jim & Sue Van Blarigan | CREDIT | 3010 - Contributions | 618,314.21 | | 1,758,894.69 |
| Deposit | 03/28/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 350.00 | | 1,759,244.69 |
| Deposit | 03/28/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 500.00 | | 1,759,744.69 |
| Deposit | 03/28/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 50,000.00 | | 1,809,744.69 |
| Check | 03/28/2012 | 2481 | | Ron Gordon | Withdrawal-Mgr Bonus | 3040 - Withdrawals | | 500.00 | 1,809,244.69 |
| Deposit | 03/28/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 25,000.00 | | 1,834,244.69 |
| Deposit | 03/28/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 47,381.07 | | 1,881,625.76 |
| Deposit | 03/28/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 437,418.14 | | 2,319,043.90 |
| Check | 03/28/2012 | 2482 | | Ira Services FBO Victor... | #0946 IRA Services FBO McPhun, Victor With... | 3040 - Withdrawals | | 6,300.00 | 2,312,743.90 |
| Deposit | 03/30/2012 | | | Floyd J. and Annetta J. ... | CREDIT | 3010 - Contributions | 5,000.00 | | 2,317,743.90 |
| Deposit | 03/30/2012 | | | Garcelle Lenhart | Deposit | 3010 - Contributions | 50.00 | | 2,317,794.90 |
| Deposit | 03/30/2012 | | | Garcelle Lenhart | Deposit | 3010 - Contributions | 50.00 | | 2,317,844.90 |
| Deposit | 03/30/2012 | | | Garcelle Lenhart | Deposit | 3010 - Contributions | 5,000.00 | | 2,322,844.90 |
| Deposit | 03/30/2012 | | | Small Business Capital... | 222822 HERITAGE DIRECT ONLINE TRANS... | -SPLIT- | 1,621,573.39 | | 3,944,418.29 |
| Check | 03/30/2012 | ACH | | SBC Portfolio Fund, LLC | 225294 HERITAGE DIRECT ONLINE TRANS... | 1110 - Fund Loans | | 3,250,000.00 | 694,418.29 |
| Check | 03/30/2012 | ACH | | SBC Portfolio Fund, LLC | 225759 HERITAGE DIRECT ONLINE TRANS... | 1160 - Loan Costs | | 123,825.00 | 570,593.29 |
| General Journal | 03/31/2012 | Transfer | | Investors Prime Fund, L... | CREDIT | 3010 - Contributions | 13,122.57 | | 583,715.86 |
| Check | 03/31/2012 | 2484 | | David Gruebels | Transferred to TP 7590 | 1020 - Torrey Pines- 7590 - Dist... | | 25,000.00 | 558,715.86 |
| Check | 03/31/2012 | | | | Service Charge | 6104 - Contracting & Consulting | | 12,000.00 | 546,715.86 |
| Deposit | 04/02/2012 | | | | Deposit | 6505 - Bank Fees and Service ... | | 1.00 | 546,714.86 |
| Deposit | 04/02/2012 | | | | Deposit | 3010 - Contributions | 90,000.00 | | 636,714.86 |
| Deposit | 04/02/2012 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 661,714.86 |
| Deposit | 04/02/2012 | | | | Deposit | 3010 - Contributions | 45,000.00 | | 706,714.86 |
| Deposit | 04/02/2012 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 731,714.86 |
| Transfer | 04/03/2012 | | | | Funds Transfer | 3030 - Contributions | 3,094.93 | | 734,809.81 |
| Deposit | 04/04/2012 | | | | Deposit | 1020 - Torrey Pines- 7590 - Dist... | | 100,000.00 | 634,809.81 |
| Check | 04/04/2012 | 2486 | | DLA Piper, LP | Invt# 2692452 & 2692453 | 3010 - Contributions | 900.00 | | 635,709.81 |
| Check | 04/04/2012 | 2488 | | Spiegel Accountancy C... | Invt# 4019 Audit Billing Thru 3/14 and Tax Prep | -SPLIT- | 41,677.88 | | 677,387.69 |
| Deposit | 04/05/2012 | | | RB Williams Inc. Define... | Deposit | 6103 - Audit & Tax | | 27,823.69 | 649,564.00 |
| Deposit | 04/05/2012 | | | Alameda County Recor... | Assignment of DOT for 47300 Kato | 3010 - Contributions | 25,000.00 | | 635,079.00 |
| Check | 04/06/2012 | 2487 | | | Deposit | 5090 - Lender Services Costs | | 11,365.00 | 623,714.00 |
| Deposit | 04/06/2012 | | | | Deposit | 3010 - Contributions | 50,000.00 | 21.00 | 688,079.00 |
| Deposit | 04/06/2012 | | | | Deposit | 3010 - Contributions | 250.00 | | 688,058.00 |
| Deposit | 04/06/2012 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 738,058.00 |
| Check | 04/06/2012 | ACH | | Yi Qun Zhou | Transferred to SBC SCMF | 3010 - Contributions | 25,000.00 | | 763,058.00 |
| Check | 04/06/2012 | 2480 | | San Mateo County Rec... | Assignment of DOT-David Sherwin | 3040 - Withdrawals | | 20,000.00 | 783,308.00 |
| Check | 04/08/2012 | 2481 | | Becky McVittie | Withdrawal | 5120 - Recording and Filing Fees | | 18.00 | 783,290.00 |
| Check | 04/08/2012 | 2482 | | Jenny D. Lee | Withdrawal | 3040 - Withdrawals | | 10,000.00 | 773,290.00 |
| Deposit | 04/09/2012 | | | | Deposit | 3030 - Contributions | 25,000.00 | 49,948.23 | 733,341.77 |
| Deposit | 04/09/2012 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 833,341.77 |
| Deposit | 04/09/2012 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 858,341.77 |
| Transfer | 04/11/2012 | | | | Funds Transfer | 1110 - Fund Loans | 7,000.00 | | 860,341.77 |
| Transfer | 04/11/2012 | | | | Funds Transfer | 1030 - Heritage - 3596 - Money ... | 10,000.00 | | 870,341.77 |
| Check | 04/11/2012 | ACH | | SBC Portfolio Fund, LLC | 455762 HERITAGE DIRECT ONLINE TRANS... | 1030 - Heritage - 3596 - Money ... | 640,000.00 | | 1,540,341.77 |
| Check | 04/11/2012 | ACH | | SBC Portfolio Fund, LLC | | 1110 - Fund Loans | | 231,375.00 | 1,308,966.77 |
| Deposit | 04/12/2012 | | | RB Williams Inc. Define... | Deposit | 1160 - Loan Costs | | 81,444.00 | 1,227,522.77 |
| Check | 04/12/2012 | 2494 | | PJM Lender Services | CHECK | 3010 - Contributions | 31,358.87 | | 1,258,881.64 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 5050 - Loan Foreclosure Costs | | 3,900.00 | 1,262,781.64 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | -SPLIT- | 3,428.73 | | 1,259,352.91 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 1110 - Fund Loans | 430.13 | | 1,259,783.04 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 5,000.00 | | 1,264,783.04 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 28,740.13 | | 1,293,523.17 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 1,000.00 | | 1,294,523.17 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 250.00 | | 1,294,773.17 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 200.00 | | 1,294,973.17 |
| Deposit | 04/13/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 25,000.00 | | 1,319,973.17 |
| Deposit | 04/17/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 60,000.00 | | 1,379,973.17 |
| General Journal | 04/18/2012 | GAAP ... | | Clerk-Recorder's Office ... | Reverse of GJE GAAP 2012 -- For CHK 2473 ... | 5120 - Recording and Filing Fees | 10.00 | | 1,379,983.17 |
| Check | 04/18/2012 | 2493 | | Nicholas Cabell | CHECK | 3040 - Withdrawals | | 1,000.00 | 1,378,983.17 |
| Deposit | 04/18/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 50.00 | | 1,379,033.17 |
| Deposit | 04/18/2012 | | | RB Williams Inc. Define... | Deposit | 3010 - Contributions | 600.00 | | 1,379,633.17 |
| Deposit | 04/19/2012 | | | White Waits | CREDIT | -SPLIT- | 1,820.33 | | 1,378,812.84 |
| Deposit | 04/19/2012 | | | David Sherwin | CREDIT | -SPLIT- | 2,541.04 | | 1,379,052.00 |
| Deposit | 04/19/2012 | | | RB Williams Inc. Define... | CREDIT | -SPLIT- | 22,552.03 | | 1,401,604.03 |
| Deposit | 04/19/2012 | | | 47300 Kato LLC | CREDIT | -SPLIT- | 6,123.60 | | 1,407,727.63 |
| Deposit | 04/19/2012 | | | Aung San LLC | CREDIT | -SPLIT- | 5,950.76 | | 1,413,678.39 |
| Deposit | 04/19/2012 | | | RB Williams Inc. Define... | CREDIT | -SPLIT- | 3,148.92 | | 1,416,827.31 |
| Deposit | 04/19/2012 | | | Kallappa G. Rampur | CREDIT | -SPLIT- | 7,128.81 | | 1,423,956.12 |
| Check | 04/20/2012 | ach | | Mass Mutual | 1110 - Fund Loans | 1470 - Cash Surrender Value of ... | 3,500.00 | | 1,427,456.12 |
| Deposit | 04/24/2012 | | | IRA Services FBO Pesn... | CREDIT | 3010 - Contributions | 6,000.00 | 1,954.02 | 1,433,456.12 |
| Deposit | 04/24/2012 | | | IRA Services FBO Pesn... | CREDIT | 3010 - Contributions | 6,000.00 | | 1,439,456.12 |
| Check | 04/25/2012 | 2495 | | Doss Law, A Law Corpo... | | 6101 - Legal | | 1,068.00 | 1,438,388.12 |

Accrual Basis

All Transactions

Total 1011 · Heritage - 3513 - Operating

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Investors Prime Fund
General Ledger
All Transactions

2:52 PM
08/18/16

Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|-----------|-----|-----------------------------|--|----------------------|-----------|-----------|-----------|
| Check | 02/01/2010 | 020110... | | Peto Peterson | 0888-ACH 2/1/10 estib | 3030 - Distributions | | 308.05 | 59,294.43 |
| Check | 02/01/2010 | 020110... | | Pattatoff Family Trust | 0888-ACH 2/1/10 estib | 3030 - Distributions | | 1,540.23 | 59,294.43 |
| Check | 02/01/2010 | 020110... | | Russell L. Varenkamp Jr. | 0888-ACH 2/1/10 estib | 3030 - Distributions | | 308.05 | 57,676.15 |
| Check | 02/01/2010 | 020110... | | Ned & Lorenne Passama... | 0888-ACH 2/1/10 estib | 3030 - Distributions | | 308.05 | 57,676.15 |
| Check | 02/01/2010 | 020110... | | Thomas V. Emerson | 0888-ACH 2/1/10 estib | 3030 - Distributions | | 308.05 | 57,368.10 |
| Check | 02/01/2010 | 020110... | | Hanson Family Trust | 1000-ACH 2/1/10 estib | 3030 - Distributions | | 308.05 | 57,060.05 |
| Check | 02/01/2010 | 020110... | | Charles Pouller | 1002-ACH 2/1/10 estib | 3030 - Distributions | | 154.02 | 56,906.03 |
| Check | 02/01/2010 | 020110... | | Levin Family Trust | 1005-ACH 2/1/10 estib | 3030 - Distributions | | 308.05 | 56,597.98 |
| Check | 02/01/2010 | 020110... | | Fuda Daman | Feb 2010 distribution | 3030 - Distributions | | 844.05 | 55,753.93 |
| Check | 02/01/2010 | 020110... | | John Emerson | Mar 2010 distribution | 3030 - Distributions | | 172.30 | 55,581.63 |
| Check | 03/01/2010 | 3021 | | TD Ameritrade FBO Ja... | Mar 2010 distribution | 3030 - Distributions | | 50.56 | 55,531.07 |
| Check | 03/01/2010 | 3021 | | Allen Barbieri | Mar 2010 distribution | 3030 - Distributions | | 57.22 | 55,473.85 |
| Check | 03/01/2010 | 3022 | | Junishi and Cullingman ... | Mar 2010 distribution | 3030 - Distributions | | 1,088.00 | 54,385.85 |
| Check | 03/01/2010 | 3023 | | Ferish & Rahmetallah ... | Mar 2010 distribution | 3030 - Distributions | | 735.16 | 53,650.69 |
| Check | 03/01/2010 | 3023 | | TD Ameritrade FBO M... | Mar 2010 distribution | 3030 - Distributions | | 552.41 | 53,098.28 |
| Check | 03/01/2010 | 3024 | | Hanson Family Trust | Mar 2010 distribution | 3030 - Distributions | | 172.30 | 52,925.98 |
| Check | 03/01/2010 | 3025 | | SB Capital | Mar 2010 distribution | 3030 - Distributions | | 574.15 | 52,351.83 |
| Check | 03/01/2010 | 3026 | | Lillian Re | Mar 2010 distribution \$0.4 - withdrawal of \$8.66 | 3030 - Distributions | | 201.02 | 52,150.83 |
| Check | 03/01/2010 | 3027 | | Frances M. Sheng Trust | VOID: Mar 2010 distribution of \$143.59 | 3030 - Distributions | 0.00 | 1,435.86 | 50,714.97 |
| Check | 03/01/2010 | 3028 | | Elise M. Napoli | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 49,279.38 |
| Check | 03/01/2010 | 3029 | | James Bradfield | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 49,135.79 |
| Check | 03/01/2010 | 3030 | | Philip J. & Joyce D. Bush | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 48,992.20 |
| Check | 03/01/2010 | 3031 | | VOID | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 48,848.61 |
| Check | 03/01/2010 | 3032 | | Frances M. Sheng Trust | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 48,705.02 |
| Check | 03/01/2010 | 030110-1 | | Michael and Martha Re... | Mar 2010 distribution | 3030 - Distributions | | 612.53 | 48,092.49 |
| Check | 03/01/2010 | 030110-2 | | James Bradfield | Mar 2010 distribution | 3030 - Distributions | | 1,723.04 | 46,369.45 |
| Check | 03/01/2010 | 030110-3 | | James Bradfield | Mar 2010 distribution | 3030 - Distributions | | 47,150.12 | 46,369.45 |
| Check | 03/01/2010 | 030110-4 | | James Bradfield | Mar 2010 distribution | 3030 - Distributions | | 46,575.77 | 46,369.45 |
| Check | 03/01/2010 | 030110-5 | | Lee V. Emerson | Mar 2010 distribution | 3030 - Distributions | | 45,242.12 | 46,369.45 |
| Check | 03/01/2010 | 030110-6 | | St. Clair 2003 Trust | Mar 2010 distribution | 3030 - Distributions | | 608.19 | 45,854.26 |
| Check | 03/01/2010 | 030110-7 | | Stephan Hengeler | Mar 2010 distribution | 3030 - Distributions | | 402.04 | 45,452.22 |
| Check | 03/01/2010 | 030110-8 | | The Rainier Living Trust | Mar 2010 distribution | 3030 - Distributions | | 287.17 | 45,165.05 |
| Check | 03/01/2010 | 030110-9 | | Donald J. Schori Revoc... | Mar 2010 distribution | 3030 - Distributions | | 1,407.15 | 43,757.90 |
| Check | 03/01/2010 | 030110-10 | | Tunberg Testamentary ... | Mar 2010 distribution | 3030 - Distributions | | 236.42 | 43,521.48 |
| Check | 03/01/2010 | 030110-11 | | Sail Levey Testamentary ... | Mar 2010 distribution | 3030 - Distributions | | 1,468.69 | 42,052.79 |
| Check | 03/01/2010 | 030110-12 | | Cramer Revocable Trust | Mar 2010 distribution | 3030 - Distributions | | 1,468.69 | 40,584.10 |
| Check | 03/01/2010 | 030110-13 | | David and Denise Keste... | Mar 2010 distribution | 3030 - Distributions | | 1,468.69 | 39,115.41 |
| Check | 03/01/2010 | 030110-14 | | Alonzo Weigert | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 38,671.82 |
| Check | 03/01/2010 | 030110-15 | | W. Ronald Rascher | Mar 2010 distribution | 3030 - Distributions | | 861.12 | 37,810.70 |
| Check | 03/01/2010 | 030110-16 | | Trust of Michael and Ca... | Mar 2010 distribution | 3030 - Distributions | | 36,957.64 | 37,810.70 |
| Check | 03/01/2010 | 030110-17 | | Robert M. Lowen, M.D. ... | Mar 2010 distribution | 3030 - Distributions | | 36,522.26 | 37,445.44 |
| Check | 03/01/2010 | 030110-18 | | Kotich Family Trust | Mar 2010 distribution | 3030 - Distributions | | 35,244.34 | 37,091.10 |
| Check | 03/01/2010 | 030110-19 | | Robert M. Lowen, M.D. ... | Mar 2010 distribution | 3030 - Distributions | | 34,791.47 | 36,743.63 |
| Check | 03/01/2010 | 030110-20 | | Robert M. Lowen, M.D. ... | Mar 2010 distribution | 3030 - Distributions | | 34,445.38 | 36,398.25 |
| Check | 03/01/2010 | 030110-21 | | Candy R Neal & Anna V... | Mar 2010 distribution | 3030 - Distributions | | 33,862.54 | 36,053.71 |
| Check | 03/01/2010 | 030110-22 | | Le Felix Ventures | Mar 2010 distribution | 3030 - Distributions | | 574.35 | 35,479.36 |
| Check | 03/01/2010 | 030110-23 | | Anthony Lok | Mar 2010 distribution | 3030 - Distributions | | 800.82 | 34,678.54 |
| Check | 03/01/2010 | 030110-24 | | Hebert Hogan | Mar 2010 distribution | 3030 - Distributions | | 1,522.01 | 33,156.53 |
| Check | 03/01/2010 | 030110-25 | | James D. Bush | Mar 2010 distribution | 3030 - Distributions | | 287.17 | 32,869.36 |
| Check | 03/01/2010 | 030110-26 | | Tee-Kin Kwok | Mar 2010 distribution | 3030 - Distributions | | 298.13 | 32,571.23 |
| Check | 03/01/2010 | 030110-27 | | Doris S. Davidson | Mar 2010 distribution | 3030 - Distributions | | 298.13 | 32,273.10 |
| Check | 03/01/2010 | 030110-28 | | Jane Luffard Revocabl... | Mar 2010 distribution | 3030 - Distributions | | 1,452.40 | 30,820.70 |
| Check | 03/01/2010 | 030110-29 | | Frank E. Allen | Mar 2010 distribution | 3030 - Distributions | | 27,842.02 | 29,368.68 |
| Check | 03/01/2010 | 030110-30 | | James D. Bush | Mar 2010 distribution | 3030 - Distributions | | 27,354.85 | 27,013.83 |
| Check | 03/01/2010 | 030110-31 | | Patsatoff Family Trust | Mar 2010 distribution | 3030 - Distributions | | 143.59 | 26,870.24 |
| Check | 03/01/2010 | 030110-32 | | Russell L. Varenkamp Jr. | Mar 2010 distribution | 3030 - Distributions | | 287.17 | 26,583.07 |
| Check | 03/01/2010 | 030110-33 | | Ned & Lorenne Passama... | Mar 2010 distribution | 3030 - Distributions | | 25,344.65 | 26,328.62 |
| Check | 03/01/2010 | 030110-34 | | The Smith 1986 Revoc... | Mar 2010 distribution | 3030 - Distributions | | 287.17 | 26,041.45 |
| Check | 03/01/2010 | 030110-35 | | Charles Pouller | Mar 2010 distribution | 3030 - Distributions | | 287.17 | 25,754.28 |
| Check | 03/01/2010 | 030110-36 | | Levin Family Trust | Mar 2010 distribution | 3030 - Distributions | | 1,417.04 | 24,337.24 |
| Check | 03/01/2010 | 030110-37 | | Fuda Daman | Mar 2010 distribution | 3030 - Distributions | | 287.17 | 24,050.07 |
| Check | 03/01/2010 | 030110-38 | | Lee V. Emerson | Mar 2010 distribution | 3030 - Distributions | | 786.85 | 23,263.22 |
| Check | 03/01/2010 | 030110-39 | | VOID | Mar 2010 distribution | 3030 - Distributions | | 20,000.00 | 3,263.22 |
| Check | 03/01/2010 | 030110-40 | | Deposit Charge | 3040 - Withdrawals | 3040 - Withdrawals | 30,000.00 | | 3,263.22 |
| Check | 03/01/2010 | 030110-41 | | Michael and Martha Re... | Apr 2010 distribution | 3030 - Distributions | | 20.00 | 3,243.22 |
| Check | 03/01/2010 | 030110-42 | | James Bradfield | Apr 2010 distribution | 3030 - Distributions | | 1,735.15 | 1,508.07 |
| Check | 03/01/2010 | 030110-43 | | Hudson Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 931.69 |
| Check | 03/01/2010 | 030110-44 | | Lee V. Emerson | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 355.31 |
| Check | 03/01/2010 | 030110-45 | | Stephan Hengeler | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 30,222.34 |
| Check | 03/01/2010 | 030110-46 | | The Rainier Living Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 30,222.34 |
| Check | 03/01/2010 | 030110-47 | | Donald J. Schori Revoc... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 29,645.96 |
| Check | 03/01/2010 | 030110-48 | | Tunberg Testamentary ... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 29,069.58 |
| Check | 03/01/2010 | 030110-49 | | James D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 28,493.20 |
| Check | 03/01/2010 | 030110-50 | | John Emerson | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 27,916.82 |
| Check | 03/01/2010 | 030110-51 | | TD Ameritrade FBO Ja... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 27,340.44 |
| Check | 03/01/2010 | 030110-52 | | Cramer Revocable Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 26,764.06 |
| Check | 03/01/2010 | 030110-53 | | David and Denise Keste... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 26,187.68 |
| Check | 03/01/2010 | 030110-54 | | James Bradfield | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 25,611.30 |
| Check | 03/01/2010 | 030110-55 | | W. Ronald Rascher | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 25,034.92 |
| Check | 03/01/2010 | 030110-56 | | Trust of Michael and Ca... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 24,458.54 |
| Check | 03/01/2010 | 030110-57 | | Robert M. Lowen, M.D. ... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 23,882.16 |
| Check | 03/01/2010 | 030110-58 | | Allen Barbieri | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 23,305.78 |
| Check | 03/01/2010 | 030110-59 | | James D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 22,729.40 |
| Check | 03/01/2010 | 030110-60 | | Ferish & Rahmetallah ... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 22,153.02 |
| Check | 03/01/2010 | 030110-61 | | TD Ameritrade FBO M... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 21,576.64 |
| Check | 03/01/2010 | 030110-62 | | Heller Living Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 21,000.26 |
| Check | 03/01/2010 | 030110-63 | | Lillian Re | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 20,423.88 |
| Check | 03/01/2010 | 030110-64 | | James D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 19,847.50 |
| Check | 03/01/2010 | 030110-65 | | Stephan Hengeler | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 19,271.12 |
| Check | 03/01/2010 | 030110-66 | | Marchman Survivor Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 18,694.74 |
| Check | 03/01/2010 | 030110-67 | | Philip J. & Joyce D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 18,118.36 |
| Check | 03/01/2010 | 030110-68 | | TD Ameritrade FBO M... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 17,541.98 |
| Check | 03/01/2010 | 030110-69 | | James D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 16,965.60 |
| Check | 03/01/2010 | 030110-70 | | Robert M. Lowen, M.D. ... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 16,389.22 |
| Check | 03/01/2010 | 030110-71 | | Candy R Neal & Anna V... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 15,812.84 |
| Check | 03/01/2010 | 030110-72 | | Le Felix Ventures | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 15,236.46 |
| Check | 03/01/2010 | 030110-73 | | James D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 14,660.08 |
| Check | 03/01/2010 | 030110-74 | | Hebert Hogan | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 14,083.70 |
| Check | 03/01/2010 | 030110-75 | | Jenny D. Lee | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 13,507.32 |
| Check | 03/01/2010 | 030110-76 | | Tee-Kin Kwok | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 12,930.94 |
| Check | 03/01/2010 | 030110-77 | | Doris S. Davidson | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 12,354.56 |
| Check | 03/01/2010 | 030110-78 | | James Bradfield | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 11,778.18 |
| Check | 03/01/2010 | 030110-79 | | Stephan Hengeler | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 11,201.80 |
| Check | 03/01/2010 | 030110-80 | | Frank E. Allen | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 10,625.42 |
| Check | 03/01/2010 | 030110-81 | | James D. Bush | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 10,049.04 |
| Check | 03/01/2010 | 030110-82 | | Patsatoff Family Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 9,472.66 |
| Check | 03/01/2010 | 030110-83 | | VOID | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 8,896.28 |
| Check | 03/01/2010 | 030110-84 | | Michael and Martha Re... | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 8,319.90 |
| Check | 03/01/2010 | 030110-85 | | James Bradfield | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 7,743.52 |
| Check | 03/01/2010 | 030110-86 | | Hudson Trust | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 7,167.14 |
| Check | 03/01/2010 | 030110-87 | | Lee V. Emerson | Apr 2010 distribution | 3030 - Distributions | | 576.38 | 6,590.76 |

Investors Prime Fund
General Ledger
All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|-----------|-----|-----------------------------|-----------------------|----------------------|-----------|-----------|--------------|
| Check | 04/01/2010 | 040110... | | Russell L. Veenkamp Jr. | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 5,148.50 |
| Check | 04/01/2010 | 040110... | | Ned & Lorraine Passano... | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 5,437.69 |
| Check | 04/01/2010 | 040110... | | The Smith 1998 Revoc... | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 5,726.88 |
| Check | 04/01/2010 | 040110... | | Charles Family Trust | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 6,016.07 |
| Check | 04/01/2010 | 040110... | | Charles Family Trust | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 6,305.26 |
| Check | 04/01/2010 | 040110... | | Levin Family Trust | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 6,594.45 |
| Check | 04/01/2010 | 040110... | | Fadia Daman | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 6,883.64 |
| Check | 04/01/2010 | ACH | | Trust of Michael and Ca... | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 7,172.83 |
| Check | 04/01/2010 | 2007 | | Prima Mail | Apr 2010 distribution | 3030 - Distributions | | 289.19 | 7,462.02 |
| Deposit | 04/28/2010 | | | | orig posted to #3513 | | | 1,375.62 | 8,837.64 |
| Check | 05/01/2010 | 3048 | | John Escobar | May 2010 distribution | 3030 - Distributions | 30,000.00 | | 8,837.64 |
| Check | 05/01/2010 | 3050 | | TD Ameritrade FBO Ja... | May 2010 distribution | 3030 - Distributions | | 174.48 | 9,012.12 |
| Check | 05/01/2010 | 3051 | | Allen Barblert | May 2010 distribution | 3030 - Distributions | | 30.85 | 9,300.67 |
| Check | 05/01/2010 | 3052 | | Equity Trust FBO Dami... | May 2010 distribution | 3030 - Distributions | | 32.85 | 9,629.52 |
| Check | 05/01/2010 | 3053 | | TD Ameritrade FBO M... | May 2010 distribution | 3030 - Distributions | | 482.20 | 10,111.72 |
| Check | 05/01/2010 | 3054 | | TD Ameritrade FBO M... | May 2010 distribution | 3030 - Distributions | | | 10,593.92 |
| Check | 05/01/2010 | 3055 | | Hallier Living Trust | May 2010 distribution | 3030 - Distributions | | 177.32 | 10,771.24 |
| Check | 05/01/2010 | 3056 | | Lillian Re | May 2010 distribution | 3030 - Distributions | | | 10,948.56 |
| Check | 05/01/2010 | 3057 | | Frances M. Sheng Trust | May 2010 distribution | 3030 - Distributions | | 148.53 | 11,097.09 |
| Check | 05/01/2010 | 3058 | | Edie M. Nagoli | May 2010 distribution | 3030 - Distributions | | 208.07 | 11,305.16 |
| Check | 05/01/2010 | 3059 | | James Bradford | May 2010 distribution | 3030 - Distributions | | 1,639.15 | 12,944.31 |
| Check | 05/01/2010 | 3060 | | Phillip J. & Joyce D. Bach | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 41,139.91 |
| Check | 05/01/2010 | 3061 | | IRA Services FBO Peta... | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 69,335.51 |
| Check | 05/01/2010 | 3062 | | Huzhen Dong | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 97,531.11 |
| Check | 05/01/2010 | 3063 | | Kim Nhem Nguyen Liv... | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 125,726.71 |
| Check | 05/01/2010 | 3064 | | James Bradford | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 153,922.31 |
| Check | 05/01/2010 | 3065 | | James Bradford | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 182,117.91 |
| Check | 05/01/2010 | 3066 | | Lee V. Emerson | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 210,313.51 |
| Check | 05/01/2010 | 3067 | | St. Clair 2003 Trust | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 238,509.11 |
| Check | 05/01/2010 | 3068 | | The Fien Hengstler Trust | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 266,704.71 |
| Check | 05/01/2010 | 3069 | | Donald J. Schriber Revoc... | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 294,899.31 |
| Check | 05/01/2010 | 3070 | | Tunberg Testamentary ... | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 323,094.91 |
| Check | 05/01/2010 | 3071 | | Saul Levin | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 351,290.51 |
| Check | 05/01/2010 | 3072 | | James T. Cramer | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 379,486.11 |
| Check | 05/01/2010 | 3073 | | James T. Cramer | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 407,681.71 |
| Check | 05/01/2010 | 3074 | | Jerome Weigert | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 435,877.31 |
| Check | 05/01/2010 | 3075 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 464,072.91 |
| Check | 05/01/2010 | 3076 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 492,268.51 |
| Check | 05/01/2010 | 3077 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 520,464.11 |
| Check | 05/01/2010 | 3078 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 548,659.71 |
| Check | 05/01/2010 | 3079 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 576,855.31 |
| Check | 05/01/2010 | 3080 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 605,050.91 |
| Check | 05/01/2010 | 3081 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 633,246.51 |
| Check | 05/01/2010 | 3082 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 661,442.11 |
| Check | 05/01/2010 | 3083 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 689,637.71 |
| Check | 05/01/2010 | 3084 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 717,833.31 |
| Check | 05/01/2010 | 3085 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 746,028.91 |
| Check | 05/01/2010 | 3086 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 774,224.51 |
| Check | 05/01/2010 | 3087 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 802,420.11 |
| Check | 05/01/2010 | 3088 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 830,615.71 |
| Check | 05/01/2010 | 3089 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 858,811.31 |
| Check | 05/01/2010 | 3090 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 887,006.91 |
| Check | 05/01/2010 | 3091 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 915,202.51 |
| Check | 05/01/2010 | 3092 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 943,398.11 |
| Check | 05/01/2010 | 3093 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 971,593.71 |
| Check | 05/01/2010 | 3094 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,000,000.00 |
| Check | 05/01/2010 | 3095 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,028,195.60 |
| Check | 05/01/2010 | 3096 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,056,391.20 |
| Check | 05/01/2010 | 3097 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,084,586.80 |
| Check | 05/01/2010 | 3098 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,112,782.40 |
| Check | 05/01/2010 | 3099 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,140,978.00 |
| Check | 05/01/2010 | 3100 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,169,173.60 |
| Check | 05/01/2010 | 3101 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,197,369.20 |
| Check | 05/01/2010 | 3102 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,225,564.80 |
| Check | 05/01/2010 | 3103 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,253,760.40 |
| Check | 05/01/2010 | 3104 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,281,956.00 |
| Check | 05/01/2010 | 3105 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,310,151.60 |
| Check | 05/01/2010 | 3106 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,338,347.20 |
| Check | 05/01/2010 | 3107 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,366,542.80 |
| Check | 05/01/2010 | 3108 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,394,738.40 |
| Check | 05/01/2010 | 3109 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,422,934.00 |
| Check | 05/01/2010 | 3110 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,451,129.60 |
| Check | 05/01/2010 | 3111 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,479,325.20 |
| Check | 05/01/2010 | 3112 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,507,520.80 |
| Check | 05/01/2010 | 3113 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,535,716.40 |
| Check | 05/01/2010 | 3114 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,563,912.00 |
| Check | 05/01/2010 | 3115 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,592,107.60 |
| Check | 05/01/2010 | 3116 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,620,303.20 |
| Check | 05/01/2010 | 3117 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,648,498.80 |
| Check | 05/01/2010 | 3118 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,676,694.40 |
| Check | 05/01/2010 | 3119 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,704,890.00 |
| Check | 05/01/2010 | 3120 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,733,085.60 |
| Check | 05/01/2010 | 3121 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,761,281.20 |
| Check | 05/01/2010 | 3122 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,789,476.80 |
| Check | 05/01/2010 | 3123 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,817,672.40 |
| Check | 05/01/2010 | 3124 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,845,868.00 |
| Check | 05/01/2010 | 3125 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,874,063.60 |
| Check | 05/01/2010 | 3126 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,902,259.20 |
| Check | 05/01/2010 | 3127 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,930,454.80 |
| Check | 05/01/2010 | 3128 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,958,650.40 |
| Check | 05/01/2010 | 3129 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 1,986,846.00 |
| Check | 05/01/2010 | 3130 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,015,041.60 |
| Check | 05/01/2010 | 3131 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,043,237.20 |
| Check | 05/01/2010 | 3132 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,071,432.80 |
| Check | 05/01/2010 | 3133 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,100,000.00 |
| Check | 05/01/2010 | 3134 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,128,195.60 |
| Check | 05/01/2010 | 3135 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,156,391.20 |
| Check | 05/01/2010 | 3136 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,184,586.80 |
| Check | 05/01/2010 | 3137 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,212,782.40 |
| Check | 05/01/2010 | 3138 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,240,978.00 |
| Check | 05/01/2010 | 3139 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,269,173.60 |
| Check | 05/01/2010 | 3140 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,297,369.20 |
| Check | 05/01/2010 | 3141 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,325,564.80 |
| Check | 05/01/2010 | 3142 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,353,760.40 |
| Check | 05/01/2010 | 3143 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,381,956.00 |
| Check | 05/01/2010 | 3144 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,410,151.60 |
| Check | 05/01/2010 | 3145 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,438,347.20 |
| Check | 05/01/2010 | 3146 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,466,542.80 |
| Check | 05/01/2010 | 3147 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,494,738.40 |
| Check | 05/01/2010 | 3148 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,522,934.00 |
| Check | 05/01/2010 | 3149 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,551,129.60 |
| Check | 05/01/2010 | 3150 | | W. Ronald Reacder | May 2010 distribution | 3030 - Distributions | | 28,195.60 | 2,579,325.20 |
| Check | 05/01/2010 | 31 | | | | | | | |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|-----------|-----|----------------------------|---|----------------------------------|------------|-----------|------------|
| Check | 06/01/2010 | 060110... | | Pete Petersen | #0890-ACH June 2010 distribution | 3030 - Distributions | | | |
| Check | 06/01/2010 | 060110... | | Patapoff Family Trust | #0896-ACH June 2010 distribution | 3030 - Distributions | | | |
| Check | 06/01/2010 | 060110... | | Russell L. Varenkamp Jr. | #0898-ACH June 2010 distribution | 3030 - Distributions | | 369.57 | 5,951.59 |
| Check | 06/01/2010 | 060110... | | Ned & Lorraine Passma... | #0890-ACH June 2010 distribution | 3030 - Distributions | | 1,534.73 | 4,416.86 |
| Check | 06/01/2010 | 060110... | | The Smith 1996 Revoc... | #0898-ACH June 2010 distribution | 3030 - Distributions | | 924.14 | 3,492.72 |
| Check | 06/01/2010 | 060110... | | Hanson Family Trust | #1001-ACH June 2010 distribution | 3030 - Distributions | | 307.00 | 3,185.72 |
| Check | 06/01/2010 | 060110... | | Charles Poulter | #1002-ACH June 2010 distribution | 3030 - Distributions | | 307.83 | 2,877.89 |
| Check | 06/01/2010 | 060110... | | Levin Family Trust | #1005-ACH June 2010 distribution | 3030 - Distributions | | 307.88 | 2,570.01 |
| Check | 06/01/2010 | 060110... | | Fadia Damon | #1008-ACH June 2010 distribution | 3030 - Distributions | | 153.96 | 2,416.05 |
| Check | 06/08/2010 | 060110... | | Huazhen Deng | #1024-ACH June 2010 distribution | 3030 - Distributions | | 308.05 | 2,108.00 |
| Deposit | 06/08/2010 | 061410 | | Trust of Michael and Ca... | Partial Withdrawal #0913 | 3030 - Distributions | | 844.05 | 1,263.95 |
| Check | 06/12/2010 | 3082 | | Trust of Michael and Ca... | Service Charge | 3040 - Withdrawals | 100,000.00 | 154.02 | -1,109.93 |
| Check | 07/01/2010 | 3083 | | John Escobar | #0913-ACH Final Withdrawal to close act | 1060 - Interbank Transfers | | 40,000.00 | -38,890.07 |
| Check | 07/01/2010 | 3084 | | TD Ameritrade FBO Ja... | Jul 10 distrib | 3030 - Withdrawals | | 32,741.25 | 61,109.93 |
| Check | 07/01/2010 | 3085 | | Allen Barbert | Jul 10 distrib | 6505 - Bank Fees and Service ... | | 0.02 | 28,368.68 |
| Check | 07/01/2010 | 3086 | | Faridh & Rahmatullah ... | Jul 10 distrib | 3030 - Distributions | | 165.04 | 28,203.62 |
| Check | 07/01/2010 | 3087 | | IRA Services FBO Maur... | Jul 10 distrib | 3030 - Distributions | | 289.28 | 27,914.34 |
| Check | 07/01/2010 | 3088 | | Heller Living Trust | Jul 10 distrib | 3030 - Distributions | | 550.14 | 27,364.20 |
| Check | 07/01/2010 | 3089 | | Frances M. Sheng Trust | Jul 10 distrib | 3030 - Distributions | | 238.89 | 27,124.31 |
| Check | 07/01/2010 | 3090 | | Elsie M. Napoli | Jul 10 distrib | 3030 - Distributions | | 231.35 | 26,892.96 |
| Check | 07/01/2010 | 3091 | | Marchman Survivor Trust | Jul 10 distrib | 3030 - Distributions | | 165.75 | 26,727.21 |
| Check | 07/01/2010 | 3092 | | Philip J. & Joyce D. Bach | Jul 10 distrib | 3030 - Distributions | | 254.95 | 26,472.26 |
| Check | 07/01/2010 | 3093 | | IRA Services FBO Pete... | Jul 10 distrib | 3030 - Distributions | | 303.28 | 26,168.98 |
| Check | 07/01/2010 | 3094 | | Kim Nhim Nguyen Liv ... | Jul 10 distrib | 3030 - Distributions | | 2,786.29 | 23,482.69 |
| Check | 07/01/2010 | 3095 | | Tin Thi To | Jul 10 distrib | 3030 - Distributions | | 279.78 | 23,173.78 |
| Check | 07/01/2010 | 070110-1 | | Gladys D. McDowell | Jul 10 distrib | 3030 - Distributions | | 557.34 | 22,624.44 |
| Check | 07/01/2010 | 070110-2 | | Michael and Martha Re... | Jul 10 distrib | 3030 - Distributions | | 139.34 | 22,485.10 |
| Check | 07/01/2010 | 070110-3 | | James Bradford | Jul 10 distrib | 3030 - Distributions | | 139.34 | 22,345.76 |
| Check | 07/01/2010 | 070110-4 | | Hutchinson Trust | Jul 10 distrib | 3030 - Distributions | | 128.19 | 22,217.57 |
| Check | 07/01/2010 | 070110-5 | | Lee V. Emerson | Jul 10 distrib | 3030 - Distributions | | 586.61 | 21,630.96 |
| Check | 07/01/2010 | 070110-6 | | St. Clair 2003 Trust | Jul 10 distrib | 3030 - Distributions | | 1,650.39 | 19,978.57 |
| Check | 07/01/2010 | 070110-7 | | Stephan Hengstler | Jul 10 distrib | 3030 - Distributions | | 550.13 | 19,428.44 |
| Check | 07/01/2010 | 070110-8 | | The Rainier Living Trust | Jul 10 distrib | 3030 - Distributions | | 298.69 | 19,129.75 |
| Check | 07/01/2010 | 070110-9 | | Tunberg Testamentary ... | Jul 10 distrib | 3030 - Distributions | | 580.53 | 18,549.22 |
| Check | 07/01/2010 | 070110-10 | | Saul Levin | Jul 10 distrib | 3030 - Distributions | | 416.63 | 18,132.59 |
| Check | 07/01/2010 | 070110-11 | | David and Denise Keste... | Jul 10 distrib | 3030 - Distributions | | 275.06 | 17,857.53 |
| Check | 07/01/2010 | 070110-12 | | Jerome Weigert | Jul 10 distrib | 3030 - Distributions | | 1,098.19 | 16,759.34 |
| Check | 07/01/2010 | 070110-13 | | Amrodi Trust | Jul 10 distrib | 3030 - Distributions | | 1,100.48 | 15,658.86 |
| Check | 07/01/2010 | 070110-14 | | W. Ronald Raedker | Jul 10 distrib | 3030 - Distributions | | 1,402.83 | 14,256.03 |
| Check | 07/01/2010 | 070110-15 | | Trust of Michael and Ca... | Jul 10 distrib | 3030 - Distributions | | 1,067.78 | 13,188.25 |
| Check | 07/01/2010 | 070110-16 | | Korich Family Trust | Jul 10 distrib | 3030 - Distributions | | 137.53 | 13,050.72 |
| Check | 07/01/2010 | 070110-17 | | The Charlene A. Cordo... | Jul 10 distrib | 3030 - Distributions | | 414.40 | 12,636.32 |
| Check | 07/01/2010 | 070110-18 | | Le Felix Ventures | Jul 10 distrib | 3030 - Distributions | | 227.06 | 12,409.26 |
| Check | 07/01/2010 | 070110-19 | | Anthony Lok | Jul 10 distrib | 3030 - Distributions | | 205.70 | 12,203.56 |
| Check | 07/01/2010 | 070110-20 | | Herbert Hoglan | Jul 10 distrib | 3030 - Distributions | | 1,168.11 | 11,035.45 |
| Check | 07/01/2010 | 070110-21 | | Jenny D. Lee | Jul 10 distrib | 3030 - Distributions | | 719.91 | 10,315.54 |
| Check | 07/01/2010 | 070110-22 | | Tsz-Kin Kwok | Jul 10 distrib | 3030 - Distributions | | 550.70 | 9,764.84 |
| Check | 07/01/2010 | 070110-23 | | Doris S. Davidson | Jul 10 distrib | 3030 - Distributions | | 773.19 | 9,000.65 |
| Check | 07/01/2010 | 070110-24 | | Jane Luthard Revocabl... | Jul 10 distrib | 3030 - Distributions | | 1,456.65 | 7,543.00 |
| Check | 07/01/2010 | 070110-25 | | Frank E. Allen | Jul 10 distrib | 3030 - Distributions | | 1,393.36 | 6,149.64 |
| Check | 07/01/2010 | 070110-26 | | Monique Bjorndal | Jul 10 distrib | 3030 - Distributions | | 276.04 | 5,873.60 |
| Check | 07/01/2010 | 070110-27 | | Pete Petersen | Jul 10 distrib | 3030 - Distributions | | 278.31 | 5,595.29 |
| Check | 07/01/2010 | 070110-28 | | Patapoff Family Trust | Jul 10 distrib | 3030 - Distributions | | 843.00 | 4,752.29 |
| Check | 07/01/2010 | 070110-29 | | Russell L. Varenkamp Jr. | Jul 10 distrib | 3030 - Distributions | | 1,406.53 | 3,345.76 |
| Check | 07/01/2010 | 070110-30 | | Ned & Lorraine Passma... | Jul 10 distrib | 3030 - Distributions | | 334.41 | 3,011.35 |
| Check | 07/01/2010 | 070110-31 | | The Smith 1996 Revoc... | Jul 10 distrib | 3030 - Distributions | | 1,388.37 | 1,622.98 |
| Check | 07/01/2010 | 070110-32 | | Hanson Family Trust | Jul 10 distrib | 3030 - Distributions | | 836.01 | 886.97 |
| Check | 07/01/2010 | 070110-33 | | Charles Poulter | Jul 10 distrib | 3030 - Distributions | | 277.72 | 609.25 |
| Check | 07/01/2010 | 070110-34 | | Levin Family Trust | Jul 10 distrib | 3030 - Distributions | | 278.47 | 330.78 |
| Check | 07/01/2010 | 070110-35 | | Fadia Damon | Jul 10 distrib | 3030 - Distributions | | 278.52 | 55.26 |
| Check | 07/01/2010 | 070110-36 | | Huazhen Deng | Jul 10 distrib | 3030 - Distributions | | 139.28 | -184.02 |
| Check | 07/01/2010 | 070110-37 | | Elizabeth Cehovec | Jul 10 distrib | 3030 - Distributions | | 278.67 | -462.69 |
| Check | 07/08/2010 | 070810-1 | | Lillian Re | Jul 10 distrib | 3030 - Distributions | | 783.56 | -1,246.25 |
| Check | 07/08/2010 | 070810-2 | | Michael K. Griswold | Jul 2010 Distribution | 3030 - Distributions | | 139.34 | -1,385.59 |
| Check | 07/08/2010 | 070810-3 | | Miu Ying Tsang | Jul 2010 distrib | 3030 - Distributions | | 250.09 | -1,635.68 |
| Deposit | 07/19/2010 | 3096 | | Wisom Family Trust | Jul 10 distrib | 3030 - Distributions | | 775.72 | -859.96 |
| Check | 07/19/2010 | | | Service Charge | 1060 - Interbank Transfers | 25,000.00 | | 134.69 | -725.27 |
| Check | 08/01/2010 | 3097 | | John Escobar | Aug 2010 distrib | 6505 - Bank Fees and Service ... | | 46.45 | -771.72 |
| Check | 08/01/2010 | 3098 | | TD Ameritrade FBO Ja... | Aug 2010 distrib | 3030 - Distributions | | 269.38 | -1,041.10 |
| Check | 08/01/2010 | 3099 | | Allen Barbert | Aug 2010 distrib | 3030 - Distributions | | 14.90 | -1,056.00 |
| Check | 08/01/2010 | 3100 | | Faridh & Rahmatullah ... | Aug 2010 distrib | 3030 - Distributions | | 178.05 | -1,231.05 |
| Check | 08/01/2010 | 3101 | | IRA Services FBO Maur... | Aug 2010 distrib | 3030 - Distributions | | 220.09 | -1,451.14 |
| Check | 08/01/2010 | 3102 | | Heller Living Trust | Aug 2010 distrib | 3030 - Distributions | | 586.84 | -2,038.00 |
| Check | 08/01/2010 | 3103 | | Frances M. Sheng Trust | Aug 2010 distrib | 3030 - Distributions | | 124.06 | -2,162.06 |
| Check | 08/01/2010 | 3104 | | Elsie M. Napoli | Aug 2010 distrib | 3030 - Distributions | | 32.89 | -2,194.95 |
| Check | 08/01/2010 | 3105 | | Marchman Survivor Trust | Aug 2010 distrib | 3030 - Distributions | | 178.81 | -2,373.76 |
| Check | 08/01/2010 | 3106 | | Philip J. & Joyce D. Bach | Aug 2010 distrib | 3030 - Distributions | | 296.73 | -2,670.49 |
| Check | 08/01/2010 | 3107 | | IRA Services FBO Pete... | Aug 2010 distrib | 3030 - Distributions | | 267.53 | -2,938.02 |
| Check | 08/01/2010 | 3108 | | Kim Nhim Nguyen Liv ... | Aug 2010 distrib | 3030 - Distributions | | 2,972.15 | -2,665.87 |
| Check | 08/01/2010 | 3109 | | Tin Thi To | Aug 2010 distrib | 3030 - Distributions | | 298.44 | -2,964.31 |
| Check | 08/01/2010 | 3110 | | Andre Luthard | Aug 2010 distrib | 3030 - Distributions | | 594.52 | -3,558.83 |
| Check | 08/01/2010 | 3111 | | Sauer Family Trust | Aug 2010 distrib | 3030 - Distributions | | 148.63 | -3,707.46 |
| Check | 08/01/2010 | 3112 | | TD Ameritrade FBO T.L... | Aug 2010 distrib | 3030 - Distributions | | 86.30 | -3,793.76 |
| Check | 08/01/2010 | 3113 | | TD Ameritrade FBO Ca... | Aug 2010 distrib | 3030 - Distributions | | 44.58 | -3,838.34 |
| Check | 08/01/2010 | 3114 | | IRA Services FBO Maur... | Aug 2010 distrib | 3030 - Distributions | | 357.90 | -4,196.24 |
| Check | 08/01/2010 | 3115 | | Robert M. Lowen, M.D. ... | Aug 2010 distrib | 3030 - Distributions | | 20.78 | -4,217.02 |
| Check | 08/01/2010 | 3116 | | Wisom Family Trust | Aug 2010 distrib | 3030 - Distributions | | 23.45 | -4,240.47 |
| Check | 08/01/2010 | 3117 | | Frank E. Allen | Aug 2010 distrib | 3030 - Distributions | | 221.26 | -4,461.73 |
| Check | 08/01/2010 | 080110-1 | | Michael and Martha Re... | Aug 2010 distrib | 3030 - Distributions | | 297.98 | -4,759.71 |
| Check | 08/01/2010 | 080110-2 | | James Bradford | Aug 2010 distrib | 3030 - Distributions | | 363.01 | -5,122.72 |
| Check | 08/01/2010 | 080110-3 | | Hutchinson Trust | Aug 2010 distrib | 3030 - Distributions | | 625.74 | -5,748.46 |
| Check | 08/01/2010 | 080110-4 | | Lee V. Emerson | Aug 2010 distrib | 3030 - Distributions | | 1,760.48 | -7,508.94 |
| Check | 08/01/2010 | 080110-5 | | St. Clair 2003 Trust | Aug 2010 distrib | 3030 - Distributions | | 588.63 | -8,097.57 |
| Check | 08/01/2010 | 080110-6 | | Stephan Hengstler | Aug 2010 distrib | 3030 - Distributions | | 229.04 | -8,326.61 |
| Check | 08/01/2010 | 080110-7 | | The Rainier Living Trust | Aug 2010 distrib | 3030 - Distributions | | 448.85 | -8,775.46 |
| Check | 08/01/2010 | 080110-8 | | Tunberg Testamentary ... | Aug 2010 distrib | 3030 - Distributions | | 444.42 | -9,220.88 |
| Check | 08/01/2010 | 080110-9 | | Saul Levin | Aug 2010 distrib | 3030 - Distributions | | 283.41 | -9,504.29 |
| Check | 08/01/2010 | 080110-10 | | David and Denise Keste... | Aug 2010 distrib | 3030 - Distributions | | 1,108.11 | -10,612.40 |
| Check | 08/01/2010 | 080110-11 | | Jerome Weigert | Aug 2010 distrib | 3030 - Distributions | | 1,173.87 | -11,786.27 |
| Check | 08/01/2010 | 080110-12 | | Amrodi Trust | Aug 2010 distrib | 3030 - Distributions | | 1,486.41 | -13,272.68 |
| Check | 08/01/2010 | 080110-13 | | W. Ronald Raedker | Aug 2010 distrib | 3030 - Distributions | | 1,001.07 | -14,273.75 |
| Check | 08/01/2010 | 080110-14 | | Korich Family Trust | Aug 2010 distrib | 3030 - Distributions | | 146.71 | -14,420.46 |
| Check | 08/01/2010 | 080110-15 | | The Charlene A. Cordo... | Aug 2010 distrib | 3030 - Distributions | | 442.05 | -14,862.51 |
| Check | 08/01/2010 | 080110-16 | | Le Felix Ventures | Aug 2010 distrib | 3030 - Distributions | | 219.42 | -15,081.93 |
| Check | 08/01/2010 | 080110-17 | | Anthony Lok | Aug 2010 distrib | 3030 - Distributions | | 1,246.03 | -16,327.96 |
| Check | 08/01/2010 | 080110-18 | | Herbert Hoglan | Aug 2010 distrib | 3030 - Distributions | | 836.55 | -17,164.51 |
| Check | 08/01/2010 | 080110-19 | | Jenny D. Lee | Aug 2010 distrib | 3030 - Distributions | | 587.43 | -17,751.94 |
| Check | 08/01/2010 | 080110-20 | | Tsz-Kin Kwok | Aug 2010 distrib | 3030 - Distributions | | 824.77 | -18,576.71 |
| Check | 08/01/2010 | 080110-21 | | Ana Lucia Davidson | Aug 2010 distrib | 3030 - Distributions | | 1,564.49 | -20,141.20 |
| Check | 08/01/2010 | 080110-22 | | | | 3030 - Distributions | | 1,487.45 | -21,628.65 |
| Check | 08/01/2010 | 080110-23 | | | | 3030 - Distributions | | 284.91 | -21,913.56 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|---|--|----------------------------------|--------------|------------|--------------|
| Check | 08/01/2010 | 080110... | | Jane Luthard Revocabl... | Aug 2010 distrib | 3030 - Distributions | | | |
| Check | 08/01/2010 | 080110... | | Frank E. Allen | Aug 2010 distrib | 3030 - Distributions | | 287.74 | -2,261.78 |
| Check | 08/01/2010 | 080110... | | Lillian Re | Aug 2010 distrib | 3030 - Distributions | | 885.89 | -2,947.67 |
| Check | 08/01/2010 | 080110... | | Monique Bjorndal | Aug 2010 distrib | 3030 - Distributions | | 892.52 | -3,540.19 |
| Check | 08/01/2010 | 080110... | | Pete Petersen | Aug 2010 distrib | 3030 - Distributions | | 1,500.36 | -5,340.55 |
| Check | 08/01/2010 | 080110... | | Patapoff Family Trust | Aug 2010 distrib | 3030 - Distributions | | 366.30 | -5,706.85 |
| Check | 08/01/2010 | 080110... | | Russell L. Varenkamp Jr. | Aug 2010 Distrib | 3030 - Distributions | | 1,480.99 | -7,187.84 |
| Check | 08/01/2010 | 080110... | | Ned & Lorraine Passma... | Aug 2010 distrib | 3030 - Distributions | | 891.78 | -8,079.62 |
| Check | 08/01/2010 | 080110... | | The Smith 1996 Revoc... | Aug 2010 distrib | 3030 - Distributions | | 286.25 | -8,375.87 |
| Check | 08/01/2010 | 080110... | | Hanson Family Trust | Aug 2010 distrib | 3030 - Distributions | | 287.05 | -8,672.92 |
| Check | 08/01/2010 | 080110... | | Charles Poulter | Aug 2010 distrib | 3030 - Distributions | | 287.10 | -8,970.02 |
| Check | 08/01/2010 | 080110... | | Levin Family Trust | Aug 2010 distrib | 3030 - Distributions | | 287.28 | -9,257.30 |
| Check | 08/01/2010 | 080110... | | Fadia Damon | Aug 2010 distrib | 3030 - Distributions | | 287.28 | -9,544.58 |
| Check | 08/01/2010 | 080110... | | Huazhen Deng | Aug 2010 distrib | 3030 - Distributions | | 814.49 | -10,230.34 |
| Check | 08/01/2010 | 080110... | | Michael K. Griswold | Aug 2010 Distrib | 3030 - Distributions | | 148.63 | -10,378.97 |
| Check | 08/01/2010 | 080110... | | Gladys D. McDowell | Aug 2010 distrib | 3030 - Distributions | | 148.63 | -10,527.60 |
| Check | 08/01/2010 | 080110... | | Elizabeth Cehovec | Aug 2010 distrib | 3030 - Distributions | | 178.36 | -10,705.96 |
| Check | 08/01/2010 | 080110... | | Miu Ying Tsang | Aug 2010 distrib | 3030 - Distributions | | 594.52 | -11,300.48 |
| Check | 08/01/2010 | 080110... | | Gregory & Ann Fisher | Aug 2010 distrib | 3030 - Distributions | | 148.63 | -11,449.11 |
| Check | 08/01/2010 | 080110... | | Daniel & Katherine Lau... | Aug 2010 distrib | 3030 - Distributions | | 148.59 | -11,598.70 |
| Check | 08/01/2010 | 080110... | | Kapoor Family Living Tr... | Aug 2010 Distrib | 3030 - Distributions | | 81.51 | -11,680.21 |
| Deposit | 08/04/2010 | | | Deposit | 1060 - Interbank Transfers | | 35,000.00 | | -11,747.33 |
| Deposit | 08/12/2010 | | | Deposit | 1060 - Interbank Transfers | | 1,000,000.00 | | 23,252.67 |
| Check | 08/18/2010 | 2041 | | VOID: July 2010 Distrib - reprint ACH didn't cle... | 3030 - Distributions | | 0.00 | | 1,023,252.67 |
| Check | 08/20/2010 | 3118 | | Robert M. Lowen, M.D. ... | Re-print of Voided ckt #2040 for Jul ACH didn't cle... | 3030 - Distributions | | | 1,023,252.67 |
| Check | 09/01/2010 | 090110-1 | | Michael and Martha Re... | #0826 Sep 10 distrib | 3030 - Distributions | | 298.93 | 1,023,551.60 |
| Check | 09/01/2010 | 090110-2 | | Hutchinson Trust | #0848 Sep 10 distrib | 3030 - Distributions | | 625.74 | 1,024,177.34 |
| Check | 09/01/2010 | 090110-3 | | St. Clair 2003 Trust | #0849 Sep 10 distrib | 3030 - Distributions | | 1,760.48 | 1,025,937.82 |
| Check | 09/01/2010 | 090110-4 | | Stephan Hengster | #0856 Sep 10 distrib | 3030 - Distributions | | 586.83 | 1,019,979.69 |
| Check | 09/01/2010 | 090110-5 | | The Rainier Living Trust | #0873 Sep 10 distrib | 3030 - Distributions | | 321.99 | 1,019,657.70 |
| Check | 09/01/2010 | 090110-6 | | Tunberg Testamentary ... | #0877 Sep 10 distrib | 3030 - Distributions | | 444.42 | 1,019,213.28 |
| Check | 09/01/2010 | 090110-7 | | Saul Levin | #0880 Sep 10 distrib | 3030 - Distributions | | 283.41 | 1,018,929.87 |
| Check | 09/01/2010 | 090110-8 | | David and Denise Keste... | #0886 Sep 10 distrib | 3030 - Distributions | | 1,108.11 | 1,017,821.76 |
| Check | 09/01/2010 | 090110-9 | | Jerome Weigert | #0896 Sep 10 distrib | 3030 - Distributions | | 1,173.87 | 1,016,647.89 |
| Check | 09/01/2010 | 090110... | | Amrodi Trust | #0907 Sep 10 distrib | 3030 - Distributions | | 1,496.41 | 1,015,151.48 |
| Check | 09/01/2010 | 090110... | | W. Ronald Raecker | #0907 Sep 10 distrib | 3030 - Distributions | | 675.03 | 1,014,476.45 |
| Check | 09/01/2010 | 090110... | | Korich Family Trust | #0908 Sep 10 distrib | 3030 - Distributions | | 146.71 | 1,014,329.74 |
| Check | 09/01/2010 | 090110... | | The Charlene A. Corda... | #0908 Sep 10 distrib | 3030 - Distributions | | 442.05 | 1,013,887.69 |
| Check | 09/01/2010 | 090110... | | Xiao Qun (Charlie) Za | #0938 Sep 10 distrib | 3030 - Distributions | | 1,246.03 | 1,012,641.66 |
| Check | 09/01/2010 | 090110... | | Le Felix Ventures | #0952 Sep 10 distrib | 3030 - Distributions | | 90.31 | 1,012,551.35 |
| Check | 09/01/2010 | 090110... | | Anthony Lok | #0953 Sep 10 distrib | 3030 - Distributions | | 878.89 | 1,011,672.46 |
| Check | 09/01/2010 | 090110... | | Herbert Hogen | #0960 Sep 10 distrib | 3030 - Distributions | | 507.43 | 1,011,165.03 |
| Check | 09/01/2010 | 090110... | | Jenny D. Lee | #0961 Sep 10 distrib | 3030 - Distributions | | 824.77 | 1,010,340.26 |
| Check | 09/01/2010 | 090110... | | Tsz-Kin Kwok | #0962 Sep 10 distrib | 3030 - Distributions | | 1,564.49 | 1,008,775.77 |
| Check | 09/01/2010 | 090110... | | Ana Lucia Davidson | #0965 Sep 10 distrib | 3030 - Distributions | | 1,489.27 | 1,007,286.50 |
| Check | 09/01/2010 | 090110... | | Jane Luthard Revocabl... | #0967 Sep 10 distrib | 3030 - Distributions | | 297.28 | 1,006,989.22 |
| Check | 09/01/2010 | 090110... | | Frank E. Allen | #0973 Sep 10 distrib | 3030 - Distributions | | 298.36 | 1,006,690.86 |
| Check | 09/01/2010 | 090110... | | Lillian Re | #0975 Sep 10 distrib | 3030 - Distributions | | 685.89 | 1,005,995.57 |
| Check | 09/01/2010 | 090110... | | Monique Bjorndal | #0980 Sep 10 distrib | 3030 - Distributions | | 892.52 | 1,004,802.05 |
| Check | 09/01/2010 | 090110... | | Pete Petersen | #0980 Sep 10 distrib | 3030 - Distributions | | 1,500.36 | 1,003,301.69 |
| Check | 09/01/2010 | 090110... | | Patapoff Family Trust | #0986 Sep 10 distrib | 3030 - Distributions | | 416.16 | 1,002,885.53 |
| Check | 09/01/2010 | 090110... | | Frances M. Sheng Trust | #0987 Sep 10 distrib | 3030 - Distributions | | 1,078.25 | 1,001,807.28 |
| Check | 09/01/2010 | 090110... | | Russell L. Varenkamp Jr. | #0988 Sep 10 distrib | 3030 - Distributions | | 298.73 | 1,001,508.55 |
| Check | 09/01/2010 | 090110... | | Ned & Lorraine Passma... | #0990 Sep 10 distrib | 3030 - Distributions | | 891.78 | 1,000,616.77 |
| Check | 09/01/2010 | 090110... | | The Smith 1996 Revoc... | #0998 Sep 10 distrib | 3030 - Distributions | | 296.25 | 1,000,320.52 |
| Check | 09/01/2010 | 090110... | | Hanson Family Trust | #1001 Sep 10 distrib | 3030 - Distributions | | 297.05 | 1,000,023.47 |
| Check | 09/01/2010 | 090110... | | Charles Poulter | #1002 Sep 10 distrib | 3030 - Distributions | | 431.93 | 999,591.54 |
| Check | 09/01/2010 | 090110... | | Levin Family Trust | #1005 Sep 10 distrib | 3030 - Distributions | | 287.10 | 999,303.44 |
| Check | 09/01/2010 | 090110... | | Fadia Damon | #1006 Sep 10 distrib | 3030 - Distributions | | 287.28 | 999,016.16 |
| Check | 09/01/2010 | 090110... | | Huazhen Deng | #1024 Sep 10 distrib | 3030 - Distributions | | 814.49 | 998,201.67 |
| Check | 09/01/2010 | 090110... | | Michael K. Griswold | #1029 Sep 10 distrib | 3030 - Distributions | | 182.48 | 998,019.19 |
| Check | 09/01/2010 | 090110... | | Vasson Family Trust | #1030 Sep 10 distrib | 3030 - Distributions | | 148.63 | 998,002.11 |
| Check | 09/01/2010 | 090110... | | Gladys D. McDowell | #1033 Sep 10 distrib | 3030 - Distributions | | 287.86 | 997,714.25 |
| Check | 09/01/2010 | 090110... | | Elizabeth Cehovec | #1034 Sep 10 distrib | 3030 - Distributions | | 178.36 | 997,535.89 |
| Check | 09/01/2010 | 090110... | | Miu Ying Tsang | #1037 Sep 10 distrib | 3030 - Distributions | | 594.52 | 996,941.37 |
| Check | 09/01/2010 | 090110... | | Gregory & Ann Fisher | #1038 Sep 10 distrib | 3030 - Distributions | | 148.63 | 996,792.94 |
| Check | 09/01/2010 | 090110... | | Andre Luthard | #1040 Sep 10 distrib | 3030 - Distributions | | 148.63 | 996,644.31 |
| Check | 09/01/2010 | 090110... | | Kapoor Family Living Tr... | #1048 Sep 10 distrib | 3030 - Distributions | | 148.63 | 996,495.68 |
| Check | 09/01/2010 | 090110... | | Lawrence A. & Frances ... | #1052 Sep 10 distrib | 3030 - Distributions | | 2,809.59 | 993,686.09 |
| Check | 09/01/2010 | 3119 | | John Escobar | #0882 Sep 10 distrib | 3030 - Distributions | | 81.51 | 993,204.14 |
| Check | 09/01/2010 | 3120 | | Allen Barber | #0921 Sep 10 distrib | 3030 - Distributions | | 178.05 | 993,026.09 |
| Check | 09/01/2010 | 3121 | | Hedler Living Trust | #0958 Sep 10 distrib | 3030 - Distributions | | 588.84 | 992,437.25 |
| Check | 09/01/2010 | 3122 | | Elise M. Napoli | #0988 Sep 10 distrib | 3030 - Distributions | | 176.81 | 992,260.44 |
| Check | 09/01/2010 | 3123 | | Don L. Marchman, TTEE | #0994 Sep 10 distrib | 3030 - Distributions | | 300.58 | 991,959.86 |
| Check | 09/01/2010 | 3124 | | Philip J. & Joyce D. Bach | #0955 Sep 10 distrib | 3030 - Distributions | | 2,972.15 | 988,987.71 |
| Check | 09/01/2010 | 3125 | | IRA Services FBO Pete... | #1019 Sep 10 distrib | 3030 - Distributions | | 298.44 | 988,689.27 |
| Check | 09/01/2010 | 3126 | | The Kim N. Nguyen Liv... | #1025 Sep 10 distrib | 3030 - Distributions | | 594.52 | 988,094.75 |
| Check | 09/01/2010 | 3127 | | Tin Thi To | #1026 Sep 10 distrib | 3030 - Distributions | | 244.52 | 987,850.23 |
| Check | 09/01/2010 | 3128 | | Randy Williams | #1026 Sep 10 distrib | 3030 - Distributions | | 427.38 | 987,422.85 |
| Check | 09/01/2010 | 3129 | | IRA Services FBO Davi... | #1055 Sep 10 distrib | 3030 - Distributions | | 232.25 | 987,190.60 |
| Check | 09/01/2010 | 3130 | | VA Compass FBO Felix... | #1056 Sep 10 distrib | 3030 - Distributions | | 124.65 | 987,065.95 |
| Check | 09/01/2010 | 3131 | | Small Business Capital... | Trf Funds for loan funding | 1510 - Investment in SBC LLC | | 40.27 | 987,025.68 |
| Check | 09/01/2010 | 2089 | | SB Capital Corp. | 2010 organizational fees | 1250 - LT Rec - Fund Mgrs Org... | | 500,000.00 | 487,025.68 |
| Check | 09/21/2010 | 2089 | | Investors Prime Fund, L... | Trf f Alta #7590 to Heritage #3513 | 1060 - Interbank Transfers | | 100,000.00 | 387,025.68 |
| General Journal | 09/30/2010 | 7590 | | Investors Prime Fund, L... | -MULTIPLE- | | | 350,000.00 | 37,025.68 |
| Deposit | 10/01/2010 | | | Deposit | -SPLIT- | | 332.19 | | 37,357.87 |
| Check | 10/01/2010 | 100110-1 | | Michael and Martha Re... | Oct 2010 distrib | 1060 - Interbank Transfers | 50,000.00 | | 87,357.87 |
| Check | 10/01/2010 | 100110-2 | | James Bradford | Oct 2010 distrib | 3030 - Distributions | | 627.53 | 86,730.33 |
| Check | 10/01/2010 | 100110-3 | | Hutchinson Trust | Oct 2010 distrib | 3030 - Distributions | | 1,765.31 | 84,965.02 |
| Check | 10/01/2010 | 100110-4 | | St. Clair 2003 Trust | Oct 2010 distrib | 3030 - Distributions | | 586.50 | 84,378.52 |
| Check | 10/01/2010 | 100110-5 | | Stephan Hengster | Oct 2010 distrib | 3030 - Distributions | | 322.91 | 84,055.61 |
| Check | 10/01/2010 | 100110-6 | | The Rainier Living Trust | Oct 2010 distrib | 3030 - Distributions | | 445.69 | 83,609.92 |
| Check | 10/01/2010 | 100110-7 | | Tunberg Testamentary ... | Oct 2010 distrib | 3030 - Distributions | | 294.25 | 83,315.67 |
| Check | 10/01/2010 | 100110-8 | | Saul Levin | Oct 2010 distrib | 3030 - Distributions | | 868.19 | 82,447.48 |
| Check | 10/01/2010 | 100110-9 | | David and Denise Keste... | Oct 2010 distrib | 3030 - Distributions | | 1,177.22 | 81,270.26 |
| Check | 10/01/2010 | 100110... | | Jerome Weigert | Oct 2010 distrib | 3030 - Distributions | | 1,500.68 | 79,769.58 |
| Check | 10/01/2010 | 100110... | | Amrodi Trust | Oct 2010 distrib | 3030 - Distributions | | 461.55 | 79,308.03 |
| Check | 10/01/2010 | 100110... | | W. Ronald Raecker | Oct 2010 distrib | 3030 - Distributions | | 147.13 | 79,160.90 |
| Check | 10/01/2010 | 100110... | | Korich Family Trust | Oct 2010 distrib | 3030 - Distributions | | 443.31 | 78,717.59 |
| Check | 10/01/2010 | 100110... | | The Charlene A. Corda... | Oct 2010 distrib | 3030 - Distributions | | 220.05 | 78,497.54 |
| Check | 10/01/2010 | 100110... | | Xiao Qun (Charlie) Za | Oct 2010 distrib | 3030 - Distributions | | 1,249.58 | 77,247.96 |
| Check | 10/01/2010 | 100110... | | Le Felix Ventures | Oct 2010 distrib | 3030 - Distributions | | 93.55 | 77,154.41 |
| Check | 10/01/2010 | 100110... | | Anthony Lok | Oct 2010 distrib | 3030 - Distributions | | 882.40 | 76,271.91 |
| Check | 10/01/2010 | 100110... | | Herbert Hogen | Oct 2010 distrib | 3030 - Distributions | | 599.11 | 75,672.80 |
| Check | 10/01/2010 | 100110... | | Jenny D. Lee | Oct 2010 distrib | 3030 - Distributions | | 827.12 | 74,845.68 |
| Check | 10/01/2010 | 100110... | | Tsz-Kin Kwok | Oct 2010 distrib | 3030 - Distributions | | 1,568.96 | 73,276.72 |
| Check | 10/01/2010 | 100110... | | Ana Lucia Davidson | Oct 2010 distrib | 3030 - Distributions | | 443.53 | 72,833.19 |
| Check | 10/01/2010 | 100110... | | Jane Luthard Revocabl... | Oct 2010 distrib | 3030 - Distributions | | 298.11 | 72,535.08 |
| Check | 10/01/2010 | 100110... | | Frank E. Allen | Oct 2010 distrib | 3030 - Distributions | | 298.22 | 72,236.86 |

2:52 PM
08/12/16
Annual Basis

Investors Prime Fund
General Ledger
All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|-----------|-----|----------------------------|-----------------|----------------------|-------|-----------|------------|
| Check | 10/01/2010 | 100110... | | Lillian Re | | | | | 68,516.83 |
| Check | 10/01/2010 | 100110... | | Monique Bjornald | Oct 2010 disarb | 3030 - Distributions | | 885.07 | 69,401.90 |
| Check | 10/01/2010 | 100110... | | Pete Paterson | Oct 2010 disarb | 3030 - Distributions | | 1,748.45 | 71,150.35 |
| Check | 10/01/2010 | 100110... | | Fraser Family Trust | Oct 2010 disarb | 3030 - Distributions | | 417.35 | 71,567.70 |
| Check | 10/01/2010 | 100110... | | Russell L. Verneberg Jr. | Oct 2010 disarb | 3030 - Distributions | | 880.00 | 72,447.70 |
| Check | 10/01/2010 | 100110... | | Ned & Lorraine Passina... | Oct 2010 disarb | 3030 - Distributions | | 267.58 | 72,715.28 |
| Check | 10/01/2010 | 100110... | | The Smith 1986 Revoc... | Oct 2010 disarb | 3030 - Distributions | | 894.33 | 73,609.61 |
| Check | 10/01/2010 | 100110... | | Hanson Family Trust | Oct 2010 disarb | 3030 - Distributions | | 237.00 | 73,846.61 |
| Check | 10/01/2010 | 100110... | | Levin Family Trust | Oct 2010 disarb | 3030 - Distributions | | 897.15 | 74,743.76 |
| Check | 10/01/2010 | 100110... | | Fadia Damon | Oct 2010 disarb | 3030 - Distributions | | 144.89 | 74,888.65 |
| Check | 10/01/2010 | 100110... | | Huzhen Deng | Oct 2010 disarb | 3030 - Distributions | | 284.11 | 75,172.76 |
| Check | 10/01/2010 | 100110... | | Michael K. Griswald | Oct 2010 disarb | 3030 - Distributions | | 616.62 | 75,789.38 |
| Check | 10/01/2010 | 100110... | | Gregory & Ann Fisher | Oct 2010 disarb | 3030 - Distributions | | 1,415.05 | 77,204.43 |
| Check | 10/01/2010 | 100110... | | Elizabeth Corvies | Oct 2010 disarb | 3030 - Distributions | | 148.05 | 77,352.48 |
| Check | 10/01/2010 | 100110... | | Min Ying Tang | Oct 2010 disarb | 3030 - Distributions | | 178.87 | 77,531.35 |
| Check | 10/01/2010 | 100110... | | Andre Luthard | Oct 2010 disarb | 3030 - Distributions | | 596.22 | 78,127.57 |
| Check | 10/01/2010 | 100110... | | Frank E. Allen Trust | Oct 2010 disarb | 3030 - Distributions | | 179.87 | 78,307.44 |
| Check | 10/01/2010 | 100110... | | Robert C. & Barbara M. ... | Oct 2010 disarb | 3030 - Distributions | | 145.05 | 78,452.49 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 2,817.14 | 81,269.63 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 149.05 | 81,418.68 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 176.55 | 81,595.23 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 584.52 | 82,179.75 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 177.32 | 82,357.07 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 2,915.85 | 85,272.92 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 589.28 | 85,862.10 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 589.22 | 86,451.32 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 447.16 | 86,898.48 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 894.33 | 87,792.81 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 301.09 | 88,093.90 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 2,011.71 | 90,105.61 |
| Check | 10/01/2010 | 100110... | | Allen Barber | Oct 2010 disarb | 3030 - Distributions | | 178.87 | 90,284.48 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 182.44 | 90,466.92 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 608.13 | 91,075.05 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 183.23 | 91,258.28 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 45,825.96 | 137,084.24 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 3,000.00 | 140,085.20 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 309.27 | 140,394.47 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 616.09 | 141,010.56 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 161.14 | 141,171.70 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 324.14 | 141,495.84 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 31.15 | 141,526.99 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 42,780.74 | 184,307.73 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 184.95 | 184,492.68 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 648.44 | 185,141.12 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 1,824.36 | 186,965.48 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 370.66 | 187,336.14 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 3,333.37 | 190,669.51 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 617.07 | 191,286.58 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 983.49 | 192,270.07 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 1,210.49 | 193,480.56 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 1,145.05 | 194,625.61 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 1,108.52 | 195,734.13 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 478.16 | 196,212.29 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 227.39 | 196,439.68 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 1,083.50 | 197,523.18 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 88.56 | 197,611.74 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 698.75 | 198,310.49 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 300.00 | 198,610.49 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 1,521.26 | 200,131.75 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 1,521.26 | 201,653.01 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 308.15 | 201,961.16 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 308.15 | 202,269.31 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 710.78 | 202,980.09 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 924.80 | 203,904.89 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 1,431.27 | 205,336.16 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 362.16 | 205,698.32 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 307.50 | 206,005.82 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 924.14 | 206,930.00 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 307.00 | 207,237.00 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 822.05 | 208,059.05 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 153.86 | 208,212.91 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 308.05 | 208,520.96 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 308.05 | 208,829.01 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 844.05 | 209,673.06 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 19,972.45 | 229,645.51 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 154.05 | 229,799.56 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 300.05 | 230,100.00 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 184.83 | 230,284.83 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 616.09 | 230,900.92 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 184.82 | 231,085.74 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 154.02 | 231,239.76 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 16,102.57 | 247,342.33 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 4,283.31 | 251,625.64 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 241.35 | 251,866.99 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 11,589.91 | 263,456.90 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 11,415.85 | 274,872.75 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 263.95 | 275,136.70 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 11,032.70 | 286,169.40 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 300.00 | 286,469.40 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 60,405.17 | 346,874.57 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 1,101.11 | 347,975.68 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 57,728.25 | 405,703.93 |
| Check | 10/01/2010 | 100110... | | Tin Thi To | Oct 2010 disarb | 3030 - Distributions | | 322.91 | 406,026.84 |
| Check | 10/01/2010 | 100110... | | Randy Williams | Oct 2010 disarb | 3030 - Distributions | | 462.85 | 406,489.69 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Davi... | Oct 2010 disarb | 3030 - Distributions | | 56,883.88 | 463,373.57 |
| Check | 10/01/2010 | 100110... | | John Escobar | Oct 2010 disarb | 3030 - Distributions | | 5,361.57 | 468,735.14 |
| Check | 10/01/2010 | 100110... | | James Bradford | Oct 2010 disarb | 3030 - Distributions | | 1,177.22 | 469,912.36 |
| Check | 10/01/2010 | 100110... | | Haller Living Trust | Oct 2010 disarb | 3030 - Distributions | | 1,500.68 | 471,413.04 |
| Check | 10/01/2010 | 100110... | | Elise M. Napoli | Oct 2010 disarb | 3030 - Distributions | | 52,365.54 | 523,778.58 |
| Check | 10/01/2010 | 100110... | | Don L. Merzhan, TTEE | Oct 2010 disarb | 3030 - Distributions | | 593.86 | 524,372.44 |
| Check | 10/01/2010 | 100110... | | Philip J. & Joyce D. Bach | Oct 2010 disarb | 3030 - Distributions | | 51,922.83 | 576,295.27 |
| Check | 10/01/2010 | 100110... | | IRA Services FBO Petr... | Oct 2010 disarb | 3030 - Distributions | | 1,203.05 | 577,498.32 |
| Check | 10/01/2010 | 100110... | | Kim Nham Nguyen Liv ... | Oct 2010 disarb | 3030 - Distributions | | 84.39 | 577,582.71 |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|-----------|-----|----------------------------|---|----------------------|-------|-----------|------------|
| Check | 12/01/2010 | 120110... | | Le Felix Ventures | #0852 Dec 2010 distrib | 3030 - Distributions | | 682.40 | 49,741.96 |
| Check | 12/01/2010 | 120110... | | Anthony Lok | #0853 Dec 2010 distrib | 3030 - Distributions | | 580.11 | 49,152.85 |
| Check | 12/01/2010 | 120110... | | Jetny D. Lee | #0861 Dec 2010 distrib | 3030 - Distributions | | 1,583.93 | 47,568.92 |
| Check | 12/01/2010 | 120110... | | Tsz-Kin Kwok | #0862 Dec 2010 distrib | 3030 - Distributions | | 1,493.53 | 46,090.36 |
| Check | 12/01/2010 | 120110... | | Ana Lucia Davidson | #0865 Dec 2010 distrib | 3030 - Distributions | | 280.11 | 45,792.25 |
| Check | 12/01/2010 | 120110... | | Jane Luthard Revocabl... | #0867 Dec 2010 distrib | 3030 - Distributions | | 299.22 | 45,493.03 |
| Check | 12/01/2010 | 120110... | | Frank E. Allen | #0869 Dec 2010 distrib | 3030 - Distributions | | 687.65 | 44,805.18 |
| Check | 12/01/2010 | 120110... | | Lillian Re | #0873 Dec 2010 distrib | 3030 - Distributions | | 895.07 | 43,910.11 |
| Check | 12/01/2010 | 120110... | | Monique Bjornal | #0875 Dec 2010 distrib | 3030 - Distributions | | 1,807.01 | 42,303.10 |
| Check | 12/01/2010 | 120110... | | Pete Petersen | #0880 Dec 2010 distrib | 3030 - Distributions | | 417.35 | 41,885.75 |
| Check | 12/01/2010 | 120110... | | Patspoff Family Trust | #0886 Dec 2010 distrib | 3030 - Distributions | | 41.78 | 41,843.97 |
| Check | 12/01/2010 | 120110... | | Frances M. Sheng Trust | #0887 Dec 2010 distrib | 3030 - Distributions | | 297.58 | 41,546.39 |
| Check | 12/01/2010 | 120110... | | Russell L. Varenkamp Jr. | #0889 Dec 2010 distrib | 3030 - Distributions | | 894.33 | 40,652.06 |
| Check | 12/01/2010 | 120110... | | Ned & Lorraine Passma... | #0890 Dec 2010 distrib | 3030 - Distributions | | 297.10 | 40,354.96 |
| Check | 12/01/2010 | 120110... | | The Smith 1996 Revoc... | #0898 Jan 11 distrib | 3030 - Distributions | | 297.90 | 38,806.06 |
| Check | 12/01/2010 | 120110... | | Hanson Family Trust | #1001 Dec 2010 distrib | 3030 - Distributions | | 897.15 | 38,903.91 |
| Check | 12/01/2010 | 120110... | | Charles Poulter | #1002 Dec 2010 distrib | 3030 - Distributions | | 148.99 | 38,754.92 |
| Check | 12/01/2010 | 120110... | | Levin Family Trust | #1005 Dec 2010 distrib | 3030 - Distributions | | 288.11 | 38,466.81 |
| Check | 12/01/2010 | 120110... | | Fadia Damon | #1006 Dec 2010 distrib | 3030 - Distributions | | 816.82 | 37,650.00 |
| Check | 12/01/2010 | 120110... | | Huazhen Deng | #1024 Dec 2010 distrib | 3030 - Distributions | | 329.71 | 37,320.29 |
| Check | 12/01/2010 | 120110... | | Michael K. Griswold | #1029 Jan 11 distrib | 3030 - Distributions | | 149.05 | 37,171.24 |
| Check | 12/01/2010 | 120110... | | Wason Family Trust | #1030 Dec 2010 distrib | 3030 - Distributions | | 298.11 | 36,873.13 |
| Check | 12/01/2010 | 120110... | | Gladys D. McDowell | #1032 Dec 2010 distrib | 3030 - Distributions | | 178.87 | 36,694.26 |
| Check | 12/01/2010 | 120110... | | Elizabeth Cehovec | #1033 Jan 11 distrib | 3030 - Distributions | | 586.22 | 36,108.04 |
| Check | 12/01/2010 | 120110... | | Miu Ying Tsang | #1034 Jan 11 distrib | 3030 - Distributions | | 149.05 | 35,958.99 |
| Check | 12/01/2010 | 120110... | | Gregory & Ann Fisher | #1037 Jan 11 distrib | 3030 - Distributions | | 178.87 | 35,780.12 |
| Check | 12/01/2010 | 120110... | | Andre Luthard | #1038 Jan 11 distrib | 3030 - Distributions | | 149.05 | 35,631.07 |
| Check | 12/01/2010 | 120110... | | Daniel & Katherine Lau... | #1040 Dec 2010 distrib | 3030 - Distributions | | 149.05 | 35,482.02 |
| Check | 12/01/2010 | 120110... | | Andrew Kin Lui | #1041 Dec 2010 distrib | 3030 - Distributions | | 307.32 | 35,174.70 |
| Check | 12/01/2010 | 120110... | | Donna Fang Lui | #1047 Jan 11 distrib | 3030 - Distributions | | 504.54 | 34,670.16 |
| Check | 12/01/2010 | 120110... | | Frank E. Allen Trust | #1048 Jan 11 distrib | 3030 - Distributions | | 4,784.66 | 29,885.50 |
| Check | 12/01/2010 | 120110... | | Lawrence A. & Frances ... | #1052 Jan 11 distrib | 3030 - Distributions | | 289.60 | 29,595.90 |
| Check | 12/01/2010 | 120110... | | Robert C. & Barbara M. ... | #1066 Dec 2010 distrib | 3030 - Distributions | | 149.05 | 29,446.85 |
| Check | 12/01/2010 | 120110... | | Stephanie Dao | #1073 Dec 2010 distrib | 3030 - Distributions | | 565.80 | 28,881.05 |
| Check | 12/01/2010 | 120110... | | Nicola Perrone | #1074 Dec 2010 distrib | 3030 - Distributions | | 298.11 | 28,582.94 |
| Check | 12/01/2010 | 120110... | | Takeshi Omura | #0892 Dec 2010 distrib | 3030 - Distributions | | 288.11 | 28,294.83 |
| Check | 12/01/2010 | 120110... | | John Escobar | #0892 Dec 2010 distrib | 3030 - Distributions | | 173.55 | 28,121.28 |
| Check | 12/01/2010 | 120110... | | Allen Barbieri | #0892 Dec 2010 distrib | 3030 - Distributions | | 586.52 | 27,534.76 |
| Check | 12/01/2010 | 120110... | | Hellier Living Trust | #0898 Dec 2010 distrib | 3030 - Distributions | | 177.32 | 27,357.44 |
| Check | 12/01/2010 | 120110... | | Elsie M. Napoli | #0988 Dec 2010 distrib | 3030 - Distributions | | 370.56 | 26,986.88 |
| Check | 12/01/2010 | 120110... | | Don L. Marchman, TTEE | #0994 Dec 2010 distrib | 3030 - Distributions | | 2,880.65 | 24,106.23 |
| Check | 12/01/2010 | 120110... | | Philip J. & Joyce D. Bach | #0998 Dec 2010 distrib | 3030 - Distributions | | 299.29 | 23,806.94 |
| Check | 12/01/2010 | 120110... | | IRA Services FBO Pete... | #1019 Dec 2010 distrib | 3030 - Distributions | | 596.22 | 23,210.72 |
| Check | 12/01/2010 | 120110... | | The Kim N. Nguyen Liv... | #1025 Dec 2010 distrib | 3030 - Distributions | | 596.22 | 22,614.50 |
| Check | 12/01/2010 | 120110... | | Tin Thi To | #1026 Dec 2010 distrib | 3030 - Distributions | | 596.22 | 22,018.28 |
| Check | 12/01/2010 | 120110... | | Randall & Maile William... | #1051 Dec 2010 distrib | 3030 - Distributions | | 594.33 | 21,423.95 |
| Check | 12/01/2010 | 120110... | | IRA Services FBO Davi... | #1055 Dec 2010 distrib | 3030 - Distributions | | 301.09 | 21,122.86 |
| Check | 12/01/2010 | 120110... | | VA Compass FBO Felix... | #1056 Jan 11 distrib | 3030 - Distributions | | 298.11 | 20,824.75 |
| Check | 12/01/2010 | 120110... | | Yingie Qian and Grace ... | #1077 Dec 2010 distrib | 3030 - Distributions | | 238.08 | 20,586.67 |
| Check | 12/01/2010 | 120110... | | Gerald K. Schofield Trust | #1078 Dec 2010 distrib | 3030 - Distributions | | 99.37 | 20,487.30 |
| Check | 12/01/2010 | 120110... | | Herbert Hogan | #0960 Dec 2010 partial withdrawal of his distrib | 3030 - Withdrawals | | 74.53 | 20,412.77 |
| Check | 01/01/2011 | 120111... | | John Escobar | #0895 Jan 11 distrib | 3030 - Distributions | | 309.00 | 20,103.77 |
| Check | 01/01/2011 | 120111... | | Allen Barbieri | #0895 Jan 11 distrib | 3030 - Distributions | | 182.44 | 19,921.33 |
| Check | 01/01/2011 | 120111... | | Hellier Living Trust | #0898 Jan 11 distrib | 3030 - Distributions | | 608.13 | 19,313.20 |
| Check | 01/01/2011 | 120111... | | Elsie M. Napoli | #0898 Jan 11 distrib | 3030 - Distributions | | 235.41 | 19,077.79 |
| Check | 01/01/2011 | 120111... | | Don L. Marchman, TTEE | #0994 Jan 11 distrib | 3030 - Distributions | | 383.02 | 18,694.77 |
| Check | 01/01/2011 | 120111... | | Philip J. & Joyce D. Bach | #0998 Jan 11 distrib | 3030 - Distributions | | 3,080.00 | 15,614.77 |
| Check | 01/01/2011 | 120111... | | IRA Services FBO Pete... | VOID: #1019 Jan 11 distrib - replaced 1/13/11 ... | 3030 - Distributions | 0.00 | 309.27 | 15,305.50 |
| Check | 01/01/2011 | 120111... | | The Kim N. Nguyen Liv... | VOID: #1025 Jan 11 distrib-replaced 1/19/11 ... | 3030 - Distributions | 0.00 | 15,469.81 | 15,469.81 |
| Check | 01/01/2011 | 120111... | | Tin Thi To | #1026 Jan 11 distrib | 3030 - Distributions | | 924.14 | 14,545.67 |
| Check | 01/01/2011 | 120111... | | Randall & Maile William... | #1051 Jan 11 distrib | 3030 - Distributions | | 311.13 | 14,234.54 |
| Check | 01/01/2011 | 120111... | | IRA Services FBO Davi... | #1055 Jan 11 distrib | 3030 - Distributions | | 308.05 | 13,926.49 |
| Check | 01/01/2011 | 120111... | | VA Compass FBO Felix... | #1056 Jan 11 distrib | 3030 - Distributions | | 247.05 | 13,679.44 |
| Check | 01/01/2011 | 120111... | | Yingie Qian and Grace ... | #1077 Jan 11 distrib | 3030 - Distributions | | 154.02 | 13,525.42 |
| Check | 01/01/2011 | 120111... | | Jeffrey W. Abramson | #1080 Jan 11 distrib | 3030 - Distributions | | 715.46 | 12,809.96 |
| Check | 01/01/2011 | 120111... | | Alan Leibof | #0920 Jan 11 distrib | 3030 - Distributions | | 58.62 | 12,751.34 |
| Check | 01/01/2011 | 120111... | | Yeh Family Trust | #0826 Jan 11 distrib | 3030 - Distributions | | 232.44 | 12,518.90 |
| Check | 01/01/2011 | 120111... | | Michael and Martha Re... | #0848 Jan 11 distrib | 3030 - Distributions | | 1,448.44 | 11,070.46 |
| Check | 01/01/2011 | 120111... | | James Bradford | #0849 Jan 11 distrib | 3030 - Distributions | | 1,824.36 | 9,246.10 |
| Check | 01/01/2011 | 120111... | | Hutchinson Trust | #0856 Jan 11 distrib | 3030 - Distributions | | 608.12 | 8,637.98 |
| Check | 01/01/2011 | 120111... | | St. Clair 2003 Trust | #0873 Jan 11 distrib | 3030 - Distributions | | 333.67 | 8,304.31 |
| Check | 01/01/2011 | 120111... | | Stephen Hengstler | #0877 Jan 11 distrib | 3030 - Distributions | | 570.85 | 7,733.46 |
| Check | 01/01/2011 | 120111... | | The Rainer Living Trust | #0886 Jan 11 distrib | 3030 - Distributions | | 766.13 | 6,967.33 |
| Check | 01/01/2011 | 120111... | | Tunberg Testamentary ... | #0886 Jan 11 distrib | 3030 - Distributions | | 963.48 | 5,993.85 |
| Check | 01/01/2011 | 120111... | | Saul Levin | #0890 Jan 11 distrib | 3030 - Distributions | | 1,216.48 | 4,777.37 |
| Check | 01/01/2011 | 120111... | | David and Denise Keste... | #0896 Jan 11 distrib | 3030 - Distributions | | 1,550.71 | 3,226.66 |
| Check | 01/01/2011 | 120111... | | Amrodt Trust | #0907 Jan 11 distrib | 3030 - Distributions | | 152.03 | 3,074.63 |
| Check | 01/01/2011 | 120111... | | W. Ronald Raecker | #0908 Jan 11 distrib | 3030 - Distributions | | 613.65 | 2,460.98 |
| Check | 01/01/2011 | 120111... | | Korich Family Trust | #0928 Jan 11 distrib | 3030 - Distributions | | 227.38 | 2,233.60 |
| Check | 01/01/2011 | 120111... | | The Charlene A. Cordo... | #0930 Jan 11 distrib | 3030 - Distributions | | 1,228.64 | 1,004.96 |
| Check | 01/01/2011 | 120111... | | Xiao Qun (Charlie) Za | #0938 Jan 11 distrib | 3030 - Distributions | | 98.21 | 906.75 |
| Check | 01/01/2011 | 120111... | | Le Felix Ventures | #0952 Jan 11 distrib | 3030 - Distributions | | 117.85 | 788.90 |
| Check | 01/01/2011 | 120111... | | Anthony Lok | #0953 Jan 11 distrib | 3030 - Distributions | | 489.50 | 300.40 |
| Check | 01/01/2011 | 120111... | | Tsz-Kin Kwok | #0962 Jan 11 distrib | 3030 - Distributions | | 1,543.51 | -1,243.11 |
| Check | 01/01/2011 | 120111... | | Ana Lucia Davidson | #0965 Jan 11 distrib | 3030 - Distributions | | 309.05 | -1,552.16 |
| Check | 01/01/2011 | 120111... | | Jane Luthard Revocabl... | #0967 Jan 11 distrib | 3030 - Distributions | | 309.19 | -1,861.35 |
| Check | 01/01/2011 | 120111... | | Frank E. Allen | #0973 Jan 11 distrib | 3030 - Distributions | | 710.78 | -2,572.13 |
| Check | 01/01/2011 | 120111... | | Lillian Re | #0975 Jan 11 distrib | 3030 - Distributions | | 824.90 | -3,397.03 |
| Check | 01/01/2011 | 120111... | | Monique Bjornal | #0980 Jan 11 distrib | 3030 - Distributions | | 1,741.47 | -5,138.50 |
| Check | 01/01/2011 | 120111... | | Pete Petersen | #0986 Jan 11 distrib | 3030 - Distributions | | 431.27 | -5,569.77 |
| Check | 01/01/2011 | 120111... | | Patspoff Family Trust | #0989 Jan 11 distrib | 3030 - Distributions | | 302.54 | -5,872.31 |
| Check | 01/01/2011 | 120111... | | Frances M. Sheng Trust | #0989 Jan 11 distrib | 3030 - Distributions | | 452.89 | -6,325.20 |
| Check | 01/01/2011 | 120111... | | Russell L. Varenkamp Jr. | #0989 Jan 11 distrib | 3030 - Distributions | | 924.14 | -7,249.34 |
| Check | 01/01/2011 | 120111... | | Ned & Lorraine Passma... | #0990 Jan 11 distrib | 3030 - Distributions | | 307.00 | -7,556.34 |
| Check | 01/01/2011 | 120111... | | The Smith 1996 Revoc... | #0998 Jan 11 distrib | 3030 - Distributions | | 307.63 | -7,863.97 |
| Check | 01/01/2011 | 120111... | | Hanson Family Trust | #1001 Jan 11 distrib | 3030 - Distributions | | 1,127.79 | -8,991.76 |
| Check | 01/01/2011 | 120111... | | Charles Poulter | #1002 Jan 11 distrib | 3030 - Distributions | | 153.96 | -9,145.72 |
| Check | 01/01/2011 | 120111... | | Levin Family Trust | #1005 Jan 11 distrib | 3030 - Distributions | | 308.05 | -9,453.77 |
| Check | 01/01/2011 | 120111... | | Fadia Damon | #1006 Jan 11 distrib | 3030 - Distributions | | 844.05 | -10,297.82 |
| Check | 01/01/2011 | 120111... | | Huazhen Deng | #1024 Jan 11 distrib | 3030 - Distributions | | 820.71 | -11,118.53 |
| Check | 01/01/2011 | 120111... | | Michael K. Griswold | #1029 Jan 11 distrib | 3030 - Distributions | | 154.02 | -11,272.55 |
| Check | 01/01/2011 | 120111... | | Wason Family Trust | #1030 Jan 11 distrib | 3030 - Distributions | | 478.67 | -11,751.22 |
| Check | 01/01/2011 | 120111... | | Gladys D. McDowell | #1032 Jan 11 distrib | 3030 - Distributions | | 218.83 | -11,970.05 |
| Check | 01/01/2011 | 120111... | | Elizabeth Cehovec | #1033 Jan 11 distrib | 3030 - Distributions | | 161.09 | -12,131.14 |
| Check | 01/01/2011 | 120111... | | Miu Ying Tsang | #1034 Jan 11 distrib | 3030 - Distributions | | 154.02 | -12,285.16 |
| Check | 01/01/2011 | 120111... | | Gregory & Ann Fisher | #1037 Jan 11 distrib | 3030 - Distributions | | 184.83 | -12,469.99 |
| Check | 01/01/2011 | 120111... | | Andre Luthard | #1038 Jan 11 distrib | 3030 - Distributions | | 317.57 | -12,787.56 |
| Check | 01/01/2011 | 120111... | | Donna Fang Lui | #1047 Jan 11 distrib | 3030 - Distributions | | 625.07 | -13,412.63 |
| Check | 01/01/2011 | 120111... | | Frank E. Allen Trust | #1048 Jan 11 distrib | 3030 - Distributions | | 4,044.15 | -17,456.78 |
| Check | 01/01/2011 | 120111... | | Lawrence A. & Frances ... | #1052 Jan 11 distrib | 3030 - Distributions | | 309.59 | -17,766.37 |
| Check | 01/01/2011 | 120111... | | Robert C. & Barbara M. ... | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|--|----------------------------------|-----------|----------|------------|
| Check | 01/01/2011 | 010111... | | Stephanie Dao | #1073 Jan 11 distrib | 3030 - Distributions | | | |
| Check | 01/01/2011 | 010111... | | Nicola Perrone | #1074 Jan 11 distrib | 3030 - Distributions | | 584.45 | -19,171.01 |
| Check | 01/01/2011 | 010111... | | Gerald K. Schofield Trust | #1078 Jan 11 distrib | 3030 - Distributions | | 546.82 | -18,718.83 |
| General Journal | 01/01/2011 | Hogan... | | Takeshi Omura | #1079 Jan 11 distrib | 3030 - Distributions | | 154.52 | -18,874.35 |
| Deposit | 01/04/2011 | | | | #0960 Feb Hogan WID of Jan 11 Distrib | 3040 - Withdrawals | | 616.09 | -20,490.44 |
| Check | 01/13/2011 | 3190 | | IRA Services FBO Pete... | Deposit | 1050 - Interbank Transfers | 30,000.00 | 300.00 | -20,790.44 |
| Check | 02/01/2011 | 3191 | | Kim Nhem Nguyen Liv ... | #1019 Jan 11 Distrib | 3030 - Distributions | | 724.77 | 8,208.56 |
| Deposit | 02/01/2011 | | | | #1025 Jan 11 distrib | 3030 - Distributions | | 616.09 | 8,484.79 |
| Check | 02/01/2011 | 3192 | | John Escobar | #0892 Feb 11 distrib | 1050 - Interbank Transfers | 50,000.00 | | 57,068.70 |
| Check | 02/01/2011 | 3193 | | Yeh Family Trust | #0920 Feb 11 distrib | 3030 - Distributions | | 182.44 | 57,068.26 |
| Check | 02/01/2011 | 3194 | | Allen Barbieri | #0921 Feb 11 distrib | 3030 - Distributions | | 289.83 | 57,396.43 |
| Check | 02/01/2011 | 3195 | | Heller Living Trust | #0958 Feb 11 distrib | 3030 - Distributions | | 808.13 | 56,788.30 |
| Check | 02/01/2011 | 3197 | | Don L. Marchman, TTEE | #0994 Feb 11 distrib | 3030 - Distributions | | 245.45 | 56,542.85 |
| Check | 02/01/2011 | 3198 | | Philip J. & Joyce D. Bach | #0955 Feb 11 distrib | 3030 - Distributions | | 3,080.00 | 49,997.67 |
| Check | 02/01/2011 | 3199 | | IRA Services FBO Pete... | #1018 Feb 11 distrib | 3030 - Distributions | | 309.27 | 53,153.58 |
| Check | 02/01/2011 | 3200 | | The Kim N. Nguyen Livl... | #1025 Feb 11 distrib | 3030 - Distributions | | 994.41 | 52,159.17 |
| Check | 02/01/2011 | 3201 | | Tin Thi To | #1026 Feb 11 distrib | 3030 - Distributions | | 618.18 | 51,540.99 |
| Check | 02/01/2011 | 3202 | | Randall & Male William... | #1051 Feb 11 distrib | 3030 - Distributions | | 924.14 | 50,616.85 |
| Check | 02/01/2011 | 3203 | | IRA Services FBO Davi... | #1055 Feb 11 distrib | 3030 - Distributions | | 311.13 | 50,305.72 |
| Check | 02/01/2011 | 3204 | | VA Compass FBO Fed... | #1056 Feb 11 distrib | 3030 - Distributions | | 308.05 | 49,997.67 |
| Check | 02/01/2011 | 3205 | | Yingie Qian and Grace ... | #1077 Feb 11 distrib | 3030 - Distributions | | 247.05 | 49,750.62 |
| Check | 02/01/2011 | 3206 | | Jeffrey W. Abramson | #1086 Feb 11 distrib | 3030 - Distributions | | 154.02 | 48,596.60 |
| Check | 02/01/2011 | 3207 | | Altan Leibof | #1090 Feb 11 distrib | 3030 - Distributions | | 1,233.13 | 48,363.47 |
| Check | 02/01/2011 | 3208 | | Barbara Bushes | #1094 Feb 11 distrib | 3030 - Distributions | | 153.31 | 48,208.16 |
| Check | 02/01/2011 | 020111-1 | | Chris & Glenda Beratis | #1097 Feb 11 distrib | 3030 - Distributions | | 109.31 | 48,098.85 |
| Check | 02/01/2011 | 020111-2 | | Michael and Martha Re... | #0826 Feb 11 distrib | 3030 - Distributions | | 44.72 | 48,054.13 |
| Check | 02/01/2011 | 020111-3 | | James Bradford | #0849 Feb 11 distrib | 3030 - Distributions | | 648.44 | 47,405.69 |
| Check | 02/01/2011 | 020111-4 | | Hutchinson Trust | #0849 Feb 11 distrib | 3030 - Distributions | | 1,824.36 | 45,581.33 |
| Check | 02/01/2011 | 020111-5 | | St. Clair 2003 Trust | #0856 Feb 11 distrib | 3030 - Distributions | | 608.12 | 44,973.21 |
| Check | 02/01/2011 | 020111-6 | | Stephan Hengster | #0873 Feb 11 distrib | 3030 - Distributions | | 333.97 | 44,639.54 |
| Check | 02/01/2011 | 020111-7 | | The Rainier Living Trust | #0877 Feb 11 distrib | 3030 - Distributions | | 676.11 | 44,023.43 |
| Check | 02/01/2011 | 020111-8 | | Tunberg Testamentary ... | #0886 Feb 11 distrib | 3030 - Distributions | | 766.13 | 43,257.30 |
| Check | 02/01/2011 | 020111-9 | | Saul Levin | #0890 Feb 11 distrib | 3030 - Distributions | | 953.58 | 42,303.74 |
| Check | 02/01/2011 | 020111... | | David and Denise Keste... | #0896 Feb 11 distrib | 3030 - Distributions | | 1,216.46 | 41,087.28 |
| Check | 02/01/2011 | 020111... | | Amrod Trust | #0907 Feb 11 distrib | 3030 - Distributions | | 1,550.71 | 39,536.57 |
| Check | 02/01/2011 | 020111... | | W. Ronald Raecker | #0908 Feb 11 distrib | 3030 - Distributions | | 152.03 | 39,384.54 |
| Check | 02/01/2011 | 020111... | | Korich Family Trust | #0928 Feb 11 distrib | 3030 - Distributions | | 823.37 | 38,561.17 |
| Check | 02/01/2011 | 020111... | | The Charlene A. Cordo... | #0930 Feb 11 distrib | 3030 - Distributions | | 227.38 | 38,333.79 |
| Check | 02/01/2011 | 020111... | | Xiao Qun (Charlie) Za | #0938 Feb 11 distrib | 3030 - Distributions | | 1,228.64 | 37,105.15 |
| Check | 02/01/2011 | 020111... | | Anthony Lok | #0953 Feb 11 distrib | 3030 - Distributions | | 98.21 | 37,005.94 |
| Check | 02/01/2011 | 020111... | | Tax-Kin Kwok | #0962 Feb 11 distrib | 3030 - Distributions | | 95.18 | 36,920.76 |
| Check | 02/01/2011 | 020111... | | Ana Lucia Davidson | #0965 Feb 11 distrib | 3030 - Distributions | | 1,543.31 | 35,377.45 |
| Check | 02/01/2011 | 020111... | | Jane Luthard Revocabl... | #0967 Feb 11 distrib | 3030 - Distributions | | 308.05 | 35,069.40 |
| Check | 02/01/2011 | 020111... | | Frank E. Allen | #0969 Feb 11 distrib | 3030 - Distributions | | 309.19 | 34,760.21 |
| Check | 02/01/2011 | 020111... | | Lillian Re | #0973 Feb 11 distrib | 3030 - Distributions | | 710.78 | 34,049.43 |
| Check | 02/01/2011 | 020111... | | Monique Bjornal | #0975 Feb 11 distrib | 3030 - Distributions | | 924.90 | 33,124.53 |
| Check | 02/01/2011 | 020111... | | Pete Petersen | #0980 Feb 11 distrib | 3030 - Distributions | | 1,741.47 | 31,383.06 |
| Check | 02/01/2011 | 020111... | | Patsopoff Family Trust | #0986 Feb 11 distrib | 3030 - Distributions | | 431.27 | 30,951.79 |
| Check | 02/01/2011 | 020111... | | Frances M. Sheng Trust | #0987 Feb 11 distrib | 3030 - Distributions | | 302.54 | 30,649.25 |
| Check | 02/01/2011 | 020111... | | Russell L. Varenkamp Jr. | #0988 Feb 11 distrib | 3030 - Distributions | | 618.63 | 30,030.62 |
| Check | 02/01/2011 | 020111... | | Ned & Lorraine Passma... | #0990 Feb 11 distrib | 3030 - Distributions | | 924.14 | 29,106.48 |
| Check | 02/01/2011 | 020111... | | The Smith 1986 Revoc... | #0998 Feb 11 distrib | 3030 - Distributions | | 307.00 | 28,799.48 |
| Check | 02/01/2011 | 020111... | | Hanson Family Trust | #1001 Feb 11 distrib | 3030 - Distributions | | 307.83 | 28,491.65 |
| Check | 02/01/2011 | 020111... | | Charles Poulter | #1002 Feb 11 distrib | 3030 - Distributions | | 1,238.18 | 27,253.47 |
| Check | 02/01/2011 | 020111... | | Levin Family Trust | #1005 Feb 11 distrib | 3030 - Distributions | | 154.91 | 27,098.56 |
| Check | 02/01/2011 | 020111... | | Fadia Damon | #1006 Feb 11 distrib | 3030 - Distributions | | 308.05 | 26,790.51 |
| Check | 02/01/2011 | 020111... | | Huazhen Deng | #1024 Feb 11 distrib | 3030 - Distributions | | 844.05 | 25,946.46 |
| Check | 02/01/2011 | 020111... | | Michael K. Griswold | #1026 Feb 11 distrib | 3030 - Distributions | | 620.71 | 25,325.75 |
| Check | 02/01/2011 | 020111... | | Wasson Family Trust | #1030 Feb 11 distrib | 3030 - Distributions | | 154.02 | 25,171.73 |
| Check | 02/01/2011 | 020111... | | Gladys D. McDowell | #1032 Feb 11 distrib | 3030 - Distributions | | 619.17 | 24,552.56 |
| Check | 02/01/2011 | 020111... | | Elizabeth Cehovec | #1033 Feb 11 distrib | 3030 - Distributions | | 247.05 | 24,305.51 |
| Check | 02/01/2011 | 020111... | | Mia Ying Tsang | #1034 Feb 11 distrib | 3030 - Distributions | | 616.09 | 23,689.42 |
| Check | 02/01/2011 | 020111... | | Gregory & Ann Fisher | #1037 Feb 11 distrib | 3030 - Distributions | | 154.02 | 23,535.40 |
| Check | 02/01/2011 | 020111... | | Andrew Kiu Lui | #1038 Feb 11 distrib | 3030 - Distributions | | 194.83 | 23,350.57 |
| Check | 02/01/2011 | 020111... | | Donna Fang Lui | #1041 Feb 11 distrib | 3030 - Distributions | | 185.14 | 23,165.43 |
| Check | 02/01/2011 | 020111... | | Frank E. Allen Trust | #1047 Feb 11 distrib | 3030 - Distributions | | 317.57 | 22,847.86 |
| Check | 02/01/2011 | 020111... | | Lawrence A. & Frances ... | #1048 Feb 11 distrib | 3030 - Distributions | | 625.07 | 22,222.79 |
| Check | 02/01/2011 | 020111... | | Robert C. & Barbara M. ... | #1052 Feb 11 distrib | 3030 - Distributions | | 4,944.15 | 17,278.64 |
| Check | 02/01/2011 | 020111... | | Stephanie Dao | #1066 Feb 11 distrib | 3030 - Distributions | | 308.58 | 16,969.05 |
| Check | 02/01/2011 | 020111... | | Nicola Perrone | #1073 Feb 11 distrib | 3030 - Distributions | | 234.32 | 16,734.73 |
| Check | 02/01/2011 | 020111... | | Gerald K. Schofield Trust | #1074 Feb 11 distrib | 3030 - Distributions | | 584.45 | 16,150.28 |
| Check | 02/01/2011 | 020111... | | Takeshi Omura | #1078 Feb 11 distrib | 3030 - Distributions | | 619.17 | 15,531.11 |
| Check | 02/01/2011 | 020111... | | Herbert Hogan | #1079 Feb 11 distrib | 3030 - Distributions | | 155.58 | 15,375.55 |
| Check | 02/01/2011 | 020111... | | Fadia Damon POD Stu... | #1007 Withdrawal of part of his distribution | 3040 - Withdrawals | | 516.09 | 14,759.46 |
| Check | 02/23/2011 | | | Torey Pines Bank | 1007 Withdrawal | 3040 - Withdrawals | | 300.00 | 14,459.46 |
| Check | 02/23/2011 | | | Torey Pines Bank | 1ACH FILE CHARGE ACH FILE CHARGE | 6000.00 | | 5.00 | 8,454.46 |
| Check | 02/28/2011 | | | Torey Pines Bank | 1DIRECT DEBIT TRANSACTION DIRECT DE... | 6505 - Bank Fees and Service ... | | 0.12 | 8,454.34 |
| Check | 03/01/2011 | 030111-1 | | Michael and Martha Re... | Service Charge | 6505 - Bank Fees and Service ... | | 6.00 | 8,448.34 |
| Check | 03/01/2011 | 030111-2 | | James Bradford | VOID: #0826 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-3 | | Hutchinson Trust | VOID: #0848 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-4 | | St. Clair 2003 Trust | VOID: #0849 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-5 | | Stephan Hengster | VOID: #0856 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-6 | | The Rainier Living Trust | VOID: #0873 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-7 | | Tunberg Testamentary ... | VOID: #0877 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-8 | | Saul Levin | VOID: #0886 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111-9 | | David and Denise Keste... | VOID: #0890 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Amrod Trust | VOID: #0896 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | W. Ronald Raecker | VOID: #0907 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Korich Family Trust | VOID: #0908 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | The Charlene A. Cordo... | VOID: #0928 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Xiao Qun (Charlie) Za | VOID: #0930 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Anthony Lok | VOID: #0938 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Tax-Kin Kwok | VOID: #0953 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Herbert Hogan | VOID: #0960 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Ana Lucia Davidson | VOID: #0962 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Jane Luthard Revocabl... | VOID: #0965 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Frank E. Allen | VOID: #0967 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Lillian Re | VOID: #0969 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Monique Bjornal | VOID: #0973 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Pete Petersen | VOID: #0975 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Patsopoff Family Trust | VOID: #0980 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Frances M. Sheng Trust | VOID: #0986 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Russell L. Varenkamp Jr. | VOID: #0987 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Ned & Lorraine Passma... | VOID: #0988 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | The Smith 1986 Revoc... | VOID: #0990 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Hanson Family Trust | VOID: #0998 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Charles Poulter | VOID: #1001 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Levin Family Trust | VOID: #1002 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Fadia Damon | VOID: #1005 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | Huazhen Deng | VOID: #1006 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |
| Check | 03/01/2011 | 030111... | | | VOID: #1024 Mar 2011 distrib | 3030 - Distributions | 0.00 | | 8,448.34 |

Accrual Basis

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|----------|------------|-----------|-----|----------------------------|------------------------------------|------------------------------------|------------|-----------|------------|
| Check | 07/01/2011 | 3291 | | Yeh Family Trust | | 3030 - Distributions | | 280.48 | 53,568.72 |
| Check | 07/01/2011 | 3292 | | Allen Barbieri | | 3030 - Distributions | | 678.84 | 52,889.88 |
| Check | 07/01/2011 | 3293 | | Heller Living Trust | | 3030 - Distributions | | 237.53 | 52,652.35 |
| Check | 07/01/2011 | 3294 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 2,980.65 | 49,671.70 |
| Check | 07/01/2011 | 3295 | | Philip J. & Joyce D. Bach | | 3030 - Distributions | | 289.29 | 48,372.41 |
| Check | 07/01/2011 | 3296 | | IRA Services FBO Pete... | | 3030 - Distributions | | 954.83 | 48,417.58 |
| Check | 07/01/2011 | 3297 | | Kim Nhem Nguyen Liv... | | 3030 - Distributions | | 596.22 | 47,821.36 |
| Check | 07/01/2011 | 3298 | | Tin Thi To | | 3030 - Distributions | | 288.11 | 47,533.25 |
| Check | 07/01/2011 | 3299 | | IRA Services FBO Davi... | | 3030 - Distributions | | 599.20 | 46,934.05 |
| Check | 07/01/2011 | 3300 | | VA Compass FBO Felix... | | 3030 - Distributions | | 215.24 | 46,708.81 |
| Check | 07/01/2011 | 3301 | | Jeffrey W. Abramson | | 3030 - Distributions | | 2,392.33 | 44,316.48 |
| Check | 07/01/2011 | 3302 | | Chris & Glenda Beratis | | 3030 - Distributions | | 148.05 | 44,168.43 |
| Check | 07/01/2011 | 3303 | | Ron Labetch Co Profit ... | | 3030 - Distributions | | 304.07 | 43,863.36 |
| Check | 07/01/2011 | 3304 | | Hsin-Shen Tai | | 3030 - Distributions | | 56.62 | 43,806.74 |
| Check | 07/01/2011 | 3305 | | IRA Services FBO Pete... | | 3030 - Distributions | | 124.69 | 43,682.05 |
| Check | 07/01/2011 | 3306 | | Tu D. or Hoan N. Vu | | 3030 - Distributions | | 54.88 | 43,627.17 |
| Check | 07/01/2011 | 3307 | | IRA Services FBO Caro... | | 3030 - Distributions | | 170.56 | 43,456.61 |
| Check | 07/10/2011 | 3308 | | Far West Capital Ventur... | SBA audit prep - Norm Morales | 6104 - Contracting & Consulting | | 2,500.00 | 40,956.61 |
| Check | 07/11/2011 | 3289 | | VOID | VOID: Service Charge | 6900 - Misc. Expense | 0.00 | | 40,944.49 |
| Check | 08/01/2011 | 080111... | | ACH - IPF GROUP DIS... | | 6505 - Bank Fees and Service ... | | 56.60 | 40,887.89 |
| Check | 08/01/2011 | 3309 | | John Escobar | | -SPLIT- | | 48,161.01 | -5,273.12 |
| Check | 08/01/2011 | 3310 | | Yeh Family Trust | | 3030 - Distributions | | 182.44 | -5,455.56 |
| Check | 08/01/2011 | 3311 | | Allen Barbieri | | 3030 - Distributions | | 288.83 | -5,744.39 |
| Check | 08/01/2011 | 3312 | | Heller Living Trust | | 3030 - Distributions | | 701.47 | -6,446.86 |
| Check | 08/01/2011 | 3313 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 245.45 | -6,692.31 |
| Check | 08/01/2011 | 3314 | | Philip J. & Joyce D. Bach | | 3030 - Distributions | | 3,080.00 | -9,772.31 |
| Check | 08/01/2011 | 3315 | | IRA Services FBO Pete... | | 3030 - Distributions | | 309.27 | -10,081.58 |
| Check | 08/01/2011 | 3316 | | The Kim N. Nguyen Liv... | | 3030 - Distributions | | 2,378.34 | -12,459.92 |
| Check | 08/01/2011 | 3317 | | Tin Thi To | | 3030 - Distributions | | 618.09 | -13,078.01 |
| Check | 08/01/2011 | 3318 | | IRA Services FBO Davi... | | 3030 - Distributions | | 308.05 | -13,386.06 |
| Check | 08/01/2011 | 3319 | | VA Compass FBO Felix... | | 3030 - Distributions | | 619.17 | -14,005.23 |
| Check | 08/01/2011 | 3320 | | Jeffrey W. Abramson | | 3030 - Distributions | | 222.41 | -14,227.64 |
| Check | 08/01/2011 | 3321 | | Chris & Glenda Beratis | | 3030 - Distributions | | 2,472.07 | -16,699.71 |
| Check | 08/01/2011 | 3322 | | Ron Labetch Co Profit ... | | 3030 - Distributions | | 154.02 | -16,853.73 |
| Check | 08/01/2011 | 3323 | | Hsin-Shen Tai | | 3030 - Distributions | | 314.21 | -17,167.94 |
| Check | 08/01/2011 | 3324 | | IRA Services FBO Pete... | | 3030 - Distributions | | 618.09 | -17,786.03 |
| Transfer | 08/01/2011 | | | Investors Prime Fund, L... | Funds Transfer | -SPLIT- | | 2,500.00 | -20,286.03 |
| Check | 08/31/2011 | 2382 | | Heritage Bank | Service Charge | 1011 - Heritage - 3513 - Operating | 40,000.00 | | 19,717.87 |
| Check | 09/02/2011 | | | Torey Pines Bank | transfer from 3513 to 7590 | 6505 - Bank Fees and Service ... | | 11.60 | 19,706.27 |
| Check | 09/02/2011 | 3325 | | ACH - IPF GROUP DIS... | Sept 2011 investor's distributions | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 11,706.27 |
| Check | 09/02/2011 | 3326 | | John Escobar | Sept 2011 investor's distributions | 3030 - Distributions | | 48,662.39 | 70,343.98 |
| Check | 09/02/2011 | 3327 | | Yeh Family Trust | Sept 2011 investor's distributions | 3030 - Distributions | | 182.44 | 70,526.42 |
| Check | 09/02/2011 | 3328 | | Allen Barbieri | Sept 2011 investor's distributions | 3030 - Distributions | | 288.83 | 70,815.25 |
| Check | 09/02/2011 | 3329 | | Heller Living Trust | Sept 2011 investor's distributions | 3030 - Distributions | | 701.47 | 69,070.24 |
| Check | 09/02/2011 | 3330 | | Don L. Marchman, TTEE | Sept 2011 investor's distributions | 3030 - Distributions | | 245.45 | 68,824.79 |
| Check | 09/02/2011 | 3331 | | Philip J. & Joyce D. Bach | Sept 2011 investor's distributions | 3030 - Distributions | | 3,080.00 | 66,033.52 |
| Check | 09/02/2011 | 3332 | | IRA Services FBO Pete... | Sept 2011 investor's distributions | 3030 - Distributions | | 309.27 | 63,045.25 |
| Check | 09/02/2011 | 3333 | | The Kim N. Nguyen Liv... | Sept 2011 investor's distributions | 3030 - Distributions | | 3,040.45 | 62,378.98 |
| Check | 09/02/2011 | 3334 | | Tin Thi To | Sept 2011 investor's distributions | 3030 - Distributions | | 618.09 | 62,070.33 |
| Check | 09/02/2011 | 3335 | | IRA Services FBO Davi... | Sept 2011 investor's distributions | 3030 - Distributions | | 618.17 | 61,451.76 |
| Check | 09/02/2011 | 3336 | | VA Compass FBO Felix... | Sept 2011 investor's distributions | 3030 - Distributions | | 222.41 | 61,229.35 |
| Check | 09/02/2011 | 3337 | | Jeffrey W. Abramson | Sept 2011 investor's distributions | 3030 - Distributions | | 2,472.07 | 58,757.28 |
| Check | 09/02/2011 | 3338 | | Chris & Glenda Beratis | Sept 2011 investor's distributions | 3030 - Distributions | | 154.02 | 58,603.26 |
| Check | 09/02/2011 | 3339 | | Ron Labetch Co Profit ... | Sept 2011 investor's distributions | 3030 - Distributions | | 314.21 | 58,289.05 |
| Check | 09/02/2011 | 3340 | | IRA Services FBO Pete... | withdrawal | 3040 - Withdrawals | | 2,500.00 | 55,789.05 |
| Check | 09/02/2011 | 3341 | | Akhila N. Gantayat | Sept 2011 investor's distributions | 3030 - Distributions | | 148.05 | 55,640.00 |
| Check | 09/30/2011 | | | ira Services FBO Bird, ... | Sept 2011 investor's distributions | 3030 - Distributions | | 324.64 | 55,315.36 |
| Check | 10/01/2011 | ach | | ACH - IPF GROUP DIS... | Service Charge | 6505 - Bank Fees and Service ... | | 11.70 | 55,303.66 |
| Check | 10/01/2011 | 3342 | | John Escobar | October distribution for IPF - ACH | 3030 - Distributions | | 47,374.52 | 7,929.14 |
| Check | 10/01/2011 | 3343 | | Yeh Family Trust | | 3030 - Distributions | | 7,752.59 | 2,176.55 |
| Check | 10/01/2011 | 3344 | | Allen Barbieri | | 3030 - Distributions | | 280.48 | 7,476.11 |
| Check | 10/01/2011 | 3345 | | Heller Living Trust | | 3030 - Distributions | | 678.84 | 6,797.27 |
| Check | 10/01/2011 | 3346 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 237.53 | 6,559.74 |
| Check | 10/01/2011 | 3347 | | Philip J. & Joyce D. Bach | | 3030 - Distributions | | 2,980.65 | 3,579.09 |
| Check | 10/01/2011 | 3348 | | IRA Services FBO Pete... | | 3030 - Distributions | | 289.29 | 3,279.80 |
| Check | 10/01/2011 | 3349 | | The Kim N. Nguyen Liv... | | 3030 - Distributions | | 2,942.37 | 333.43 |
| Check | 10/01/2011 | 3350 | | Tin Thi To | | 3030 - Distributions | | 596.22 | -282.79 |
| Check | 10/01/2011 | 3351 | | IRA Services FBO Davi... | | 3030 - Distributions | | 39.75 | -302.54 |
| Check | 10/01/2011 | 3352 | | VA Compass FBO Felix... | | 3030 - Distributions | | 599.20 | -901.74 |
| Check | 10/01/2011 | 3353 | | Jeffrey W. Abramson | | 3030 - Distributions | | 215.24 | -1,166.98 |
| Check | 10/01/2011 | 3354 | | Chris & Glenda Beratis | | 3030 - Distributions | | 2,392.33 | -3,559.31 |
| Check | 10/01/2011 | 3355 | | Ron Labetch Co Profit ... | | 3030 - Distributions | | 148.05 | -3,658.36 |
| Check | 10/01/2011 | 3356 | | Akhila N. Gantayat | | 3030 - Distributions | | 304.07 | -3,962.43 |
| Check | 10/01/2011 | 3357 | | Ivy To | | 3030 - Distributions | | 148.05 | -4,110.48 |
| Check | 10/01/2011 | 3358 | | ira Services FBO Bird, ... | | 3030 - Distributions | | 258.38 | -4,368.86 |
| Deposit | 10/01/2011 | | | | | 3030 - Distributions | | 541.96 | -4,810.80 |
| Check | 10/01/2011 | 3359 | | Yuki Tuttle | Deposit | 1060 - Interbank Transfers | 53.66 | | -4,864.46 |
| Transfer | 10/04/2011 | 2394 | | Investors Prime Fund, L... | cut manual check for Yuki Tuttle | 1060 - Interbank Transfers | | 53.66 | -4,918.10 |
| Check | 10/31/2011 | | | | Funds Transfer | 1011 - Heritage - 3513 - Operating | 70,000.00 | | 65,088.20 |
| Check | 11/01/2011 | 3360 | | John Escobar | Service Charge | 6505 - Bank Fees and Service ... | | 19.30 | 65,068.90 |
| Check | 11/01/2011 | 3361 | | Yeh Family Trust | November distribution | 3030 - Distributions | | 182.44 | 64,886.46 |
| Check | 11/01/2011 | 3362 | | Allen Barbieri | nov distribution | 3030 - Distributions | | 288.83 | 64,597.63 |
| Check | 11/01/2011 | 3363 | | Heller Living Trust | Nov distribution | 3030 - Distributions | | 701.47 | 63,896.16 |
| Check | 11/01/2011 | 3364 | | Don L. Marchman, TTEE | Nov Distr | 3030 - Distributions | | 299.11 | 63,597.05 |
| Check | 11/01/2011 | 3365 | | Philip J. & Joyce D. Bach | Nov distr | 3030 - Distributions | | 3,080.00 | 60,516.05 |
| Check | 11/01/2011 | 3366 | | IRA Services FBO Pete... | Nov distr | 3030 - Distributions | | 309.27 | 60,206.78 |
| Check | 11/01/2011 | 3367 | | The Kim N. Nguyen Liv... | nov distr | 3030 - Distributions | | 3,040.45 | 57,166.33 |
| Check | 11/01/2011 | 3368 | | IRA Services FBO Davi... | nov distr | 3030 - Distributions | | 558.47 | 56,607.86 |
| Check | 11/01/2011 | 3369 | | VA Compass FBO Felix... | nov distr | 3030 - Distributions | | 618.17 | 55,989.69 |
| Check | 11/01/2011 | 3370 | | Jeffrey W. Abramson | nov distr | 3030 - Distributions | | 222.41 | 55,767.28 |
| Check | 11/01/2011 | 3371 | | Chris & Glenda Beratis | nov distr | 3030 - Distributions | | 2,472.07 | 53,295.21 |
| Check | 11/01/2011 | 3372 | | Ron Labetch Co Profit ... | nov distr | 3030 - Distributions | | 154.02 | 53,141.19 |
| Check | 11/01/2011 | 3373 | | Akhila N. Gantayat | nov distr | 3030 - Distributions | | 314.21 | 52,826.98 |
| Check | 11/01/2011 | 3374 | | ira Services FBO Bird, ... | nov distr | 3030 - Distributions | | 154.02 | 52,672.96 |
| Check | 11/01/2011 | 3375 | | Ivy To | nov distr | 3030 - Distributions | | 560.25 | 52,112.71 |
| Check | 11/01/2011 | 3376 | | Yuki Tuttle | nov distr | 3030 - Distributions | | 308.05 | 51,804.66 |
| Check | 11/01/2011 | 3377 | | Jack Buchman | Nov distr | 3030 - Distributions | | 184.83 | 51,619.83 |
| Check | 11/01/2011 | 110111... | | ACH - IPF GROUP DIS... | November 2011 ACH Distribution | 3030 - Distributions | | 278.18 | 51,341.65 |
| Check | 11/02/2011 | 2408 | | Investors Prime Fund, L... | transfer to Torey Pines acct | 1011 - Heritage - 3513 - Operating | 70,000.00 | | -813.75 |
| Check | 11/30/2011 | | | | VOID: Service Charge | 6505 - Bank Fees and Service ... | 0.00 | | 69,166.25 |
| Check | 12/01/2011 | 120111... | | ACH - IPF GROUP DIS... | Dec 2011 Distribution | 6505 - Bank Fees and Service ... | | 17.30 | 69,183.55 |
| Check | 12/01/2011 | 3378 | | John Escobar | | 3030 - Distributions | | 50,770.37 | 18,413.18 |
| Check | 12/01/2011 | 3379 | | Yeh Family Trust | | 3030 - Distributions | | 178.55 | 18,234.63 |
| Check | 12/01/2011 | 3380 | | Allen Barbieri | | 3030 - Distributions | | 280.48 | 17,954.15 |
| Check | 12/01/2011 | 3381 | | Heller Living Trust | | 3030 - Distributions | | 678.84 | 17,275.31 |
| Check | 12/01/2011 | 3382 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 287.16 | 16,988.15 |
| Check | 12/01/2011 | 3383 | | IRA Services FBO Pete... | | 3030 - Distributions | | 2,980.65 | 13,997.50 |
| Check | 12/01/2011 | 3384 | | The Kim N. Nguyen Liv... | | 3030 - Distributions | | 2,942.37 | 11,045.13 |
| Check | 12/01/2011 | 3385 | | IRA Services FBO Davi... | | 3030 - Distributions | | 298.11 | 10,746.42 |
| Check | | | | | | 3030 - Distributions | | 589.20 | 10,145.22 |

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Investors Prime Fund

08/18/16

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|-----------------------------|--|------------------------------------|------------|------------|------------|
| Check | 12/01/2011 | 3386 | | VA Compass FBO Felix... | | 3030 - Distributions | | 215.24 | 9,628.98 |
| Check | 12/01/2011 | 3387 | | Jeffrey W. Abramson | | 3030 - Distributions | | 2,392.33 | 7,537.65 |
| Check | 12/01/2011 | 3388 | | Chris & Glenda Beratis | | 3030 - Distributions | | 149.05 | 7,388.60 |
| Check | 12/01/2011 | 3389 | | Ron Labetsch Co Profit ... | | 3030 - Distributions | | 304.07 | 7,084.53 |
| Check | 12/01/2011 | 3390 | | Akhila N. Gantayat | | 3030 - Distributions | | 149.05 | 6,935.48 |
| Check | 12/01/2011 | 3391 | | Ira Services FBO Bird, ... | | 3030 - Distributions | | 541.96 | 6,393.52 |
| Check | 12/01/2011 | 3392 | | Ivy To | | 3030 - Distributions | | 298.11 | 6,095.41 |
| Check | 12/01/2011 | 3393 | | Krishna Bhola & Jennife... | | 3030 - Distributions | | 149.90 | 5,945.51 |
| Check | 12/01/2011 | 3394 | | Jack Buchman | | 3030 - Distributions | | 298.05 | 5,647.46 |
| Check | 12/01/2011 | 3395 | | Marilou Heckman c/o W... | | 3030 - Distributions | | 1,981.18 | 4,256.28 |
| Check | 12/01/2011 | 3396 | | Ronald J. Gordon, FBO... | | 3030 - Distributions | | 89.43 | 4,166.85 |
| Check | 12/31/2011 | | | Service Charge | | 6505 - Bank Fees and Service ... | | 12.50 | 4,154.35 |
| Check | 01/06/2012 | 3428 | | Torey Pines Bank | transfer fundsCHECK | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 104,154.55 |
| Check | 01/06/2012 | 3397 | | John Escobar | | 3030 - Distributions | | 52,712.31 | 51,442.24 |
| Check | 01/06/2012 | 3398 | | Yeh Family Trust | | 3030 - Distributions | | 182.44 | 51,259.80 |
| Check | 01/06/2012 | 3399 | | Allen Barbieri | | 3030 - Distributions | | 286.83 | 50,969.97 |
| Check | 01/06/2012 | 3400 | | Heller Living Trust | | 3030 - Distributions | | 701.47 | 50,268.50 |
| Check | 01/06/2012 | 3401 | | IRA Services FBO Mich... | | 3030 - Distributions | | 307.06 | 49,961.44 |
| Check | 01/06/2012 | 3402 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 104.28 | 49,857.16 |
| Check | 01/06/2012 | 3403 | | IRA Services FBO Pete... | | 3030 - Distributions | | 3,080.00 | 46,777.16 |
| Check | 01/06/2012 | 3404 | | IRA Services FBO Davi... | | 3030 - Distributions | | 3,040.45 | 43,736.71 |
| Check | 01/06/2012 | 3405 | | VA Compass FBO Felix... | | 3030 - Distributions | | 619.17 | 43,117.54 |
| Check | 01/06/2012 | 3406 | | Jeffrey W. Abramson | | 3030 - Distributions | | 138.32 | 42,981.22 |
| Check | 01/06/2012 | 3407 | | Chris & Glenda Beratis | | 3030 - Distributions | | 2,472.07 | 40,509.15 |
| Check | 01/06/2012 | 3408 | | Ron Labetsch Co Profit ... | | 3030 - Distributions | | 154.02 | 40,355.13 |
| Check | 01/06/2012 | 3409 | | Akhila N. Gantayat | | 3030 - Distributions | | 314.21 | 40,040.92 |
| Check | 01/06/2012 | 3410 | | Ira Services FBO Bird, ... | | 3030 - Distributions | | 154.02 | 39,886.90 |
| Check | 01/06/2012 | 3411 | | Ivy To | | 3030 - Distributions | | 569.03 | 39,317.87 |
| Check | 01/06/2012 | 3412 | | Krishna Bhola & Jennife... | | 3030 - Distributions | | 308.05 | 39,018.82 |
| Check | 01/06/2012 | 3413 | | Jack Buchman | | 3030 - Distributions | | 203.71 | 38,815.11 |
| Check | 01/06/2012 | 3414 | | Marilou Heckman c/o W... | | 3030 - Distributions | | 308.04 | 38,507.07 |
| Check | 01/06/2012 | 3415 | | Ron Gordon | | 3030 - Distributions | | 1,540.23 | 36,966.84 |
| Check | 01/06/2012 | 3416 | | Hsiu Feng Wang & Jim... | | 3030 - Distributions | | 308.05 | 36,658.79 |
| Check | 01/06/2012 | 3417 | | The Lauri E Fried-Lee &... | | 3030 - Distributions | | 1,291.81 | 35,366.98 |
| Check | 01/06/2012 | 3418 | | Roger Wong Mulloy c/o ... | | 3030 - Distributions | | 68.56 | 35,297.42 |
| Check | 01/06/2012 | 3419 | | Mohamed Dhalla CPA ... | | 3030 - Distributions | | 357.73 | 34,939.69 |
| Check | 01/06/2012 | 3420 | | Rev Living trust of Rich... | | 3030 - Distributions | | 59.62 | 34,880.07 |
| Deposit | 01/06/2012 | | | Deposit | | 3010 - Contributions | 1,238.35 | | 34,713.13 |
| Check | 01/31/2012 | | | Service Charge | | 6505 - Bank Fees and Service ... | | 12.30 | 35,939.18 |
| Check | 02/01/2012 | ach | | Susan B. Coffey Revoc... | | 3040 - Withdrawals | | 200.00 | 35,739.18 |
| Check | 02/01/2012 | 3421 | | John Escobar | Feb. Distribution | 3030 - Distributions | | 182.44 | 35,556.74 |
| Check | 02/01/2012 | 3422 | | Jon W. Steil | Feb. 2012 Distribution | 3040 - Withdrawals | | 169.72 | 35,387.02 |
| Check | 02/01/2012 | 3423 | | Yeh Family Trust | Feb. 2012 Distribution | 3030 - Distributions | | 286.83 | 35,099.19 |
| Check | 02/01/2012 | 3424 | | Allen Barbieri | Feb. 2012 Dist | 3030 - Distributions | | 701.47 | 34,397.72 |
| Check | 02/01/2012 | 3425 | | Heller Living Trust | | 3030 - Distributions | | 307.06 | 34,088.66 |
| Check | 02/01/2012 | 3426 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 3,080.00 | 31,008.66 |
| Check | 02/01/2012 | 3427 | | IRA Services FBO Pete... | | 3030 - Distributions | | 3,040.45 | 27,968.21 |
| Check | 02/01/2012 | 3428 | | IRA Services FBO Davi... | | 3030 - Distributions | | 619.17 | 27,349.04 |
| Check | 02/01/2012 | 3429 | | Jeffrey W. Abramson | | 3030 - Distributions | | 2,472.07 | 24,876.97 |
| Check | 02/01/2012 | 3430 | | Chris & Glenda Beratis | | 3030 - Distributions | | 154.02 | 24,722.95 |
| Check | 02/01/2012 | 3431 | | Ron Labetsch Co Profit ... | Feb. 2012 Dist | 3030 - Distributions | | 314.21 | 24,408.74 |
| Check | 02/01/2012 | 3432 | | Akhila N. Gantayat | Feb. 2012 Dist | 3030 - Distributions | | 154.02 | 24,254.72 |
| Check | 02/01/2012 | 3433 | | Ira Services FBO Bird, ... | Feb. 2012 Dist | 3030 - Distributions | | 325.18 | 23,929.54 |
| Check | 02/01/2012 | 3434 | | Ivy To | Feb. 2012 Dist | 3030 - Distributions | | 308.05 | 23,621.49 |
| Check | 02/01/2012 | 3435 | | Krishna Bhola & Jennife... | Feb. 2012 Dist | 3030 - Distributions | | 308.05 | 23,313.44 |
| Check | 02/01/2012 | 3436 | | Jack Buchman | Feb. 2012 Dist | 3030 - Distributions | | 308.05 | 23,005.39 |
| Check | 02/01/2012 | 3437 | | Marilou Heckman c/o W... | Feb. 2012 Dist | 3030 - Distributions | | 1,540.23 | 21,465.16 |
| Check | 02/01/2012 | 3438 | | Ron Gordon | Feb. 2012 Dist | 3030 - Distributions | | 530.24 | 20,934.92 |
| Check | 02/01/2012 | 3439 | | Hsiu Feng Wang & Jim... | Feb. 2012 Dist | 3030 - Distributions | | 1,540.23 | 19,394.69 |
| Check | 02/01/2012 | 3440 | | The Lauri E Fried-Lee &... | Feb. 2012 Dist | 3030 - Distributions | | 154.02 | 19,240.67 |
| Check | 02/01/2012 | 3441 | | Roger Wong Mulloy c/o ... | Feb. 2012 Dist | 3030 - Distributions | | 618.09 | 18,622.58 |
| Check | 02/01/2012 | 3442 | | Mohamed Dhalla CPA ... | Feb. 2012 Dist | 3030 - Distributions | | 154.02 | 18,468.56 |
| Check | 02/01/2012 | 3443 | | Amir H & Louise F Sohr... | Feb. 2012 Dist | 3030 - Distributions | | 288.17 | 18,180.39 |
| Check | 02/01/2012 | 3444 | | Ali Tabatabaian | Feb. 2012 Dist | 3030 - Distributions | | 258.17 | 17,922.22 |
| Check | 02/01/2012 | 3445 | | Weidart 1986 Family T... | Feb. 2012 Dist | 3030 - Distributions | | 119.24 | 17,774.53 |
| Check | 02/01/2012 | 3446 | | Robert Swanbeck | Feb. 2012 Dist | 3030 - Distributions | | 143.09 | 17,631.44 |
| Check | 02/01/2012 | 3447 | | Paula Klug | Feb. 2012 Dist | 3030 - Distributions | | 437.23 | 17,194.21 |
| Check | 02/03/2012 | 2441 | | Torey Pines Bank | CHECK | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 67,194.21 |
| Check | 02/03/2012 | ach | | Service Charge | | 6505 - Bank Fees and Service ... | | 53,230.62 | 13,963.59 |
| Check | 03/03/2012 | 2467 | | Torey Pines Bank | TRANSFER FROM HERITAGE 3513 TO TP 7... | 1011 - Heritage - 3513 - Operating | 60,000.00 | | 13,961.19 |
| Check | 03/14/2012 | 3142012 | | Naghmei Sarkeshik | Final Withdrawal-Closing Account | 3040 - Withdrawals | | 32,560.64 | 41,390.55 |
| Check | 03/18/2012 | 2479 | | Torey Pines Bank | Fund Transfer | 1011 - Heritage - 3513 - Operating | 30,000.00 | | 71,390.55 |
| Check | 03/18/2012 | 3142013 | | Herbert Hoglan | Final Withdrawal | 1011 - Heritage - 3513 - Operating | 105,000.00 | | 176,390.55 |
| Check | 03/28/2012 | 3292012 | | Susan B. Coffey Revoc... | Susan B. Coffey Revocable Trust | 3040 - Withdrawals | | 105,081.79 | 71,308.76 |
| General Journal | 03/31/2012 | Transfer | | Received from Heritage 3513 | | 1011 - Heritage - 3513 - Operating | 25,000.00 | 3,000.00 | 68,308.76 |
| Check | 03/31/2012 | 3302012 | | Wang Xiaodong | | 3040 - Withdrawals | | 22,500.00 | 70,808.76 |
| Check | 03/31/2012 | 3452 | | John Escobar | | 3030 - Distributions | | 170.67 | 70,638.09 |
| Check | 03/31/2012 | 3453 | | Jon W. Steil | | 3040 - Withdrawals | | 50,334.20 | 20,303.89 |
| Check | 03/31/2012 | 3454 | | Yeh Family Trust | | 3030 - Distributions | | 144.09 | 19,159.80 |
| Check | 03/31/2012 | 3455 | | Allen Barbieri | | 3030 - Distributions | | 271.13 | 18,888.67 |
| Check | 03/31/2012 | 3456 | | Heller Living Trust | | 3030 - Distributions | | 656.22 | 18,232.45 |
| Check | 03/31/2012 | 3457 | | Marchman Survivor Trust | | 3030 - Distributions | | 287.25 | 18,945.20 |
| Check | 03/31/2012 | 3458 | | IRA Services FBO Pete... | | 3030 - Distributions | | 2,881.29 | 16,063.91 |
| Check | 03/31/2012 | 3459 | | The Kim N. Nguyen Livi... | | 3030 - Distributions | | 2,837.34 | 13,226.57 |
| Check | 03/31/2012 | 3460 | | IRA Services FBO Davi... | | 3030 - Distributions | | 153.83 | 13,072.74 |
| Check | 03/31/2012 | 3461 | | Jeffrey W. Abramson | | 3030 - Distributions | | 579.23 | 12,493.51 |
| Check | 03/31/2012 | 3462 | | Chris & Glenda Beratis | | 3030 - Distributions | | 2,312.59 | 10,180.92 |
| Check | 03/31/2012 | 3463 | | Ron Labetsch Co Profit ... | | 3030 - Distributions | | 144.09 | 10,036.83 |
| Check | 03/31/2012 | 3464 | | Akhila N. Gantayat | | 3030 - Distributions | | 293.84 | 9,742.99 |
| Check | 03/31/2012 | 3465 | | Ira Services FBO Bird, ... | | 3030 - Distributions | | 144.09 | 9,598.90 |
| Check | 03/31/2012 | 3466 | | Ivy To | | 3030 - Distributions | | 298.11 | 9,300.79 |
| Check | 03/31/2012 | 3467 | | Krishna Bhola & Jennife... | | 3030 - Distributions | | 288.17 | 9,012.62 |
| Check | 03/31/2012 | 3468 | | Jack Buchman | | 3030 - Distributions | | 290.84 | 8,721.68 |
| Check | 03/31/2012 | 3469 | | Marilou Heckman c/o W... | | 3030 - Distributions | | 298.17 | 8,423.51 |
| Check | 03/31/2012 | 3470 | | Johnson Chunsheng Ch... | | 3030 - Distributions | | 1,440.86 | 6,982.65 |
| Check | 03/31/2012 | 3471 | | Ronald J. Gordon, FBO... | | 3030 - Distributions | | 148.48 | 6,834.17 |
| Check | 03/31/2012 | 3472 | | Hsiu Feng Wang & Jim... | | 3030 - Distributions | | 578.35 | 6,255.82 |
| Check | 03/31/2012 | 3473 | | The Lauri E Fried-Lee &... | | 3030 - Distributions | | 1,440.86 | 4,815.00 |
| Check | 03/31/2012 | 3474 | | Roger Wong Mulloy c/o ... | | 3030 - Distributions | | 253.39 | 4,561.61 |
| Check | 03/31/2012 | 3475 | | Mohamed Dhalla CPA ... | | 3030 - Distributions | | 566.40 | 4,000.00 |
| Check | 03/31/2012 | 3476 | | Amir H & Louise F Sohr... | | 3030 - Distributions | | 268.17 | 3,731.83 |
| Check | 03/31/2012 | 3477 | | Ali Tabatabaian | | 3030 - Distributions | | 144.09 | 3,587.74 |
| Check | 03/31/2012 | 3478 | | Weidart 1986 Family T... | | 3030 - Distributions | | 144.09 | 3,443.65 |
| Check | 03/31/2012 | 3479 | | Robert Swanbeck | | 3030 - Distributions | | 201.01 | 3,242.64 |
| Check | 03/31/2012 | 3480 | | Paula Klug | | 3030 - Distributions | | 576.35 | 2,666.29 |
| Check | 03/31/2012 | 3481 | | Pauline Schwartz | | 3030 - Distributions | | 216.62 | 2,449.67 |
| Check | 03/31/2012 | 3482 | | Michael Gagnon | | 3030 - Distributions | | 69.56 | 2,380.11 |
| Check | 03/31/2012 | | | Service Charge | | 6505 - Bank Fees and Service ... | | 38.40 | 2,341.71 |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|----------------------------|--------------------------|------------------------------------|------------|-----------|-------------|
| Check | 04/01/2012 | 3483 | | John Escobar | | 3030 - Distributions | | | |
| Check | 04/01/2012 | 3484 | | Jon W. Steil | | 3040 - Withdrawals | | 182.44 | 1,570.09 |
| Check | 04/01/2012 | 3485 | | Yeh Family Trust | | 3030 - Distributions | | 154.02 | 1,418.07 |
| Check | 04/01/2012 | 3486 | | Allen Barbieri | | 3030 - Distributions | | 288.83 | 1,128.24 |
| Check | 04/01/2012 | 3487 | | Hellier Living Trust | | 3030 - Distributions | | 701.47 | 424.77 |
| Check | 04/01/2012 | 3489 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 307.06 | 117.71 |
| Check | 04/01/2012 | 3490 | | The Kim N. Nguyen Livi... | | 3030 - Distributions | | 3,080.00 | -2,982.29 |
| Check | 04/01/2012 | 3491 | | IRA Services FBO John ... | | 3030 - Distributions | | 284.92 | -3,227.21 |
| Check | 04/01/2012 | 3492 | | IRA Services FBO John ... | | 3030 - Distributions | | 618.17 | -4,000.69 |
| Check | 04/01/2012 | 3493 | | Jeffrey W. Abramson | | 3030 - Distributions | | 154.31 | -3,846.38 |
| Check | 04/01/2012 | 3494 | | Chris & Glenda Berattis | | 3030 - Distributions | | 2,472.07 | -1,374.31 |
| Check | 04/01/2012 | 3495 | | Ron Labetich Co Profit ... | | 3030 - Distributions | | 154.02 | -6,626.78 |
| Check | 04/01/2012 | 3496 | | Akhila N. Gantayat | | 3030 - Distributions | | 314.21 | -6,940.99 |
| Check | 04/01/2012 | 3497 | | IRA Services FBO Bird, ... | | 3030 - Distributions | | 154.02 | -7,095.01 |
| Check | 04/01/2012 | 3498 | | Ivy To | | 3030 - Distributions | | 389.66 | -7,484.67 |
| Check | 04/01/2012 | 3499 | | Krishna Bhola & Jennife... | | 3030 - Distributions | | 308.05 | -7,772.72 |
| Check | 04/01/2012 | 3500 | | Jack Buchman | | 3030 - Distributions | | 308.05 | -8,080.77 |
| Check | 04/01/2012 | 3501 | | Marliou Heckman c/o W... | | 3030 - Distributions | | 308.05 | -8,388.82 |
| Check | 04/01/2012 | 3502 | | Johnson Chunsheng Ch... | | 3030 - Distributions | | 1,540.23 | -9,929.05 |
| Check | 04/01/2012 | 3503 | | Ronald J. Gordon, FBO... | | 3030 - Distributions | | 158.58 | -10,761.40 |
| Check | 04/01/2012 | 3504 | | Hsu Feng Wang & Jim... | | 3030 - Distributions | | 685.77 | -11,058.63 |
| Check | 04/01/2012 | 3505 | | The Lauri E Fried-Lee &... | | 3030 - Distributions | | 1,540.23 | -12,291.63 |
| Check | 04/01/2012 | 3506 | | Roger Wong Mulloy c/o ... | | 3030 - Distributions | | 154.02 | -12,445.65 |
| Check | 04/01/2012 | 3507 | | Mohamed Dhaifa CPA ... | | 3030 - Distributions | | 618.09 | -13,061.74 |
| Check | 04/01/2012 | 3508 | | Amir H & Louise F Solr... | | 3030 - Distributions | | 308.05 | -13,369.79 |
| Check | 04/01/2012 | 3509 | | Ali Tabatabaian | | 3030 - Distributions | | 924.14 | -14,293.93 |
| Check | 04/01/2012 | 3510 | | Weisbart 1986 Family T... | | 3030 - Distributions | | 308.05 | -14,601.98 |
| Check | 04/01/2012 | 3511 | | Robert Swanbeck | | 3030 - Distributions | | 154.02 | -14,756.00 |
| Check | 04/01/2012 | 3512 | | Paula Klug | | 3030 - Distributions | | 309.28 | -15,065.28 |
| Check | 04/01/2012 | 3513 | | Pauline Schwartz | | 3030 - Distributions | | 618.09 | -15,683.37 |
| Check | 04/01/2012 | 3514 | | Michael Gagnon | | 3030 - Distributions | | 308.05 | -15,991.42 |
| Check | 04/01/2012 | 3515 | | Leonard Strelzin | | 3030 - Distributions | | 308.05 | -16,299.47 |
| Check | 04/01/2012 | 3516 | | Don R Castleman Acco... | | 3030 - Distributions | | 409.58 | -16,707.05 |
| Check | 04/03/2012 | ach | | | | 3030 - Distributions | | 69.56 | -16,776.61 |
| General Journal | 04/05/2012 | ACH R... | | | Funds Transfer | 1011 - Heritage - 3513 - Operating | 100,000.00 | 57,217.67 | -73,894.28 |
| Check | 04/30/2012 | ach | | Torey Pines Bank | Frederick Tum ACH Return | 3015 - Re-Invested Distributions | 706.57 | | -28,005.72 |
| Transfer | 05/01/2012 | | | Luce, Forward, Hamilit... | Funds Transfer | 5010 - Bank Fees | | 12.80 | -28,018.52 |
| General Journal | 05/01/2012 | Dist-ACH | | | May Distribution-ACH | 1011 - Heritage - 3513 - Operating | 55,000.00 | | -28,031.32 |
| Check | 05/01/2012 | 3517 | | John Escobar | | 3030 - Distributions | | 53,526.74 | -81,558.06 |
| Check | 05/01/2012 | 3518 | | Jon W. Steil | | 3040 - Withdrawals | | 178.55 | -81,736.61 |
| Check | 05/01/2012 | 3519 | | Yeh Family Trust | | 3030 - Distributions | | 149.05 | -81,885.66 |
| Check | 05/01/2012 | 3520 | | Allen Barbieri | | 3030 - Distributions | | 280.48 | -82,166.14 |
| Check | 05/01/2012 | 3521 | | Hellier Living Trust | | 3030 - Distributions | | 678.84 | -82,844.98 |
| Check | 05/01/2012 | 3522 | | Don L. Marchman, TTEE | | 3030 - Distributions | | 297.16 | -83,142.14 |
| Check | 05/01/2012 | 3523 | | Subodh & Shradha T... | | 3030 - Distributions | | 2,980.65 | -86,122.79 |
| Check | 05/01/2012 | 3524 | | The Kim N. Nguyen Livi... | | 3030 - Distributions | | 4,917.62 | -91,040.41 |
| Check | 05/01/2012 | 3525 | | IRA Services FBO John ... | | 3030 - Distributions | | 18,436.03 | -109,476.44 |
| Check | 05/01/2012 | 3526 | | IRA Services FBO John ... | | 3030 - Distributions | | 258.37 | -110,004.81 |
| Check | 05/01/2012 | 3527 | | Jeffrey W. Abramson | | 3030 - Distributions | | 589.20 | -110,594.01 |
| Check | 05/01/2012 | 3528 | | Chris & Glenda Berattis | | 3030 - Distributions | | 149.05 | -110,743.06 |
| Check | 05/01/2012 | 3529 | | Ron Labetich Co Profit ... | | 3030 - Distributions | | 2,392.33 | -113,135.39 |
| Check | 05/01/2012 | 3530 | | Akhila N. Gantayat | | 3030 - Distributions | | 149.05 | -113,284.44 |
| Check | 05/01/2012 | 3531 | | IRA Services FBO Bird, ... | | 3030 - Distributions | | 304.07 | -113,588.51 |
| Check | 05/01/2012 | 3532 | | Ivy To | | 3030 - Distributions | | 149.05 | -113,737.56 |
| Check | 05/01/2012 | 3533 | | Krishna Bhola & Jennife... | | 3030 - Distributions | | 357.73 | -114,095.29 |
| Check | 05/01/2012 | 3534 | | Jack Buchman | | 3030 - Distributions | | 298.11 | -114,393.40 |
| Check | 05/01/2012 | 3535 | | Marliou Heckman c/o W... | | 3030 - Distributions | | 280.11 | -114,673.51 |
| Check | 05/01/2012 | 3536 | | Johnson Chunsheng Ch... | | 3030 - Distributions | | 1,490.55 | -116,164.06 |
| Check | 05/01/2012 | 3537 | | Ronald J. Gordon, FBO... | | 3030 - Distributions | | 151.53 | -116,315.59 |
| Check | 05/01/2012 | 3538 | | Hsu Feng Wang & Jim... | | 3030 - Distributions | | 894.33 | -117,209.92 |
| Check | 05/01/2012 | 3539 | | The Lauri E Fried-Lee &... | | 3030 - Distributions | | 1,490.55 | -118,700.47 |
| Check | 05/01/2012 | 3540 | | Roger Wong Mulloy c/o ... | | 3030 - Distributions | | 284.50 | -118,984.97 |
| Check | 05/01/2012 | 3541 | | Mohamed Dhaifa CPA ... | | 3030 - Distributions | | 595.22 | -119,580.19 |
| Check | 05/01/2012 | 3542 | | Amir H & Louise F Solr... | | 3030 - Distributions | | 288.11 | -120,168.30 |
| Check | 05/01/2012 | 3543 | | Ali Tabatabaian | | 3030 - Distributions | | 894.33 | -121,062.63 |
| Check | 05/01/2012 | 3544 | | Weisbart 1986 Family T... | | 3030 - Distributions | | 298.11 | -121,360.74 |
| Check | 05/01/2012 | 3545 | | Robert Swanbeck | | 3030 - Distributions | | 149.05 | -121,509.79 |
| Check | 05/01/2012 | 3546 | | Paula Klug | | 3030 - Distributions | | 299.30 | -121,809.09 |
| Check | 05/01/2012 | 3547 | | Pauline Schwartz | | 3030 - Distributions | | 595.22 | -122,404.31 |
| Check | 05/01/2012 | 3548 | | Michael Gagnon | | 3030 - Distributions | | 288.11 | -122,692.42 |
| Check | 05/01/2012 | 3549 | | IRA Services FBO Strel... | | 3030 - Distributions | | 298.11 | -122,990.53 |
| Check | 05/01/2012 | 3550 | | Don R Castleman Acco... | | 3030 - Distributions | | 614.37 | -123,604.90 |
| Check | 05/02/2012 | | | Susan B. Coffey Revoc... | | 3040 - Withdrawals | | 298.11 | -123,903.01 |
| Check | 05/31/2012 | | | | Service Charge | 6505 - Bank Fees and Service ... | | 200.00 | -124,103.01 |
| Check | 06/01/2012 | 2508 | | Torey Pines Bank | Fund Transfer | 1011 - Heritage - 3513 - Operating | 195,000.00 | 12.50 | -124,115.51 |
| Check | 06/01/2012 | 3551 | | Maggie Bettelstone | | 3030 - Distributions | | 758.98 | -124,874.49 |
| Check | 06/01/2012 | 3552 | | Martha N. Ferde | | 3030 - Distributions | | 324.58 | -125,199.07 |
| Check | 06/01/2012 | 3553 | | Ronald Thompson | | 3030 - Distributions | | 3,402.66 | -128,601.73 |
| Check | 06/01/2012 | 3554 | | John & Lena King | | 3030 - Distributions | | 721.75 | -129,323.48 |
| Check | 06/01/2012 | 3555 | | John Lindner (TF Angeli... | | 3030 - Distributions | | 690.01 | -129,993.49 |
| Check | 06/01/2012 | 3556 | | Angie Smith 2010 Revo... | | 3030 - Distributions | | 228.86 | -130,222.35 |
| Check | 06/01/2012 | 3557 | | The Hunsaker Family T... | | 3030 - Distributions | | 1,875.13 | -132,097.48 |
| Check | 06/01/2012 | 3558 | | Ronald and Mary Pierce... | | 3030 - Distributions | | 470.23 | -132,567.71 |
| Check | 06/01/2012 | 3559 | | Cary Levin and Stewart ... | | 3030 - Distributions | | 1,555.51 | -134,123.22 |
| Check | 06/01/2012 | 3560 | | John Escobar | | 3030 - Distributions | | 182.44 | -134,305.66 |
| Check | 06/01/2012 | 3561 | | Boyer Naito | | 3030 - Distributions | | 358.55 | -134,664.21 |
| Check | 06/01/2012 | 3562 | | Yoman Lu and Lijian Du | | 3030 - Distributions | | 210.04 | -134,874.25 |
| Check | 06/01/2012 | 3563 | | Jeanette F. and Martin ... | | 3030 - Distributions | | 451.77 | -135,326.02 |
| Check | 06/01/2012 | 3564 | | Jon W. Steil | | 3030 - Distributions | | 154.02 | -135,480.04 |
| Check | 06/01/2012 | 3565 | | Robert Morris | | 3030 - Distributions | | 376.57 | -135,856.61 |
| Check | 06/01/2012 | 3566 | | Natalie Taxfe Feathers | | 3030 - Distributions | | 1.91 | -135,858.52 |
| Check | 06/01/2012 | 3567 | | Hsiang-Kang & Chao-T... | | 3030 - Distributions | | 289.83 | -136,148.35 |
| Check | 06/01/2012 | 3568 | | Allen Barbieri | | 3030 - Distributions | | 701.47 | -136,849.82 |
| Check | 06/01/2012 | 3569 | | David R. & Beverly S. L... | | 3030 - Distributions | | 423.15 | -137,272.97 |
| Check | 06/01/2012 | 3570 | | Macan Singh | | 3030 - Distributions | | 891.95 | -138,164.92 |
| Check | 06/01/2012 | 3571 | | Nicholas Cabell | | 3030 - Distributions | | 1,059.05 | -139,224.00 |
| Check | 06/01/2012 | 3572 | | IRA Services FBO Syd ... | | 3030 - Distributions | | 1,014.00 | -140,238.00 |
| Check | 06/01/2012 | 3573 | | IRA Services FBO Victor... | | 3030 - Distributions | | 175.16 | -140,413.16 |
| Check | 06/01/2012 | 3574 | | Wm. C. & Sally G. Sloc... | | 3030 - Distributions | | 1,259.15 | -141,672.31 |
| Check | 06/01/2012 | 3575 | | TD Ameritrade FBO NS... | | 3030 - Distributions | | 170.29 | -141,842.60 |
| Check | 06/01/2012 | 3576 | | Hellier Living Trust | | 3030 - Distributions | | 307.06 | -142,149.66 |
| Check | 06/01/2012 | 3577 | | R. & M. McDowell, Trust... | | 3030 - Distributions | | 645.56 | -142,795.22 |
| Check | 06/01/2012 | 3578 | | Dan L. Silva | | 3030 - Distributions | | 430.60 | -143,225.82 |
| Check | 06/01/2012 | 3579 | | William J. Massung | | 3030 - Distributions | | 249.61 | -143,475.43 |
| Check | 06/01/2012 | 3580 | | IRA Services FBO Mar... | | 3030 - Distributions | | 77.63 | -143,553.06 |
| Check | 06/01/2012 | 3581 | | Frank J. Napoli | | 3030 - Distributions | | 785.29 | -144,338.35 |
| Check | 06/01/2012 | 3582 | | Robert A. Luenberger | | 3030 - Distributions | | 1,375.47 | -145,713.82 |
| Check | 06/01/2012 | 3583 | | Francis & Dorothy Schw... | | 3030 - Distributions | | 260.67 | -145,974.49 |
| Check | 06/01/2012 | 3584 | | Nathalie F. Gross | | 3030 - Distributions | | 1,046.53 | -147,021.02 |
| Check | 06/01/2012 | 3585 | | Vincent Turner | | 3030 - Distributions | | 715.16 | -147,736.18 |
| Check | 06/01/2012 | 3586 | | The Pete & Judith Peter... | | 3030 - Distributions | | 190.48 | -147,926.66 |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|------|-----|------------------------------|------|----------------------|-------|----------|------------|
| Check | 06/01/2012 | 3587 | | Indian Muslim Relief & C... | | 3030 - Distributions | | 4,787.61 | 170,657.50 |
| Check | 06/01/2012 | 3588 | | Richard Wilcox | | 3030 - Distributions | | 373.47 | 170,284.03 |
| Check | 06/01/2012 | 3589 | | Zaheda Shaikh | | 3030 - Distributions | | 306.02 | 169,977.01 |
| Check | 06/01/2012 | 3590 | | Peter & Helen Sih TTEES | | 3030 - Distributions | | 456.20 | 169,520.81 |
| Check | 06/01/2012 | 3591 | | The Marchman Trust A... | | 3030 - Distributions | | 3,080.00 | 166,440.81 |
| Check | 06/01/2012 | 3592 | | Philip J. & Joyce D. Bach | | 3030 - Distributions | | 320.62 | 166,120.19 |
| Check | 06/01/2012 | 3593 | | Fadia Damon | | 3030 - Distributions | | 259.55 | 165,860.64 |
| Check | 06/01/2012 | 3594 | | Fadia Damon | | 3030 - Distributions | | 259.55 | 165,601.09 |
| Check | 06/01/2012 | 3595 | | Subodh & Sharadha T... | | 3030 - Distributions | | 5,081.54 | 160,519.55 |
| Check | 06/01/2012 | 3596 | | Tenence & Kathleen Lac... | | 3030 - Distributions | | 183.70 | 160,335.85 |
| Check | 06/01/2012 | 3597 | | IRA Services FBO Karl... | | 3030 - Distributions | | 1,469.11 | 158,867.74 |
| Check | 06/01/2012 | 3598 | | Peter N. Chumo II & G... | | 3030 - Distributions | | 1,720.47 | 157,147.27 |
| Check | 06/01/2012 | 3599 | | Cary Levin and Stewart ... | | 3030 - Distributions | | 260.84 | 156,886.43 |
| Check | 06/01/2012 | 3600 | | Fadia Damon | | 3030 - Distributions | | 757.40 | 156,129.03 |
| Check | 06/01/2012 | 3601 | | The Mark Bingham Fou... | | 3030 - Distributions | | 528.60 | 155,600.23 |
| Check | 06/01/2012 | 3602 | | William C & Karen LS K... | | 3030 - Distributions | | 636.97 | 154,963.26 |
| Check | 06/01/2012 | 3603 | | Urman Family Revocab... | | 3030 - Distributions | | 36.13 | 154,927.13 |
| Check | 06/01/2012 | 3604 | | Vahid Sotoudeh, FBO C... | | 3030 - Distributions | | 41.60 | 154,885.53 |
| Check | 06/01/2012 | 3605 | | Vahid Sotoudeh | | 3030 - Distributions | | 27.34 | 154,858.19 |
| Check | 06/01/2012 | 3606 | | IRA Services FBO Soto... | | 3030 - Distributions | | 150.74 | 154,707.45 |
| Check | 06/01/2012 | 3607 | | Zorastan, Nilo & Judith T... | | 3030 - Distributions | | 484.01 | 154,223.44 |
| Check | 06/01/2012 | 3608 | | Edwin A. Laurence Trust | | 3030 - Distributions | | 683.93 | 153,539.51 |
| Check | 06/01/2012 | 3609 | | stephen Blair | | 3030 - Distributions | | 178.75 | 153,360.76 |
| Check | 06/01/2012 | 3610 | | Edward Minasian | | 3030 - Distributions | | 1,037.39 | 152,323.37 |
| Check | 06/01/2012 | 3611 | | IRA Services FBO Pete... | | 3030 - Distributions | | 6,882.91 | 145,439.46 |
| Check | 06/01/2012 | 3612 | | Judith Abel | | 3030 - Distributions | | 756.35 | 144,683.11 |
| Check | 06/01/2012 | 3613 | | JOSEPH & Joyce Lipov... | | 3030 - Distributions | | 700.23 | 143,982.88 |
| Check | 06/01/2012 | 3614 | | IRA Services FBO Soto... | | 3030 - Distributions | | 319.80 | 143,663.08 |
| Check | 06/01/2012 | 3615 | | The Kim N. Nguyen Livi... | | 3030 - Distributions | | 264.82 | 143,398.06 |
| Check | 06/01/2012 | 3616 | | Willard E. Fee, Jr. | | 3030 - Distributions | | 711.82 | 142,686.24 |
| Check | 06/01/2012 | 3617 | | Floyd J. and Annette J. ... | | 3030 - Distributions | | 385.75 | 142,300.49 |
| Check | 06/01/2012 | 3618 | | Bonnie Benson | | 3030 - Distributions | | 1,348.64 | 140,951.85 |
| Check | 06/01/2012 | 3619 | | Pingyu & Carolyn Liu | | 3030 - Distributions | | 4,017.06 | 136,934.79 |
| Check | 06/01/2012 | 3620 | | Ke Rui Lai and Yi Qun ... | | 3030 - Distributions | | 874.03 | 136,060.76 |
| Check | 06/01/2012 | 3621 | | Andre Luthard | | 3030 - Distributions | | 1,174.30 | 134,886.46 |
| Check | 06/01/2012 | 3622 | | IRA Services FBO Wak... | | 3030 - Distributions | | 181.45 | 134,705.01 |
| Check | 06/01/2012 | 3623 | | Daniel & Katherine Lau... | | 3030 - Distributions | | 674.69 | 134,030.32 |
| Check | 06/01/2012 | 3624 | | Chris Doyle | | 3030 - Distributions | | 421.48 | 133,608.84 |
| Check | 06/01/2012 | 3625 | | Ashok & Nisha Kapoor | | 3030 - Distributions | | 1,348.53 | 132,260.31 |
| Check | 06/01/2012 | 3626 | | Harry & Freda Goldstein | | 3030 - Distributions | | 620.38 | 131,639.93 |
| Check | 06/01/2012 | 3627 | | IRA Services FBO Zhou... | | 3030 - Distributions | | 332.38 | 131,307.55 |
| Check | 06/01/2012 | 3628 | | Walid Tadros | | 3030 - Distributions | | 1,878.99 | 129,428.56 |
| Check | 06/01/2012 | 3629 | | IRA Services FBO Barb... | | 3030 - Distributions | | 517.69 | 128,910.87 |
| Check | 06/01/2012 | 3630 | | Edward Minasian | | 3030 - Distributions | | 420.10 | 128,490.77 |
| Check | 06/01/2012 | 3631 | | IRA Services FBO Davi... | | 3030 - Distributions | | 619.17 | 127,871.60 |
| Check | 06/01/2012 | 3632 | | Fanny Fung-Yi Pan | | 3030 - Distributions | | 303.79 | 127,567.81 |
| Check | 06/01/2012 | 3633 | | Christiana G. Schanda | | 3030 - Distributions | | 392.45 | 127,175.36 |
| Check | 06/01/2012 | 3634 | | Jeff and Jane Shen Tru... | | 3030 - Distributions | | 1,027.87 | 126,147.49 |
| Check | 06/01/2012 | 3635 | | An Wan Zhang | | 3030 - Distributions | | 621.13 | 125,526.36 |
| Check | 06/01/2012 | 3636 | | Te Family Trust | | 3030 - Distributions | | 208.20 | 125,318.16 |
| Check | 06/01/2012 | 3637 | | Ira Services FBO John ... | | 3030 - Distributions | | 154.02 | 125,164.14 |
| Check | 06/01/2012 | 3638 | | IRA Services FBO Barb... | | 3030 - Distributions | | 163.95 | 125,000.19 |
| Check | 06/01/2012 | 3639 | | IRA Services FBO John... | | 3030 - Distributions | | 173.02 | 124,827.17 |
| Check | 06/01/2012 | 3640 | | Vivak Agarwal | | 3030 - Distributions | | 331.11 | 124,496.06 |
| Check | 06/01/2012 | 3641 | | Ning Sung & Emilio Mat... | | 3030 - Distributions | | 345.03 | 124,151.03 |
| Check | 06/01/2012 | 3642 | | Masayoshi & Sanae Habu | | 3030 - Distributions | | 564.93 | 123,586.10 |
| Check | 06/01/2012 | 3643 | | IRA Services FBO Sun... | | 3030 - Distributions | | 213.79 | 123,372.31 |
| Check | 06/01/2012 | 3644 | | Robert S. Ferguson, Jr. | | 3030 - Distributions | | 171.79 | 123,200.52 |
| Check | 06/01/2012 | 3645 | | Takeishi Omura | | 3030 - Distributions | | 1,276.20 | 121,924.32 |
| Check | 06/01/2012 | 3646 | | Parvaneh Sole 401(k) | | 3030 - Distributions | | 172.78 | 121,751.54 |
| Check | 06/01/2012 | 3647 | | IRA Services FBO Kem... | | 3030 - Distributions | | 943.04 | 120,808.50 |
| Check | 06/01/2012 | 3648 | | Thiagarajan Tangavelu ... | | 3030 - Distributions | | 354.69 | 120,453.81 |
| Check | 06/01/2012 | 3649 | | IRA Services FBO Ceh... | | 3030 - Distributions | | 646.82 | 120,006.99 |
| Check | 06/01/2012 | 3650 | | IRA Services FBO Vam... | | 3030 - Distributions | | 663.17 | 119,343.72 |
| Check | 06/01/2012 | 3651 | | Joel W. Goodman | | 3030 - Distributions | | 170.20 | 119,173.52 |
| Check | 06/01/2012 | 3652 | | Jeffrey W. Abramson | | 3030 - Distributions | | 2,472.07 | 116,701.45 |
| Check | 06/01/2012 | 3653 | | Masayoshi & Sanae Habu | | 3030 - Distributions | | 13.61 | 116,687.84 |
| Check | 06/01/2012 | 3654 | | Masayoshi & Sanae Habu | | 3030 - Distributions | | 13.61 | 116,674.23 |
| Check | 06/01/2012 | 3655 | | Raineri 2001 Living Trust | | 3030 - Distributions | | 443.03 | 116,231.20 |
| Check | 06/01/2012 | 3656 | | Alan Leibof | | 3030 - Distributions | | 170.24 | 116,060.96 |
| Check | 06/01/2012 | 3657 | | Matthew & Stephanie T... | | 3030 - Distributions | | 681.68 | 115,379.28 |
| Check | 06/01/2012 | 3658 | | ira Services FBO Van S... | | 3030 - Distributions | | 794.68 | 114,584.60 |
| Check | 06/01/2012 | 3659 | | IRA services FBO Charl... | | 3030 - Distributions | | 267.53 | 114,317.07 |
| Check | 06/01/2012 | 3660 | | Sulumar & Shamila Mu... | | 3030 - Distributions | | 203.07 | 114,114.00 |
| Check | 06/01/2012 | 3661 | | Chris & Glenda Beradis | | 3030 - Distributions | | 154.02 | 113,959.98 |
| Check | 06/01/2012 | 3662 | | R. Peter Griffin DDS | | 3030 - Distributions | | 1,550.46 | 112,409.52 |
| Check | 06/01/2012 | 3663 | | Rhona Leibof | | 3030 - Distributions | | 179.05 | 112,230.47 |
| Check | 06/01/2012 | 3664 | | IRA Services FBO Kurt ... | | 3030 - Distributions | | 166.04 | 112,064.43 |
| Check | 06/01/2012 | 3665 | | Gulab & Nimmi Gidwani... | | 3030 - Distributions | | 240.21 | 111,824.22 |
| Check | 06/01/2012 | 3666 | | Andreas & Margaret M>... | | 3030 - Distributions | | 167.85 | 111,656.37 |
| Check | 06/01/2012 | 3667 | | richard & Linda Benson | | 3030 - Distributions | | 167.59 | 111,488.78 |
| Check | 06/01/2012 | 3668 | | Charlotte Wu | | 3030 - Distributions | | 261.55 | 111,227.23 |
| Check | 06/01/2012 | 3669 | | Orlando & Tony Escobar | | 3030 - Distributions | | 338.46 | 110,888.77 |
| Check | 06/01/2012 | 3670 | | Ron Labetich Co Profit ... | | 3030 - Distributions | | 314.21 | 110,574.56 |
| Check | 06/01/2012 | 3671 | | Anders Bjorkman | | 3030 - Distributions | | 235.66 | 110,338.90 |
| Check | 06/01/2012 | 3672 | | Nocera Rev. Sep. Prop... | | 3030 - Distributions | | 332.33 | 110,006.57 |
| Check | 06/01/2012 | 3673 | | The Rusakia Family Rev... | | 3030 - Distributions | | 166.04 | 109,840.53 |
| Check | 06/01/2012 | 3674 | | IRA SERVICES FBO Li... | | 3030 - Distributions | | 38.23 | 109,802.30 |
| Check | 06/01/2012 | 3675 | | Marcial La Roque | | 3030 - Distributions | | 1,294.65 | 108,507.65 |
| Check | 06/01/2012 | 3676 | | IRA Services FBO Muk... | | 3030 - Distributions | | 460.14 | 108,047.51 |
| Check | 06/01/2012 | 3677 | | Howard Gottlieb | | 3030 - Distributions | | 165.48 | 107,882.03 |
| Check | 06/01/2012 | 3678 | | Gilmore Family Trust | | 3030 - Distributions | | 482.10 | 107,399.93 |
| Check | 06/01/2012 | 3679 | | IRA Services FBO Dam... | | 3030 - Distributions | | 681.25 | 106,718.68 |
| Check | 06/01/2012 | 3680 | | John P. and Jeanne Atto | | 3030 - Distributions | | 164.82 | 106,553.86 |
| Check | 06/01/2012 | 3681 | | John McCarthy | | 3030 - Distributions | | 1,837.14 | 104,716.72 |
| Check | 06/01/2012 | 3682 | | Stephen D. Pahl TTEES | | 3030 - Distributions | | 329.71 | 104,387.01 |
| Check | 06/01/2012 | 3683 | | Horst G Bansen TTEES | | 3030 - Distributions | | 164.66 | 104,222.35 |
| Check | 06/01/2012 | 3684 | | IRA services Trust | | 3030 - Distributions | | 237.02 | 103,985.33 |
| Check | 06/01/2012 | 3685 | | Mary Sung | | 3030 - Distributions | | 172.86 | 103,812.47 |
| Check | 06/01/2012 | 3686 | | Rev. Living Trust of Bro... | | 3030 - Distributions | | 164.17 | 103,648.30 |
| Check | 06/01/2012 | 3687 | | Edward & Marian Bell | | 3030 - Distributions | | 477.22 | 103,171.08 |
| Check | 06/01/2012 | 3688 | | Nyberg Revocable Trust | | 3030 - Distributions | | 133.91 | 102,937.17 |
| Check | 06/01/2012 | 3689 | | Gary J. Heston | | 3030 - Distributions | | 165.42 | 102,771.75 |
| Check | 06/01/2012 | 3690 | | Hsin-Shen Tai | | 3030 - Distributions | | 21.52 | 102,750.23 |
| Check | 06/01/2012 | 3691 | | IRA Services FBO Den... | | 3030 - Distributions | | 432.21 | 102,318.02 |
| Check | 06/01/2012 | 3692 | | Vincent C. Moyer | | 3030 - Distributions | | 163.68 | 102,154.34 |
| Check | 06/01/2012 | 3693 | | Mary Ester DiPietro | | 3030 - Distributions | | 165.16 | 101,989.18 |
| Check | 06/01/2012 | 3694 | | John Woo & Cindy Ng | | 3030 - Distributions | | 656.38 | 101,332.80 |
| Check | 06/01/2012 | 3695 | | James Po Kuen Chiang... | | 3030 - Distributions | | 263.73 | 101,069.07 |
| Check | 06/01/2012 | 3696 | | David Hernandez | | 3030 - Distributions | | 202.17 | 100,866.90 |
| Check | 06/01/2012 | 3697 | | Armour Family Trust | | 3030 - Distributions | | 164.63 | 100,702.27 |
| Check | 06/01/2012 | 3698 | | Oliver & Joanna Yu | | 3030 - Distributions | | 325.49 | 100,376.78 |

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Investors Prime Fund

08/18/16

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|------|-----|-----------------------------|-------|----------------------|--------------|--------------|------------|
| Check | 06/01/2012 | 3698 | | Akhila N. Gantayat | | 3030 - Distributions | | 154.02 | 100,302.46 |
| Check | 06/01/2012 | 3700 | | Shiang Meel Heh | | 3030 - Distributions | | 649.57 | 99,652.89 |
| Check | 06/01/2012 | 3701 | | Nileudu Sekhar Bagchi... | | 3030 - Distributions | | 226.95 | 99,425.94 |
| Check | 06/01/2012 | 3702 | | ira Services FBO Steve... | | 3030 - Distributions | | 162.33 | 99,263.61 |
| Check | 06/01/2012 | 3703 | | Larry Minter | | 3030 - Distributions | | 775.79 | 98,487.82 |
| Check | 06/01/2012 | 3704 | | ira Services FBO Bird, ... | | 3030 - Distributions | | 369.66 | 98,118.16 |
| Check | 06/01/2012 | 3705 | | Ronald Alpert Young | | 3030 - Distributions | | 288.64 | 97,829.52 |
| Check | 06/01/2012 | 3706 | | Nabeel Ahmed | | 3030 - Distributions | | 355.53 | 97,473.99 |
| Check | 06/01/2012 | 3707 | | Carol A. Staley | | 3030 - Distributions | | 810.88 | 96,663.11 |
| Check | 06/01/2012 | 3708 | | IRA Services FBO Moni... | | 3030 - Distributions | | 354.17 | 96,308.94 |
| Check | 06/01/2012 | 3709 | | WJIA Secure Income Fu... | | 3030 - Distributions | | 648.03 | 95,660.91 |
| Check | 06/01/2012 | 3710 | | Virginia Barnes | | 3030 - Distributions | | 1,288.89 | 94,373.57 |
| Check | 06/01/2012 | 3711 | | Ivy To | | 3030 - Distributions | | 308.05 | 94,065.52 |
| Check | 06/01/2012 | 3712 | | Wendi J. Dick | | 3030 - Distributions | | 508.40 | 93,557.12 |
| Check | 06/01/2012 | 3713 | | Carl A. Wolfe | | 3030 - Distributions | | 161.30 | 93,395.82 |
| Check | 06/01/2012 | 3714 | | TD Ameritrade FBO Ma... | | 3030 - Distributions | | 161.27 | 93,234.55 |
| Check | 06/01/2012 | 3715 | | Antonio F. Palumbo | | 3030 - Distributions | | 887.61 | 92,346.94 |
| Check | 06/01/2012 | 3716 | | Richard E. Moore | | 3030 - Distributions | | 161.08 | 92,185.86 |
| Check | 06/01/2012 | 3717 | | Krishna Bhola & Jennife... | | 3030 - Distributions | | 308.05 | 91,877.81 |
| Check | 06/01/2012 | 3718 | | Yi Qun Zhou | | 3030 - Distributions | | 38.73 | 91,839.08 |
| Check | 06/01/2012 | 3719 | | Gilmore-Hallwell Living... | | 3030 - Distributions | | 317.13 | 91,521.95 |
| Check | 06/01/2012 | 3720 | | IRA Services FBO Zhou... | | 3030 - Distributions | | 321.71 | 91,200.24 |
| Check | 06/01/2012 | 3721 | | Millierium Trust Co. FB... | | 3030 - Distributions | | 321.45 | 90,878.79 |
| Check | 06/01/2012 | 3722 | | Jack Buchman | | 3030 - Distributions | | 308.05 | 90,570.74 |
| Check | 06/01/2012 | 3723 | | Tiffany Woo | | 3030 - Distributions | | 160.50 | 90,410.24 |
| Check | 06/01/2012 | 3724 | | Kathrine Woo | | 3030 - Distributions | | 160.50 | 90,249.74 |
| Check | 06/01/2012 | 3725 | | Stella S. F. Liu | | 3030 - Distributions | | 273.52 | 89,976.22 |
| Check | 06/01/2012 | 3727 | | Louis J. & Karen A. Lee... | | 3030 - Distributions | | 627.67 | 89,348.55 |
| Check | 06/01/2012 | 3728 | | IRA SERVICES fbo Jam... | | 3030 - Distributions | | 272.83 | 89,075.72 |
| Check | 06/01/2012 | 3729 | | Anthony Gee Revocabl... | | 3030 - Distributions | | 258.04 | 88,815.68 |
| Check | 06/01/2012 | 3730 | | Robert & Mary Provedello | | 3030 - Distributions | | 159.65 | 88,656.03 |
| Check | 06/01/2012 | 3731 | | Marlou Heckman c/o W... | | 3030 - Distributions | | 1,540.23 | 87,115.80 |
| Check | 06/01/2012 | 3732 | | Johnson Chunsheng Ch... | | 3030 - Distributions | | 156.58 | 86,959.22 |
| Check | 06/01/2012 | 3733 | | Ronald J. Gordon, FBO... | | 3030 - Distributions | | 924.14 | 86,035.08 |
| Check | 06/01/2012 | 3734 | | IRA Services FBO Muk... | | 3030 - Distributions | | 195.04 | 85,840.04 |
| Check | 06/01/2012 | 3735 | | Ingle & Key Paik | | 3030 - Distributions | | 476.20 | 85,363.84 |
| Check | 06/01/2012 | 3736 | | ira Services FBO Van T... | | 3030 - Distributions | | 129.94 | 85,233.90 |
| Check | 06/01/2012 | 3737 | | IRA Services FBO Mer... | | 3030 - Distributions | | 71.04 | 85,162.86 |
| Check | 06/01/2012 | 3738 | | IRA Services FBO Sih... | | 3030 - Distributions | | 253.67 | 84,909.19 |
| Check | 06/01/2012 | 3739 | | Hsiu Feng Wang & Jim... | | 3030 - Distributions | | 1,540.23 | 83,368.96 |
| Check | 06/01/2012 | 3740 | | Peener Family Revocab... | | 3030 - Distributions | | 119.76 | 83,249.20 |
| Check | 06/01/2012 | 3741 | | Bronco Enterprises Inc. ... | | 3030 - Distributions | | 317.03 | 82,932.17 |
| Check | 06/01/2012 | 3742 | | Yokayo & Aaron K. Hai... | | 3030 - Distributions | | 8,749.83 | 74,182.34 |
| Check | 06/01/2012 | 3743 | | Khusuma A. Savani | | 3030 - Distributions | | 158.29 | 73,994.05 |
| Check | 06/01/2012 | 3744 | | The Lauri E Fried-Lee &... | | 3030 - Distributions | | 309.59 | 73,714.36 |
| Check | 06/01/2012 | 3745 | | IRA Services FBO Mols... | | 3030 - Distributions | | 455.33 | 73,259.03 |
| Check | 06/01/2012 | 3746 | | IRA Services FBO Lync... | | 3030 - Distributions | | 314.44 | 72,944.59 |
| Check | 06/01/2012 | 3747 | | Roger Weng Mufay c/o ... | | 3030 - Distributions | | 616.09 | 72,328.50 |
| Check | 06/01/2012 | 3748 | | ira Services FBO A... | | 3030 - Distributions | | 633.02 | 71,695.48 |
| Check | 06/01/2012 | 3749 | | Yan Cindy Kwok and Fr... | | 3030 - Distributions | | 158.11 | 71,537.37 |
| Check | 06/01/2012 | 3750 | | Mohamed Dhalla CPA ... | | 3030 - Distributions | | 308.05 | 71,229.32 |
| Check | 06/01/2012 | 3751 | | IRA Services FBO Seid... | | 3030 - Distributions | | 157.95 | 71,071.37 |
| Check | 06/01/2012 | 3752 | | Rev Living trust of Rich... | | 3030 - Distributions | | 378.63 | 70,692.74 |
| Check | 06/01/2012 | 3753 | | Mark Sun Lin | | 3030 - Distributions | | 157.82 | 70,534.92 |
| Check | 06/01/2012 | 3754 | | IRA Services FBO Sih... | | 3030 - Distributions | | 51.08 | 70,483.74 |
| Check | 06/01/2012 | 3755 | | Lindner John | | 3030 - Distributions | | 12.61 | 70,471.13 |
| Check | 06/01/2012 | 3756 | | Amir H & Louise F Sohr... | | 3030 - Distributions | | 924.14 | 69,546.99 |
| Check | 06/01/2012 | 3757 | | Ali Tabatabaian | | 3030 - Distributions | | 308.05 | 69,238.94 |
| Check | 06/01/2012 | 3758 | | Ovadia Trust | | 3030 - Distributions | | 253.28 | 68,985.66 |
| Check | 06/01/2012 | 3759 | | Robert K & Ethel J Leef... | | 3030 - Distributions | | 315.46 | 68,670.20 |
| Check | 06/01/2012 | 3760 | | Weizbert 1986 Family T... | | 3030 - Distributions | | 154.02 | 68,516.20 |
| Check | 06/01/2012 | 3761 | | Robert Swanbeck | | 3030 - Distributions | | 308.28 | 68,207.92 |
| Check | 06/01/2012 | 3762 | | Paula Klug | | 3030 - Distributions | | 616.09 | 67,591.83 |
| Check | 06/01/2012 | 3763 | | Elizabeth H. McClintock | | 3030 - Distributions | | 472.06 | 67,119.77 |
| Check | 06/01/2012 | 3764 | | Ron Gordon | | 3030 - Distributions | | 157.42 | 66,962.35 |
| Check | 06/01/2012 | 3765 | | Sandra Khoury | | 3030 - Distributions | | 157.29 | 66,805.06 |
| Check | 06/01/2012 | 3766 | | Gracella Lenzart | | 3030 - Distributions | | 188.58 | 66,616.48 |
| Check | 06/01/2012 | 3767 | | Carol Wiech | | 3030 - Distributions | | 188.68 | 66,427.80 |
| Check | 06/01/2012 | 3768 | | Beatrice Sindair TEEES | | 3030 - Distributions | | 188.68 | 66,239.12 |
| Check | 06/01/2012 | 3769 | | Doris T. Moore | | 3030 - Distributions | | 314.03 | 65,924.09 |
| Check | 06/01/2012 | 3773 | | IRA Services FBO Pes... | | 3030 - Distributions | | 388.34 | 65,535.75 |
| Check | 06/01/2012 | 3774 | | Patricia F. Pitts | | 3030 - Distributions | | 156.79 | 65,378.96 |
| Check | 06/01/2012 | 3775 | | Steven Concoran TTEE | | 3030 - Distributions | | 782.30 | 64,596.66 |
| Check | 06/01/2012 | 3776 | | Pauline Schwartz | | 3030 - Distributions | | 308.05 | 64,288.61 |
| Check | 06/01/2012 | 3777 | | IRA services FBO Flore... | | 3030 - Distributions | | 158.94 | 64,131.67 |
| Check | 06/01/2012 | 3778 | | Michael Gagnon | | 3030 - Distributions | | 308.05 | 63,823.62 |
| Check | 06/01/2012 | 3779 | | ira Services FBO Philip... | | 3030 - Distributions | | 621.11 | 63,202.51 |
| Check | 06/01/2012 | 3780 | | ira Services FBO Leona... | | 3030 - Distributions | | 634.85 | 62,567.66 |
| Check | 06/01/2012 | 3781 | | IRA SERVICES fbo Joh... | | 3030 - Distributions | | 155.90 | 62,411.76 |
| Check | 06/01/2012 | 3782 | | Harold H. Turner | | 3030 - Distributions | | 155.90 | 62,255.86 |
| Check | 06/01/2012 | 3783 | | Robert Dale & Patricia ... | | 3030 - Distributions | | 155.90 | 62,099.96 |
| Check | 06/01/2012 | 3784 | | TD Ameritrade FBO Ste... | | 3030 - Distributions | | 560.56 | 61,539.40 |
| Check | 06/01/2012 | 3785 | | IRA SERVICES FBO E... | | 3030 - Distributions | | 284.27 | 61,255.13 |
| Check | 06/01/2012 | 3787 | | Barry Kirkland and Cath... | | 3030 - Distributions | | 471.06 | 60,784.07 |
| Check | 06/01/2012 | 3788 | | Mary Jo Potter, TTEES | | 3030 - Distributions | | 280.87 | 60,503.20 |
| Check | 06/01/2012 | 3789 | | John P. and Jeanne Atro | | 3030 - Distributions | | 1,352.10 | 59,151.10 |
| Check | 06/01/2012 | 3790 | | Frederick Schwalbach | | 3030 - Distributions | | 154.88 | 58,996.22 |
| Check | 06/01/2012 | 3791 | | Don R Castellan Acce... | | 3030 - Distributions | | 308.05 | 58,688.17 |
| Check | 06/01/2012 | 3792 | | Sterling Trust FBO Man... | | 3030 - Distributions | | 620.63 | 58,067.54 |
| Check | 06/01/2012 | 3793 | | IRA SERVICES FBO Jif... | | 3030 - Distributions | | 183.81 | 57,883.73 |
| Check | 06/01/2012 | 3794 | | IRA services fbo Carol ... | | 3030 - Distributions | | 293.89 | 57,589.84 |
| Check | 06/01/2012 | 3795 | | Farnam Johnson Corp | | 3030 - Distributions | | 155.06 | 57,434.78 |
| Check | 06/01/2012 | 3796 | | Jim and Sandy Bland | | 3030 - Distributions | | 276.84 | 57,157.94 |
| Check | 06/01/2012 | 3797 | | Olivia Hone | | 3030 - Distributions | | 154.85 | 57,003.09 |
| Check | 06/01/2012 | 3798 | | Donna Pattilo | | 3030 - Distributions | | 308.64 | 56,694.45 |
| Check | 06/01/2012 | 3799 | | Hantao & Sylvia Yang T... | | 3030 - Distributions | | 309.64 | 56,384.81 |
| Check | 06/01/2012 | 3800 | | Yasbel and Barbara Ku... | | 3030 - Distributions | | 154.82 | 56,230.99 |
| Check | 06/01/2012 | 3801 | | IRA services FBO Barry... | | 3030 - Distributions | | 193.97 | 56,037.02 |
| Check | 06/01/2012 | 3802 | | Dan Barron | | 3030 - Distributions | | 258.36 | 55,778.66 |
| Check | 06/01/2012 | ACH | | ACH - IPF GROUP DIS... | | 3030 - Distributions | | 53,466.64 | 2,308.02 |
| Check | 06/01/2012 | 3770 | | IRA Services FBO Bag... | | 3030 - Distributions | | 1,179.98 | 1,128.04 |
| Check | 06/01/2012 | 3771 | | IRA Services FBO Les... | | 3030 - Distributions | | 188.38 | 939.66 |
| Check | 06/01/2012 | 3772 | | IRA Services FBO Bag... | | 3030 - Distributions | | 332.72 | 606.94 |
| Check | 06/01/2012 | 3755 | | Alexander & Nancy Tra... | | 3030 - Distributions | | 155.71 | 451.23 |
| Check | 06/04/2012 | ach | | Susan B. Coffey Revoc... | | 3040 - Withdrawals | | 200.00 | 251.23 |
| Check | 06/19/2012 | 3725 | | Leonard Stetzin | VOID: | 3030 - Distributions | | 201.01 | 201.01 |
| Total 1020 - Torrey Pines- 7590 - Distrib | | | | | | | 3,278,185.47 | 3,277,984.48 | 201.01 |

Investors Prime Fund
General Ledger
All TransactionsPage 34

Investors Prime Fund
General Ledger
All Transactions

2:52 PM
08/18/16
Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--|------------|------------|-----|----------------------------|---|------------------------------------|---------------|---------------|--------------|
| Check | 01/26/2010 | 1112 | | Lighthouse Bank | MMA Opening Capital - Need to locate BUI | 1011 - Heritage - 3513 - Operating | 250,000.00 | | 250,000.00 |
| Deposit | 03/31/2010 | 101 | | Small Business Capital... | Capitalization of LLC | 1510 - Investment in SBC LLC | | 240,000.00 | 250,000.00 |
| Deposit | 06/30/2010 | | | | Interest | 4030 - Bank & Investment Interest | 500.97 | | 10,500.97 |
| Deposit | 12/31/2010 | | | | Interest | 4030 - Bank & Investment Interest | 6.62 | | 10,507.59 |
| Deposit | 01/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 4.25 | | 10,511.84 |
| Deposit | 06/30/2011 | | | | Interest | 4030 - Bank & Investment Interest | 5.62 | | 10,517.46 |
| Deposit | 07/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 2.16 | | 10,519.62 |
| Deposit | 08/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 2.23 | | 10,521.85 |
| General Journal | 03/31/2012 | Transfer | | | Interest for March | 1030 - Bank & Investment Interest | 2.23 | | 10,524.08 |
| Total 1051 - Lighthouse Bank MM - 5437 | | | | | | 1030 - Heritage - 3598 - Money ... | 15.37 | | 10,540.45 |
| 1052 - High Desert - MM - 0819 | | | | | | | 250,540.45 | 240,000.00 | 10,540.45 |
| General Journal | 12/31/2010 | Blk Act... | | | Trf Funds fr Heritage #3598 to High Desert ne... | 1012 - High Desert - 1610 | 250,000.00 | | 250,000.00 |
| Deposit | 01/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 183.83 | | 250,018.43 |
| Deposit | 02/28/2011 | | | | Interest | 4030 - Bank & Investment Interest | 150.84 | | 250,169.27 |
| Deposit | 03/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 148.88 | | 250,318.15 |
| Deposit | 05/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 144.15 | | 250,462.30 |
| Transfer | 06/18/2011 | | | | Funds Transfer | 4030 - Bank & Investment Interest | 148.05 | | 250,610.35 |
| Deposit | 06/30/2011 | | | | Interest | 1030 - Heritage - 3598 - Money ... | 86.78 | 200,000.00 | 250,779.28 |
| Deposit | 07/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 30.25 | | 250,779.28 |
| Deposit | 08/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 30.27 | | 250,839.81 |
| Deposit | 10/1/2011 | | | | Interest | 4030 - Bank & Investment Interest | 28.31 | | 250,926.58 |
| Check | 10/1/2011 | | | Investors Prime Fund, L... | Interest | 1030 - Interbank Transfers | 45,000.00 | | 50,886.31 |
| Deposit | 11/30/2011 | | | | Interest | 4030 - Bank & Investment Interest | 9.88 | | 50,955.89 |
| Deposit | 12/31/2011 | | | | Interest | 4030 - Bank & Investment Interest | 2.85 | | 50,965.77 |
| Deposit | 01/31/2012 | | | | Interest | 4030 - Bank & Investment Interest | 3.04 | | 50,968.81 |
| Deposit | 02/28/2012 | | | | Interest | 5010 - Bank Fees | 3.04 | | 50,971.85 |
| Deposit | 03/31/2012 | | | | Bank charge | 4030 - Bank & Investment Interest | 5.69 | 3.04 | 50,974.45 |
| Deposit | 04/30/2012 | | | | Interest | 4030 - Bank & Investment Interest | 3.04 | | 50,977.49 |
| Total 1032 - High Desert - MM - 0819 | | | | | | 4030 - Bank & Investment Interest | 2.74 | | 5,980.23 |
| 1090 - Interbank Transfers | | | | | | | 250,883.27 | 245,003.04 | 5,980.23 |
| Check | 01/12/2010 | 1073 | | Investors Prime Fund, L... | Transfer to Heritage #3513 | 1010 - Wells Fargo - 6893 - Op... | 385,022.55 | | 385,022.55 |
| Check | 01/12/2010 | 1073 | | Investors Prime Fund, L... | Trf fr WF #6893 | 1011 - Heritage - 3513 - Operating | | | 385,022.55 |
| Check | 03/28/2010 | 2038 | | Investors Prime Fund, L... | Deposit to cover monthly ACH's | 1020 - Torrey Pines-7590 - Dis... | 30,000.00 | | 30,000.00 |
| Check | 04/20/2010 | WID | | Investors Prime Fund, L... | Trf funds from Heritage #3513 | 1030 - Heritage - 3598 - Money ... | 20,000.00 | | 20,000.00 |
| Check | 04/20/2010 | 2084 | | Alta Alliance | Trf funds for May 2010 distribution | 1011 - Heritage - 3513 - Operating | 20,000.00 | | 20,000.00 |
| Check | 04/20/2010 | 2088 | | Investors Prime Fund, L... | Transfer funds fr #3598 for w/e | 1020 - Torrey Pines-7590 - Dis... | 30,000.00 | | 30,000.00 |
| Check | 05/10/2010 | 2098 | | Investors Prime Fund, L... | Funds Transfer to #7590 | 1011 - Heritage - 3513 - Operating | 60,000.00 | | 60,000.00 |
| Check | 06/08/2010 | 2124 | | Investors Prime Fund, L... | Transfer funds from #3513, ck ed 5/10/10 | 1020 - Torrey Pines-7590 - Dis... | 100,000.00 | | 100,000.00 |
| Check | 06/30/2010 | 2124 | | Investors Prime Fund, L... | Transfer funds from #3513 to #7590 | 1011 - Heritage - 3513 - Operating | 2,000.00 | | 2,000.00 |
| Check | 06/30/2010 | 2136 | | Investors Prime Fund, L... | Transfer funds from #3513 to #7590 | 1011 - Heritage - 3513 - Operating | 12,500.00 | | 12,500.00 |
| Check | 06/24/2010 | 2158 | | SB Capital Brokers Tru... | Remburse deposit of SB Cap Corp ck'd 1/68 ... | 1030 - Heritage - 3598 - Money ... | 25,000.00 | | 25,000.00 |
| Check | 07/12/2010 | 2169 | | Investors Prime Fund, L... | Trf fr #3598 to #3513 | 1011 - Heritage - 3513 - Operating | 78,200.00 | | 78,200.00 |
| Check | 07/12/2010 | 1124 | | Investors Prime Fund, L... | Trf fr #3598 to #3513 | 1020 - Torrey Pines-7590 - Dis... | 35,000.00 | | 35,000.00 |
| Check | 07/12/2010 | 2154 | | SB Capital Brokers Tru... | 450 King narrow link fed by Mgs SB dep... | 1011 - Heritage - 3513 - Operating | 1,000,000.00 | | 1,000,000.00 |
| Check | 07/12/2010 | 2154 | | Investors Prime Fund, L... | Transfer funds from #3513 to Alta #7590 | 1011 - Heritage - 3513 - Operating | 350,000.00 | | 350,000.00 |
| Check | 07/28/2010 | 1140 | | Investors Prime Fund, L... | Transfer funds from #3513 to Alta #7590 | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 50,000.00 |
| Check | 07/30/2010 | 2167 | | Brokers Tru... | #108 Mariback paydown | 1011 - Heritage - 3513 - Operating | 78,200.00 | | 78,200.00 |
| Check | 07/31/2010 | 2169 | | SBC Portfolio Fund, LLC | Reimb. Dep Enr 7/31/10 | 1011 - Heritage - 3513 - Operating | 35,000.00 | | 35,000.00 |
| Check | 08/03/2010 | 2184 | | Alta Alliance | Trf funds fr #3513 to #7590 for distributions | 1011 - Heritage - 3513 - Operating | 1,000,000.00 | | 1,000,000.00 |
| Check | 08/12/2010 | 2188 | | Investors Prime Fund, L... | Trf funds fr #3513 to #7590 for distrib | 1011 - Heritage - 3513 - Operating | 35,000.00 | | 35,000.00 |
| Check | 08/12/2010 | 2188 | | Alta Alliance | Trf to Alta #7590 | 1020 - Torrey Pines-7590 - Dis... | 1,000,000.00 | | 1,000,000.00 |
| Check | 08/21/2010 | 2089 | | Investors Prime Fund, L... | Trf fr #3513 to Alta #7590 | 1011 - Heritage - 3513 - Operating | 350,000.00 | | 350,000.00 |
| Check | 08/21/2010 | 2115 | | Investors Prime Fund, L... | Trf fr Alta #7590 to Heritage #3513 | 1020 - Torrey Pines-7590 - Dis... | 350,000.00 | | 350,000.00 |
| Check | 10/01/2010 | 2215 | | Alta Alliance | Trf funds fr #3513 to Alta #7590 for distrib | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 50,000.00 |
| Check | 11/21/2010 | Transfer | | Investors Prime Fund, L... | Trf funds to MM act#3598 | 1030 - Heritage - 3598 - Money ... | 2,500,000.00 | | 2,500,000.00 |
| Check | 11/30/2010 | 2239 | | Investors Prime Fund, L... | Trf fr #3513 to Alta #7590 | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 50,000.00 |
| Check | 12/01/2010 | 2239 | | Investors Prime Fund, L... | Trf fr #3513 to Alta #7590 | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 50,000.00 |
| Check | 12/22/2010 | 122210 | | Heritage Bank | Trf fr #3513 to Heritage #3598 MM act | 1030 - Heritage - 3598 - Money ... | 2,500,000.00 | | 2,500,000.00 |
| Check | 01/04/2011 | 2252 | | Alta Alliance | Trf fr Heritage #3513 for Dis Distrib | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 50,000.00 |
| Check | 02/01/2011 | 020111 | | Heritage Bank | Trf fr Heritage #3513 to #7590 for distrib | 1011 - Heritage - 3513 - Operating | 30,000.00 | | 30,000.00 |
| Check | 03/01/2011 | 45113 | | Investors Prime Fund, L... | Trf funds to Alta #7590 for distributions | 1020 - Torrey Pines-7590 - Dis... | 50,000.00 | | 50,000.00 |
| Check | 03/02/2011 | 2285 | | Alta Alliance | Trf funds to Alta #7590 for distrib | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 50,000.00 |
| Check | 06/18/2011 | 0618111 | | California Business Bank | Trf funds to Alta #7590 for distrib | 1011 - Heritage - 3513 - Operating | 485,000.00 | | 485,000.00 |
| Check | 06/23/2011 | 0618111 | | California Business Bank | stock purchase - California Business Bank... | 1011 - Heritage - 3598 - Money ... | 890,000.00 | | 890,000.00 |
| General Journal | 06/23/2011 | ave tra | | Shueth Family Trust | bounced check | 1011 - Heritage - 3513 - Operating | 75,000.00 | | 75,000.00 |
| Check | 07/08/2011 | | | Yula Tuttle | act payment returned | 1011 - Heritage - 3513 - Operating | 75,000.00 | | 75,000.00 |
| Check | 10/01/2011 | 3359 | | Investors Prime Fund, L... | replaced bounced check | 1020 - Torrey Pines-7590 - Dis... | 53.66 | | 53.66 |
| Check | 10/12/2011 | ach | | Yula Tuttle | act payment returned | 1020 - Torrey Pines-7590 - Dis... | 45,000.00 | | 45,000.00 |
| Check | 10/12/2011 | ach | | Investors Prime Fund, L... | transfer to #3513 | 1032 - High Desert - 1610 - Op... | 45,000.00 | | 45,000.00 |
| Check | 10/12/2011 | ach | | Investors Prime Fund, L... | transfer to #3513 | 1011 - Heritage - 3513 - Operating | 90,000.00 | | 90,000.00 |
| Check | 10/31/2011 | ach | | Investors Prime Fund, L... | ALTA TIPLE | 1011 - Heritage - 3513 - Operating | 47,837.00 | | 47,837.00 |
| Check | 10/31/2011 | ach | | Investors Prime Fund, L... | #1179 Todd Peterson & Judith Peterson - initia... | 1011 - Heritage - 3513 - Operating | 16,888.44 | | 16,888.44 |
| Check | 05/21/2012 | 05212012 | | SBC Portfolio Fund, LLC | CREDIT | 1011 - Heritage - 3513 - Operating | 16,888.44 | | 16,888.44 |
| Check | 05/21/2012 | 05212012 | | Heritage Bank | 158233 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 222,170.63 | | 222,170.63 |
| Check | 06/01/2012 | 06012012 | | Investors Prime Fund, L... | 700716 HERITAGE DIRECT ONLINE TRANS... | 1030 - Heritage - 3598 - Money ... | 77,852.57 | | 77,852.57 |
| Check | 06/01/2012 | 06012012 | | Investors Prime Fund, L... | 146897 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 77,852.57 | | 77,852.57 |
| Check | 06/04/2012 | 06042012 | | Heritage Bank | 145847 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 7,379,248.25 | | 7,379,248.25 |
| Total 1090 - Interbank Transfers | | | | | | | 7,379,248.25 | 7,379,248.25 | 0.00 |
| 1090 - Cash - Other | | | | | | | | | 0.00 |
| Total 1000 - Cash - Other | | | | | | | 87,060,884.29 | 82,733,836.48 | 4,326,947.78 |
| 11000 - Accounts Receivable | | | | | | | | | 0.00 |
| Total 11000 - Accounts Receivable | | | | | | | | | 0.00 |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------------------------------------|------------|------------|-----|----------------------------|---|------------------------------------|--------------|--------------|--------------|
| 1100 - Fund Loans Receivable | | | | | | | | | |
| 1110 - Fund Loans | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | | |
| Check | 01/07/2010 | 1002 | | Dolores Park Assoc | Act#78 Note increase | 1011 - Heritage - 3513 - Operating | 8,125,592.56 | | 8,125,592.56 |
| Deposit | 01/13/2010 | 1088 | | Kallappa G. Rampur | Loan Act #51-princ | 1011 - Heritage - 3513 - Operating | 150,000.00 | | 8,275,592.56 |
| Deposit | 01/19/2010 | 263 | | Kallappa G. Rampur | Loan Act #51 | 1011 - Heritage - 3513 - Operating | 10,689.53 | | 8,286,282.09 |
| Deposit | 01/20/2010 | 1731 | | Valley Produce | Loan #200000 | 1011 - Heritage - 3513 - Operating | 0.00 | | 8,286,282.09 |
| Check | 01/25/2010 | 5056 | | Mary D. Woelfel Living ... | Mary D. Woelfel Act#8624243 | 1011 - Heritage - 3513 - Operating | 1,238.17 | | 8,287,520.26 |
| Deposit | 02/08/2010 | 012910 | | Unknown Vendor | In Branch Withdrawal-prob to fund Amy Lin loa... | 1011 - Heritage - 3513 - Operating | 146.65 | | 8,287,666.91 |
| Check | 02/12/2010 | 24028... | | Old Republic Title | partial sale of Cavallino act-BAG loan to Ham... | 1011 - Heritage - 3513 - Operating | 258,000.00 | | 8,029,666.91 |
| Check | 02/23/2010 | 1020 | | SB Capital Brokers Trus... | Rampur #51 due 2/10/10 principal | 1011 - Heritage - 3513 - Operating | | 175,000.00 | 8,542,877.27 |
| Check | 02/25/2010 | 1024 | | Cornerstone Tris | Ref Clarence King 2002 loan | 1011 - Heritage - 3513 - Operating | 5,884.56 | | 8,536,992.71 |
| Deposit | 02/25/2010 | 1022 | | SB Capital Brokers Trus... | Rampur #51 due 2/10/10 - applied to principal | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 8,373,761.83 |
| Check | 03/02/2010 | Wire Out | | SB Capital Brokers Trus... | Valley Produce #200000 due 2/10/10 principal | 1011 - Heritage - 3513 - Operating | | 2,500.00 | 8,421,261.83 |
| Deposit | 03/09/2010 | 1028 | | Fidelity National Title | Luna loan #103 | 1011 - Heritage - 3513 - Operating | | 1,248.75 | 8,420,013.08 |
| Deposit | 03/09/2010 | 1027 | | SB Capital Brokers Trus... | Mary D. Woelfel #862423 due 3/1/10 - principal | 1011 - Heritage - 3513 - Operating | 424,000.00 | | 8,844,013.08 |
| Deposit | 03/12/2010 | 2007 | | SBC Portfolio Fund, LLC | Rampur #51 due 2/24/10 - principal | 1011 - Heritage - 3513 - Operating | | 147.86 | 8,843,865.12 |
| Check | 03/12/2010 | 1033 | | SB Capital Brokers Trus... | SBC PF purchase of King note #50 | 1011 - Heritage - 3513 - Operating | | 200,000.00 | 8,643,865.12 |
| Deposit | 03/18/2010 | 2032 | | SB Capital Corp. | Funds advanced #30001 "SB Capital Borrow... | 1011 - Heritage - 3513 - Operating | 10,887.86 | | 8,652,252.98 |
| Deposit | 04/01/2010 | 2053 | | Fidelity National Title | Need to locate BAJ- might be related to \$300, L... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 8,702,252.98 |
| Deposit | 04/01/2010 | | | Old Republic Title | Luna act - sale of note fee | 1011 - Heritage - 3513 - Operating | | 296,712.00 | 8,405,540.98 |
| Deposit | 04/02/2010 | 1044 | | SB Capital Brokers Trus... | BAIG note-partial sale to Bianco Investors | 1011 - Heritage - 3513 - Operating | | 3,288.00 | 8,402,252.98 |
| Deposit | 04/02/2010 | 1045 | | SB Capital Brokers Trus... | Valley Produce #200000 due 3/1/10 - principal | 1011 - Heritage - 3513 - Operating | 500,000.00 | | 7,902,252.98 |
| Deposit | 04/02/2010 | 1046 | | SB Capital Brokers Trus... | Rampur #51 due 3/9/10 applied to principal | 1011 - Heritage - 3513 - Operating | | 1,259.42 | 7,902,252.98 |
| Deposit | 04/05/2010 | 1049 | | SB Capital Brokers Trus... | Rampur #51 due 3/18/10 applied to principal | 1011 - Heritage - 3513 - Operating | | 2,500.00 | 7,898,493.56 |
| Deposit | 04/08/2010 | 1050 | | SB Capital Brokers Trus... | Cherry Lane #84457 loan payoff | 1011 - Heritage - 3513 - Operating | | 2,500.00 | 7,895,993.56 |
| Deposit | 04/12/2010 | 1068 | | SB Capital Brokers Trus... | Woelfel loan#84243 payoff-principal | 1011 - Heritage - 3513 - Operating | | 2,500.00 | 7,893,493.56 |
| Check | 04/22/2010 | 1062 | | SB Capital Brokers Trus... | The Four B Brothers Invs Loan #59 due 2/25/10... | 1011 - Heritage - 3513 - Operating | | 158,098.83 | 7,393,493.56 |
| Check | 04/27/2010 | Wire out | | Old Republic Title | Rampur loan #51 due 4/10/10-princ | 1011 - Heritage - 3513 - Operating | 3,784.59 | | 7,239,181.32 |
| Deposit | 04/30/2010 | 1074 | | SB Capital Brokers Trus... | Purchase of Cavallino's portion of 502 loan by ... | 1011 - Heritage - 3513 - Operating | 10,811.86 | | 7,250,103.18 |
| Deposit | 04/30/2010 | 1073 | | SB Capital Brokers Trus... | Sale of BAIG/Mine Ct loan to Cavallino fund by ... | 1011 - Heritage - 3513 - Operating | 175,000.00 | | 7,425,103.18 |
| Check | 05/03/2010 | 1072 | | SB Capital Brokers Trus... | Rampur #51 principal due 4/20/10 | 1011 - Heritage - 3513 - Operating | | 2,900.00 | 7,250,103.18 |
| Check | 05/03/2010 | 2085 | | SB Capital Brokers Trus... | Valley Produce loan#20000 due 4/1/10 - princ... | 1011 - Heritage - 3513 - Operating | | 2,500.00 | 7,247,603.18 |
| Check | 05/04/2010 | 2089 | | State Board of Equaliza... | Rampur loan #51 advance-posted of gas sales ta... | 1011 - Heritage - 3513 - Operating | | 1,270.17 | 7,246,333.01 |
| Check | 05/11/2010 | Wire Out | | North American Title | Loan#51 Advance -gas sales tax | 1011 - Heritage - 3513 - Operating | 30,000.00 | | 7,216,333.01 |
| Deposit | 05/12/2010 | 1084 | | SB Capital Brokers Trus... | Funding for loan #106 - Sherwin | 1011 - Heritage - 3513 - Operating | 46,692.42 | | 7,273,433.01 |
| Deposit | 05/12/2010 | 1085 | | SB Capital Brokers Trus... | Rampur loan#51 pmt due 4/08/10-applied to pr... | 1011 - Heritage - 3513 - Operating | 550,000.00 | | 7,320,115.43 |
| Deposit | 05/12/2010 | 1086 | | SB Capital Brokers Trus... | Rampur loan#51 pmt due 4/29/10-applied to pr... | 1011 - Heritage - 3513 - Operating | | 2,500.00 | 7,320,115.43 |
| Deposit | 05/28/2010 | 1098 | | SB Capital Brokers Trus... | Rampur loan#51 pmt due 5/10/10 - principal | 1011 - Heritage - 3513 - Operating | | 2,900.00 | 7,317,715.43 |
| Check | 05/28/2010 | 1100 | | SB Capital Brokers Trus... | BAIG loan principal | 1011 - Heritage - 3513 - Operating | 10,621.28 | | 7,864,715.43 |
| Check | 06/08/2010 | Wire out | | Chicago Title | #200000 Valley Produce pmt due 5/1/10-Princ | 1011 - Heritage - 3513 - Operating | | 500,000.00 | 7,364,715.43 |
| Check | 06/17/2010 | 1111 | | SB Capital Brokers Trus... | Loan#51 Rampur Princ pmt due 5/18/10 | 1011 - Heritage - 3513 - Operating | | 1,131.02 | 7,375,336.71 |
| Check | 06/19/2010 | 2133 | | Old Republic Title | Mardock Loan #108 | 1011 - Heritage - 3513 - Operating | 3,500.00 | | 7,371,205.69 |
| Deposit | 07/08/2010 | 1121 | | Titan-PME Equipment ... | #51 Rampur pmt applied to princ due 6/9/10 | 1011 - Heritage - 3513 - Operating | 475,200.00 | | 7,846,405.69 |
| Deposit | 07/15/2010 | 1125 | | SB Capital Brokers Trus... | see 7/28/10 deposit | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 7,842,405.69 |
| Check | 07/28/2010 | Wire Out | | SB Capital Brokers Trus... | #51 Rampur loan funding increase to purchase... | 1011 - Heritage - 3513 - Operating | 151,528.84 | | 7,690,876.85 |
| Deposit | 07/28/2010 | 1143 | | SB Capital Brokers Trus... | Rampur loan#51 pmt underp princ due 6/10/10 | 1011 - Heritage - 3513 - Operating | 10,815.37 | | 7,701,692.22 |
| Deposit | 08/04/2010 | 1148 | | SB Capital Brokers Trus... | #51 Rampur pmt made on act-Prin | 1011 - Heritage - 3513 - Operating | 6,635.19 | | 7,708,327.41 |
| Deposit | 08/09/2010 | 1152 | | SB Capital Brokers Trus... | Mardock loan payoff-on-trf fr #3679 BT | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 7,711,857.00 |
| Deposit | 08/13/2010 | 1160 | | SB Capital Brokers Trus... | Loan#13 Gupta Loan | 1011 - Heritage - 3513 - Operating | | 79,200.00 | 7,828,585.00 |
| Deposit | 08/13/2010 | 1161 | | SB Capital Brokers Trus... | #61 REPURCH Gupta LPP advance to Old Re... | 1011 - Heritage - 3513 - Operating | 162,500.00 | | 8,091,485.00 |
| Deposit | 08/24/2010 | 1164 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 8/1/10-princ | 1011 - Heritage - 3513 - Operating | | 1,608.43 | 8,089,876.57 |
| Deposit | 08/24/2010 | 1165 | | SB Capital Brokers Trus... | 61-REPURCH ck fr FCI applied to princ | 1011 - Heritage - 3513 - Operating | | 1,290.88 | 8,091,167.45 |
| Deposit | 08/24/2010 | 1166 | | SB Capital Brokers Trus... | 61-REPURCH Loan repurchase - princ | 1011 - Heritage - 3513 - Operating | | 2,488.87 | 8,088,588.58 |
| Deposit | 08/24/2010 | 1167 | | SB Capital Brokers Trus... | #75 Delores Park payoff-Princ | 1011 - Heritage - 3513 - Operating | | 147,431.54 | 8,088,097.04 |
| Deposit | 08/24/2010 | 1168 | | SB Capital Brokers Trus... | #51 Rampur pmt on acct posted to 8/3/10-princ | 1011 - Heritage - 3513 - Operating | | 500,000.00 | 7,588,097.04 |
| Deposit | 08/24/2010 | 1169 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 8/1 & 8/13/10-princ | 1011 - Heritage - 3513 - Operating | 10,557.62 | | 7,438,665.57 |
| Deposit | 08/24/2010 | 1170 | | SB Capital Brokers Trus... | Valley Produce #200000 pmt due 7/1/10-Princ | 1011 - Heritage - 3513 - Operating | | 1,424.08 | 7,437,241.49 |
| Deposit | 08/24/2010 | 1171 | | SB Capital Brokers Trus... | #51 Rampur paid on account-applied to princ | 1011 - Heritage - 3513 - Operating | | 2,614.54 | 7,434,626.95 |
| Deposit | 08/24/2010 | 1172 | | SB Capital Brokers Trus... | #106 Pmt due 7/1/10 | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 7,441,654.57 |
| Check | 08/28/2010 | Transfer | | SB Capital Brokers Trus... | #51 Rampur pmt on acct - princ increase | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 7,438,154.57 |
| Deposit | 08/28/2010 | | | SB Capital LLC | Amt \$B55,525 - \$300 moved back on 10/1/10 | 1011 - Heritage - 3513 - Operating | 18.26 | | 7,438,172.83 |
| Deposit | 08/28/2010 | 1191 | | SB Capital LLC | Correction on Power Brake note amt-posted in ... | 1011 - Heritage - 3513 - Operating | 555,825.00 | | 7,438,191.08 |
| Deposit | 08/30/2010 | 1193 | | SB Capital Brokers Trus... | #51 Rampur pmt on acct posted to princ | 1011 - Heritage - 3513 - Operating | | 270.00 | 8,294,016.86 |
| Deposit | 08/30/2010 | 1194 | | SB Capital Brokers Trus... | #20000 Valley Produce due 9/1/10 - princ | 1011 - Heritage - 3513 - Operating | | 7,000.00 | 8,291,016.86 |
| Deposit | 08/30/2010 | 1195 | | SB Capital Brokers Trus... | #21 SO2 LLC loan payoff - Princ | 1011 - Heritage - 3513 - Operating | | 1,324.04 | 8,289,746.86 |
| General Journal | 09/30/2010 | Svc Trst | | SB Capital LLC | Power Brake - note portion sold to Colson | 1011 - Heritage - 3513 - Operating | | 1,050,000.00 | 8,288,696.86 |
| Deposit | 10/13/2010 | 1208 | | SB Capital Brokers Trus... | Trf of overpmt of princ fr #3684 to #3513 poste... | 1011 - Heritage - 3513 - Operating | | 300.00 | 8,289,000.86 |
| Deposit | 10/13/2010 | 1209 | | SB Capital Brokers Trus... | Reflected Rampur princ amt in Servicing Trust... | 1011 - Heritage - 3513 - Operating | | 3,000.00 | 8,292,000.86 |
| Check | 10/13/2010 | 1203 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 10/1/10-princ | 1011 - Heritage - 3513 - Operating | | 1,195.35 | 8,290,805.51 |
| Check | 10/13/2010 | 2225 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 10/1/10 - princ | 1011 - Heritage - 3513 - Operating | | 11.06 | 8,290,794.45 |
| Check | 10/26/2010 | 1212 | | State Board of Equaliza... | #51 Rampur pmt on acct applied to princ | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 8,287,294.45 |
| Check | 10/26/2010 | 1214 | | SB Capital Brokers Trus... | #51 Rampur loan increase for Security Deposi... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | 8,337,294.45 |
| General Journal | 10/31/2010 | Svc Trst | | SB Capital Brokers Trus... | #51 Rampur pmt applied to princ 10/15 due dte | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 8,340,794.45 |
| Deposit | 11/08/2010 | 2117 | | SB Capital Brokers Trus... | #51 Rampur pmt applied to 10/10 - princ | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 8,344,294.45 |
| Deposit | 11/08/2010 | 2118 | | SBC Portfolio Fund, LLC | #103 Luna loan purchase fr SBC PF | 1011 - Heritage - 3513 - Operating | 10,558.57 | | 8,354,853.02 |
| Deposit | 11/18/2010 | 1240 | | SB Capital Brokers Trus... | #113 Gupta Loan purchased fr SBC PF | 1011 - Heritage - 3513 - Operating | | 7,000.00 | 8,361,853.02 |
| Deposit | 11/18/2010 | 1236 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 12/1/10 - Princ | 1011 - Heritage - 3513 - Operating | | 124,000.00 | 8,237,853.02 |
| Deposit | 11/18/2010 | 1239 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 11/1/10 - princ | 1011 - Heritage - 3513 - Operating | | 162,500.00 | 8,115,353.02 |
| Deposit | 11/02/2010 | 1245 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 11/1/10 | 1011 - Heritage - 3513 - Operating | | 1,357.05 | 8,114,000.00 |
| General Journal | 11/30/2010 | Int Rec | | SB Capital Brokers Trus... | Mardock pmt due 11/1/10 princ | 1011 - Heritage - 3513 - Operating | | 11.14 | 8,113,988.86 |
| General Journal | 11/30/2010 | Svc Trst | | | AJE to reflect Int Rec @ 11/30/10 | 1011 - Heritage - 3513 - Operating | | 1,345.56 | 8,112,643.30 |
| General Journal | 11/30/2010 | Int Rec | | | Servicing Trust Bal @ 11/30/10 - Rampur | 4010 - Loan Interest Income | 13,551.09 | | 8,126,194.39 |
| General Journal | 12/08/2010 | | | | Fund Loan Int Rec @ 11/30/10 | 1155 - Servicing Trust Balance | 14,327.74 | | 8,140,522.13 |
| Deposit | 12/14/2010 | #51 Oc... | | SB Capital Brokers Trus... | -MULTIPLE | 1011 - Heritage - 3513 - Operating | | 13,551.09 | 8,154,073.22 |
| Deposit | 12/14/2010 | 1256 | | SB Capital Brokers Trus... | Re-class 10/28/10 #51 Rampur bounced ck po... | 4010 - Loan Interest Income | 14,327.74 | | 8,168,400.96 |
| Deposit | 12/14/2010 | 1258 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 12/1/10 - prin | 1011 - Heritage - 3513 - Operating | 106.33 | | 8,168,507.29 |
| Deposit | 12/14/2010 | 1259 | | SB Capital Brokers Trus... | #51 Rampur pmt applied to princ | 1011 - Heritage - 3513 - Operating | | 11.23 | 8,168,518.52 |
| General Journal | 12/21/2010 | 1264 | | SB Capital Brokers Trus... | #20000 Valley Produce 12/23/10 pmt | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 8,172,018.52 |
| General Journal | 12/21/2010 | #51 ck... | | | #51 Rampur pmt on acct posted as due 12/6/10 | 1011 - Heritage - 3513 - Operating | | 3,000.00 | 8,154,458.57 |
| General Journal | 12/31/2010 | Svc Trst | | | #51 Rampur pmt on acct posted as due 12/6/10 | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 8,157,958.57 |
| General Journal | 01/01/2011 | Rev Pl... | | | Servicing Trust Bal @ 12/31/10 | 4010 - Loan Interest Income | | 14,434.07 | 8,172,392.64 |
| Deposit | 01/10/2011 | 1280 | | SB Capital Brokers Trus... | Reverse 12/31/10 Servicing Trst Accrual | 1155 - Servicing Trust Balance | 7,279.08 | | 8,179,671.72 |
| Deposit | 01/10/2011 | 1281 | | SB Capital Brokers Trus... | #51 Rampur 12/10/10 pmt post-princ | 4015 - Loan Servicing Fee | | 7,279.08 | 8,186,950.80 |
| Deposit | 01/10/2011 | 1282 | | SB Capital Brokers Trus... | #51 Rampur pmt applied to 12/29/10 pmt-princ | 1011 - Heritage - 3513 - Operating | 10,934.07 | | 8,197,884.87 |
| Deposit | 01/20/2011 | 1288 | | SB Capital Brokers Trus... | #108 Mardock pmt due 12/1/10 - princ in Svc ... | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 8,147,458.87 |
| Deposit | 01/20/2011 | 1287 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 1/1/11 | 1011 - Heritage - 3513 - Operating | | 154.89 | 8,147,613.76 |
| Deposit | 01/20/2011 | 1291 | | SB Capital Brokers Trus... | #20000 Valley pmt due 1/1/11 | 1011 - Heritage - 3513 - Operating | | 11.32 | 8,147,625.08 |
| General Journal | 02/15/2011 | Svc Trs... | | | | | | | |

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08/18/16

Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--|------------|-------------|-----|----------------------------|--|------------------------------------|---------------|---------------|---------------|
| Deposit | 04/19/2012 | 1762 | | Airport Blvd Realty | Airport Blvd Realty | | | | |
| Deposit | 04/19/2012 | 1763 | | 47300 Kato LLC | #155 47300 Kato, LLC | 1011 · Heritage - 3513 - Operating | 12,635,283.24 | 1,551.39 | 12,636,834.53 |
| Deposit | 04/19/2012 | 1764 | | Aung San LLC | Aung San, LLC | 1011 · Heritage - 3513 - Operating | 366.04 | | 12,637,200.57 |
| Deposit | 04/19/2012 | 1765 | | Baymont | BaymontFocus Hospitality, LLC | 1011 · Heritage - 3513 - Operating | 502.20 | | 12,637,702.77 |
| Deposit | 04/19/2012 | 1770 | | Country Inn & Suites | 3 AM LLC/Country Inn | 1011 · Heritage - 3513 - Operating | 624.10 | | 12,638,326.87 |
| General Journal | 04/30/2012 | 1772 | | Kallappa G. Rampur | Kallappa Rampur | 1011 · Heritage - 3513 - Operating | 1,569.28 | | 12,639,896.15 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercial | To be out to Loan Portfolio Report on ABS as ... | -SPLIT- | 3,500.00 | | 12,643,396.15 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercial | Sold Aung San, LLC to SBC SCMF | 1030 · Heritage - 3596 - Money ... | 11,633.73 | | 12,655,029.88 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercial | Focus Hospitality | 1030 · Heritage - 3596 - Money ... | | 341,517.44 | 12,996,547.32 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercial | 3AM, LLC | 1030 · Heritage - 3596 - Money ... | | 289,262.93 | 13,285,810.25 |
| Deposit | 05/09/2012 | ACH | | SBC Portfolio Fund, LLC | Airport Blvd Realty | 1030 · Heritage - 3596 - Money ... | | 563,364.47 | 13,849,174.72 |
| Deposit | 05/11/2012 | 1785 | | SB Capital Brokers Trus... | #151 Kallappa Rampur | 1030 · Heritage - 3596 - Money ... | 1,182,955.14 | | 15,032,129.86 |
| Deposit | 05/11/2012 | 1789 | | SB Capital Brokers Trus... | Aung Solvang, LLC-Principal Payment | 1011 · Heritage - 3513 - Operating | 8,533.73 | | 15,040,663.59 |
| Deposit | 05/11/2012 | 1784 | | SB Capital Brokers Trus... | #178 Senese Family Trust-Principal Payout | 1011 · Heritage - 3513 - Operating | | 214.27 | 15,040,877.86 |
| Deposit | 05/11/2012 | 1780 | | SB Capital Brokers Trus... | David & Sherma Sherwin-Sold Note to SBC SCMF | 1011 · Heritage - 3513 - Operating | | 2,339.39 | 15,043,217.25 |
| Deposit | 05/11/2012 | WIRE | | SBC Senior Commercial | #102 Edge Partners, LLC-Principal Payout | 1011 · Heritage - 3513 - Operating | | 1,584.71 | 15,044,802.06 |
| Check | 05/18/2012 | 1798 | | SBC Portfolio Fund, LLC | Purchase of Aung Solvang, LLC from SBC SCMF | 1011 · Heritage - 3513 - Operating | | 231,160.73 | 15,275,962.79 |
| Deposit | 05/18/2012 | 1796 | | SB Capital Brokers Trus... | Purchase of Sunshine Hospitality from SBC PF | 1030 · Heritage - 3596 - Money ... | 1,099,150.00 | | 16,375,112.79 |
| Deposit | 05/21/2012 | 1800 | | SB Capital Brokers Trus... | Kallappa Rampur-Principal | 1011 · Heritage - 3513 - Operating | | 3,500.00 | 16,378,612.79 |
| Deposit | 05/21/2012 | 1802 | | SB Capital Brokers Trus... | AULTIPLE- | 1011 · Heritage - 3513 - Operating | | 536.88 | 16,379,149.67 |
| Check | 05/23/2012 | ACH | | SB Capital Brokers Trus... | SouthCoast Cabinet-85% Participation purcha... | 1011 · Heritage - 3513 - Operating | | 2,760,145.91 | 13,618,993.76 |
| Deposit | 05/30/2012 | 1805 | | SB Capital Brokers Trus... | Auto Spa Express-85% Participation add to C... | 1011 · Heritage - 3513 - Operating | | 1,000,377.99 | 14,619,371.75 |
| Check | 05/30/2012 | ACH | | SB Capital Brokers Trus... | Edge Partner-85% Participated to Colson | 1011 · Heritage - 3513 - Operating | | 6,664,985.30 | 7,954,386.45 |
| Check | 05/30/2012 | ACH | | SB Capital Brokers Trus... | Purchase of Milken-Napa Creek | 1011 · Heritage - 3513 - Operating | 3,385,000.00 | | 4,569,386.45 |
| Check | 05/30/2012 | ACH | | SB Capital Brokers Trus... | #51 Kallappa Rampur | 1011 · Heritage - 3513 - Operating | | 3,500.00 | 8,072,886.45 |
| General Journal | 05/31/2012 | Servic... | | Old Republic Title | Whiskey Junction-Advance sent to Escrow | 1011 · Heritage - 3513 - Operating | 15,378.82 | | 8,088,265.27 |
| General Journal | 05/31/2012 | Servic... | | Old Republic Title | To be out to Loan Portfolio Report on ABS as ... | 1011 · Heritage - 3513 - Operating | 1,654.00 | | 9,742,319.27 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercial | Whiskey Junction-Advance to Escrow | -SPLIT- | 3,315.72 | | 10,073,634.99 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercial | Transferring of Whiskey Junction to Rice Espa... | 1030 · Heritage - 3596 - Money ... | 31,485.28 | | 10,105,120.27 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercial | Justin White Wall-Sold Note to SBC SCMF | 1030 · Heritage - 3596 - Money ... | | 106,381.31 | 10,211,501.58 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercial | 47300 Kato, LLC-Sold Note to SBC SCMF | 1030 · Heritage - 3596 - Money ... | | 82,412.12 | 10,293,913.70 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercial | Auto Spa Express-Sold Note to SBC SCMF | 1030 · Heritage - 3596 - Money ... | | 283,733.60 | 10,577,647.30 |
| Check | 06/04/2012 | ACH | | SB Capital Brokers Trus... | Sense Family Trust-Sold Note to SBC SCMF | 1030 · Heritage - 3596 - Money ... | | 1,516,178.43 | 9,061,468.87 |
| Deposit | 06/13/2012 | 1230 | | Park Blvd 76 | Advance to Rice Espana | 1011 · Heritage - 3513 - Operating | | 407,084.57 | 9,474,553.40 |
| Deposit | 06/13/2012 | 1231 | | Park Blvd 76 | Rampur-Principal Reduction | 1011 · Heritage - 3513 - Operating | 7,697.00 | | 9,482,250.40 |
| Deposit | 06/13/2012 | 1829 | | Park Blvd 76 | Rampur-Principal Reduction | 1011 · Heritage - 3513 - Operating | | 3,500.00 | 9,485,750.40 |
| Deposit | 06/18/2012 | 1836 | | SB Capital Brokers Trus... | Rampur-Principal | 1011 · Heritage - 3513 - Operating | | 3,500.00 | 9,489,250.40 |
| Deposit | 06/18/2012 | 1838 | | SB Capital Brokers Trus... | Rampur/Park Blvd | 1011 · Heritage - 3513 - Operating | 11,837.95 | | 9,501,088.35 |
| General Journal | 06/19/2012 | amort | | Milken Napa Creek, LLC | Sold Edge Partners to SBC SCMF | 1011 · Heritage - 3513 - Operating | | 3,500.00 | 9,504,588.35 |
| General Journal | 06/20/2012 | Servic... | | SBC Senior Commercial | To be out to Loan Portfolio Report on ABS | 1161 · Loan Cost-Accumulated ... | | 1,905.71 | 9,506,494.06 |
| Check | 06/21/2012 | 2528 | | SAKS DESIGN | Advance on Loan for furniture- Rice Espana | -SPLIT- | 178,537.30 | | 9,327,956.76 |
| Check | 06/21/2012 | 2529 | | SAKS DESIGN | Advancement of Rice Espana's loan to pay ph... | 1011 · Heritage - 3513 - Operating | 4,457.75 | | 9,332,414.51 |
| Check | 06/21/2012 | 2531 | | Economy Signs | Signage for Rice Espana | 1011 · Heritage - 3513 - Operating | 71.85 | | 9,332,486.36 |
| Check | 06/25/2012 | 2516 | | Rice Espana | NCR OUTGOING DOMESTIC WIRE-2012173... | 1011 · Heritage - 3513 - Operating | 2,200.00 | | 9,334,686.36 |
| Check | 06/29/2012 | ACH | | Rice Espana | Rice Espana Advance on loan | 1011 · Heritage - 3513 - Operating | 4,153.24 | | 9,338,839.60 |
| Check | 06/29/2012 | WIRE | | Rice Espana | Fund Advance to Rice Espana | 1011 · Heritage - 3513 - Operating | 3,412.00 | | 9,342,251.60 |
| | | | | Price Rite Restaurant E... | For Rice Espana | 1011 · Heritage - 3513 - Operating | 5,345.85 | | 9,347,597.45 |
| Total 1110 - Fund Loans | | | | | | | 32,298,602.49 | 23,427,003.01 | 8,871,599.48 |
| 1115 - Allowance for Loan Losses | | | | | | | | | |
| General Journal | 12/31/2010 | 2010_A... | | | To book allowance for loan loss of \$25000 rela... | 5900 · Provision for Loan Losses | 0.00 | | 0.00 |
| Total 1115 - Allowance for Loan Losses | | | | | | | 0.00 | 0.00 | 0.00 |
| 1150 - Fund Loans Interest Receivable | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 · Wells Fargo - 6093 - Ope... | 53,443.50 | | 53,443.50 |
| General Journal | 09/30/2010 | Int Rec... | | | Reflect Loan Int Rec @ 6/30/10 | 4010 · Loan Interest Income | 84,900.83 | | 138,344.33 |
| General Journal | 10/31/2010 | Sep Int ... | | | Re-class to reflect Int Rec @ 9/30/10 | 4010 · Loan Interest Income | 29,860.08 | | 168,204.41 |
| General Journal | 11/30/2010 | Int Rec | | | Adjust to reflect loan interest receivable @ 10/... | 4010 · Loan Interest Income | 16,868.67 | | 185,073.08 |
| Deposit | 12/14/2010 | Int Rec | | | Fund Loan Int Rec @ 11/30/10 | 1111 · Fund Loans | 13,551.09 | | 198,624.17 |
| Deposit | 12/14/2010 | 1258 | | SB Capital Brokers Trus... | #113 Gupta pmt due 12/1/10 | 1011 · Heritage - 3513 - Operating | | 379.17 | 198,993.34 |
| Deposit | 12/21/2010 | 1258 | | SB Capital Brokers Trus... | #108 Sherwin pmt due 12/1/10 - Int Rec @ 11/... | 1011 · Heritage - 3513 - Operating | | 4,087.41 | 203,080.75 |
| Deposit | 12/31/2010 | 1270 | | SB Capital Brokers Trus... | #1010 Amy Lin pmt due 12/1/10-ent in 11/30/... | 1011 · Heritage - 3513 - Operating | | 2,234.21 | 205,314.96 |
| Deposit | 12/31/2010 | 1258 | | SB Capital Brokers Trus... | #103 Luna pmt due 12/1/10 - due to princ incre... | 1011 · Heritage - 3513 - Operating | | 265.22 | 205,580.18 |
| Deposit | 12/31/2010 | 1269 | | SB Capital Brokers Trus... | #65 SB Cap Corp Int Rec amt @ 11/30/10 | 1011 · Heritage - 3513 - Operating | | 59,229.04 | 264,809.22 |
| General Journal | 12/31/2010 | #51 Ra... | | | #300001 SB Cap Corp Int Rec amt @ 11/30/10 | 4010 · Loan Interest Income | 33,714.56 | | 308,523.78 |
| General Journal | 12/31/2010 | Int Rec | | | Reverse 11/30/10 Int Rec #51 Rampur | 4010 · Loan Interest Income | 9,843.10 | | 318,366.88 |
| General Journal | 12/31/2010 | AUD ej... | | | Adj to Int Rec @ 12/31/10 | 4010 · Loan Interest Income | 35,141.08 | | 353,507.96 |
| General Journal | 01/31/2011 | Int Rec | | | VOID Int Rec related to 4 Bros Loan | 4010 · Loan Interest Income | | 25,000.00 | 378,507.96 |
| General Journal | 02/28/2011 | Int Rec | | | Adj to Interest Rec @ 01/31/11 per Pool Stmt | 4010 · Loan Interest Income | 17,555.93 | | 396,063.89 |
| General Journal | 03/15/2011 | Int Rec | | | Adj to Int Rec per Mortgage Pool Stmt @ 2/28/... | 4010 · Loan Interest Income | | 50,153.11 | 446,217.00 |
| General Journal | 03/15/2011 | Svc Trst | | | Ajo to reflect Int Rec @ 3/15/11 | 4010 · Interest Income | 45,705.47 | | 491,922.47 |
| General Journal | 03/15/2011 | rev svc... | | | Svc Trst Bal @ 03/15/11 | 1155 · Servicing Trust Balance | | 20,505.49 | 506,927.96 |
| General Journal | 03/31/2011 | adj svc... | | | rev Svc Trst Bal @ 03/15/11 | 1155 · Servicing Trust Balance | | 20,505.49 | 527,433.45 |
| General Journal | 03/31/2011 | INT REC | | | servicing trust balance 03/31/11 | 1155 · Servicing Trust Balance | | 10,568.92 | 538,002.37 |
| General Journal | 04/01/2011 | rev svc... | | | Adj to Interest Rec @ 03/31/11 per Pool Stmt | 4010 · Loan Interest Income | | 7,691.71 | 545,694.08 |
| Deposit | 04/18/2011 | 1332 | | SB Capital Brokers Trus... | servicing trust balance 04/1/11 | 1155 · Servicing Trust Balance | 10,568.92 | | 556,263.00 |
| Deposit | 04/18/2011 | 1334 | | SB Capital Brokers Trus... | Loan #108 Mardock March Interest | 1011 · Heritage - 3513 - Operating | | 2,948.04 | 559,211.04 |
| Deposit | 04/18/2011 | 1335 | | SB Capital Brokers Trus... | Loan #108 Amy Lin loan payoff - interest | 1011 · Heritage - 3513 - Operating | | 2,387.58 | 561,598.62 |
| General Journal | 04/18/2011 | 1336 | | SB Capital Brokers Trus... | Loan #129 Four Brothers Inns - interest receiv... | 1011 · Heritage - 3513 - Operating | | 4,228.00 | 565,826.62 |
| General Journal | 04/30/2011 | svc trst | | | #106 Sherwin loan interest receivable | 1011 · Heritage - 3513 - Operating | | 4,228.00 | 570,054.62 |
| General Journal | 05/01/2011 | rev ser... | | | servicing trust balance 04/30/11 | 1155 · Servicing Trust Balance | | 19,231.64 | 589,286.26 |
| Deposit | 05/10/2011 | 1347 | | SB Capital Brokers Trus... | to gross up interest receivable to actual | -SPLIT- | 38,582.25 | | 627,868.51 |
| Deposit | 05/10/2011 | 1345 | | SB Capital Brokers Trus... | reverse servicing trust balance 04/30/11 | 1155 · Servicing Trust Balance | 19,231.64 | | 647,100.15 |
| Deposit | 05/10/2011 | 1344 | | SB Capital Brokers Trus... | Mardock interest receivable | 1011 · Heritage - 3513 - Operating | | 3,148.56 | 650,248.71 |
| Deposit | 05/24/2011 | 1346 | | SB Capital Brokers Trus... | Rampur interest receivable | 1011 · Heritage - 3513 - Operating | | 14,513.38 | 664,762.09 |
| Deposit | 05/31/2011 | 1352 | | SB Capital Brokers Trus... | #20000 valley produce - interest portion | 1011 · Heritage - 3513 - Operating | | 1,569.70 | 666,331.79 |
| Deposit | 05/31/2011 | 1353 | | SB Capital Brokers Trus... | -MULTIPLE- | 1011 · Heritage - 3513 - Operating | | 5,785.40 | 672,117.19 |
| General Journal | 05/31/2011 | int rec... | | | #51 Rampur interest receivable | 1011 · Heritage - 3513 - Operating | | 14,503.27 | 686,620.46 |
| Deposit | 06/14/2011 | 1355 | | SB Capital Brokers Trus... | to gross up interest receivable to actual | -SPLIT- | 35,438.37 | | 722,058.83 |
| Deposit | 06/14/2011 | 1356 | | SB Capital Brokers Trus... | #108 Mardock - interest receivable | 1011 · Heritage - 3513 - Operating | | 3,048.99 | 725,107.82 |
| Deposit | 06/15/2011 | 1360 | | SB Capital Brokers Trus... | #20000 Valley Produce interest receivable | 1011 · Heritage - 3513 - Operating | | 1,545.17 | 726,652.99 |
| Deposit | 06/15/2011 | 1362 | | SB Capital Brokers Trus... | #129 Four Brothers Inns - interest receivable | 1011 · Heritage - 3513 - Operating | | 5,233.40 | 731,886.39 |
| Deposit | 06/22/2011 | 1363 | | SB Capital Brokers Trus... | #30001 SB Capital Sweet Fingers property inte... | 1011 · Heritage - 3513 - Operating | | 24,728.19 | 756,614.58 |
| Deposit | 06/22/2011 | 1366 | | SB Capital Brokers Trus... | #65 SB Capital - interest payments - Whiskey ... | 1011 · Heritage - 3513 - Operating | | 38,350.00 | 794,964.58 |
| General Journal | 06/30/2011 | sheding... | | | Sherwin interest receivable | 1011 · Heritage - 3513 - Operating | | 3,280.66 | 808,245.24 |
| Deposit | 07/14/2011 | 1393 | | SB Capital Brokers Trus... | #108 mardock - June Payment | 1011 · Heritage - 3513 - Operating | | 3,148.52 | 811,393.76 |
| Deposit | 07/14/2011 | 1382 | | SB Capital Brokers Trus... | to gross up interest receivable to actual | -SPLIT- | 5,225.66 | | 816,619.42 |
| Deposit | 07/14/2011 | 1376 | | SB Capital Brokers Trus... | #20000 Valley Produce - interest payment - J... | 1011 · Heritage - 3513 - Operating | | 676.09 | 817,295.51 |
| Deposit | 07/14/2011 | 1374 | | SB Capital Brokers Trus... | #129 Four Brothers Loan interest payment - Ju... | 1011 · Heritage - 3513 - Operating | | 5,064.58 | 822,360.09 |
| Check | 07/14/2011 | 2368 | | SB Capital Brokers Trus... | #108 Mardock interest payment - July 2011 | 1011 · Heritage - 3513 - Operating | | 3,048.95 | 825,409.04 |
| Check | 07/25/2011 | 2367 | | SB Capital Brokers Trus... | #51 Rampur interest payment | 1011 · Heritage - 3513 - Operating | | 14,532.53 | 839,941.57 |
| Check | 07/25/2011 | 2368 | | SB Capital Brokers Trus... | VOID: | 1011 · Heritage - 3513 - Operating | 0.00 | | 839,941.57 |
| Check | 07/25/2011 | 1386 | | SB Capital Brokers Trus... | return Mardock funds for NSF Check - #137 | 1011 · Heritage - 3513 - Operating | 3,148.52 | | 843,089.99 |
| General Journal | 07/31/2011 | int rec | | | return Mardock funds for NSF Check - #137 | 1011 · Heritage - 3513 - Operating | 3,048.95 | | 846,138.94 |
| General Journal | 08/17/2011 | 1395 | | SB Capital Brokers Trus... | replicon corrected payments for Mardock | 1011 · Heritage - 3513 - Operating | | 3,148.52 | 849,287.46 |
| Deposit | 08/17/2011 | 1380 | | SB Capital Brokers Trus... | to gross up interest receivable to actual for 07/... | -SPLIT- | 35,348.39 | | 884,635.85 |
| | | | | | #149 Aung San LLC - payment - interest | 1011 · Heritage - 3513 - Operating | | 2,851.39 | 887,487.24 |
| | | | | | 108 mardock - interest received for July | 1011 · Heritage - 3513 - Operating | | 3,048.78 | 890,536.02 |

Total 1153 - Fund Loan Expense Advances

Investors Prime Fund
General Ledger
All Transactions

2:52 PM
08/18/16
Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--------------------------------------|------------|-------------|-----|---------------------------|--|------------------------------------|------------|------------|------------|
| 1154 - Acc Int on Adv Exp Rec | 03/01/2011 | INT DL... | | | INTEREST DUE ON ADVANCES FOR WHIS... | 4010 - Loan Interest Income | 422.51 | | 422.51 |
| General Journal | 04/02/2011 | adv er... | | | to gross up interest receivable due on loan adv... | 4010 - Loan Interest Income | 1,027.28 | | 1,449.80 |
| General Journal | 05/01/2011 | INTRE... | | | to gross up interest receivable for JUNE due o... | 4010 - Loan Interest Income | 1,514.77 | | 2,964.57 |
| General Journal | 06/02/2011 | | | | to gross up interest on other charges receivable... | 4010 - Loan Interest Income | 1,869.27 | | 4,834.84 |
| Deposit | 07/01/2011 | 386 | | SB Capital Brokers Tru... | #108 Mardock - NSF fee | 1150 - Fund Loans Interest Rec... | 1,840.41 | | 6,674.25 |
| General Journal | 08/02/2011 | intr rec... | | | MULTIPLE | 4010 - Loan Interest Income | 2,264.00 | 0.17 | 8,938.25 |
| General Journal | 09/02/2011 | intr rec... | | | to gross up interest on charges receivable to a... | 1150 - Fund Loans Interest Rec... | 2,175.31 | | 11,113.56 |
| General Journal | 10/01/2011 | 1450 | | SBC Portfolio Fund, LLC | will Whiskey Junction on charges receivable to a... | 1150 - Fund Loans Interest Rec... | 2,197.83 | | 13,311.39 |
| General Journal | 11/01/2011 | intr rec... | | | to gross up interest on charges receivable to a... | 1150 - Fund Loans Interest Rec... | 68.23 | 13,411.22 | 13,479.61 |
| General Journal | 12/01/2011 | intr rec... | | | to gross up interest on charges receivable to a... | 1150 - Fund Loans Interest Rec... | 27.82 | | 13,507.43 |
| General Journal | 01/01/2012 | intr rec... | | | to gross up interest on Adv. Expense Rec to a... | 4010 - Loan Interest Income | 44.56 | | 13,552.00 |
| General Journal | 02/01/2012 | Transfer | | | to gross up interest on Adv. Expense Rec to a... | 4010 - Loan Interest Income | 102.80 | | 13,654.80 |
| General Journal | 03/01/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 46.25 | | 13,701.05 |
| General Journal | 04/01/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 47.81 | | 13,748.86 |
| General Journal | 05/01/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | | 358.01 | 14,106.87 |
| General Journal | 06/02/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 13.82 | | 14,120.69 |
| Total 1154 - Acc Int on Adv Exp Rec | | | | | | | 13,763.02 | 13,768.40 | 13,82 |
| 1155 - Servicing Trust Balance | 06/30/2010 | Svc Trst | | | Reflected assets in Servicing Trust @ 03/01/10 | -SPJT- | | | |
| General Journal | 06/30/2010 | 1188 | | SB Capital Brokers Tru... | Marshall Int prnt due 10/10/10 | 1011 - Heritage - 3513 - Operating | 4,324.37 | | 4,324.37 |
| Deposit | 07/01/2010 | 1189 | | SB Capital Brokers Tru... | Pmts Int prnt due 10/10/10 | 1011 - Heritage - 3513 - Operating | | 753.08 | 3,571.29 |
| General Journal | 10/06/2010 | 1190 | | SB Capital Brokers Tru... | #51 Rampur prnt on not applied to princ | 1011 - Heritage - 3513 - Operating | | 3,500.00 | 0.00 |
| General Journal | 10/06/2010 | Svc Trst | | | A/c to reflect living trust bal @ 10/03/10 | -SPJT- | 11,546.61 | | 11,546.61 |
| Deposit | 11/09/2010 | 1233 | | SB Capital Brokers Tru... | Marshall Int prnt due 11/10/10 in 1031/10 Svcg Tr... | 1011 - Heritage - 3513 - Operating | | 641.64 | 12,188.25 |
| Deposit | 11/09/2010 | 1227 | | SB Capital Brokers Tru... | Marshall 11/10 prnt in 1031/10 Svcg Trst bal | 1011 - Heritage - 3513 - Operating | 3,203.13 | | 15,391.38 |
| Deposit | 11/09/2010 | 1227 | | SB Capital Brokers Tru... | #51 Rampur prnt due 10/28 in 1031/10 Svcg Tr... | 1011 - Heritage - 3513 - Operating | 7,701.84 | | 23,093.22 |
| Deposit | 11/09/2010 | 1232 | | SB Capital Brokers Tru... | #51 Rampur 1028 prnt in 1031/10 Svcg Trst | 1011 - Heritage - 3513 - Operating | 3,500.00 | | 26,593.22 |
| General Journal | 11/09/2010 | Svc Trst | | | Netting Trust bal @ 11/20/10 | -SPJT- | 3,500.00 | | 23,093.22 |
| Deposit | 12/06/2010 | 1248 | | SB Capital Brokers Tru... | Marshall Int prnt due 12/10/10 in Svcg Trst bal | 1011 - Heritage - 3513 - Operating | 2,549.84 | | 25,643.06 |
| Deposit | 12/06/2010 | 1247 | | SB Capital Brokers Tru... | #103 Luna prnt due 11/10/10 in Svcg Trst @ ... | 1011 - Heritage - 3513 - Operating | 682.66 | | 26,325.72 |
| General Journal | 01/01/2011 | Rev P... | | | Servicing Trust bal @ 12/31/10 | 1011 - Heritage - 3513 - Operating | 750.61 | | 27,076.33 |
| General Journal | 01/01/2011 | Svc Trst | | | Reverse 12/31/10 Servicing Trst Accrual | -SPJT- | 1,136.67 | | 25,939.66 |
| Deposit | 02/06/2011 | 1300 | | SB Capital Brokers Tru... | #51 Rampur Servicing Trust Bal @ 01/31/11 | 1011 - Heritage - 3513 - Operating | 11,588.08 | | 37,527.74 |
| Deposit | 02/06/2011 | 1301 | | SB Capital Brokers Tru... | #51 Rampur prnt on not applied to 11/01/11 | 1011 - Heritage - 3513 - Operating | 10,150.57 | | 47,678.31 |
| Deposit | 02/06/2011 | 1302 | | SB Capital Brokers Tru... | #51 Rampur prnt on not applied to 11/01/11 due... | 1011 - Heritage - 3513 - Operating | 3,500.00 | | 51,178.31 |
| Deposit | 02/06/2011 | 1302 | | SB Capital Brokers Tru... | #103 Mardock prnt due 11/11 | 1011 - Heritage - 3513 - Operating | 14,468.54 | | 65,646.85 |
| Check | 02/06/2011 | 2383 | | SB Capital Brokers Tru... | Svc Trst Rampur 02/01/11 | 1011 - Heritage - 3513 - Operating | 3,150.57 | | 68,797.42 |
| General Journal | 03/02/2011 | Svc Trst | | | rev Svc Trst Bal @ 01/31/11 | -SPJT- | 3,500.00 | | 65,297.42 |
| General Journal | 03/16/2011 | rev svc... | | | rev Svc Trst Bal @ 01/31/11 | -SPJT- | 14,505.90 | | 79,803.32 |
| General Journal | 03/16/2011 | rev svc... | | | servicing trust balance 04/30/11 | -SPJT- | 276,205.01 | | 84,308.33 |
| General Journal | 04/01/2011 | rev svc... | | | servicing trust balance 04/30/11 | -SPJT- | 16,951.07 | | 101,259.40 |
| General Journal | 04/01/2011 | rev svc... | | | reverse servicing trust balance 04/30/11 | -SPJT- | 6,552.00 | | 94,707.40 |
| General Journal | 05/01/2011 | rev svc... | | | servicing trust balance 05/31/11 | -SPJT- | 6,552.00 | | 101,259.40 |
| General Journal | 05/01/2011 | rev svc... | | | servicing trust balance 05/31/11 | -SPJT- | 10,046.95 | | 111,306.35 |
| General Journal | 06/02/2011 | rev svc... | | | rev servicing trust balance 06/30/11 | -SPJT- | 10,320.88 | | 121,627.23 |
| General Journal | 07/01/2011 | SVC TR... | | | rev servicing trust balance 07/31/11 | -SPJT- | 10,320.88 | | 131,948.11 |
| General Journal | 07/01/2011 | rev svc... | | | servicing trust balance 07/31/11 | -SPJT- | 13,648.69 | | 145,596.80 |
| General Journal | 08/01/2011 | rev svc... | | | reverse servicing trust balance 08/31/11 | -SPJT- | 14,300.88 | | 159,897.68 |
| General Journal | 08/30/2011 | amort | | | servicing trust balance 09/30/11 | -SPJT- | 7,000.00 | | 166,897.68 |
| General Journal | 09/30/2011 | rev svc... | | | reverse servicing trust balance 09/30/11 | -SPJT- | 3,500.00 | | 170,397.68 |
| General Journal | 10/01/2011 | intr rec... | | | servicing trust balance 10/30/11 | -SPJT- | 3,500.00 | | 173,897.68 |
| General Journal | 10/01/2011 | intr rec... | | | Deposit | -SPJT- | 7,000.00 | | 180,897.68 |
| General Journal | 11/30/2011 | intr rec... | | | Deposit | -SPJT- | 7,000.00 | | 187,897.68 |
| General Journal | 11/30/2011 | 1468 | | SB Capital Brokers Tru... | servicing trust balance 10/30/11 | -SPJT- | 3,500.00 | | 191,397.68 |
| General Journal | 12/31/2011 | intr rec... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 8,888.82 | | 200,286.50 |
| General Journal | 12/31/2011 | 1476 | | SB Capital Brokers Tru... | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 3,300.00 | | 203,586.50 |
| General Journal | 01/01/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 1,886.82 | | 205,473.32 |
| General Journal | 02/01/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 3,300.00 | | 208,773.32 |
| General Journal | 03/01/2012 | Service... | | | To be out to Loan Portfolio Report on ABS as ... | 1110 - Fund Loans | 18,786.22 | | 227,559.54 |
| General Journal | 04/01/2012 | Service... | | | Interest & Servicing Fee Disbursement from Tr... | 1011 - Heritage - 3513 - Operating | 16,888.44 | | 244,447.98 |
| General Journal | 05/01/2012 | 1612 | | SB Capital Brokers Tru... | Interest & Servicing Fee Disbursement from Tr... | 1011 - Heritage - 3513 - Operating | 1,636.43 | | 246,084.41 |
| General Journal | 06/01/2012 | 1111 | | SB Capital Brokers Tru... | To be out to Loan Portfolio Report on ABS | 1110 - Fund Loans | 11,571.35 | | 257,655.76 |
| General Journal | 06/02/2012 | Service... | | | To be out to Loan Portfolio Report on ABS | 1110 - Fund Loans | | 466,645.63 | 0.00 |
| Total 1155 - Servicing Trust Balance | | | | | | | 466,645.63 | | 0.00 |
| 1160 - Loan Costs | 02/29/2012 | Int | | | Amortization of Loan Cost | 6020 - Amortization Expense | | 2,757.60 | -2,757.60 |
| General Journal | 03/01/2012 | Int | | | Amortization of Loan Cost | 6020 - Amortization Expense | | 7,478.23 | -10,235.83 |
| General Journal | 03/01/2012 | Int | | | Amortization of Loan Cost | 6020 - Amortization Expense | | 9,154.80 | -19,390.63 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Sold Aug San, LLC to SBC SCMF | 1030 - Heritage - 3598 - Money | 2,304.12 | | -17,086.51 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -15,440.65 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -13,794.79 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -12,148.93 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -10,503.07 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -8,857.21 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -7,211.35 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -5,565.49 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -3,919.63 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -2,273.77 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | -647.91 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 512.95 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 1,158.81 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 2,804.67 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 4,450.53 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 6,096.39 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 7,742.25 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 9,388.11 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 11,033.97 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 12,679.83 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 14,325.69 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 15,971.55 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 17,617.41 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 19,263.27 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 20,909.13 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 22,554.99 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 24,200.85 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 25,846.71 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 27,492.57 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 29,138.43 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 30,784.29 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 32,430.15 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 34,075.99 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 35,721.85 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 37,367.71 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 39,013.57 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 40,659.43 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 42,305.29 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 43,951.15 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 45,596.99 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 47,242.85 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 48,888.71 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 50,534.57 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 52,180.43 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 53,826.29 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 55,472.15 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 - Money | 1,645.86 | | 57,118.01 |
| Deposit | 03/01/2012 | ACH | | SB Capital Brokers Tru... | Auto Spa Express | 1030 - Heritage - 3598 | | | |

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08/18/16

Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-------------|-----|----------------------------|---|------------------------------------|---------------|---------------|---------------|
| 1160 - Loan Costs - Other | | | | | | | | | |
| Check | 02/18/2012 | ach | | SBC Portfolio Fund, LLC | Premium Paid on Purchase of Kato | 1030 - Heritage - 3596 - Money ... | 94,995.22 | | 94,995.22 |
| Check | 02/17/2012 | ACH | | SBC Portfolio Fund, LLC | Premium Paid on Purchase of Aung San from ... | 1030 - Heritage - 3596 - Money ... | 114,209.43 | | 209,204.65 |
| Check | 02/17/2012 | ACH | | SBC Senior Commercia... | Premium Paid to SBC PF for the purchase of ... | 1030 - Heritage - 3596 - Money ... | 149,557.85 | | 358,762.50 |
| Check | 02/17/2012 | 013112 | | SBC Portfolio Fund, LLC | Premium paid to SBC PF for the purchase of ... | 1030 - Heritage - 3596 - Money ... | 249,263.09 | | 608,025.59 |
| Check | 02/17/2012 | 013113 | | SBC Portfolio Fund, LLC | Premium paid to SBC PF for the purchase of ... | 1030 - Heritage - 3596 - Money ... | 35,577.38 | | 643,602.97 |
| Check | 03/07/2012 | ach | | SBC Senior Commercia... | Premium paid for 3am from Sr. Comm1 | 1030 - Heritage - 3596 - Money ... | 27,423.71 | | 671,026.68 |
| Check | 03/07/2012 | ach | | SBC Senior Commercia... | Premium paid for Focus Hospitality from SBC ... | 1011 - Heritage - 3513 - Operating | 128,052.00 | | 799,076.68 |
| Check | 03/12/2012 | 3132014 | | SBC Portfolio Fund, LLC | Premium Paid SBC PF for Autospa Express | 1011 - Heritage - 3513 - Operating | 63,036.00 | | 862,114.68 |
| Check | 03/12/2012 | 3132016 | | SBC Portfolio Fund, LLC | Premium paid to SBC PF for Edge Partners | 1011 - Heritage - 3513 - Operating | 25,989.00 | | 888,103.68 |
| Check | 04/11/2012 | ACH | | SBC Portfolio Fund, LLC | 3.81% premium paid to SBC PF for SouthCoa... | 1011 - Heritage - 3513 - Operating | 46,668.00 | | 934,772.68 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercia... | Premium paid to SBC PF for Aung Solvarg, LLC | 1011 - Heritage - 3513 - Operating | 123,825.00 | | 1,058,597.68 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercia... | Sold Aung San, LLC to SBC SCMF | 1030 - Heritage - 3596 - Money ... | 81,444.00 | 114,209.43 | 1,140,041.68 |
| Deposit | 05/01/2012 | ACH | | SBC Senior Commercia... | Focus Hospitality | 1030 - Heritage - 3596 - Money ... | | 63,036.00 | 1,203,832.25 |
| Deposit | 05/01/2012 | ACH | | SBC Portfolio Fund, LLC | Airport Blvd Realty | 1030 - Heritage - 3596 - Money ... | | 128,052.00 | 1,331,884.25 |
| Check | 05/18/2012 | Wire | | SBC Portfolio Fund, LLC | Premium Paid on Sunshine purchased from S... | 1011 - Heritage - 3513 - Operating | 500,000.00 | 398,820.84 | 1,440,041.68 |
| Check | 05/23/2012 | ACH | | SBC Senior Commercia... | Premium Paid on Milken-Napa Creek | 1011 - Heritage - 3513 - Operating | 169,750.00 | | 1,270,291.68 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercia... | Justin White Wall- Loan Cost Transferred to S... | 1030 - Heritage - 3596 - Money ... | | 35,577.38 | 1,305,869.06 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercia... | David & Sherna Sherwin-Sold Note to SBC SC... | 1030 - Heritage - 3596 - Money ... | | 27,423.71 | 1,333,292.77 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercia... | 47300 Kato, LLC- Sold Note to SBC SCMF | 1030 - Heritage - 3596 - Money ... | | 25,989.00 | 1,359,281.77 |
| Deposit | 06/01/2012 | ACH | | SBC Senior Commercia... | Auto Spa Express Sold Note to SBC SCMF | 1030 - Heritage - 3596 - Money ... | | 94,995.22 | 1,454,277.00 |
| General Journal | 06/18/2012 | Inter-C... | | SBC Senior Commercia... | Senese Family Trust-Sold Note to SBC SCMF | 1030 - Heritage - 3596 - Money ... | | 123,825.00 | 1,578,102.00 |
| General Journal | 06/18/2012 | amort | | | Premium on Aung Solvarg to be collected fro... | -SPLIT- | | 81,444.00 | 1,659,546.00 |
| | | | | | Sold Edge Partners to SBC SCMF | 1161 - Loan Cost-Accumulated ... | | 46,668.00 | 1,706,214.00 |
| Total 1160 - Loan Costs - Other | | | | | | | | | |
| Total 1160 - Loan Costs | | | | | | | 1,809,791.68 | 1,140,041.68 | 669,750.00 |
| 1176 - Note Due from SBC, LLC Subsidiar | | | | | | | 1,833,424.23 | 1,168,599.83 | 664,824.40 |
| Check | 08/02/2011 | | | SB Capital LLC | 328775 HERITAGE DIRECT ONLINE TRANS... | 1030 - Heritage - 3596 - Money ... | 1,000,000.00 | | 1,000,000.00 |
| Check | 08/29/2011 | | | Small Business Capital... | 159178 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 1,100,000.00 |
| Check | 11/29/2011 | | | Small Business Capital... | 255444 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 500,000.00 | | 1,600,000.00 |
| Check | 02/07/2012 | ACH | | Small Business Capital... | Funds sent to SBC, LLC to fund Kalleman Trust | 1030 - Heritage - 3596 - Money ... | 1,000,000.00 | | 2,600,000.00 |
| Deposit | 03/08/2012 | Transfer | | Small Business Capital... | Received Paydown of note to SBC, LLC | 1011 - Heritage - 3513 - Operating | | 1,000,000.00 | 1,600,000.00 |
| Check | 04/27/2012 | ACH | | Small Business Capital... | Payoff of Note to SBC, LLC | 1011 - Heritage - 3513 - Operating | | 1,000,000.00 | 600,000.00 |
| Check | 05/15/2012 | ACH | | Small Business Capital... | Draw on line of credit | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 500,000.00 |
| Check | 05/15/2012 | ACH | | Small Business Capital... | Draw of LOC | 1011 - Heritage - 3513 - Operating | 550,000.00 | | 200,000.00 |
| Check | 05/15/2012 | ACH | | Small Business Capital... | Draw on LOC | 1011 - Heritage - 3513 - Operating | 200,000.00 | | 850,000.00 |
| Total 1170 - Note Due from SBC, LLC Subsidiar | | | | | | | 3,450,000.00 | 2,600,000.00 | 850,000.00 |
| 1175 - INTEREST RECEIVABLE ON IPF NOTE | | | | | | | | | |
| General Journal | 08/31/2011 | intr rec | | Small Business Capital... | INTEREST RECEIVABLE ON NOTE FROM IPF | 4010 - Loan Interest Income | 5,958.92 | | 5,958.92 |
| Deposit | 09/29/2011 | intr rec... | | Small Business Capital... | Interest due on SBC LLC note of 1000K | 1011 - Heritage - 3513 - Operating | | 5,958.92 | 0.00 |
| General Journal | 11/30/2011 | intr rec... | | Small Business Capital... | gross up for interest due on note from SBC LLC... | 4010 - Loan Interest Income | 6,458.23 | | 8,417.15 |
| Deposit | 12/21/2011 | 3118 | | Small Business Capital... | pay interest due on line of credit to SBC LLC | 4010 - Loan Interest Income | 7,535.08 | | 13,952.31 |
| General Journal | 12/31/2011 | intr rec... | | Small Business Capital... | gross up for interest due on note from SBC LLC... | 1011 - Heritage - 3513 - Operating | | 13,952.31 | 0.00 |
| General Journal | 01/31/2012 | intr rec... | | Small Business Capital... | gross up for interest due on note from SBC LLC... | 4010 - Loan Interest Income | 625.00 | | 625.00 |
| General Journal | 02/29/2012 | intr rec... | | Small Business Capital... | gross up for interest due on note from SBC LLC... | 4010 - Loan Interest Income | 8,708.23 | | 10,333.23 |
| Deposit | 03/08/2012 | Transfer | | Small Business Capital... | Interest paid to 3-7-2012 | 1011 - Heritage - 3513 - Operating | 9,696.57 | | 19,999.80 |
| Deposit | 03/30/2012 | ACH | | Small Business Capital... | Interest thru 03-30-2012 | 1011 - Heritage - 3513 - Operating | | 12,029.62 | 7,970.18 |
| General Journal | 03/30/2012 | | | Small Business Capital... | True up loan interest receivable on note to SB... | 1011 - Heritage - 3513 - Operating | | 21,573.39 | -13,603.21 |
| General Journal | 04/30/2012 | Servic... | | | True up loan interest receivable on note to SB... | 4010 - Loan Interest Income | 13,603.21 | | 0.00 |
| General Journal | 05/31/2012 | INT. | | | True up loan interest receivable on note to SB... | 4010 - Loan Interest Income | 62.20 | | 62.20 |
| General Journal | 06/21/2012 | INT. | | | True up loan interest receivable on note to SB... | 4010 - Loan Interest Income | 3,256.92 | | 3,319.12 |
| | | | | | | | 3,493.20 | | 6,812.32 |
| Total 1175 - INTEREST RECEIVABLE ON IPF NOTE | | | | | | | 60,387.56 | 53,555.24 | 6,832.32 |
| 1180 - K-1 Adjustments | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 4,161.56 | -4,161.56 |
| General Journal | 01/01/2010 | K-1 sus... | | | K-1 suspense act @ 12/31/09 parked in Recei... | 1250 - LT Rec - Fund Mgrs Org... | 4,161.56 | | 0.00 |
| Total 1180 - K-1 Adjustments | | | | | | | 4,161.56 | 4,161.56 | 0.00 |
| 1190 - Fund Loans Loss Reserve | | | | | | | | | |
| Deposit | 01/13/2010 | 1059 | | Investors Prime Fund L... | LLR list for 1/8/10 | 1030 - Heritage - 3596 - Money ... | | | |
| Deposit | 01/19/2010 | 1100 | | Investors Prime Fund L... | IPF Act#047 R White | 1030 - Heritage - 3596 - Money ... | 2,761.81 | | -2,761.81 |
| Check | 01/25/2010 | 1105 | | Investors Prime Fund L... | Amy Ffilin act #0927 recog loan loss | 1011 - Heritage - 3513 - Operating | | 1,106.13 | -3,870.94 |
| Deposit | 01/25/2010 | 1107 | | Investors Prime Fund L... | Dennis Banks & Richard Foglia Act#0933 | 1030 - Heritage - 3596 - Money ... | 839.25 | | -2,831.69 |
| Deposit | 01/25/2010 | 1109 | | Investors Prime Fund L... | Dennis Banks, Richard Foglia Act#0940-ACH | 1030 - Heritage - 3596 - Money ... | | 874.05 | -3,805.74 |
| Deposit | 01/25/2010 | 1103 | | Investors Prime Fund L... | Merville Shaw Act#0821 LLR | 1030 - Heritage - 3596 - Money ... | | 854.71 | -4,660.45 |
| General Journal | 04/08/2010 | LL Res... | | | LL Resv to SH reversed | 3010 - Contributions | 1,102.68 | | -5,763.11 |
| Total 1190 - Fund Loans Loss Reserve | | | | | | | 5,763.11 | | 0.00 |
| 1100 - Fund Loans Receivable - Other | | | | | | | 6,702.36 | 6,702.36 | 0.00 |
| Total 1100 - Fund Loans Receivable - Other | | | | | | | | | |
| Total 1100 - Fund Loans Receivable | | | | | | | 39,268,248.55 | 28,797,788.32 | 10,470,458.23 |
| 1200 - Notes Receivable | | | | | | | | | |
| 1210 - Due Fr SBC Cap Brokers Trust Ac | | | | | | | | | |
| General Journal | 02/01/2010 | 02/10 A... | | | VOID: IPF portion of Loan #21 S02 LLC dep to... | 4010 - Loan Interest Income | 0.00 | | 0.00 |
| Deposit | 07/28/2010 | | | SB Capital Brokers Trus... | #20000 Valley Produce amt deposited 8/4/10 | 1011 - Heritage - 3513 - Operating | 210.00 | | 210.00 |
| Deposit | 08/04/2010 | 1147 | | SB Capital Brokers Trus... | #20000 Valley Produce print posted 7/28/10 | 1011 - Heritage - 3513 - Operating | | 210.00 | 0.00 |
| Total 1210 - Due Fr SBC Cap Brokers Trust Ac | | | | | | | 210.00 | 210.00 | 0.00 |
| 1230 - Due Fr SB Capital LLC | | | | | | | | | |
| Check | 02/17/2010 | 2007 | | Mortgage Insurance Ag... | Lloyds of London Fidelity Bond 03/15/10-03/01/... | 1011 - Heritage - 3513 - Operating | 2,589.00 | | 2,589.00 |
| Check | 03/17/2010 | 2009 | | Mortgage Insurance Ag... | Fidelity Bond 03/15/10-03/1/2011 | 1011 - Heritage - 3513 - Operating | 4,172.33 | | 6,761.33 |
| General Journal | 06/30/2010 | SBA C... | | | Re-Class SBA Costs-To be pd by SBC LLC | 1904 - Capitalized SBA License ... | 126,832.39 | | 133,593.72 |
| Deposit | 08/18/2010 | 1009 | | SB Capital LLC | Reimb IPF for SBA SBA Bond pd by IPF | 1011 - Heritage - 3513 - Operating | | 6,761.33 | 126,832.39 |
| Deposit | 08/18/2010 | 1008 | | SB Capital LLC | Reimb IPF for Lic Acq Fees | 1011 - Heritage - 3513 - Operating | | 126,832.39 | 0.00 |
| General Journal | 01/03/2011 | Bragato... | | | Bragato loan premium receivable | 7110 - Subsid. Inc. - Loan Prem... | 56,160.00 | | 56,160.00 |
| General Journal | 01/03/2011 | Lumber... | | | Loan premium on Lumber Co loan sale | 7110 - Subsid. Inc. - Loan Prem... | 180,000.00 | | 236,160.00 |
| Deposit | 01/08/2011 | 3009 | | SB Capital LLC | Rose Garden loan prem pd to SBC LLC - accr... | 1011 - Heritage - 3513 - Operating | | 56,160.00 | 180,000.00 |
| Deposit | 01/17/2011 | 3012 | | SB Capital LLC | Van Arsdale/Harris Lumber Note sale premium... | 1011 - Heritage - 3513 - Operating | | 180,000.00 | 0.00 |
| Total 1230 - Due Fr SB Capital LLC | | | | | | | 369,753.72 | 369,753.72 | 0.00 |

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08/18/80

Accrued Basis

**Investors Prime Fund
General Ledger
All Transactions**

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--|------------|-----------|-----|------|---|------------------------------------|--------------|------------|---------------|
| 1250 - LT Rec - Fund Mgrs Orgn. Invest | | | | | | | | | |
| General Journal | 01/01/2010 | | | | Set up 01/01/10 Opening Balances on new OB... | 1010 - Wells Fargo - 6083 - Ope... | 55,623.00 | | 55,623.00 |
| General Journal | 01/01/2010 | | | | SB Mgr Accrual due to K-1 Adj-Cont... | 3010 - Contributions | 13,545.54 | | 69,168.54 |
| Check | 01/01/2010 | 1149 | | | K-1 was not deposited in period in Rec'd... | 1180 - K-1 Adjustments | | 4,161.56 | 73,330.10 |
| Check | 01/02/2010 | 1056 | | | Syndication expense for 2010 | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 65,000.88 |
| Check | 01/02/2010 | 2037 | | | 2010 IPF LT Syndication expenses | 1020 - Torrey Pines-7580 - Dis... | 100,000.00 | | 190,006.89 |
| Check | 03/02/2010 | 2037 | | | IPF Syndication Expenses | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 315,006.89 |
| General Journal | 04/01/2010 | | | | 04/01/10 Fund Mgr Combs to reverse OB K1 Adj for rem... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 340,006.89 |
| General Journal | 04/02/2010 | | | | Fund Mgr Combs to reverse OB K1 Adj for rem... | 1011 - Heritage - 3513 - Operating | 6,478.50 | | 346,486.48 |
| General Journal | 05/01/2010 | | | | TR amended for Wmg act - shows as Contrib... | 3010 - Contributions | 4,000.00 | | 350,486.48 |
| Check | 05/12/2010 | 2113 | | | syndication fees | 1010 - Contributions | 50,000.00 | | 400,486.48 |
| Check | 06/17/2010 | 2132 | | | Reimb LLC for capex92.46/10 | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 450,486.48 |
| Check | 06/25/2010 | 2142 | | | advance to manager | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 500,486.48 |
| Check | 08/03/2010 | 2204 | | | 2010 Syndication Costs | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 550,486.48 |
| Check | 07/15/2010 | 2151 | | | 2010 Syndication Costs | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 600,486.48 |
| Check | 07/30/2010 | 2168 | | | 2010 Syndication Costs | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 650,486.48 |
| General Journal | 08/01/2010 | | | | 2010 syndication | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 700,486.48 |
| Check | 08/04/2010 | 2185 | | | 2010 syndication | 3010 - Contributions | 727.84 | | 701,214.32 |
| Check | 08/12/2010 | 2191 | | | 2010 syndication | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 726,214.32 |
| Check | 08/25/2010 | 2201 | | | Small Business Capital... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 776,214.32 |
| Check | 09/03/2010 | 2204 | | | Small Business Capital... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 826,214.32 |
| Check | 09/03/2010 | 2207 | | | Small Business Capital... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 876,214.32 |
| Check | 09/21/2010 | 2088 | | | 2010 98 exp fees | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 926,214.32 |
| Check | 11/24/2010 | 2247 | | | 2010 organizational fees | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 976,214.32 |
| Check | 12/01/2010 | 2247 | | | Transfer to SB Capital Corp #3604 | 1011 - Heritage - 3513 - Operating | 1,075,952.83 | | 1,072,167.15 |
| Check | 12/23/2010 | 2010_A... | | | Transfer to SB Capital Corp #3604 | 1011 - Heritage - 3513 - Operating | 1,175,952.83 | | 1,278,119.98 |
| General Journal | 01/01/2011 | | | | Increase due to Mgr to \$1.5 m | 1011 - Heritage - 3513 - Operating | 1,278,119.98 | | 1,556,239.96 |
| Check | 01/11/2011 | EFT | | | YEAR END ADJUSTMENT FROM MANAGER... | 1011 - Heritage - 3513 - Operating | 174,047.17 | | 1,730,287.13 |
| Check | 02/01/2011 | 031110 | | | Northwoods/Dorco note sale profit particip... | 1011 - Heritage - 3513 - Operating | 350,000.00 | | 2,080,287.13 |
| Check | 02/01/2011 | 031110 | | | Organizational fees | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 2,180,287.13 |
| Check | 02/01/2011 | 031110 | | | IPF Offering Agreement - Org Exp | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 2,280,287.13 |
| Check | 04/03/2011 | EFT | | | Transfer to SB Cap Corp #3604 | 1011 - Heritage - 3513 - Operating | 2,468,478.23 | | 4,748,765.36 |
| Deposit | 04/08/2011 | EFT | | | Deposit | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 4,848,765.36 |
| Check | 05/01/2011 | 032510 | | | Transfer to SB Capital Corp. #3604 | 1011 - Heritage - 3513 - Operating | 2,688,478.23 | | 7,537,243.59 |
| Check | 05/03/2011 | 032510 | | | advance on due from manager | 1011 - Heritage - 3513 - Operating | 2,700,478.23 | | 10,237,721.82 |
| Check | 06/01/2011 | 060711... | | | add to due from as of 06/25 now 1.1M for 2011 | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 10,337,721.82 |
| Check | 06/30/2011 | 2347 | | | increase due from manager | 1011 - Heritage - 3513 - Operating | 250,000.00 | | 10,587,721.82 |
| Check | 07/25/2011 | | | | 545732 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 10,687,721.82 |
| Check | 08/01/2011 | | | | 118545 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 10,787,721.82 |
| Check | 08/07/2011 | | | | 318622 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 10,887,721.82 |
| Check | 08/12/2011 | | | | add to due from | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 10,937,721.82 |
| Check | 08/17/2011 | | | | 421578 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 10,987,721.82 |
| Check | 08/23/2011 | | | | 504473 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,037,721.82 |
| Check | 10/14/2011 | | | | 729115 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 11,137,721.82 |
| Check | 10/14/2011 | | | | 731115 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,187,721.82 |
| Check | 11/16/2011 | | | | 528913 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,237,721.82 |
| General Journal | 12/01/2011 | | | | notarily management fees from 1/31/11 to d... | 6020 - Fund Management Fees | 100,000.00 | | 11,337,721.82 |
| Check | 12/15/2011 | | | | 112653 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 11,437,721.82 |
| Check | 12/21/2011 | | | | increase due from | 1011 - Heritage - 3513 - Operating | 70,000.00 | | 11,507,721.82 |
| Check | 12/26/2011 | | | | 499890 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,557,721.82 |
| General Journal | 12/31/2011 | | | | Expenses earning as Management Fee to SB C... | 5020 - Fund Management Fees | 25,000.00 | | 11,582,721.82 |
| Check | 01/12/2012 | | | | 520515 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 11,607,721.82 |
| Check | 01/13/2012 | | | | 612819 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 11,632,721.82 |
| Check | 01/20/2012 | | | | 117489 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,682,721.82 |
| Check | 01/26/2012 | | | | 013060 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,732,721.82 |
| General Journal | 01/31/2012 | | | | 098421 HERITAGE DIRECT ONLINE TRANS... | 5020 - Fund Management Fees | 50,000.00 | | 11,782,721.82 |
| Check | 02/06/2012 | | | | 151787 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 11,832,721.82 |
| General Journal | 02/29/2012 | | | | Reversal of Management Fee Recorded in Pri... | 1011 - Heritage - 3513 - Operating | 232,864.73 | | 12,065,586.55 |
| Total 1250 - LT Rec - Fund Mgrs Orgn. Invest | | | | | | | 5,537,116.39 | 298,638.06 | 5,238,478.23 |
| 1251 - Int Rec on Fund Mgrs Orgn. Invest | | | | | | | | | |
| General Journal | 01/01/2011 | | | | 01/01 - 03/31/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 27,498.14 | | 27,498.14 |
| General Journal | 03/31/2011 | | | | 03/31 - 05/31/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 5,845.76 | | 33,343.90 |
| General Journal | 04/30/2011 | | | | 05/01/11 - 06/30/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 11,448.30 | | 44,792.20 |
| Deposit | 06/30/2011 | | | | payment on interest for manager note | 1115 - Int Inc on Mgr Note | 11,518.91 | | 56,113.11 |
| General Journal | 08/31/2011 | | | | 07/01/11 - 07/31/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 22,688.06 | | 78,801.17 |
| General Journal | 09/30/2011 | | | | 08/01/11 - 09/30/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 22,688.06 | | 101,489.23 |
| General Journal | 10/31/2011 | | | | 09/01/11 - 10/31/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 26,211.12 | | 127,700.35 |
| General Journal | 11/30/2011 | | | | 11/01/11 - 11/30/11 Int Rec on Due fr Mgr Note | 4115 - Int Inc on Mgr Note | 27,165.71 | | 154,866.06 |
| General Journal | 12/31/2011 | | | | Interest receivable on manager due from | 1011 - Heritage - 3513 - Operating | 53,378.63 | | 208,244.69 |
| General Journal | 01/30/2012 | | | | January 2012 Interest on Due From Fund Man... | 4115 - Int Inc on Mgr Note | 30,602.58 | | 238,847.27 |
| General Journal | 02/29/2012 | | | | Feb 2012 Interest on Due From Fund Manager | 4115 - Int Inc on Mgr Note | 30,602.58 | | 269,449.85 |
| General Journal | 03/30/2012 | | | | April 2012 Interest on Due From Fund Manager | 4115 - Int Inc on Mgr Note | 29,615.40 | | 299,065.25 |
| General Journal | 04/30/2012 | | | | Interest received from 03-31-2012 | 1011 - Heritage - 3513 - Operating | 88,833.33 | | 387,898.58 |
| General Journal | 05/31/2012 | | | | May 2012 Interest on Due From Fund Manager | 4115 - Int Inc on Mgr Note | 30,602.53 | | 418,501.11 |
| General Journal | 06/12/2012 | | | | June 2012 Interest on Due From Fund Manager | 4115 - Int Inc on Mgr Note | 19,743.60 | | 438,244.71 |
| Total 1251 - Int Rec on Fund Mgrs Orgn. Invest | | | | | | | 324,622.87 | 244,661.39 | 79,661.56 |
| 1250 - Notes Receivable - Other | | | | | | | | | |
| Total 1250 - Notes Receivable - Other | | | | | | | 6,231,702.80 | 915,263.17 | 5,316,439.81 |
| 12000 - Unexpended Funds | | | | | | | | | |
| Total 12000 - Unexpended Funds | | | | | | | | | 0.00 |

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08/18/16

Accrual Basis

Investors Prime Fund
General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|--------------|-----|----------------------------|--|-------------------------------------|--------------|------------|---------------|
| 1400 - Prepaids and Deposits | | | | | | | | | |
| 1410 - Legal Retainers | | | | | | | | | |
| Check | 03/15/2010 | 2016 | | Doss Law, A Law Corp... | 2010 permit renewal-Retainer | | | | |
| Check | 05/28/2010 | | | Miller Mayer, LLP Attor... | Legal Retainer | 1011 - Heritage - 3513 - Operating | 2,500.00 | | 2,500.00 |
| Deposit | 06/09/2010 | | | Miller Mayer, LLP Attor... | return of bal of legal retainer after inv#78369 a... | 1011 - Heritage - 3513 - Operating | 25,012.00 | | 27,512.00 |
| General Journal | 06/09/2010 | Legal I... | | Miller Mayer, LLP Attor... | Doss Legal inv #64160 thru Jun 10 | 6101 - Legal | | 23,192.00 | 4,320.00 |
| Check | 09/28/2010 | 2214 | | Pillsbury Winthrop Sha... | IPF Legal Retainer | 1011 - Legal | | 1,820.00 | 2,500.00 |
| Check | 12/24/2010 | 2248 | | Luce, Forward, Hamilt... | Nov & Dec inv applied to retainer | 1011 - Heritage - 3513 - Operating | 15,000.00 | 2,500.00 | 0.00 |
| Check | 03/04/2011 | 2287 | | Pillsbury Winthrop Sha... | 1/31/11 #6855051 | 1011 - Heritage - 3513 - Operating | 10,000.00 | | 15,000.00 |
| Check | 05/09/2012 | 2714 | | DLA Piper, LP | Retainer Fee | 1011 - Heritage - 3513 - Operating | | 15,000.00 | 10,000.00 |
| Total 1410 - Legal Retainers | | | | | | | 100,000.00 | 10,000.00 | 0.00 |
| 1415 - Prepaid Insurance/Bonds | | | | | | | | | |
| Total 1415 - Prepaid Insurance/Bonds | | | | | | | 152,512.00 | 52,512.00 | 100,000.00 |
| 1420 - Misc. Prepaids | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | | 0.00 |
| General Journal | 01/02/2010 | Avis C... | | | Trf 7/20/09 ckt#1048 pd to Avis Consulting fr ... | 1604 - Capitalized SBA License ... | 13,885.50 | | 13,885.50 |
| Check | 10/12/2010 | 101210 | | Far West Capital Ventur... | Retention for strategic advisory services | 1011 - Heritage - 3513 - Operating | 15,000.00 | 10,000.00 | 3,885.50 |
| Check | 10/22/2010 | 102110 | | Far West Capital Ventur... | Strategic Advisor Retainer | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 118,885.50 |
| General Journal | 11/01/2010 | 102110 | | Far West Capital Ventur... | Exp. 11/17/09 prepaid for Jul 2010 ad in Scots... | 6010 - Advertising & Promotion | | | 118,885.50 |
| Check | 12/14/2010 | Wire Out | | | prepaids to Far West Capital Ventures for ban... | 1011 - Heritage - 3513 - Operating | 25,000.00 | 3,885.50 | 115,000.00 |
| General Journal | 07/01/2011 | ipf ban... | | | Cash Taken out from Incorrect Account-Funda... | 1011 - Heritage - 3513 - Operating | | 140,000.00 | 0.00 |
| Check | 05/18/2012 | ACH | | Cash | Cash taken on 5/18 was returned by Feathers | 1011 - Heritage - 3513 - Operating | 303.00 | | 0.00 |
| Deposit | 05/21/2012 | ACH | | Mark Feathers | | 1011 - Heritage - 3513 - Operating | | | 0.00 |
| Total 1420 - Misc. Prepaids | | | | | | | 154,188.50 | 154,188.50 | 0.00 |
| 1430 - Prepaid Income Taxes | | | | | | | | | |
| Check | 03/19/2012 | 2475 | | Franchise Tax Board | 2012 Estimated Fee for LLC-CA IORM 3536 | 1011 - Heritage - 3513 - Operating | 2,500.00 | | 2,500.00 |
| Check | 03/19/2012 | 2474 | | Franchise Tax Board | 2012 LLC TAX VOUCHER-CA FORM 3522 | 1011 - Heritage - 3513 - Operating | 600.00 | | 3,300.00 |
| Total 1430 - Prepaid Income Taxes | | | | | | | 3,300.00 | | 3,300.00 |
| 1459 - Misc. AR | | | | | | | | | |
| Check | 01/26/2011 | EFT | | Interactive Brokers | Deposit for future IPF stock holdings | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 100,000.00 |
| Check | 05/11/2011 | 2333 | | Los Altos History Museum | deposit on facility for investor dinner | 1011 - Heritage - 3513 - Operating | 1,000.00 | | 100,000.00 |
| General Journal | 07/01/2011 | ipf ban... | | | transfer funds from interactive brokers account... | 1517 - Interactive Brokers Cash ... | | 100,000.00 | 1,000.00 |
| Deposit | 07/11/2011 | 165 | | Los Altos History Museum | -MULTIPLE | 1011 - Heritage - 3513 - Operating | | | 1,000.00 |
| General Journal | 06/18/2012 | Inter-C... | | | Premium on Aung Solving to be collected fro... | 1180 - Loan Costs | | | 80,663.15 |
| Deposit | 06/22/2012 | Transfer | | SBC Senior Commercia... | Receivable of premium on Aung Solving | 1011 - Heritage - 3513 - Operating | | | 80,663.15 |
| Total 1459 - Misc. AR | | | | | | | 181,663.15 | 182,444.00 | -780.85 |
| 1470 - Cash Surrender Value of Life In | | | | | | | | | |
| General Journal | 12/31/2010 | 2010 A... | | | Record Life Ins prems pd as due fr fund mana... | 6060 - Insurance | 23,448.00 | | 23,448.00 |
| Check | 01/20/2011 | EFT | | Mass Mutual | #0627344a-monthly premium | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 25,402.02 |
| Check | 02/22/2011 | EFT | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 27,356.04 |
| Check | 03/21/2011 | EFT | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 29,310.06 |
| Check | 04/20/2011 | ach | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 31,264.08 |
| Check | 05/20/2011 | 052011... | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 33,218.10 |
| Check | 06/20/2011 | 062011... | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 35,172.12 |
| Check | 07/20/2011 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 37,126.14 |
| Check | 08/20/2011 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 39,080.16 |
| Check | 09/20/2011 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 41,034.18 |
| Check | 10/20/2011 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 42,988.20 |
| Check | 11/21/2011 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 44,942.22 |
| Check | 12/20/2011 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 46,896.24 |
| Check | 01/20/2012 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 48,850.26 |
| Check | 02/20/2012 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 50,804.28 |
| Check | 03/20/2012 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 52,758.30 |
| Check | 04/20/2012 | ach | | Mass Mutual | MASSMUTUAL LIFE MASSMUTUAL 0627344... | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 54,712.32 |
| Check | 05/21/2012 | ach | | Mass Mutual | May Premium | 1011 - Heritage - 3513 - Operating | 1,954.02 | | 56,666.34 |
| Total 1470 - Cash Surrender Value of Life In | | | | | | | 56,666.34 | 0.00 | 56,666.34 |
| 1490 - Prepaids and Deposits - Other | | | | | | | | | |
| Total 1490 - Prepaids and Deposits - Other | | | | | | | | | |
| Total 1400 - Prepaids and Deposits | | | | | | | | | |
| Total 1400 - Prepaids and Deposits | | | | | | | | | 0.00 |
| 1510 - Investment in SBC LLC | | | | | | | | | |
| Check | 03/21/2010 | 101 | | Small Business Capital... | Capitalization of LLC | 1051 - Lighthouse Bank MM - S... | 240,000.00 | | 240,000.00 |
| Check | 04/02/2010 | 2056 | | Small Business Capital... | Transfer funds to SBC, LLC | 1011 - Heritage - 3513 - Operating | 135,000.00 | | 375,000.00 |
| Check | 04/02/2010 | 1523 | | Small Business Capital... | Capital Contribution - SBC License | 1011 - Heritage - 3513 - Operating | 150,000.00 | | 525,000.00 |
| Check | 04/05/2010 | WD | | Small Business Capital... | Capitalization of LLC - cash to purchase Cash... | 1011 - Heritage - 3513 - Operating | 1,000,000.00 | | 1,525,000.00 |
| Check | 04/05/2010 | WD | | Small Business Capital... | capital contribution to LLC | 1030 - Heritage - 3596 - Money ... | 350,000.00 | | 1,875,000.00 |
| Check | 04/06/2010 | 1521 | | Small Business Capital... | Capital investment | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 1,925,000.00 |
| Check | 06/30/2010 | Bank Trf | | Small Business Capital... | Capital Transfer from IPF (parent) to SBC LLC... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 2,025,000.00 |
| Check | 08/24/2010 | 2200 | | Small Business Capital... | Trf to fund SBA loans | 1011 - Heritage - 3513 - Operating | 200,000.00 | | 2,225,000.00 |
| Check | 08/31/2010 | 2202 | | Small Business Capital... | Trf funds for loan funding | 1011 - Heritage - 3513 - Operating | 200,000.00 | | 2,425,000.00 |
| Check | 09/10/2010 | 3131 | | Small Business Capital... | Trf to fund SBA loans | 1011 - Heritage - 3513 - Operating | 200,000.00 | | 2,625,000.00 |
| Check | 09/29/2010 | Transfer | | SB Capital LLC | Trf Funds for loan funding | 1020 - Torrey Pines 7590 - Dist... | 500,000.00 | | 3,125,000.00 |
| Check | 12/28/2010 | 122810 | | SB Capital LLC | Trf to SBC LLC #5984 to fund Bragato loan | 1011 - Heritage - 3513 - Operating | 250,000.00 | | 3,375,000.00 |
| General Journal | 12/31/2010 | 2010 A... | | Small Business Capital... | Cap Contrib Trf to SBC LLC to fund Bragato loan | 1030 - Heritage - 3596 - Money ... | 1,000,000.00 | | 4,375,000.00 |
| General Journal | 12/31/2010 | 2010 A... | | | Correctly Record Distributions received from S... | 1030 - Heritage - 3596 - Money ... | 800,000.00 | | 5,175,000.00 |
| General Journal | 12/31/2010 | 2010 A... | | | Record 2010 Net Income from SBC, LLC | 7110 - Subsid. Inc. - Loan Premi... | | | 5,100,000.00 |
| Check | 01/31/2011 | SBC LLC... | | | Transfer fr #3596 to SBC LLC to match f... | -SPLIT- | 458,139.00 | 555,711.91 | 5,544,288.09 |
| General Journal | 04/05/2011 | abc llc i... | | Small Business Capital... | Record 1ST QUARTER 2011 Net Income fr... | 1030 - Heritage - 3596 - Money ... | 12.00 | | 5,544,288.09 |
| Check | 04/30/2011 | abc llc i... | | Small Business Capital... | increase investment in SBC LLC for Pennins... | 7110 - Subsid. Inc. - Loan Premi... | 600,000.00 | | 6,144,288.09 |
| General Journal | 05/20/2011 | 052011... | | Small Business Capital... | Record April 2011 Net Income from SBC, LLC | 1011 - Heritage - 3513 - Operating | 400,000.00 | | 6,544,288.09 |
| General Journal | 06/30/2011 | abc llc i... | | Small Business Capital... | Record May 2011 Net Income from SBC, LLC | 1011 - Heritage - 3513 - Operating | 47,201.92 | | 6,613,138.01 |
| General Journal | 07/31/2011 | abc llc i... | | Small Business Capital... | Record June 2011 Net Income from SBC, LLC | 1011 - Heritage - 3513 - Operating | 31,848.71 | | 6,644,986.72 |
| General Journal | 08/31/2011 | mgr int ... | | | Record July 2011 Net Income from SBC, LLC | 7100 - Subsidiary Distributions | 261,143.02 | | 6,906,129.74 |
| General Journal | 09/30/2011 | sub inc ... | | | Record Aug 2011 Net Income from SBC, LLC | 7100 - Subsidiary Distributions | 2,762.74 | | 6,908,892.48 |
| General Journal | 10/31/2011 | rev svc... | | | -MULTIPLE- | 7100 - Subsidiary Distributions | 22,942.59 | | 7,031,835.07 |
| Check | 12/28/2011 | rev svc... | | | Record Oct 2011 Net Income from SBC, LLC | -SPLIT- | 149,782.60 | | 7,181,617.67 |
| Check | 12/30/2011 | | | | Record Oct 2011 Net Income from SBC, LLC | 7100 - Subsidiary Distributions | 68,970.29 | | 7,250,587.96 |
| General Journal | 12/31/2011 | subinc i... | | Small Business Capital... | 219582 HERITAGE DIRECT ONLINE TRANS... | 1011 - Heritage - 3513 - Operating | 500,000.00 | 11,685.45 | 7,750,587.96 |
| General Journal | 01/31/2012 | subinc i... | | Small Business Capital... | 652588 HERITAGE DIRECT ONLINE TRANS... | 1030 - Heritage - 3596 - Money ... | 1,500,000.00 | | 9,250,587.96 |
| General Journal | 02/29/2012 | SBC, L... | | | Record Dec 2011 Net Income from SBC, LLC | 7100 - Subsidiary Distributions | 324,301.10 | | 9,574,889.06 |
| General Journal | 03/31/2012 | SBC, L... | | | February 2012 Adjustment to Interest in SBC, LLC | 7100 - Subsidiary Distributions | 188,653.01 | | 9,763,542.07 |
| General Journal | 04/30/2012 | SBC, L... | | | March 2012 Adjustment to Interest in SBC, LLC | 7100 - Subsidiary Distributions | 48,812.07 | | 9,812,354.14 |
| | | | | | April 2012 Adjustment to Interest in SBC, LLC | 7100 - Subsidiary Distributions | 259,800.59 | | 10,072,154.73 |

[illegible]

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-------------|-----|------------|---|------------------------------------|------------|------------|-------------|
| 1652 - Accum Amort-Restruct Costs | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 22,415.00 | -22,415.00 |
| General Journal | 01/31/2010 | M/E AJ... | | | Jan 10 Amort - Restruct Costs | 1651 - Accum Amort-Org Costs | 861.66 | | -23,276.66 |
| General Journal | 02/28/2010 | M/E AJ... | | | Feb 10 Amort - Restruct Costs | 1651 - Accum Amort-Org Costs | 861.66 | | -24,138.32 |
| General Journal | 03/31/2010 | M/E AJ... | | | Mar 10 Amort - Restruct Costs | 1651 - Accum Amort-Org Costs | 861.66 | | -24,999.98 |
| General Journal | 04/30/2010 | M/E AJ... | | | Apr 10 Amort - Restruct Costs | 1651 - Accum Amort-Org Costs | 861.66 | | -25,861.64 |
| General Journal | 05/31/2010 | M/E AJ... | | | May 10 Amort - Restruct Costs | 1651 - Accum Amort-Org Costs | 861.66 | | -26,723.30 |
| General Journal | 06/30/2010 | M/E AJ... | | | Jun 10 Amort - Restruct Costs | 1651 - Accum Amort-Org Costs | 861.66 | | -27,584.96 |
| General Journal | 07/31/2010 | M/E AJ... | | | Jul 10 Amort - Restruct Costs | 8020 - Amortization Expense | 861.66 | | -28,446.62 |
| General Journal | 08/31/2010 | M/E AJ... | | | Aug 10 Amort - Restruct Costs | 8020 - Amortization Expense | 861.66 | | -29,308.28 |
| General Journal | 09/30/2010 | M/E AJ... | | | Sep 10 Amort - Restruct Costs | 8020 - Amortization Expense | 861.66 | | -30,169.94 |
| General Journal | 10/31/2010 | Amort | | | Oct 10 Amort of Restruct costs | 8020 - Amortization Expense | 861.66 | | -31,031.60 |
| General Journal | 11/30/2010 | Nov A... | | | Nov 10 Amort of Restruct Costs | 8020 - Amortization Expense | 861.66 | | -31,893.26 |
| General Journal | 12/31/2010 | Amort | | | Dec 10 Amort of Restruct Costs | 8020 - Amortization Expense | 861.66 | | -32,754.92 |
| General Journal | 12/31/2010 | 2010_A... | | | To reverse excess amortization expense recor... | 8020 - Amortization Expense | 1,153.00 | | -31,601.92 |
| General Journal | 01/31/2011 | Amort | | | Re-class Amort between Rest & Org AD | 1651 - Accum Amort-Org Costs | 544.00 | | -31,057.92 |
| General Journal | 02/28/2011 | Amort | | | Jan 2011 Amortization of Restruct. Costs | 8020 - Amortization Expense | | 861.66 | -31,919.58 |
| General Journal | 03/31/2011 | Amort | | | Feb 2011 Amort of Restruct. Costs | 8020 - Amortization Expense | | 861.66 | -32,781.24 |
| General Journal | 04/28/2011 | Amort | | | March 2011 Amortization Expense | 8020 - Amortization Expense | | 861.66 | -33,642.90 |
| General Journal | 05/31/2011 | Amort | | | April Amortization expense | 8020 - Amortization Expense | | 861.66 | -34,504.56 |
| General Journal | 06/30/2011 | AMORT | | | May Amortization expense | 8020 - Amortization Expense | | 861.66 | -35,366.22 |
| General Journal | 07/31/2011 | amort... | | | June Amortization expense | 8020 - Amortization Expense | | 861.66 | -36,227.88 |
| General Journal | 08/31/2011 | amort | | | gross up for July amortization | 8020 - Amortization Expense | | 861.66 | -37,089.54 |
| General Journal | 09/30/2011 | amort | | | gross up for Aug amortization | 8020 - Amortization Expense | | 861.66 | -37,951.20 |
| General Journal | 10/31/2011 | amort | | | gross up for Sept amortization | 8020 - Amortization Expense | | 861.66 | -38,812.86 |
| General Journal | 11/30/2011 | amort | | | gross up for Oct amortization | 8020 - Amortization Expense | | 861.66 | -39,674.52 |
| General Journal | 12/31/2011 | amort | | | gross up for Nov amortization | 8020 - Amortization Expense | | 861.66 | -40,536.18 |
| General Journal | 01/31/2012 | amort | | | gross up for Dec/Nov amortization | 8020 - Amortization Expense | | 861.66 | -41,397.84 |
| General Journal | 02/28/2012 | amort | | | gross up for January & Feb amortization | 8020 - Amortization Expense | 1,723.32 | | -43,121.16 |
| General Journal | 03/31/2012 | amort | | | gross up for March amortization | 8020 - Amortization Expense | | 861.66 | -43,982.82 |
| General Journal | 04/30/2012 | amort | | | gross up for March amortization | 8020 - Amortization Expense | | 861.66 | -44,844.48 |
| General Journal | 05/31/2012 | amort | | | gross up for May amortization | 8020 - Amortization Expense | | 861.66 | -45,706.14 |
| Total 1652 - Accum Amort-Restruct Costs | | | | | | | 1,697.00 | 47,403.14 | -45,706.14 |
| 1653 - Accum Amort-Synd Costs | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 38,681.00 | -38,681.00 |
| General Journal | 01/31/2010 | M/E AJ... | | | Jan 10 Amort - Synd Costs | 1651 - Accum Amort-Org Costs | 4,999.97 | | -43,680.97 |
| General Journal | 02/28/2010 | M/E AJ... | | | Feb 10 Amort - Synd Costs | 8020 - Amortization Expense | 2,083.33 | | -45,764.30 |
| General Journal | 03/31/2010 | M/E AJ... | | | Mar 10 Amort - Synd Costs | 1651 - Accum Amort-Org Costs | 4,999.97 | | -50,764.27 |
| General Journal | 04/30/2010 | M/E AJ... | | | Apr 10 Amort - Synd Costs | 8020 - Amortization Expense | 3,749.99 | | -54,514.26 |
| General Journal | 05/31/2010 | M/E AJ... | | | May 10 Amort - Synd Costs | 1651 - Accum Amort-Org Costs | 4,999.97 | | -59,514.23 |
| General Journal | 06/30/2010 | M/E AJ... | | | Jun 10 Amort - Synd Costs | 8020 - Amortization Expense | 4,166.65 | | -63,680.88 |
| General Journal | 07/31/2010 | M/E AJ... | | | Jul 10 Amort - Synd Costs | 1651 - Accum Amort-Org Costs | 4,999.97 | | -68,680.85 |
| General Journal | 08/31/2010 | M/E AJ... | | | Aug 10 Amort - Synd Costs ply | 8020 - Amortization Expense | 4,999.97 | | -73,680.82 |
| General Journal | 09/30/2010 | M/E AJ... | | | Sep 10 Amort - Synd Costs ply | 1651 - Accum Amort-Org Costs | 4,999.97 | | -78,680.79 |
| General Journal | 10/31/2010 | Amort | | | Oct 10 Amort of Synd Costs @ 9/30/10 | 8020 - Amortization Expense | 4,999.97 | | -83,680.76 |
| General Journal | 11/30/2010 | Nov A... | | | Nov 10 Amort of Synd Costs | 8020 - Amortization Expense | 4,999.97 | | -88,680.73 |
| General Journal | 12/31/2010 | 2010_A... | | | Dec 10 Amort of Synd Costs | 8020 - Amortization Expense | 4,999.97 | | -93,680.70 |
| General Journal | 01/31/2011 | Amort | | | To Remove Syndication fees & related occur... | 1250 - LT Rec - Fund Mgrs Org... | 108,680.61 | | -108,680.61 |
| General Journal | 02/28/2011 | Amort | | | Jan 2011 Amortization of 2009 Synd Costs | 8020 - Amortization Expense | 0.00 | | 0.00 |
| General Journal | 03/31/2011 | Amort | | | Feb 2011 Amort of Synd. Costs | 8020 - Amortization Expense | 0.00 | | 0.00 |
| General Journal | 03/31/2011 | Amort | | | March 2011 Amortization Expense | 8020 - Amortization Expense | 0.00 | | 0.00 |
| Total 1653 - Accum Amort-Synd Costs | | | | | | | 108,680.61 | 108,680.61 | 0.00 |
| 1654 - Accum Amort-SBA License Costs | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 4,040.00 | -4,040.00 |
| General Journal | 01/31/2010 | M/E AJ... | | | Jan 10 Amort - License Fees | 1651 - Accum Amort-Org Costs | 2,624.97 | | -6,664.97 |
| General Journal | 02/28/2010 | M/E AJ... | | | Feb 10 Amort - License Fees | 8020 - Amortization Expense | 166.66 | | -6,831.63 |
| General Journal | 03/31/2010 | M/E AJ... | | | Mar 10 Amort - License Fees | 1651 - Accum Amort-Org Costs | 2,624.97 | | -9,456.60 |
| General Journal | 04/30/2010 | M/E AJ... | | | Apr 10 Amort - License Fees | 8020 - Amortization Expense | 166.66 | | -9,623.26 |
| General Journal | 05/31/2010 | M/E AJ... | | | May 10 Amort - License Fees | 1651 - Accum Amort-Org Costs | 2,624.97 | | -12,248.23 |
| General Journal | 06/30/2010 | M/E AJ... | | | Jun 10 Amort - License Fees | 1651 - Accum Amort-Org Costs | 2,624.97 | | -14,873.20 |
| General Journal | 07/31/2010 | M/E AJ... | | | Jul 10 Amort - SBA Lic Costs | 1651 - Accum Amort-Org Costs | 2,624.97 | | -17,498.17 |
| General Journal | 08/30/2010 | SBA R... | | | SBA Lic Costs moved to SBC LLC | 8020 - Amortization Expense | 20,123.14 | | -20,123.14 |
| Total 1654 - Accum Amort-SBA License Costs | | | | | | | 20,123.14 | 20,123.14 | 0.00 |
| 1655 - Accum Amort - Cap of LLC | | | | | | | | | |
| Total 1655 - Accum Amort - Cap of LLC | | | | | | | | | |
| 1600 - Other Assets - Other | | | | | | | | | 0.00 |
| Total 1600 - Other Assets | | | | | | | | | 0.00 |
| 1999 - Suspense Account - Asset | | | | | | | 700,107.84 | 694,144.08 | 5,963.86 |
| Check | | | | | | | | | |
| 1999 - Suspense Account - Asset | | | | | | | 0.00 | 0.00 | 0.00 |
| Total 1999 - Suspense Account - Asset | | | | | | | 0.00 | 0.00 | 0.00 |
| 20000 - *Accounts Payable | | | | | | | | | |
| Total 20000 - *Accounts Payable | | | | | | | | | |
| 2000 - Accounts Payable | | | | | | | | | 0.00 |
| 2010 - Distributions Payable | | | | | | | | | |
| General Journal | 04/30/2011 | adv ex... | | | to gross up for April Distribution Payable - 103... | 3030 - Distributions | 0.00 | | 0.00 |
| General Journal | 05/31/2011 | dist pay... | | | to gross up for June Distribution Payable - 110... | 3030 - Distributions | | 110,867.07 | -110,867.07 |
| General Journal | 06/30/2011 | rev dist... | | | to gross up for July Distribution Payable - 111... | 3030 - Distributions | 112,124.78 | | -112,124.78 |
| General Journal | 07/31/2011 | dist pay... | | | to gross up for July Distribution Payable - 112... | 3030 - Distributions | 112,124.78 | | -112,124.78 |
| General Journal | 08/31/2011 | rev dist... | | | to gross up for July Distribution Payable - 128... | 3030 - Distributions | 128,209.69 | | -128,209.69 |
| Total 2010 - Distributions Payable | | | | | | | 351,201.52 | 351,201.52 | 0.00 |
| 2020 - Due to Borrowers | | | | | | | | | |
| Total 2020 - Due to Borrowers | | | | | | | | | |
| 2030 - Due to Manager | | | | | | | | | |
| Total 2030 - Due to Manager | | | | | | | | | |
| 2090 - Due to SB Capital | | | | | | | | | 0.00 |
| General Journal | 01/18/2010 | #30001... | | | #30001 Loan Int in Trust in Recog | 4010 - Loan Interest Income | 3,528.78 | | 3,528.78 |
| Deposit | 01/19/2010 | 1098 | | SB Capital | Loan Act #30001 Sauer Fam Trust | 1011 - Heritage - 3513 - Operating | | 3,528.78 | 0.00 |
| Total 2090 - Due to SB Capital | | | | | | | 3,528.78 | 3,528.78 | 0.00 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--|------------|------------|-----|----------------------------|---|------------------------------------|--------------|--------------|---------------|
| 2000 - Accounts Payable - Other | | | | | | | | | |
| Total 2000 - Accounts Payable - Other | | | | | | | | | 0.00 |
| Total 2000 - Accounts Payable | | | | | | | 354,730.30 | 354,730.30 | 0.00 |
| 2100 - Accrued Expenses | | | | | | | | | |
| Total 2100 - Accrued Expenses | | | | | | | | | 0.00 |
| 2200 - LOC / Notes Payable | | | | | | | | | |
| 2210 - LOC - Heritage Bank | | | | | | | | | |
| General Journal | 09/28/2010 | LOC Fr... | | | Proceeds fr Heritage LOC | 1030 - Heritage - 3596 - Money ... | | 500,000.00 | -500,000.00 |
| Check | 01/14/2011 | EFT | | Heritage Bank of Comm... | Payoff LOC - TO LOAN # 7140000100 | 1030 - Heritage - 3596 - Money ... | 500,000.00 | | 0.00 |
| Total 2210 - LOC - Heritage Bank | | | | | | | 500,000.00 | 500,000.00 | 0.00 |
| 2200 - LOC / Notes Payable - Other | | | | | | | | | |
| Total 2200 - LOC / Notes Payable - Other | | | | | | | | | 0.00 |
| Total 2200 - LOC / Notes Payable | | | | | | | 500,000.00 | 500,000.00 | 0.00 |
| 2300 - Income Taxes Payable | | | | | | | | | |
| General Journal | 12/31/2010 | 2010_A... | | | To properly record Tax Provision | 9020 - LLC Fees & Franchise T... | | 5,200.00 | -5,200.00 |
| Check | 03/16/2011 | 2297 | | Franchise Tax Board | 2011 Form 3522 SOS#200513910348 | 1010 - Wells Fargo - 6093 - Ope... | 800.00 | | -4,400.00 |
| Check | 03/16/2011 | 2298 | | Franchise Tax Board | VOID: | 1011 - Heritage - 3513 - Operating | 0.00 | | -4,400.00 |
| Check | 03/16/2011 | 2299 | | Franchise Tax Board | VOID: | 1011 - Heritage - 3513 - Operating | 0.00 | | -4,400.00 |
| Check | 03/22/2011 | 2301 | | Franchise Tax Board | 2010 Form 3588 SOS#200513910348 | 1011 - Heritage - 3513 - Operating | 2,500.00 | | -1,800.00 |
| Check | 03/22/2011 | 2302 | | Franchise Tax Board | 2011 Form 3538 SOS#200513910348 | 1011 - Heritage - 3513 - Operating | 1,900.00 | | 0.00 |
| Total 2300 - Income Taxes Payable | | | | | | | 5,200.00 | 5,200.00 | 0.00 |
| 24000 - Payroll Liabilities | | | | | | | | | |
| Total 24000 - Payroll Liabilities | | | | | | | | | 0.00 |
| 2500 - Other Liabilities | | | | | | | | | |
| 2510 - Misc. Other Liabilities | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | 497.95 | | 497.95 |
| General Journal | 12/31/2009 | Openin... | | Lillian Re | Outstanding 10/31/09 chg#58 | 1010 - Wells Fargo - 6093 - Ope... | | 487.29 | 10.68 |
| General Journal | 01/01/2010 | W/O s... | | | W/O small balance in Other Liab Act | 6800 - Misc. Expense | | 10.68 | 0.00 |
| General Journal | 06/30/2010 | Doss-#... | | | Doss Legal inv #64180 thru Jun 10 | 6101 - Legal | 2,598.73 | | -2,598.73 |
| General Journal | 06/30/2010 | Doss-#... | | | Doss Legal matter-0001 thru Jun 10 | 6101 - Legal | 3,887.50 | | -6,486.23 |
| General Journal | 08/30/2010 | Doss-#... | | | Doss Legal matter#0004 thru Jun 10 | 6101 - Legal | 1,237.50 | | -7,723.73 |
| General Journal | 08/30/2010 | Doss L... | | | Doss #64601 Matter #0004 | 6101 - Legal | 137.31 | | -7,861.04 |
| Check | 09/18/2010 | 2197 | | SB Capital Corp. | Matter #0001 | 1011 - Heritage - 3513 - Operating | 3,887.50 | | -3,873.54 |
| General Journal | 09/07/2010 | Doss L... | | | Doss #64862 Matter #0004 | 6101 - Legal | | 707.84 | -4,581.38 |
| General Journal | 09/30/2010 | Doss L... | | | Doss #64960 Matter #0004 Sept chgs | 6101 - Legal | | 635.98 | -5,217.04 |
| General Journal | 09/30/2010 | OS Chgs | | | 4 Bros appraisal payable | 1153 - Fund Loan Expense Adv... | | 3,500.00 | -8,617.04 |
| General Journal | 11/05/2010 | Legal A... | | | 11/5/10 inv#65227 Oct legal Exp Matter 2895... | 6101 - Legal | | 1,387.23 | -10,204.27 |
| Check | 12/08/2010 | 2240 | | Doss Law, A Law Corpo... | -MULTIPLE- | 1011 - Heritage - 3513 - Operating | 6,817.82 | | -3,388.45 |
| General Journal | 12/08/2010 | Doss Fi... | | | Doss Matter 0002 fin charges for inv pd on 12/... | 6505 - Bank Fees and Service ... | | 113.55 | -3,500.00 |
| General Journal | 12/31/2010 | 4 Bros ... | | | Liab for 4 Bros pd 4/20/10 cld#1522 | 5035 - Appraisal Fees | 3,500.00 | | 0.00 |
| Total 2510 - Misc. Other Liabilities | | | | | | | 14,703.27 | 14,703.27 | 0.00 |
| 2520 - Unearned Prepaid Interest | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 49,295.84 | -49,295.84 |
| General Journal | 01/01/2010 | 01/10 A... | | | VOID: King 50 pre-paid interest 01/01/10 pmt | 4010 - Loan Interest Income | 0.00 | | -49,295.84 |
| Check | 01/19/2010 | 1071 | | SB Capital | BAIG Loan prepaid interest | 1011 - Heritage - 3513 - Operating | 46,775.00 | | -2,520.84 |
| General Journal | 01/31/2010 | #68424... | | | Loan#684243 recognize earned interest | 4010 - Loan Interest Income | 1,420.14 | | -1,100.70 |
| General Journal | 01/31/2010 | W/O s... | | | W/O small calculation difference in unearned ... | 5060 - Lender Services Costs | 0.69 | | -1,100.01 |
| General Journal | 02/01/2010 | 02/10 A... | | | King 50 pre-pd int - 02/01/10 loan pmt | 4010 - Loan Interest Income | 1,100.01 | | 0.00 |
| Total 2520 - Unearned Prepaid Interest | | | | | | | 49,295.84 | 49,295.84 | 0.00 |
| 2590 - Income Taxes Payable | | | | | | | | | |
| Total 2590 - Income Taxes Payable | | | | | | | | | 0.00 |
| 2500 - Other Liabilities - Other | | | | | | | | | |
| Total 2500 - Other Liabilities - Other | | | | | | | | | 0.00 |
| Total 2500 - Other Liabilities | | | | | | | 63,999.11 | 63,999.11 | 0.00 |
| 3000 - Members Equity | | | | | | | | | |
| 3010 - Contributions | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 5,502,911.00 | -5,502,911.00 |
| General Journal | 12/31/2009 | Contrib... | | | Class 2009 Y/E bal into Retained Members Eq... | 3599 - Retained Members Equity | 5,502,911.00 | | 0.00 |
| General Journal | 01/01/2010 | Neg S... | | | 12/31/09 Neg Accounts due to K-1 Adj-Contrib ... | 1250 - LT Rec - Fund Mgrs Org... | | 13,545.54 | -13,545.54 |
| Deposit | 01/05/2010 | 1310 | | Bernard & Helen Rubin | ck fr Bernard Rubin TR #0963-ACH | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -38,545.54 |
| Deposit | 01/05/2010 | | | -MULTIPLE- | Contribution | 1010 - Wells Fargo - 6093 - Ope... | 170,000.00 | | -208,545.54 |
| Deposit | 01/06/2010 | 218 | | Tsz-Kin Kwok | ck fr Tsz-Kin Kwok #962-ACH | 1011 - Heritage - 3513 - Operating | 30,000.00 | | -238,545.54 |
| Deposit | 01/07/2010 | 249 | | Herbert Hoglan | Hoglan Act#0960-ACH | 1011 - Heritage - 3513 - Operating | 41,796.39 | | -280,341.93 |
| Deposit | 01/15/2010 | 657767... | | Stephan Hengstler | Stephan Hengstler Act#0873-ACH | 1011 - Heritage - 3513 - Operating | 11,996.39 | | -292,341.93 |
| Deposit | 01/15/2010 | PETER... | | Elise M. Napoli | Elise Napoli Act #0868-CHK | 1010 - Wells Fargo - 6093 - Ope... | 5,000.00 | | -287,341.93 |
| Deposit | 01/22/2010 | 597 | | Kao Family 1990 Trust | Kao Act#1009 | 1011 - Heritage - 3513 - Operating | 100,000.00 | | -387,341.93 |
| Deposit | 02/08/2010 | 266 | | Michael & Marie Felix | LeFelix Ventures | 1011 - Heritage - 3513 - Operating | 45,000.00 | | -442,341.93 |
| Deposit | 02/11/2010 | 1431 | | Martha N. Ferode | Deposit | 1011 - Heritage - 3513 - Operating | 5,000.00 | | -447,341.93 |
| Deposit | 02/12/2010 | 343 | | Jeffrey D & Marian K Ur... | one unit | 1011 - Heritage - 3513 - Operating | 5,000.00 | | -452,341.93 |
| Deposit | 02/16/2010 | 744207... | | Stephan Hengstler | Act #0873 | 1011 - Heritage - 3513 - Operating | 10,000.00 | | -462,341.93 |
| Deposit | 02/23/2010 | 6672 | | Gloriana & Peter Chumo | added to IPF | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -512,341.93 |
| Deposit | 02/24/2010 | 1390 | | SB Capital | Chumo act-new act bonus pd by SB Capital | 1011 - Heritage - 3513 - Operating | 500.00 | | -512,841.93 |
| Deposit | 02/25/2010 | 1480 | | Vahid Sotoudeh | Kayvon's investment | 1011 - Heritage - 3513 - Operating | 3,000.00 | | -515,841.93 |
| Deposit | 02/25/2010 | 1479 | | Vahid Sotoudeh | Chaoudy's investment | 1011 - Heritage - 3513 - Operating | 3,000.00 | | -518,841.93 |
| Deposit | 03/01/2010 | 32821 | | Sepideh Sotoudeh | ck fr IRA Services IRA act#123274 on 2/26/10 | 1011 - Heritage - 3513 - Operating | 12,018.27 | | -530,860.20 |
| Deposit | 03/08/2010 | 1003 | | Sahara Damon | Investment for Sahara | 1011 - Heritage - 3513 - Operating | 5,000.00 | | -535,860.20 |
| Deposit | 03/08/2010 | 1003 | | Bradley K. Damon | Investment for Bradley | 1011 - Heritage - 3513 - Operating | 5,000.00 | | -540,860.20 |
| Deposit | 03/08/2010 | 2661 | | Judith Zaratan | Deposit | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -565,860.20 |
| Deposit | 03/08/2010 | 1001 | | Edward Minasian | Investment | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -615,860.20 |
| Deposit | 03/12/2010 | 331950... | | stephen Blair | Deposit | 1011 - Heritage - 3513 - Operating | 35,000.00 | | -650,860.20 |
| Deposit | 03/15/2010 | 121 | | William Wakeman | Deposit | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -675,860.20 |
| Deposit | 03/22/2010 | 2668 | | Judith Peterson | Act 1014 deposit | 1011 - Heritage - 3513 - Operating | 75,000.00 | | -750,860.20 |
| Deposit | 03/24/2010 | 33576 | | Judith Peterson | ck fr IRA Services act#IRA 151921 3/19/10 | 1011 - Heritage - 3513 - Operating | 5,000.00 | | -755,860.20 |
| Deposit | 03/25/2010 | 230 | | Tsz-Kin Kwok | Act #962-ACH | 1011 - Heritage - 3513 - Operating | 100,000.00 | | -855,860.20 |
| Deposit | 03/28/2010 | 108383... | | -MULTIPLE- | 50% to 1011, 50% to 1012 | 1011 - Heritage - 3513 - Operating | 60,000.00 | | -915,860.20 |
| Deposit | 04/01/2010 | 2052 | | SB Capital | Judith Peterson #1019-CHK IRA Svc Fee | 1011 - Heritage - 3513 - Operating | 1,600.00 | | -917,460.20 |
| Deposit | 04/01/2010 | 2050 | | SB Capital | Robert Morris #0914-Add Act bonus | 1011 - Heritage - 3513 - Operating | 195.00 | | -917,655.20 |
| Deposit | 04/01/2010 | 2051 | | SB Capital | Pete Peterson #0980-ACH Add Act bonus | 1011 - Heritage - 3513 - Operating | 500.00 | | -918,155.20 |
| General Journal | 04/01/2010 | Neg Acts | | | -MULTIPLE- | 1250 - LT Rec - Fund Mgrs Org... | | 500.00 | -918,655.20 |
| General Journal | 04/01/2010 | Sotoudeh | | Sotoudeh Family 2003 ... | Sotoudeh trf to #1011 & 1012 fr #993 | 3040 - Withdrawals | | 623,134.70 | -295,520.50 |
| Deposit | 04/06/2010 | 1112 | | Pete Peterson | Add#1 Contribution Act#0980-ACH | 1011 - Heritage - 3513 - Operating | 1,600.00 | | -296,120.50 |
| Deposit | 04/07/2010 | 311017... | | Judith Abd | Initial Contribution Act#1020 | 1011 - Heritage - 3513 - Operating | 8,500.00 | | -304,620.50 |
| General Journal | 04/07/2010 | 1438 | | Martha N. Ferode | Deposit | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -354,620.50 |
| General Journal | 04/08/2010 | LL Res... | | | LL Resv to SH reversed | 1180 - Fund Loans Loss Reserve | 7,000.00 | | -361,620.50 |
| Deposit | 04/15/2010 | 102 | | Lipovac Family Trust | Petel Account investment contribution | 1011 - Heritage - 3513 - Operating | 5,763.11 | | -367,383.61 |
| Deposit | 04/18/2010 | 1616 | | Naghmech Sarkeshik | investment | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -417,383.61 |
| Deposit | 04/18/2010 | 2076 | | SB Capital Corp. | Referral Fee dep to S. Hengstler IPF#0873-ACH | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -467,383.61 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|-----------------------------|---|------------------------------------|----------|--------------|---------------|
| Deposit | 04/22/2010 | 2672 | | Judith Zaratan | Add1 contribution act #1014 | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -1,102,497.81 |
| Deposit | 04/22/2010 | 34406 | | IRA Services FBO Soto... | Initial Contribution Act#1023 | 1011 · Heritage - 3513 - Operating | | 34,136.66 | -1,136,634.47 |
| Deposit | 04/23/2010 | 108532... | | Vahid Sotoudeh, FBO C... | Add1 Contribution to act#1011 | 1011 · Heritage - 3513 - Operating | | 2,000.00 | -1,138,634.47 |
| Deposit | 04/23/2010 | 204 | | John & Lena King | Add1 contribution to act#876 | 1011 · Heritage - 3513 - Operating | | 40,000.00 | -1,178,634.47 |
| Deposit | 04/25/2010 | 108538... | | SQ2 LC | Add1 contrib for SBC PF #0126-4/29/10 cld#2... | 1011 · Heritage - 3513 - Operating | | 2,000.00 | -1,180,634.47 |
| Deposit | 04/27/2010 | 101 | | Huazhen Deng | Initial contribution - act#1024-ACH | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,205,634.47 |
| Deposit | 04/27/2010 | 2631 | | Russell L. Varenkamp Jr. | Add1 contribution - act#0889-ACH | 1011 · Heritage - 3513 - Operating | | 99,870.00 | -1,305,504.47 |
| Deposit | 04/27/2010 | 373 | | Philip J. & Joyce D. Bach | Add1 contribution to act#0885-CHK | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,330,504.47 |
| Deposit | 04/27/2010 | 134 | | Tin Thi To | Initial Contribution to act #1025-ACH | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,355,504.47 |
| Deposit | 04/27/2010 | 1186 | | Lillian Re | Add1 Contribution to act#1025-ACH | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,380,504.47 |
| Deposit | 04/27/2010 | 1103 | | Kim Nhoem Nguyen Liv ... | Initial Contrib - IPF Act#1025-ACH | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,405,504.47 |
| Deposit | 04/29/2010 | 311035... | | Marchman Survivor Trust | Add1 contribution to act #0994-CHK | 1011 · Heritage - 3513 - Operating | | 200,000.00 | -1,605,504.47 |
| Deposit | 04/29/2010 | 100083... | | Marchman Survivor Trust | Add1 contribution to act#0994-CHK | 1011 · Heritage - 3513 - Operating | | 200,000.00 | -1,805,504.47 |
| Check | 04/29/2010 | 2093 | | SBC Portfolio Fund, LLC | Transfer Sotoudeh Act#1026 deposit to SBC PF | 1011 · Heritage - 3513 - Operating | 2,000.00 | | -1,803,504.47 |
| Deposit | 04/30/2010 | 367 | | Willard E. Fee, Jr. | Initial Contribution | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -1,703,504.47 |
| Deposit | 04/30/2010 | 2101 | | SB Capital Corp. | Transfer Sotoudeh Act#1026 deposit to SBC PF | 1011 · Heritage - 3513 - Operating | | 900.00 | -1,704,404.47 |
| Deposit | 04/30/2010 | 2104 | | Lilian Re | Act#0973 referral fee add1 contribution | 1011 · Heritage - 3513 - Operating | | 250.00 | -1,704,654.47 |
| Deposit | 04/30/2010 | 2103 | | SB Capital Corp. | Bach act#0985 referral fee add1 contribution | 1011 · Heritage - 3513 - Operating | | 250.00 | -1,704,904.47 |
| Deposit | 04/30/2010 | 2102 | | SB Capital Corp. | Kowk Act#0982 referral fee add1 contribution | 1011 · Heritage - 3513 - Operating | | 250.00 | -1,705,154.47 |
| Deposit | 04/30/2010 | 1426 | | Nathalie F. Gross | Add1 Contribution Act#0978 | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -1,735,154.47 |
| General Journal | 04/30/2010 | Rv 08 ... | | Wang L. | Fund Mgr Contribs to reverse 08 K1 Adj for rem... | 1250 · LT Rec - Fund Mgrs Org... | | 52,640.71 | -1,787,795.18 |
| General Journal | 04/30/2010 | LL Rev... | | LL Rev... | TR amended for Wang act - shows as Contrib... | 1250 · LT Rec - Fund Mgrs Org... | | 1,084.04 | -1,788,879.18 |
| Deposit | 05/03/2010 | 251 | | Tez-Kin Kwok | LL Reversal contributed by Mgr | 3040 · Withdrawals | | 60,000.00 | -1,848,879.18 |
| Deposit | 05/06/2010 | 2114 | | SB Capital Corp. | Add1 Contrib - Act#0962-ACH | 1011 · Heritage - 3513 - Operating | | 133.97 | -1,849,013.15 |
| Deposit | 05/06/2010 | 171 | | Beetleson Family Trust | Pete Petersen #0980-ACH Mgr Contribution | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -1,859,013.15 |
| Deposit | 05/07/2010 | 2127 | | SB Capital Corp. | Add1 Contribution - Act#0831 | 1011 · Heritage - 3513 - Operating | | 300.00 | -1,860,313.15 |
| Deposit | 05/10/2010 | 34892 | | Syd Rainier | Nathalie Gross - Mgr contrib bonus for 1% of a... | 1011 · Heritage - 3513 - Operating | | 50,040.02 | -1,910,353.17 |
| Deposit | 05/11/2010 | 234 | | Tez-Kin Kwok | Add1 Contrib - Act#0945 | 1011 · Heritage - 3513 - Operating | | 838.88 | -1,911,192.05 |
| Deposit | 05/14/2010 | 363 | | Edwin A. Laurence Trust | Add1 Contribution - Act#0962-ACH | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -1,961,192.05 |
| Deposit | 05/17/2010 | 2098 | | Lindner Family Trust | Additional Contribution Act#1015 | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -1,976,192.05 |
| Deposit | 05/24/2010 | 156 | | Martha N. Ferde | Add1 Contrib - Act#0853 Martha N Ferde | 1011 · Heritage - 3513 - Operating | | 2,000.00 | -1,978,192.05 |
| Deposit | 05/24/2010 | 200334... | | Frank E. Allen | Add1 Contrib - Act#0919 Add1 Contribution | 1011 · Heritage - 3513 - Operating | | 45,000.00 | -2,023,192.05 |
| Deposit | 06/02/2010 | 1715 | | Floyd J. and Annetta J. ... | Initial Contribution Act#1028 | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -2,073,192.05 |
| Deposit | 06/03/2010 | 1133 | | Watson Family Trust | Initial Contribution - IPF Act#1030-ACH | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,098,192.05 |
| Deposit | 06/03/2010 | 2059 | | Michael K. Griswold | Initial Contribution - IPF Act#1029 | 1011 · Heritage - 3513 - Operating | | 200,000.00 | -2,298,192.05 |
| Deposit | 06/04/2010 | 2710 | | Bonnie Denison | Initial C ontribution - SBC PF Act#1031 | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,323,192.05 |
| Deposit | 06/07/2010 | 1012 | | Frances M. Sheng Trust | Additional Contrib - Act#087-CHK | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -2,324,192.05 |
| Deposit | 06/07/2010 | 311888... | | Judith Abel | Add1 Contrib - Act#1020 | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -2,424,192.05 |
| Deposit | 06/07/2010 | 2166 | | SB Capital Corp. | SB Capital Charitable Contrib to IPF#1008 Th... | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -2,425,192.05 |
| Deposit | 06/08/2010 | 608 | | Kao Family 1890 Trust | Act#1009 add1 contrib from Karen & William ... | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -2,525,192.05 |
| Deposit | 06/08/2010 | 2167 | | SB Capital Corp. | Mgr Referral Fee Contrib to act#1020 for contrib... | 1011 · Heritage - 3513 - Operating | | 300.00 | -2,525,492.05 |
| Deposit | 06/09/2010 | 2168 | | SB Capital Corp. | Mgr Referral Fee Contrib to act#1009 for contrib... | 1011 · Heritage - 3513 - Operating | | 500.00 | -2,525,992.05 |
| Deposit | 06/09/2010 | 4291 | | Gladys D. McDowell | Gladys McDowell Initial Contrib-Act#1030-CHK | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -2,555,992.05 |
| Deposit | 06/09/2010 | 2169 | | SB Capital Corp. | Mgr Referral Fee deposited to McDowell Trust ... | 1011 · Heritage - 3513 - Operating | | 300.00 | -2,556,292.05 |
| Deposit | 06/15/2010 | 1219 | | Lillian Re | Lilian Re additional contribution #0973-CHK | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,566,292.05 |
| Deposit | 06/15/2010 | 2955 | | Elise M. Napoli | Elise Napoli act#0988-CHK Add1 Contribution | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,566,392.05 |
| Deposit | 06/15/2010 | 2176 | | SB Capital Corp. | Fund Mgr 1% of Contrib referral - Elise Napoli ... | 1011 · Heritage - 3513 - Operating | | 2,632,145.09 | -2,568,027.05 |
| Deposit | 06/15/2010 | 2175 | | SB Capital Corp. | Fund Mgr 1% of Contrib referral - Re act#0973... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,568,277.05 |
| Deposit | 06/15/2010 | 2176 | | SB Capital Corp. | Mgrs Referral Contribs to act#974 for contrib to ... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,593,277.05 |
| Deposit | 06/16/2010 | unknown | | Pingyu & Carolyn Liu | Pingyu Liu initial contribution IPF Act#1035 | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -2,693,277.05 |
| Deposit | 06/21/2010 | 1100 | | Elizabeth Cehovec | Elizabeth Cehovec initial contrib-Act#1033-CHK | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,718,277.05 |
| Deposit | 06/22/2010 | 1023 | | Mau Ying Tsang | Initial Contribution - Mau Ying Tsang Act#1034... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,718,527.05 |
| Deposit | 06/22/2010 | 2180 | | SB Capital | Mgr Referral Contrib to act#1008 for contrib new #10... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,718,777.05 |
| Deposit | 06/28/2010 | 311288... | | Ke Rui Lei and Yi Qun ... | New act#1036 Ke Rui Lei and Yi Qun Zhou ... | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -2,818,777.05 |
| Deposit | 06/29/2010 | 274 | | Tu D. or Hoen N. Tu | #0981 Add1 Contrib Tu D. Vu | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -2,823,777.05 |
| Deposit | 07/08/2010 | 2688 | | Judith Zaratan | #1014 Judith Zaratan #1014 add1 contrib | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -2,853,777.05 |
| Deposit | 07/07/2010 | 1001 | | Gregory & Ann Fisher | Gregory & Ann Fisher act#1037-ACH initial co... | 1011 · Heritage - 3513 - Operating | | 50.00 | -2,853,827.05 |
| Deposit | 07/07/2010 | 1003 | | SB Capital Corp. | Mgr Referral Contrib to act#0982 | 1011 · Heritage - 3513 - Operating | | 1,100.00 | -2,854,927.05 |
| Deposit | 07/09/2010 | 1459 | | Sin Revocable Trust | Add1 Contrib #0992 Sin Revocable Trust | 1011 · Heritage - 3513 - Operating | | 40,000.00 | -2,894,927.05 |
| Deposit | 07/09/2010 | 709 | | Martha N. Ferde | Martha Ferde act#853 add1 contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,919,927.05 |
| Deposit | 07/13/2010 | 1151 | | Edward Minasian | #1017 Add1 Contrib Edward Minasian | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,944,927.05 |
| Deposit | 07/14/2010 | 284 | | Dan L. Silva | #0964 Add1 Contrib Dan Silva | 1011 · Heritage - 3513 - Operating | | 18,832.00 | -2,963,759.05 |
| Deposit | 07/14/2010 | 2242 | | Le Felix Ventures | #0952-ACH Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 400.00 | -2,964,159.05 |
| Deposit | 07/15/2010 | 2246 | | SB Capital Brokers Trus... | #1017 Minasian Mgr Referral Bonus | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,964,409.05 |
| Deposit | 07/15/2010 | 150 | | Andre Luthard | #0967 Jane Luthard-Mgr Referral Bonus | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,989,409.05 |
| Deposit | 07/16/2010 | 522 | | Daniel & Katherine Lau... | #1038-CHK Initial Contrib-ck fr Karl Luthard | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -3,014,409.05 |
| Deposit | 07/19/2010 | 2249 | | SB Capital Corp. | #1040-ACH Initial Contribution | 1011 · Heritage - 3513 - Operating | | 250.00 | -3,014,659.05 |
| Deposit | 07/20/2010 | 2000 | | Chris Doyle | #1009 Kao-Mgr Referral Bonus | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -3,114,659.05 |
| Deposit | 07/20/2010 | 96005 | | IRA Services FBO Wauk... | #1042 Doyle-Initial Contrib | 1011 · Heritage - 3513 - Operating | | 25,890.00 | -3,140,549.05 |
| Deposit | 07/20/2010 | 1037 | | Andrew Kin Lui | #1038 Williamsman Initial Contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -3,190,549.05 |
| Deposit | 07/21/2010 | 2250 | | SB Capital Corp. | #1041 Andrew Lu-ck fr Donna Fang Lui-Initial ... | 1011 · Heritage - 3513 - Operating | | 500.00 | -3,191,049.05 |
| Deposit | 07/21/2010 | 2251 | | SB Capital Corp. | #0962-ACH Mgr Referral bonus for act#1041 | 1011 · Heritage - 3513 - Operating | | 500.00 | -3,191,549.05 |
| Deposit | 07/22/2010 | 36714 | | IRA Services FBO Sun... | #1031 Mgr Referral bonus for new #1042 Doyle | 1011 · Heritage - 3513 - Operating | | 24,674.07 | -3,216,223.05 |
| Deposit | 07/23/2010 | 1001 | | Kapoor Family Living Tr... | #1044 Mary Sung Initial Contrib | 1011 · Heritage - 3513 - Operating | | 35,000.00 | -3,251,223.05 |
| Deposit | 07/23/2010 | 102 | | Goldstein Family Trust ... | #1044-ACH Kapoor initial Contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -3,276,223.05 |
| Deposit | 07/27/2010 | 183 | | Nathalie F. Gross | #1045 Goldstein Fam Trst Initial Contrib | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -3,306,223.05 |
| Deposit | 07/27/2010 | 036770 | | IRA Services FBO Zhou... | #0978 Nathalie Gross Trust Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 27,748.24 | -3,333,971.29 |
| Deposit | 07/28/2010 | 1004 | | Ana Lucia Davidson | #1046 Zhou Initial Contrib | 1011 · Heritage - 3513 - Operating | | 471.91 | -3,334,443.20 |
| Deposit | 07/28/2010 | 551 | | Frank E. Allen Trust | #0965-ACH Ann Davidson Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 472.00 | -3,334,915.20 |
| Deposit | 07/28/2010 | 2259 | | SB Capital Corp. | #1048-ACH contrib chk made out incorrectly... | 1011 · Heritage - 3513 - Operating | | 500.00 | -3,335,415.20 |
| Deposit | 07/29/2010 | 553 | | Frank E. Allen Trust | #1048-ACH Mgr Referral Bonus | 1011 · Heritage - 3513 - Operating | | 472,000.00 | -3,807,415.20 |
| Deposit | 07/29/2010 | 1006 | | Pingyu & Carolyn Liu | #1035 Liu Additional Contrib | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -3,812,415.20 |
| Deposit | 07/30/2010 | 1038 | | Pete Petersen | #0980-ACH Peterson Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -3,822,415.20 |
| Deposit | 07/30/2010 | 1038 | | Donna Fang Lui | #1047 Donna Lui Initial Contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -3,872,415.20 |
| General Journal | 08/01/2010 | Neg Acts | | SB Capital Corp. | #1041 Mgr Referral Bonus for new #1047 | 1011 · Heritage - 3513 - Operating | | 500.00 | -3,872,915.20 |
| Deposit | 08/06/2010 | 3045 | | The Van Elstigen Famil... | #0913 Mgr Contrib for Neg closed act | 1250 · LT Rec - Fund Mgrs Org... | | 727.64 | -3,873,642.84 |
| Deposit | 08/09/2010 | 3005 | | Wahid Tadros | #1048 Initial Contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -3,898,642.84 |
| Deposit | 08/11/2010 | 2277 | | Elise M. Napoli | Wahid Tadros act#1050 Initial Contrib | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -3,903,642.84 |
| Deposit | 08/11/2010 | 2287 | | SB Capital Corp. | #0988-CHK Elise Napoli Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 50.00 | -3,903,692.84 |
| Deposit | 08/11/2010 | 1482 | | SB Capital Corp. | #1051-CHK Williams Mgr Referral Bonus for #... | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -3,918,692.84 |
| Deposit | 08/11/2010 | 2284 | | Boyao Naito | #0895 Naito Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 150.00 | -3,918,842.84 |
| Deposit | 08/12/2010 | 148 | | Randy Williams | #0895 Naito Mgr Referral Bonus for add1 cont... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -3,968,842.84 |
| Deposit | 08/12/2010 | 6537 | | Tin Thi To | Randy Williams Act#1051-CHK Initial Contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -4,018,842.84 |
| Deposit | 08/16/2010 | 2707 | | Judith Zaratan | Tin Thi To #1026 Add1 Contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -4,043,842.84 |
| Deposit | 08/16/2010 | 37364 | | IRA Services FBO Barb... | #1030-ACH Initial Contrib Lawrence Heaton | 1011 · Heritage - 3513 - Operating | | 19,000.00 | -4,062,842.84 |
| Deposit | 08/16/2010 | 2291 | | SB Capital Corp. | #1014 Add1 Contrib Judy Zaratan | 1011 · Heritage - 3513 - Operating | | 65,206.47 | -4,128,049.31 |
| Deposit | 08/17/2010 | 1003 | | SB Capital Corp. | #1053 Initial Contrib - IRA Svcs Allen Bartier | 1011 · Heritage - 3513 - Operating | | 500.00 | -4,128,549.31 |
| Deposit | 08/18/2010 | 2292 | | Edward T. Minasian | Mgr Referral Bonus to new act#1026 | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -4,178,549.31 |
| Deposit | 08/18/2010 | 3854 | | Robert A. Luenberger | #1054 Initial Contrib Edward T. Minasian | 1011 · Heritage - 3513 - Operating | | 500.00 | -4,179,049.31 |
| Deposit | 08/20/2010 | 37523 | | IRA Services FBO Davi... | Mgr Ref Bonus #1017 for new #1054-posed to... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -4,229,049.31 |

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08/18/16

Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|------------|-----|-----------------------------|--|------------------------------------|--------------|------------|---------------|
| Deposit | 12/13/2010 | 2542 | | SB Capital Corp. | #1001 Hanson Fam Trst Mgr Ref bonus for ad... | 1011 · Heritage - 3513 - Operating | | | |
| Deposit | 12/13/2010 | 2543 | | Daniel & Katherine Lau... | #1010 Daniel & K Lau additl contrib | 1011 · Heritage - 3513 - Operating | | 500.00 | -7,164,923.87 |
| Deposit | 12/14/2010 | 152 | | SB Capital Corp. | #1040 Daniel & K Lau Mgr Ref Bonus for addit... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -7,189,923.87 |
| Deposit | 12/14/2010 | 4382 | | Andre Luthard | #1038 A Luthard additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -7,190,173.87 |
| Deposit | 12/14/2010 | 1005 | | McDowell Trust dtd 06/... | #0959 McDowell Trst Additl contrib | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -7,195,173.87 |
| Deposit | 12/14/2010 | 2547 | | Goldstein Family Trust, ... | #1045 Goldstein Fam Trst additl contrib | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -7,210,173.87 |
| Deposit | 12/14/2010 | 2546 | | SB Capital Corp. | #1045 Goldstein Fam Trst additl contrib | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -7,215,173.87 |
| Deposit | 12/14/2010 | 2548 | | SB Capital Corp. | #0959 McDowell Trst Mgr Ref bonus for additl... | 1011 · Heritage - 3513 - Operating | | 50.00 | -7,215,223.87 |
| Deposit | 12/15/2010 | 224 | | Jeffrey W. Abramson | #1087 Jeff Abramson initial contrib | 1011 · Heritage - 3513 - Operating | | 150.00 | -7,215,373.87 |
| Deposit | 12/15/2010 | 1003 | | Jeffrey W. Abramson | #1086 Jeff Abramson initial contrib | 1011 · Heritage - 3513 - Operating | | 50.00 | -7,215,423.87 |
| Deposit | 12/15/2010 | 122 | | Joel W. Goodman | #1085 Joel Goodman initial contrib | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -7,315,423.87 |
| Deposit | 12/16/2010 | 154 | | Massayoshi & Sanae Ha... | #1088 Habu New Contrib | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -7,415,423.87 |
| Deposit | 12/16/2010 | 1583 | | Massayoshi & Sanae Ha... | #1087 Habu FBO Shohel initial contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -7,440,423.87 |
| Deposit | 12/16/2010 | 155 | | Massayoshi & Sanae Habu | #1087 Habu FBO Shohel initial contrib | 1011 · Heritage - 3513 - Operating | | 2,000.00 | -7,442,423.87 |
| Deposit | 12/16/2010 | 2553 | | Massayoshi & Sanae Habu | #1072 Habu Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 52,000.00 | -7,494,423.87 |
| Deposit | 12/16/2010 | 1180 | | Wason Family Trust | #1030 Wason Fam Trst additl contrib | 1011 · Heritage - 3513 - Operating | | 500.00 | -7,496,923.87 |
| Deposit | 12/16/2010 | 2551 | | Wason Family Trust | #1030 Wason Fam Trst Mgr Ref Bonus for ad... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -7,546,923.87 |
| Deposit | 12/16/2010 | 2552 | | Kapoor Family Living Tr... | #1044 Kapoor Fam Trst Additl contrib | 1011 · Heritage - 3513 - Operating | | 500.00 | -7,547,423.87 |
| Deposit | 12/16/2010 | 1018 | | Kapoor Family Living Tr... | #1044 Kapoor Fam Trst Mgr Ref Bonus for ad... | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -7,577,423.87 |
| Deposit | 12/16/2010 | 2550 | | Jeff and Jane Shen Tru... | #1059 J. J. Shen Additl contrib | 1011 · Heritage - 3513 - Operating | | 300.00 | -7,577,723.87 |
| Deposit | 12/16/2010 | 850 | | Jeff and Jane Shen Tru... | #1059 J.J. Shen Mgr Ref bonus contrib for ad... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -7,627,723.87 |
| Deposit | 12/17/2010 | 2555 | | Gladyes D. McDowell | 31032 Gladyes McDowell additl contrib | 1011 · Heritage - 3513 - Operating | | 500.00 | -7,628,223.87 |
| Deposit | 12/17/2010 | 5460 | | SB Capital Corp. | #1032 Gladyes McDowell Mgr Ref bonus for ad... | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -7,638,223.87 |
| Deposit | 12/20/2010 | 353 | | Stephan Hengstler | #0873 Stephan Hengstler additl contrib | 1011 · Heritage - 3513 - Operating | | 100.00 | -7,638,323.87 |
| Deposit | 12/20/2010 | 2561 | | Robert C. & Barbara M. ... | #1060 Robt Gilbeau Additl contrib | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -7,653,323.87 |
| Deposit | 12/20/2010 | 2562 | | SB Capital Corp. | #1060 Robt Gilbeau Mgr Ref Bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -7,663,323.87 |
| Deposit | 12/20/2010 | 14473 | | SB Capital Corp. | #0960 Hogan Mgr Ref Bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 100.00 | -7,663,423.87 |
| Deposit | 12/20/2010 | 3251 | | Rainer 2001 Living Trust | #1083 Hengstler Mgr Ref Bonus for additl cont... | 1011 · Heritage - 3513 - Operating | | 300.00 | -7,663,723.87 |
| Deposit | 12/21/2010 | 2983 | | Herbert Hogan | #1083 Rainer Liv Trst initial contrib | 1011 · Heritage - 3513 - Operating | | 150.00 | -7,663,873.87 |
| Deposit | 12/21/2010 | 4302788 | | Allan Leibof | #0950 H Hogan additl contrib | 1011 · Heritage - 3513 - Operating | | 40,000.00 | -7,703,873.87 |
| Deposit | 12/21/2010 | 105 | | Tunney 2001 Trust | #1060 A Leibof initial contrib | 1011 · Heritage - 3513 - Operating | | 3,316.00 | -7,707,189.86 |
| Deposit | 12/23/2010 | 2563 | | Lipovac Family Trust | #1082 Tunney 2001 Trst initial contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -7,732,189.86 |
| Deposit | 12/23/2010 | 2564 | | SB Capital Corp. | #1021 Lipovac Additl contrib | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -7,832,189.86 |
| Deposit | 12/23/2010 | 2565 | | SB Capital Corp. | #1021 Lipovac Mgr Ref Bonus contrib for addit... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -7,882,189.86 |
| Deposit | 12/23/2010 | 2566 | | SB Capital Corp. | #1080 Perone Mgr Ref Bonus for initial contrib | 1011 · Heritage - 3513 - Operating | | 500.00 | -7,882,689.86 |
| Deposit | 12/24/2010 | 6377 | | Gerold K. Schofield Trust | #1078 G Schofield Mgr Ref Bonus for initial co... | 1011 · Heritage - 3513 - Operating | | 250.00 | -7,882,939.86 |
| Deposit | 12/24/2010 | 42665 | | Susan B. Coffey Revoc... | #1092 Susan Coffey initial contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -7,883,189.86 |
| Deposit | 12/24/2010 | 424707 | | IRA Services FBO Zhou... | #1046 Zhou IRA Additl contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -7,933,189.86 |
| Deposit | 12/27/2010 | 311428... | | IRA Services FBO Pete... | #1019 Petersen, J. IRA Additl contrib | 1011 · Heritage - 3513 - Operating | | 14,250.00 | -7,947,439.86 |
| Deposit | 12/27/2010 | 580 | | Judith Abel | #1020 Judith Abel Additl contrib | 1011 · Heritage - 3513 - Operating | | 60,847.67 | -8,008,287.53 |
| Check | 12/28/2010 | 2249 | | Slocum Family Trust | #0949 Slocum Family Trust Additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -8,018,287.53 |
| Deposit | 12/28/2010 | 1151 | | SB Capital Corp. | #0980 Refund of overpd Mgr Ref Bonus | 1011 · Heritage - 3513 - Operating | 250.00 | 25,000.00 | -8,043,287.53 |
| Deposit | 12/28/2010 | 2573 | | Frances M. Sheng Trust | #0887 Sheng Trst Additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -8,068,287.53 |
| Deposit | 12/28/2010 | 2574 | | SB Capital Brokers Trus... | #1020 Judith Abel Trst Mgr Ref Bonus for addit... | 1011 · Heritage - 3513 - Operating | | 100.00 | -8,067,937.63 |
| Deposit | 12/30/2010 | 2583 | | SB Capital Brokers Trus... | #0949 Slocum Fam Trst Mgr Ref Bonus for addit... | 1011 · Heritage - 3513 - Operating | | 250.00 | -8,068,187.63 |
| Deposit | 12/31/2010 | 2588 | | SB Capital Brokers Trus... | #0987 F Sheng Trst Mgr Ref bonus for additl c... | 1011 · Heritage - 3513 - Operating | | 250.00 | -8,068,437.63 |
| Deposit | 12/31/2010 | 2587 | | SB Capital Corp. | #1019 IRA FBO Petersen, Judith Mgr Ref bon... | 1011 · Heritage - 3513 - Operating | | 500.00 | -8,068,937.63 |
| General Journal | 12/31/2010 | Adj to ... | | SB Capital Corp. | #1046 IRA FBO Zhou Mgr Ref Bonus for additl... | 1011 · Heritage - 3513 - Operating | | 142.50 | -8,069,080.13 |
| General Journal | 01/01/2011 | 2599 | | Adj to ... | Re-class to tie to ABS - Amt imaterial | SP | | | |
| Deposit | 01/07/2011 | 2599 | | SB Capital Corp. | Close 2010 Contributions into Retained Equity | 3599 · Retained Members Equity | 4,540.61 | | -8,064,539.52 |
| Deposit | 01/10/2011 | 43251 | | ira Services FBO Van S... | #1090 Leibof Mgr Ref Bonus | 1011 · Heritage - 3513 - Operating | 8,064,539.52 | | 0.00 |
| Deposit | 01/11/2011 | 2153 | | Barbara Bushae | #1083 IRA FBO Van Senten initial contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -250.00 |
| Deposit | 01/11/2011 | 6378 | | Susan B. Coffey Revoc... | #1084 B. Bushae initial contrib | 1011 · Heritage - 3513 - Operating | | 122,000.00 | -122,250.00 |
| Deposit | 01/11/2011 | 1453 | | Rainer 2001 Living Trust | #1092 Coffee Additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -147,250.00 |
| Deposit | 01/12/2011 | 2601 | | SB Capital Corp. | #1089 Rainer additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -157,250.00 |
| Deposit | 01/12/2011 | 523350... | | SB Capital Corp. | #1088 Rainer Mgr Ref Bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -182,250.00 |
| Deposit | 01/12/2011 | 2602 | | W. Ronald Raackner | #0908 W Raackner additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -182,500.00 |
| Deposit | 01/12/2011 | 43354 | | Charles Poulter | #0908 W Raackner Mgr Ref Bonus for additl co... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -232,500.00 |
| Deposit | 01/13/2011 | 449 | | Sukumar & Shamila Mu... | #1095 Poulter additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -232,750.00 |
| Deposit | 01/14/2011 | 2611 | | SB Capital Corp. | #1096 S Mukherji Roy Trst Initial Contrib | 1011 · Heritage - 3513 - Operating | | 28,132.41 | -260,822.41 |
| Deposit | 01/14/2011 | 2612 | | SB Capital Corp. | #1085 Abramson Mgr Ref Bonus for initial cont... | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -290,822.41 |
| Deposit | 01/14/2011 | 2613 | | SB Capital Corp. | #1002 Poulter Mgr Ref Bonus per MF request | 1011 · Heritage - 3513 - Operating | | 250.00 | -291,132.41 |
| Deposit | 01/19/2011 | 1007 | | Goldstein Family Trust, ... | #1091 Tunney Mgr Ref bonus for initial contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -291,382.41 |
| Deposit | 01/19/2011 | 2619 | | SB Capital Corp. | #1045 Goldstein Additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -291,632.41 |
| Deposit | 01/21/2011 | 514061... | | Zaheda Shaikh | #1045 Goldstein Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -316,632.41 |
| Deposit | 01/21/2011 | 182 | | SB Capital Corp. | #0991 Additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -316,882.41 |
| Deposit | 01/24/2011 | 2633 | | Fanny Fung-Yi Pan | #0991 Shaikh Mgr Ref Bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 17,570.00 | -334,452.41 |
| Deposit | 01/24/2011 | 354 | | SB Capital Corp. | #1057 Fanny Fung-Yi Pan Additl contrib | 1011 · Heritage - 3513 - Operating | | 175.70 | -334,628.11 |
| Deposit | 01/24/2011 | 2632 | | Robert C. & Barbara M. ... | #1057 Fanny Fung-Yi Pan Mgr Ref bonus for additl co... | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -349,628.11 |
| Deposit | 01/24/2011 | 5495 | | SB Capital Corp. | #1065 R&B Gilbeau Additl contrib | 1011 · Heritage - 3513 - Operating | | 150.00 | -349,778.11 |
| Deposit | 01/24/2011 | 6414 | | SB Capital Corp. | #1066 R&B Gilbeau Mgr Ref bonus for additl c... | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -359,778.11 |
| Deposit | 01/25/2011 | 242 | | Chris & Glenda Beratis | #1097 Beratis initial contrib | 1011 · Heritage - 3513 - Operating | | 100.00 | -359,878.11 |
| Deposit | 01/25/2011 | 2668 | | Susan B. Coffey Revoc... | #1092 S Coffey Trst Additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -384,878.11 |
| Deposit | 01/26/2011 | 6899 | | Beetlestone Family Trust | #0331 Beetlestone additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -394,878.11 |
| Deposit | 01/27/2011 | 1505 | | R. Peter Griffith DDS | #0331 Beetlestone additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -404,878.11 |
| Deposit | 01/27/2011 | 2675 | | Christiana G. Schandra | #1098 Initial Contrib | 1011 · Heritage - 3513 - Operating | | 100.00 | -404,978.11 |
| Deposit | 01/31/2011 | 583 | | SB Capital Corp. | #1058 Schandra Additl contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -454,978.11 |
| Deposit | 01/31/2011 | 2678 | | Slocum Family Trust | #1058 Schandra Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -479,978.11 |
| Deposit | 02/01/2011 | 430877... | | Chris Doyle | #0949 Slocum Additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -480,228.11 |
| Deposit | 02/03/2011 | 118 | | Thiagarajan Tangavelu ... | #1042 Chris Doyle additl contrib | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -500,228.11 |
| Deposit | 02/04/2011 | 1035 | | Edward T. Minasian | #1054 Ed T Minasian additl contrib | 1011 · Heritage - 3513 - Operating | | 200.00 | -500,428.11 |
| Deposit | 02/07/2011 | 2694 | | Huzhen Deng | #1024 H. Deng Additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -510,428.11 |
| Deposit | 02/07/2011 | 430859... | | Pingyu & Carolyn Liu | #1024 H. Deng Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -535,428.11 |
| Deposit | 02/07/2011 | 2690 | | Randall & Maile William... | #1035 P & C. Liu additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -535,678.11 |
| Deposit | 02/07/2011 | 2691 | | SB Capital Corp. | #1051 P & C. Liu Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -560,678.11 |
| Deposit | 02/07/2011 | 2689 | | SB Capital Corp. | #1051 R & M Williams Mgr Ref bonus for vario... | 1011 · Heritage - 3513 - Operating | | 250.00 | -560,928.11 |
| Deposit | 02/08/2011 | 2695 | | SB Capital Corp. | #1051 R Williams Mgr bonus for #1084 IRA Va... | 1011 · Heritage - 3513 - Operating | | 3,000.00 | -563,928.11 |
| Deposit | 02/09/2011 | 1202 | | Wason Family Trust | #1052 Tangavelu/Periasamy Mgr Ref bonus for... | 1011 · Heritage - 3513 - Operating | | 250.00 | -564,178.11 |
| Deposit | 02/10/2011 | 2698 | | SB Capital Corp. | #1030 Wason Fam Additl contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -564,428.11 |
| Deposit | 02/10/2011 | 98 | | Rhona Leibof | #1030 Wason Fam Mgr Ref Bonus for additl c... | 1011 · Heritage - 3513 - Operating | | 77.00 | -564,505.16 |
| Deposit | 02/11/2011 | 2701 | | SB Capital Corp. | #1039 R Leibof initial contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -589,505.16 |
| Deposit | 02/11/2011 | 2703 | | SB Capital Corp. | #1069 R Leibof Mgr Ref bonus for initial contrib | 1011 · Heritage - 3513 - Operating | | 250.00 | -589,755.16 |
| Deposit | 02/14/2011 | 311643... | | Judith Abel | #1054 E.T. Minasian Mgr Ref bonus for additl c... | 1011 · Heritage - 3513 - Operating | | 250.00 | -590,005.16 |
| Deposit | 02/16/2011 | 2704 | | SB Capital Corp. | #1020 J Abel Additl contrib | 1011 · Heritage - 3513 - Operating | | 100.00 | -590,105.16 |
| Deposit | 02/17/2011 | 2750 | | Jeffrey W. Abramson | #1020 J Abel Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -595,105.16 |
| Deposit | 02/17/2011 | 111 | | SB Capital Corp. | #1014 Zaratian Trst Additl contrib | 1011 · Heritage - 3513 - Operating | | 50.00 | -595,155.16 |
| Deposit | 02/17/2011 | 2710 | | SB Capital Corp. | #1086 J. Abramson Additl contrib | 1011 · Heritage - 3513 - Operating | | 2,000.00 | -597,155.16 |
| Deposit | 02/17/2011 | 44321 | | IRA Services FBO Kurt ... | #1086 J. Abramson Additl contrib | 1011 · Heritage - 3513 - Operating | | 200,000.00 | -599,155.16 |
| Deposit | 02/18/2011 | 2723 | | SB Capital Corp. | #1101 IRA FBO Kurt Rat... | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -599,155.16 |
| Deposit | 02/22/2011 | 5319 | | Saul Levin | #1014 Zaratian Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 24,700.00 | -623,855.16 |
| Deposit | 02/22/2011 | 6629 | | Lawrence A. & | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|-----------|-----|----------------------------|--|------------------------------------|-------|------------|---------------|
| Deposit | 02/28/2011 | 2727 | | SB Capital Corp. | #0690 S Levin Mgr Ref Bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -1,106,875.16 |
| Deposit | 02/28/2011 | 2736 | | SB Capital Corp. | #0653 M. Ferede Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 20.00 | -1,106,895.16 |
| Deposit | 02/28/2011 | 2735 | | SB Capital Corp. | #1004 Chumo Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 400.00 | -1,107,295.16 |
| Deposit | 02/28/2011 | 2735 | | SB Capital Corp. | #0621-OA · Barben Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 150.00 | -1,107,445.16 |
| Deposit | 02/28/2011 | 2728 | | SB Capital Corp. | #1032 G.McDowell Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 50.00 | -1,107,495.16 |
| Deposit | 03/01/2011 | 311688... | | Stephanie Dao | #1052 L&F Heston Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 100.00 | -1,107,595.16 |
| Deposit | 03/03/2011 | 2776 | | Charlotte Wu | #1073 S Dao additl contrib | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -1,137,595.16 |
| Deposit | 03/03/2011 | 139 | | Ka Rui Lei and Yi Qun ... | #1104 Charlotte Wu initial contrib | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -1,167,595.16 |
| Deposit | 03/03/2011 | 1577 | | Richard & Linda Benson | #1036 K Lei & Yi Zhou additl contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,192,595.16 |
| Deposit | 03/04/2011 | 548 | | Orlando & Tony Escobar | #1103 Richard & Linda Benson initial contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,217,595.16 |
| Deposit | 03/07/2011 | 147 | | Michael & Catherine Co. | #110 Orlando & Tony Escobar initial contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -1,267,595.16 |
| Deposit | 03/08/2011 | 1017 | | Ron Labetch Co Profit ... | #106 Michael & Catherine Connors initial contrib | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -1,367,595.16 |
| Deposit | 03/08/2011 | 2747 | | SB Capital Corp. | #1107 Ron Labetch initial contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -1,417,595.16 |
| Deposit | 03/09/2011 | 2753 | | SB Capital Corp. | #1108 M&C Connors Mgr Ref bonus for initial ... | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -1,418,595.16 |
| Deposit | 03/09/2011 | 1209 | | Wason Family Trust | #1010 Wason Fam Trst additl contrib | 1011 · Heritage - 3513 - Operating | | 500.00 | -1,419,095.16 |
| Deposit | 03/09/2011 | 2751 | | SB Capital Corp. | #1036 K Lei & Yi Zhou Mgr ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -1,519,095.16 |
| Deposit | 03/09/2011 | 2750 | | SB Capital Corp. | #1105 C & T Escobar Mgr Ref bonus for initial ... | 1011 · Heritage - 3513 - Operating | | 500.00 | -1,519,595.16 |
| Deposit | 03/09/2011 | 2749 | | SB Capital Corp. | #1073 S Dao Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 300.00 | -1,520,145.16 |
| Deposit | 03/12/2011 | 2754 | | SB Capital Corp. | #1030 Wason Fam Trst Mgr Ref bonus for additl contrib | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -1,521,145.16 |
| Deposit | 03/11/2011 | 102 | | Victor Armbrust Living ... | #1048 Victor Armbrust initial contrib | 1011 · Heritage - 3513 - Operating | | 250,000.00 | -1,771,145.16 |
| Deposit | 03/11/2011 | 2755 | | SB Capital Corp. | #1108 Victor Armbrust Mgr Ref bonus for initial ... | 1011 · Heritage - 3513 - Operating | | 2,500.00 | -1,773,645.16 |
| Deposit | 03/14/2011 | 106011... | | Chris T. Mobanta | #1109 Chris Mobanta initial contrib | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,798,645.16 |
| Deposit | 03/14/2011 | 106011... | | Chris T. Mobanta | #1109 Chris Mobanta initial contribution 2nd ck | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -1,848,645.16 |
| Deposit | 03/15/2011 | 45171 | | IRA Services FBO Davi... | #0946 IRA FBO Victor McPhun additl contrib | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -1,853,645.16 |
| Deposit | 03/21/2011 | 45331 | | IRA Services FBO Davi... | #1055 IRA FBO Davis, Shirley Additl contrib | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -1,903,645.16 |
| Deposit | 03/22/2011 | 2782 | | SB Capital Corp. | #1055 IRA FBO Shirley Davis - Mgr Ref bonu... | 1011 · Heritage - 3513 - Operating | | 500.00 | -1,904,145.16 |
| Deposit | 03/23/2011 | 8906 | | R. Peter Griffith DDS | #1088 Peter Griffith additl contrib | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -1,914,145.16 |
| Deposit | 03/23/2011 | 2755 | | Judith Zaratan | #1014 J Zaratan for additl contrib | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -1,915,145.16 |
| Deposit | 03/28/2011 | 1033 | | Monique Bjornal | Acct 0975 - Bjornal - added contribution | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -1,965,145.16 |
| Deposit | 03/29/2011 | 158 | | Donald Dong | Acct 1110 - Donald Dong - opening contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -1,990,145.16 |
| Deposit | 03/30/2011 | 1501 | | IRA Services FBO Soto... | Acct 1083 - added contribution | 1011 · Heritage - 3513 - Operating | | 2,500.00 | -1,992,645.16 |
| Deposit | 03/30/2011 | 45828 | | Anders Bjorkman | Acct 1023 - added contribution | 1011 · Heritage - 3513 - Operating | | 5,897.16 | -1,998,542.32 |
| Deposit | 03/31/2011 | 1362 | | SB Capital Corp. | Acct #1111 - initial contribution | 1011 · Heritage - 3513 - Operating | | 35,000.00 | -2,033,542.32 |
| Deposit | 03/31/2011 | 2789 | | SB Capital Corp. | #1014 Zaratan Mgr Ref bonus for additional c... | 1011 · Heritage - 3513 - Operating | | 10.00 | -2,033,552.32 |
| Deposit | 03/31/2011 | 2780 | | SB Capital Corp. | #1098 Griffith Mgr Ref bonus for additional c... | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,033,652.32 |
| Deposit | 03/31/2011 | 2781 | | SB Capital Corp. | #0975 Donald Mgr Ref bonus for additional c... | 1011 · Heritage - 3513 - Operating | | 500.00 | -2,034,152.32 |
| Deposit | 03/31/2011 | 2793 | | SB Capital Corp. | #1111 Bjorkman Mgr Ref bonus for new contrib | 1011 · Heritage - 3513 - Operating | | 350.00 | -2,034,502.32 |
| Deposit | 03/31/2011 | 2794 | | SB Capital Corp. | #0853 Ferede Mgr Ref bonus for additional c... | 1011 · Heritage - 3513 - Operating | | 25.00 | -2,034,527.32 |
| Deposit | 04/01/2011 | 1538 | | Frank J. Napoli | #0974 FRANK NAPOLI ADDED CONTRIBUTI... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,059,527.32 |
| Deposit | 04/04/2011 | 311453... | | Judith Abel | #1020 J. ABEL ADDED CONTRIBUTION | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,069,527.32 |
| Deposit | 04/05/2011 | 2836 | | Sepideh Sotoudeh | #1013 IRA Services - Sepideh Sotoudeh adde... | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -2,074,527.32 |
| Deposit | 04/06/2011 | 2837 | | SB Capital Corp. | #1048 IRA FBO Mgr Ref bonus for additional c... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,074,777.32 |
| Deposit | 04/06/2011 | 2842 | | SB Capital Corp. | #1020 JUDITH ABEL Mgr Ref bonus for additl... | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,074,877.32 |
| Deposit | 04/06/2011 | 46078 | | IRA Services FBO Zhou... | #1048 ZHAO Mgr Ref bonus for additional c... | 1011 · Heritage - 3513 - Operating | | 60.00 | -2,074,937.32 |
| Deposit | 04/11/2011 | 1129 | | Conrad G. Bergman | #1048 IRA SERVICES - ZHAO ADDED CONT... | 1011 · Heritage - 3513 - Operating | | 6,000.00 | -2,080,937.32 |
| Deposit | 04/11/2011 | 070005... | | Pingyu Li | #1112 Conrad Bergman - initial contribution | 1011 · Heritage - 3513 - Operating | | 40,000.00 | -2,120,937.32 |
| Deposit | 04/11/2011 | 0120 | | Lillian Re | #1035 Pingyu Liu added contribution | 1011 · Heritage - 3513 - Operating | | 34,838.29 | -2,155,775.61 |
| Deposit | 04/12/2011 | 587 | | Stocum Family Trust | #0973 Lillian Re added contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,180,775.61 |
| Deposit | 04/12/2011 | 2845 | | SB Capital Corp. | #0948 Stocum Family Trust added contribution | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -2,195,775.61 |
| Deposit | 04/12/2011 | 2846 | | SB Capital Corp. | #0973 Lillian Re Mgr Ref bonus for additional ... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,196,025.61 |
| Deposit | 04/12/2011 | 1184 | | Frances M. Sheng Trust | #1035 Pingyu Liu Mgr Ref bonus for additional... | 1011 · Heritage - 3513 - Operating | | 348.38 | -2,196,474.97 |
| Deposit | 04/15/2011 | 1185 | | Pete Peterson | #0967 Frances Sheng Trust added contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,221,474.97 |
| Deposit | 04/15/2011 | 3117 | | James VanBlarigan | #0980 contribution - Pete Peterson | 1011 · Heritage - 3513 - Operating | | 8,901.00 | -2,230,375.97 |
| Deposit | 04/15/2011 | 158 | | Nocera Rev. Sep. Prop... | #1049 - Van Blarigan added contribution | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -2,281,375.97 |
| Deposit | 04/18/2011 | 2866 | | SB Capital Corp. | #1113 - Nocera Revocable Separate Property ... | 1011 · Heritage - 3513 - Operating | | 150.00 | -2,281,525.97 |
| Deposit | 04/18/2011 | 2867 | | SB Capital Corp. | #0949 Stocum Family Trust Mgr Ref bonus for... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,281,775.97 |
| Deposit | 04/18/2011 | 2868 | | SB Capital Corp. | #0967 Frances Sheng Trust Mgr Ref bonus for... | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -2,282,775.97 |
| Deposit | 04/18/2011 | 1023 | | Krishnapa Family Trust | #1049 The Van Blarigan Trust Mgr Ref bonus f... | 1011 · Heritage - 3513 - Operating | | 98.00 | -2,282,873.97 |
| Deposit | 04/19/2011 | 2883 | | SB Capital Corp. | #0980 Pete Peterson Mgr Ref bonus for additl... | 1011 · Heritage - 3513 - Operating | | 75,000.00 | -2,357,873.97 |
| Deposit | 04/19/2011 | 181 | | David Rushia | #1114 Krishnapa Family Trust - initial contrib... | 1011 · Heritage - 3513 - Operating | | 500.00 | -2,358,373.97 |
| Deposit | 04/19/2011 | 601 | | Edward Minasian | #1020 Judith Abel added contribution | 1011 · Heritage - 3513 - Operating | | 50.00 | -2,358,423.97 |
| Deposit | 04/20/2011 | 46650 | | IRA Services FBO Davi... | #1114 Krishnapa Family Trust Mgr Ref Bonus | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,383,423.97 |
| Deposit | 04/22/2011 | 558 | | Robert C. & Barbara M. ... | #1020 Judith Abel Mgr Ref Bonus | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -2,388,423.97 |
| Deposit | 04/22/2011 | 2903 | | SB Capital Corp. | #1113 Rushia initial contribution | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,398,423.97 |
| Deposit | 04/22/2011 | 2904 | | SB Capital Corp. | #1017 Minasian Contribution | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,408,423.97 |
| Deposit | 04/22/2011 | 2905 | | SB Capital Corp. | #1116 Linders Initial Contribution | 1011 · Heritage - 3513 - Operating | | 5,700.00 | -2,414,123.97 |
| Deposit | 04/22/2011 | 1024 | | Krishnapa Family Trust | #1068 Gibeau Added Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,439,123.97 |
| Deposit | 04/22/2011 | 2918 | | The Mark Bingham Fou... | #1117 La Roque initial contribution | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -2,489,123.97 |
| Deposit | 04/28/2011 | 2917 | | SB Capital Corp. | #1017 Minasian - Mgr Ref Bonus | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,489,223.97 |
| Deposit | 04/29/2011 | 8817 | | R. Peter Griffith DDS | #1066 Gibeau - Mgr Ref Bonus | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,489,473.97 |
| Deposit | 05/02/2011 | 2767 | | Judith Zaratan | #1116 IRA FBO David Linders | 1011 · Heritage - 3513 - Operating | | 57.00 | -2,489,530.97 |
| Deposit | 05/03/2011 | 2822 | | SB Capital Corp. | #1114 Krishnapa added contribution | 1011 · Heritage - 3513 - Operating | | 75,000.00 | -2,564,530.97 |
| Deposit | 05/05/2011 | 47127 | | IRA Services FBO Muk... | #1008 The Mark Bingham Foundation - added ... | 1011 · Heritage - 3513 - Operating | | 228.00 | -2,564,758.97 |
| Deposit | 05/08/2011 | 2944 | | SB Capital Corp. | #1108 The Mark Bingham Foundation - mgr ref... | 1011 · Heritage - 3513 - Operating | | 2.28 | -2,564,761.25 |
| Deposit | 05/08/2011 | 108 | | Huazhen Deng | #1114 Krishnapa family trust mgr referral bon... | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,574,761.25 |
| Deposit | 05/08/2011 | 2818 | | Howard Gottlieb | #1098 Peter Griffith DDS - additional contribut... | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -2,575,761.25 |
| Deposit | 05/09/2011 | 2949 | | SB Capital Corp. | #1014 ZARATAN ADDED CONTRIBUTION | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,575,861.25 |
| Deposit | 05/09/2011 | 2950 | | SB Capital Corp. | #1068 Peter Griffith - manager referral bonus | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,585,861.25 |
| Deposit | 05/09/2011 | 1024 | | Jeff and Jane Shen Tru... | #1119 IRA Services - Sukumar Mukherji | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -2,635,861.25 |
| Deposit | 05/09/2011 | 157 | | Andre Luthard | #1024 - Huazhen Deng - added contribution | 1011 · Heritage - 3513 - Operating | | 15,000.00 | -2,650,861.25 |
| Deposit | 05/09/2011 | 4850 | | Gilmora Family Trust | #1120 Howard Gottlieb - initial contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,675,861.25 |
| Deposit | 05/09/2011 | 6525 | | Susan B. Coffey Revoc... | #1024 Deng manager referral bonus | 1011 · Heritage - 3513 - Operating | | 150.00 | -2,676,011.25 |
| Deposit | 05/10/2011 | 2958 | | SB Capital Corp. | #1119 IRA Services - Mukherji manager referr... | 1011 · Heritage - 3513 - Operating | | 500.00 | -2,676,511.25 |
| Deposit | 05/10/2011 | 2957 | | SB Capital Corp. | #1092 Susan Coffey Trust - added contribution | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,686,511.25 |
| Deposit | 05/10/2011 | 2956 | | SB Capital Corp. | #1062 Coffey mgr referral bonus | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,686,611.25 |
| Deposit | 05/10/2011 | 201 | | Fanny Fung-Yi Pan | #1038 Luthard mgr referral bonus | 1011 · Heritage - 3513 - Operating | | 500.00 | -2,687,111.25 |
| Deposit | 05/10/2011 | 1004 | | Kapoor Family Living Tr... | #1059 Shen Trust - mgr referral bonus | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,697,111.25 |
| Deposit | 05/12/2011 | 47321 | | IRA Services FBO Dam... | #1057 Fanny Pan added contribution | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -2,747,111.25 |
| Deposit | 05/12/2011 | 47324 | | IRA Services FBO Pod... | #1122 IRA Services FBO: Damen, Donald L. - ... | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -2,847,111.25 |
| Deposit | 05/12/2011 | 2968 | | SB Capital Corp. | #1085 IRA Services FBO Charles Poulter | 1011 · Heritage - 3513 - Operating | | 6,000.00 | -2,853,111.25 |
| Deposit | 05/13/2011 | | | RB Williams Inc, Definc... | #1057 Pan manager referral bonus | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,853,211.25 |
| Deposit | 05/17/2011 | 2874 | | SB Capital Corp. | #1118-ACH - RANDY WILLIAMS DEFINED BE... | 1011 · Heritage - 3513 - Operating | | 200,000.00 | -3,053,211.25 |
| Deposit | 05/17/2011 | 2985 | | SB Capital Corp. | #1044 Kapoor Family Trust - Manager Referr... | 1011 · Heritage - 3513 - Operating | | 500.00 | -3,053,711.25 |
| Deposit | 05/20/2011 | | | Pingyu & Carolyn Liu | #1094 IRA Services FBO Charles Poulter - mgr... | 1011 · Heritage - 3513 - Operating | | 60.00 | -3,053,771.25 |
| Deposit | 05/23/2011 | 311 | | John J. McCarthy | #1035 Pingyu Liu - added contribution | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -3,073,771.25 |
| Deposit | 05/23/2011 | 0854 | | The Amo Family Trust | #1124 John McCarthy - initial Contribution | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -3,173,771.25 |
| Deposit | 05/24/2011 | 2998 | | SB Capital Corp. | #1123 The Amo Family Trust - initial contribut... | 1011 · Heritage - 3513 - Operating | | 750.00 | -3,174,521.25 |
| Deposit | 05/24/2011 | 2995 | | SB Capital Corp. | #1124 John McCarthy mgr referral bonus | 1011 · Heritage - 3513 - Operating | | 200.00 | -3,174,721.25 |
| Deposit | 05/25/2011 | #91 | | The Patel Family Trust | #1035 Pingyu Liu mgr referral bonus | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -3,175,221.25 |
| Deposit | 05/31/2011 | 8820 | | R. Peter Griffith DDS | #1125 The Patel Family Trust - initial contribut... | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -3,185,221.25 |
| Deposit | 05/31/2011 | 3002 | | SB Capital Brokers Tru... | #1098 Peter Griffith - added | | | | |

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Accrual Basis

Investors Prime Fund

General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|---|--|------------------------------------|-------|------------|---------------|
| General Journal | 06/01/2011 | TransA... | | | | | | | |
| Deposit | 06/03/2011 | 2781 | | Judith Zaratan | transfer Sung children accounts to family trust | 3040 - Withdrawals | | 6,314.73 | -3,514,945.76 |
| Deposit | 06/03/2011 | wire | | #1014 Judith Zaratan - added contribution | | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -3,515,945.76 |
| Deposit | 06/06/2011 | 4223 | | William F. Moison | #1050 Wahid Tadros - added contribution | 1011 - Heritage - 3513 - Operating | | 200,000.00 | -3,715,945.76 |
| Deposit | 06/06/2011 | 926 | | Gladya D. McDowell | #1032 Gladys McDowell - added contribution | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -3,815,945.76 |
| Deposit | 06/07/2011 | 3046 | | SB Capital Corp. | #0948 Victor McPhun - Manager Referral Bonus | 1011 - Heritage - 3513 - Operating | | 5,000.00 | -3,820,945.76 |
| Deposit | 06/07/2011 | 3045 | | SB Capital Corp. | #1128 William & Traciene Moison Trust - Ma... | 1011 - Heritage - 3513 - Operating | | 50.00 | -3,820,995.76 |
| Deposit | 06/07/2011 | 3037 | | SB Capital Corp. | #1014 Zaratan Living Trust - Manager Referral... | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -3,821,995.76 |
| Deposit | 06/07/2011 | 3061 | | Gladya D. McDowell | #1050 Wahid Tadros - Manager Referral Bonus | 1011 - Heritage - 3513 - Operating | | 10.00 | -3,822,005.76 |
| Deposit | 06/10/2011 | 3504 | | Daniel & Katherine Lau... | #1032 Gladys McDowell - Manager Referral B... | 1011 - Heritage - 3513 - Operating | | 2,000.00 | -3,824,005.76 |
| Deposit | 06/13/2011 | 1506 | | Martha N. Ferede | #1040 Daniel Lau - added contribution | 1011 - Heritage - 3513 - Operating | | 50.00 | -3,824,055.76 |
| Deposit | 06/13/2011 | 01 | | #853 Martha Ferede - added contribution | | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -3,874,055.76 |
| Deposit | 06/13/2011 | 177 | | #1129 James K Barron Revocable Trust - Initi... | | 1011 - Heritage - 3513 - Operating | | 3,000.00 | -3,877,055.76 |
| Deposit | 06/13/2011 | 314 | | Rhona Leibof | #1099 Rhona Leibof - added contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -3,902,055.76 |
| Deposit | 06/14/2011 | 3070 | | Goldstein Family Trust ... | #1045 Goldstein Family Trust - added contrib... | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -3,912,055.76 |
| Deposit | 06/14/2011 | 3071 | | SB Capital Corp. | #1099 Rhona Leibof - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 250.00 | -3,912,305.76 |
| Deposit | 06/14/2011 | 3068 | | SB Capital Corp. | #1040 Daniel Lau - Manager Referral Bonus | 1011 - Heritage - 3513 - Operating | | 500.00 | -3,913,305.76 |
| Deposit | 06/15/2011 | 2868 | | SB Capital Corp. | #0853 Martha Ferede - Manager Referral Bonus | 1011 - Heritage - 3513 - Operating | | 30.00 | -3,913,335.76 |
| Deposit | 06/15/2011 | 8352 | | Rev. Living Trust of Bro... | #1036 Ke Rui Lei & Yi Qun Zhou - added contr... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -3,938,335.76 |
| Deposit | 06/15/2011 | 043472... | | Michael & Catherine Co... | #1106 Michael & Catherine Connors - added c... | 1011 - Heritage - 3513 - Operating | | 5,000.00 | -3,943,335.76 |
| Deposit | 06/16/2011 | 1884 | | Edward & Marlam Bell | #1132 Edward & Marlam Bell - initial contribut... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -3,968,335.76 |
| Deposit | 06/16/2011 | 3095 | | SB Capital Corp. | #1036 Ke Rui Lei & Yi Qun Zhou manager refe... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -4,018,335.76 |
| Deposit | 06/16/2011 | 3096 | | SB Capital Corp. | #0851 Bertlesstone Family Trust - manager ref... | 1011 - Heritage - 3513 - Operating | | 50.00 | -4,018,385.76 |
| Deposit | 06/16/2011 | 3089 | | SB Capital Corp. | #1132 Edward & Marlam Bell - manager refer... | 1011 - Heritage - 3513 - Operating | | 100.00 | -4,018,485.76 |
| Deposit | 06/16/2011 | 3094 | | SB Capital Corp. | #1106 Michael & Catherine Connors - manager... | 1011 - Heritage - 3513 - Operating | | 500.00 | -4,019,485.76 |
| Deposit | 06/22/2011 | 062111... | | Pingyu & Carolyn Liu | #1035 Pingyu Liu additional contribution | 1011 - Heritage - 3513 - Operating | | 25.00 | -4,019,510.76 |
| Deposit | 06/27/2011 | 6348 | | Nyberg Revocable Trust | #1133 Nyberg Revocable Trust - initial contrib... | 1011 - Heritage - 3513 - Operating | | 10,678.94 | -4,030,189.70 |
| Deposit | 06/27/2011 | 3104 | | Gary J. Heil | #1133 Gary J. Heil - initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -4,055,189.70 |
| Deposit | 06/28/2011 | 062911... | | Mirza Family Revocabl... | #1136 Mirza Family Revocable Trust - initial c... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -4,080,189.70 |
| Deposit | 06/28/2011 | 482603 | | SB Capital Corp. | #1136 Mirza Family Revocable Trust - initial c... | 1011 - Heritage - 3513 - Operating | | 100.79 | -4,080,290.49 |
| Deposit | 06/28/2011 | 431223... | | Hsin-Shen Tai | #1137 Hsin-Shen Tai - initial contribution | 1011 - Heritage - 3513 - Operating | | 66,000.00 | -4,146,291.49 |
| Deposit | 06/28/2011 | 590 | | Stocum Family Trust | #0949 Stocum Family Trust - initial contribution | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -4,246,291.49 |
| Deposit | 06/28/2011 | 241 | | Shuch Family Trust | #1135 Shuch Family Trust - initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -4,271,291.49 |
| Deposit | 06/30/2011 | 48850 | | IRA Services FBO Pete... | #1138 IRA Services FBO Deng, Huazhen - int... | 1011 - Heritage - 3513 - Operating | | 75,000.00 | -4,346,291.49 |
| Deposit | 06/30/2011 | 1943 | | Edward & Marlam Bell | #1132 Edward Bell - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 313,703.84 | -4,660,291.49 |
| Deposit | 06/30/2011 | 3111 | | Vincent C. Moyer | #1140 Vincent C. Moyer - initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -4,685,291.49 |
| Deposit | 06/30/2011 | 3112 | | SB Capital Corp. | #0949 Stocum Family Trust - manager referal... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -4,710,291.49 |
| Deposit | 06/30/2011 | 3114 | | SB Capital Corp. | #0962 Tsz Kin Kwok - Manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 250.00 | -4,710,541.49 |
| Deposit | 07/01/2011 | nocheck | | Ke Rui Lei and Yi Qun ... | #1133 Gary Heil manager referral bonus | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -4,711,541.49 |
| Deposit | 07/01/2011 | 064120... | | Hutchinson Trust | BUY NEW ACCOUNT SHARES #1130 | 1011 - Heritage - 3513 - Operating | | 25.00 | -4,711,566.49 |
| Deposit | 07/05/2011 | 3131 | | SB Capital Corp. | #1036 Ke Rui Lei added contribution | 1011 - Heritage - 3513 - Operating | | 26,412.00 | -4,737,978.49 |
| Deposit | 07/05/2011 | 3132 | | SB Capital Corp. | #0849 Hutchinson Trust - Added Contribution | 1011 - Heritage - 3513 - Operating | | 7,000.00 | -4,744,978.49 |
| Deposit | 07/05/2011 | 2791 | | Judith Zaratan | #1048 Hutchinson Trust - Manager Referral Bo... | 1011 - Heritage - 3513 - Operating | | 8,000.00 | -4,752,978.49 |
| Deposit | 07/06/2011 | 360 | | Robert C. & Barbara M. ... | #1036 Ke Rui Lei Manager Referral Bonus | 1011 - Heritage - 3513 - Operating | | 80.00 | -4,753,058.49 |
| Deposit | 07/06/2011 | 3486 | | Mary Ester DiPietro | #1014 Judith Zaratan added contribution | 1011 - Heritage - 3513 - Operating | | 70.00 | -4,753,128.49 |
| Deposit | 07/06/2011 | 3130 | | SB Capital Corp. | #1068 Robert & Barbara Gilbeau - added contr... | 1011 - Heritage - 3513 - Operating | | 2,000.00 | -4,755,128.49 |
| Deposit | 07/06/2011 | 3128 | | SB Capital Corp. | #1141 Mary Ester Di Pietro Manager Referral ... | 1011 - Heritage - 3513 - Operating | | 5,000.00 | -4,760,128.49 |
| Deposit | 07/06/2011 | 3127 | | SB Capital Corp. | #1141 Mary Ester Di Pietro Manager Referral ... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -4,785,128.49 |
| Deposit | 07/07/2011 | 2104 | | John Schuck | #1014 Zaratan Living Trust - Manager Referral... | 1011 - Heritage - 3513 - Operating | | 250.00 | -4,785,378.49 |
| Deposit | 07/07/2011 | 1059 | | Sih Revocable Trust | #1142 John Schuck - initial contribution | 1011 - Heritage - 3513 - Operating | | 50.00 | -4,785,428.49 |
| Deposit | 07/12/2011 | 3152 | | SB Capital Corp. | #0992 Sih Living Trust - added contribution | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -4,885,428.49 |
| Deposit | 07/12/2011 | 49180 | | IRA Services FBO Pete... | #1132 Edward Bell - Manager Referral Bonus | 1011 - Heritage - 3513 - Operating | | 19,700.00 | -4,905,128.49 |
| Deposit | 07/12/2011 | 3153 | | SB Capital Corp. | #0992 Sih Revocable Trust - Manager Referral... | 1011 - Heritage - 3513 - Operating | | 230.00 | -4,905,358.49 |
| Deposit | 07/12/2011 | 5207 | | John Woo & Cindy Ng | #1018 IRA Services - FBO Judith Petersen | 1011 - Heritage - 3513 - Operating | | 197.00 | -4,905,555.49 |
| Deposit | 07/12/2011 | 49238 | | IRA Services FBO Ceh... | #1135 Shuch Family Trust - Manager Referral ... | 1011 - Heritage - 3513 - Operating | | 335,327.13 | -5,240,882.62 |
| Deposit | 07/14/2011 | 372 | | Peter N. Chumo II & G... | #1144 John Woo & Cindy Ng - initial contribut... | 1011 - Heritage - 3513 - Operating | | 750.00 | -5,241,632.62 |
| Deposit | 07/14/2011 | 6972 | | SB Capital Corp. | #1083 IRA Services FBO Elizabeth Cehovic - ... | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -5,341,632.62 |
| Deposit | 07/14/2011 | 3170 | | SB Capital Corp. | #1143 Grace Wu & Gloria Chumo - addc... | 1011 - Heritage - 3513 - Operating | | 200,000.00 | -5,541,632.62 |
| Deposit | 07/15/2011 | 1002 | | Mirza Family Revocabl... | #1060 An Nian Zhang - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -5,566,632.62 |
| Deposit | 07/15/2011 | 8827 | | James Po Kuen Chiang... | #1060 An Nian Zhang - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 4,000.00 | -5,570,632.62 |
| Deposit | 07/18/2011 | 1924 | | R. Peter Griffith DDS | #1145 James Po Kuen Chiang & Huang Ying... | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -5,571,632.62 |
| Deposit | 07/18/2011 | 3803 | | Lloyd E. Ester | #1098 R. Peter Griffith DDS Cash Balance Pl... | 1011 - Heritage - 3513 - Operating | | 500,000.00 | -6,071,632.62 |
| Deposit | 07/18/2011 | 3179 | | Robert A. Luenberger | #1146 Lloyd Ester - initial contribution | 1011 - Heritage - 3513 - Operating | | 40,000.00 | -6,111,632.62 |
| Deposit | 07/18/2011 | 3180 | | SB Capital Corp. | #1078 Robert Luenberger - added contribution | 1011 - Heritage - 3513 - Operating | | 20,000.00 | -6,131,632.62 |
| Deposit | 07/18/2011 | 3181 | | SB Capital Corp. | #1064 Peter Chumo manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 5,000.00 | -6,136,632.62 |
| Deposit | 07/18/2011 | 3179 | | SB Capital Corp. | #1145 James Po Kuen Chiang - manager refer... | 1011 - Heritage - 3513 - Operating | | 100.00 | -6,136,732.62 |
| Deposit | 07/18/2011 | 3180 | | SB Capital Corp. | #1088 R. Peter Griffith - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 100.00 | -6,136,832.62 |
| Deposit | 07/18/2011 | 3181 | | Floyd J. and Annetta J. ... | #1028 Floyd & Annetta Purdy - added contrib... | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -6,140,332.62 |
| Deposit | 07/18/2011 | 3191 | | David Hernandez | #1147 David Hernandez - initial contribution | 1011 - Heritage - 3513 - Operating | | 31,000.00 | -6,171,332.62 |
| Deposit | 07/18/2011 | 3192 | | SB Capital Corp. | #1028 Floyd & Annetta Purdy - manager refer... | 1011 - Heritage - 3513 - Operating | | 50.00 | -6,171,382.62 |
| Deposit | 07/18/2011 | 1098 | | Takeshi Omura | #1078 Takeshi Omura - additional contribution | 1011 - Heritage - 3513 - Operating | | 50.00 | -6,171,432.62 |
| Deposit | 07/18/2011 | 92 | | Armour Family Trust | #1148 Armour Family Trust - initial contribut... | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -6,271,432.62 |
| Deposit | 07/18/2011 | 49678 | | IRA Services FBO Vam... | #1084 IRA Services Gilbert Varnell | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,296,432.62 |
| Deposit | 07/31/2011 | 248 | | Monique Bjornal | #1140 Yu Family Trust - Oliver & Joanna Yu - ... | 1011 - Heritage - 3513 - Operating | | 74,882.07 | -6,371,314.69 |
| Deposit | 08/02/2011 | 3215 | | SB Capital Corp. | #0975 Monique Bjornal - manager referral bo... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -6,421,314.69 |
| Deposit | 08/02/2011 | 3214 | | SB Capital Corp. | #1148 Armour Family Trust - manager referal ... | 1011 - Heritage - 3513 - Operating | | 500.00 | -6,421,814.69 |
| Deposit | 08/02/2011 | 3213 | | SB Capital Corp. | #1079 Takeshi Omura - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 250.00 | -6,422,064.69 |
| Deposit | 08/02/2011 | 3216 | | SB Capital Corp. | #1084 IRA Services FBO Gilbert Varnell - man... | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -6,423,064.69 |
| Deposit | 08/08/2011 | 289 | | Akhila N. Ganitay | #1150 Akhila Ganitay - initial contribution | 1011 - Heritage - 3513 - Operating | | 748.82 | -6,423,813.51 |
| Deposit | 08/08/2011 | 8829 | | R. Peter Griffith DDS | #1088 R. Peter Griffith - contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,448,813.51 |
| Deposit | 08/09/2011 | 3239 | | SB Capital Corp. | #1088 R. Peter Griffith - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 20,000.00 | -6,468,813.51 |
| Deposit | 08/09/2011 | 333 | | Herbert Hoglan | #0990 Hoglan - added contribution | 1011 - Heritage - 3513 - Operating | | 200.00 | -6,469,013.51 |
| Deposit | 08/09/2011 | 2796 | | Judith Zaratan | #1014 Zaratan trust - added contribution | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -6,470,013.51 |
| Deposit | 08/09/2011 | 3245 | | SB Capital Corp. | #0990 hoglan - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 10.00 | -6,470,023.51 |
| Deposit | 08/09/2011 | 157440... | | Shiang Meel Heh | #1151 Shiang Meel Heh - initial contribution | 1011 - Heritage - 3513 - Operating | | 10.00 | -6,470,033.51 |
| Deposit | 08/10/2011 | 3245 | | Nilendu Sekhar Bagchi... | #1137 Hsin Shen Tai manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -6,570,033.51 |
| Deposit | 08/10/2011 | 512 | | SB Capital Corp. | #1152 | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -6,571,033.51 |
| Deposit | 08/10/2011 | 50120 | | IRA Services FBO Mar... | #0971 IRA Services FBO Marie Felix | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,596,033.51 |
| Deposit | 08/11/2011 | 50159 | | IRA Services FBO Stev... | #1153 IRA Services FBO Steven Steler - initial... | 1011 - Heritage - 3513 - Operating | | 1,500.00 | -6,597,533.51 |
| Deposit | 08/12/2011 | 50166 | | IRA Services FBO Bar... | #1063 IRA Services FBO Barbara Kuang - int... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,622,533.51 |
| Deposit | 08/12/2011 | 5029 | | Larry Minter | #1154 Larry Minter - initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,647,533.51 |
| Deposit | 08/16/2011 | 159 | | Andre Luthard | #1155 Andre Luthard - added contribution | 1011 - Heritage - 3513 - Operating | | 35,000.00 | -6,682,533.51 |
| Deposit | 08/17/2011 | 3251 | | SB Capital Corp. | #1038 Andre Luthard - added contribution | 1011 - Heritage - 3513 - Operating | | 90,000.00 | -6,772,533.51 |
| Deposit | 08/17/2011 | 3252 | | SB Capital Corp. | #1083 IRA Services FBO Barbara Kuang - ma... | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -6,782,533.51 |
| Deposit | 08/17/2011 | 3253 | | SB Capital Corp. | #1132 Edward Bell - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 250.00 | -6,783,533.51 |
| Deposit | 08/17/2011 | 3256 | | SB Capital Corp. | #0971 IRA Services FBO Marie Felix - manag... | 1011 - Heritage - 3513 - Operating | | 250.00 | -6,784,533.51 |
| Deposit | 08/17/2011 | 3255 | | SB Capital Corp. | #1038 Andre Luthard - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 15.00 | -6,784,548.51 |
| Deposit | 08/17/2011 | 1344 | | Ronald Alphon Young | #1156 Ronald Alphon Young - initial contribut... | 1011 - Heritage - 3513 - Operating | | 100.00 | -6,784,648.51 |
| Deposit | 08/22/2011 | 147 | | Nabeel Ahmed | #1156 Ronald Alphon Young - initial contribut... | 1011 - Heritage - 3513 - Operating | | 900.00 | -6,785,548.51 |
| Deposit | 08/23/2011 | 3262 | | SB Capital Corp. | #1157 Nabeel Ahmed - contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,810,548.51 |
| Deposit | 08/23/2011 | 3264 | | SB Capital Corp. | #1157 Nabeel Ahmed - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -6,835 |

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Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|-----------|-----|-----------------------------|--|------------------------------------|-----------|------------|----------------|
| Deposit | 08/31/2011 | 50697 | | IRA Services FBO Moni... | #1159 IRA Services FBO Monique Bjorndal | 1011 - Heritage - 3513 - Operating | | | |
| Deposit | 08/31/2011 | 1158 | | Carol A. Staley | #1158 Carol Staley - initial contribution | 1011 - Heritage - 3513 - Operating | | 54,208.44 | -7,585,536.79 |
| Deposit | 08/31/2011 | 570 | | Marcial La Roque | #1117 MARCIAL LAROCQUE - ADDED CONT... | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -7,885,536.79 |
| Deposit | 08/02/2011 | 1176 | | WJIA Secure Income Fu... | #1160 WJIA Secure Income Fund, LLC - initial ... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -7,735,536.79 |
| Deposit | 08/02/2011 | 2287 | | Carol A. Staley | #1158 Carol Staley - added contribution | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -7,835,536.79 |
| Deposit | 08/02/2011 | 3300 | | SB Capital Corp. | #1159 IRA Services FBO Monique Bjorndal - ... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -7,860,536.79 |
| Deposit | 08/02/2011 | 3288 | | SB Capital Corp. | #1014 Zaratian trust - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 542.09 | -7,861,078.88 |
| Deposit | 08/02/2011 | 3310 | | SB Capital Corp. | #1117 Marcial LaRoque - manager referral bo... | 1011 - Heritage - 3513 - Operating | | 25.00 | -7,861,103.88 |
| Deposit | 08/02/2011 | 3311 | | SB Capital Corp. | #1132 Edward Bell manager referral bonus for ... | 1011 - Heritage - 3513 - Operating | | 500.00 | -7,861,603.88 |
| Deposit | 08/07/2011 | 172 | | Virginia Barnes | #1158 Carol Staley - initial contribution | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -7,862,603.88 |
| Deposit | 08/12/2011 | 821 | | Ivy To | #1161 Virginia Barnes - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 250.00 | -7,862,853.88 |
| Deposit | 08/12/2011 | 3315 | | SB Capital Corp. | Acct 1026 transfer to acct 1162 | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -7,962,853.88 |
| Deposit | 08/12/2011 | 4005 | | SB Capital Corp. | #1059 Jane Shen manager referral bonus for a... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -8,012,853.88 |
| Deposit | 08/12/2011 | 3164 | | Wendi J. Dick | #1163 Wendi J Dick initial contribution | 1011 - Heritage - 3513 - Operating | | 400.00 | -8,013,253.88 |
| Deposit | 08/12/2011 | 302 | | Carl A. Wolfe | #1164 Carl A. Wolfe - added contribution | 1011 - Heritage - 3513 - Operating | | 30,000.00 | -8,043,253.88 |
| Deposit | 08/12/2011 | 8720470 | | TD Ameritrade FBO Ma... | #1165 TD Ameritrade FBO Mark Dadympole - i... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -8,093,253.88 |
| Deposit | 08/12/2011 | 317 | | SB Capital Corp. | #0888 Elsie Napoli - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,118,253.88 |
| Deposit | 08/12/2011 | 1348 | | Larry Minter | #1124 John J. McCarthy - added contribution | 1011 - Heritage - 3513 - Operating | | 500.00 | -8,143,253.88 |
| Deposit | 08/12/2011 | 270 | | Antonio F. Palumbo | #154 Larry Minter - contribution | 1011 - Heritage - 3513 - Operating | | 180,000.00 | -8,323,253.88 |
| Deposit | 08/12/2011 | 046510... | | Ke Rui Lei and Yi Qun ... | #1166 Antonio G. Palumbo - initial contribution | 1011 - Heritage - 3513 - Operating | | 40,000.00 | -8,363,253.88 |
| Deposit | 08/12/2011 | 109 | | Sidney Anderson | #1036 Ke Rui Lei & Yi Qun Zhou - added cont... | 1011 - Heritage - 3513 - Operating | | 40,000.00 | -8,403,253.88 |
| Deposit | 08/12/2011 | 1224 | | Richard E. Moore | #1167 Sidney Anderson - initial contribution | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -8,413,253.88 |
| Deposit | 08/12/2011 | 3347 | | SB Capital Corp. | #1168 Richard E. Moore - initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,438,253.88 |
| Deposit | 08/12/2011 | 3338 | | SB Capital Corp. | #1036 Ke Rui Lei & Yi Qun Zhou manager refe... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,463,253.88 |
| Deposit | 08/12/2011 | 3337 | | SB Capital Corp. | #1124 John McCarthy - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 100.00 | -8,463,353.88 |
| Deposit | 08/22/2011 | 8804 | | Krishna Bhola & Jennif... | #1154 Larry Minter - added contribution | 1011 - Heritage - 3513 - Operating | | 1,800.00 | -8,465,153.88 |
| Check | 08/22/2011 | 348 | | Yi Qun Zhou | #1169 Krishna & Jennifer Bhola - check posted... | 1011 - Heritage - 3513 - Operating | | 400.00 | -8,465,553.88 |
| Deposit | 08/23/2011 | 8805 | | Krishna Bhola & Jennif... | #1170 Yi Qun Zhou initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,490,553.88 |
| Deposit | 08/26/2011 | 1251 | | Krishna Bhola & Jennif... | DEP CK NUMERICAL AMT 25,000.00 WRRIT ... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,515,553.88 |
| Deposit | 08/28/2011 | 1015 | | Yuki Tuttle | #1169 Krishna & Jennifer Bhola | 1011 - Heritage - 3513 - Operating | 24,975.00 | 25,000.00 | -8,491,078.88 |
| Deposit | 08/28/2011 | 51607 | | ira Services FBO Ling ... | #1172 Gilmore-Hallwell Living Trust - initial c... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,516,078.88 |
| Deposit | 08/28/2011 | 299 | | SB Capital Corp. | #1171 Yui M Tuttle - initial contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -8,541,078.88 |
| Deposit | 08/30/2011 | wire | | Karen Fox Trust | #1173 IRA Services FBO Ling, Zhou | 1011 - Heritage - 3513 - Operating | | 30,000.00 | -8,571,078.88 |
| Deposit | 10/05/2011 | 2823 | | Judith Zaratian | #1170 Yi Qun Zhou manager referral bonus | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -8,621,078.88 |
| Deposit | 10/05/2011 | 891 | | Hedler Living Trust | 1174 Karen Fox Trust - initial contribution | 1011 - Heritage - 3513 - Operating | | 250.00 | -8,621,328.88 |
| Deposit | 10/05/2011 | 5085 | | MULTIPLE | #1014 Judith Zaratian - added contribution | 1011 - Heritage - 3513 - Operating | | 140,000.00 | -8,761,328.88 |
| Deposit | 10/05/2011 | wire | | Jack Buchman | #0858 Hedler Living Trust - added contribution | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -8,811,328.88 |
| Deposit | 10/12/2011 | 0122 | | Leonard Strolzin | MULTIPLE | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -8,822,328.88 |
| Deposit | 10/14/2011 | 1355 | | Larry Minter | #1176 Jack Buchman - initial contribution | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -8,872,328.88 |
| Deposit | 10/17/2011 | 1325 | | Stella S. F. Liu | #1180 Leonard Strolzin - added contribution | 1011 - Heritage - 3513 - Operating | | 49,990.00 | -8,922,318.88 |
| Deposit | 10/17/2011 | 128 | | Jenny D. Tang | #1154 Larry Minter added contribution | 1011 - Heritage - 3513 - Operating | | 160,000.00 | -9,082,318.88 |
| Deposit | 10/17/2011 | 3411 | | SB Capital Corp. | #1181 Stella S. F. Liu - initial contributi... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -9,107,318.88 |
| Deposit | 10/17/2011 | 3413 | | SB Capital Corp. | #0961 Jenny D. Lee Tang - added contribution | 1011 - Heritage - 3513 - Operating | | 43,000.00 | -9,150,318.88 |
| Deposit | 10/17/2011 | 3414 | | SB Capital Corp. | #1144 John Woo manager referral bonus for d... | 1011 - Heritage - 3513 - Operating | | 20,000.00 | -9,170,318.88 |
| Deposit | 10/19/2011 | 2220 | | Becky McVitie | #0673 Stephan Hengstler manager referral bo... | 1011 - Heritage - 3513 - Operating | | 500.00 | -9,170,818.88 |
| Deposit | 10/21/2011 | 181 | | Andre Luthard | #1154 Larry Minter manager referral bonus | 1011 - Heritage - 3513 - Operating | | 250.00 | -9,171,068.88 |
| Deposit | 10/21/2011 | 8335 | | R. Peter Griffith DDS | from SBC PF Hutchinson Trust Funds split to k... | 1011 - Heritage - 3513 - Operating | | 250.00 | -9,171,318.88 |
| Check | 10/21/2011 | 1056 | | Lee Family Trust - J. & ... | #1038 Andre Luthard - added contribution | 1011 - Heritage - 3513 - Operating | | 49,123.24 | -9,220,442.12 |
| Deposit | 10/21/2011 | non-cash | | MULTIPLE | #1098 R. Peter Griffith DDS Cash Balance Pla... | 1011 - Heritage - 3513 - Operating | | 5,000.00 | -9,225,442.12 |
| Deposit | 10/27/2011 | 3003 | | Nandu Sekhar Bagchi ... | #1184 Leo Family Trust - J & Karen Leo Tsee... | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -9,235,442.12 |
| Deposit | 10/27/2011 | 3424 | | SB Capital Corp. | MULTIPLE | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -9,260,442.12 |
| Deposit | 10/27/2011 | 3445 | | SB Capital Corp. | #1044 Kapoor Family Trust - added contribution | 1011 - Heritage - 3513 - Operating | | 59,374.83 | -9,319,816.95 |
| Deposit | 10/31/2011 | 52850 | | IRA Services FBO Leon... | #1038 Andre Luthard - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -9,369,816.95 |
| Deposit | 10/31/2011 | 4943 | | Gilmore Family Trust | #1098 R. Peter Griffith manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 50.00 | -9,419,866.95 |
| Deposit | 10/31/2011 | 2828 | | Judith Zaratian | #0961 Jenny Lee Tang - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 100.00 | -9,419,966.95 |
| Deposit | 10/31/2011 | 3458 | | SB Capital Corp. | #1185 IRA Services FBO Leong, James L - init... | 1011 - Heritage - 3513 - Operating | | 200.00 | -9,420,166.95 |
| Deposit | 10/31/2011 | 3455 | | SB Capital Corp. | #1121 Rita Gilmore - Gilmore family trust | 1011 - Heritage - 3513 - Operating | | 42,699.18 | -9,462,866.13 |
| Deposit | 10/31/2011 | 3454 | | SB Capital Corp. | #1014 Judith Zaratian - Zaratian Trust - added c... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -9,487,866.13 |
| Deposit | 10/31/2011 | 3453 | | SB Capital Corp. | #1121 Gilmore family trust - manager referral ... | 1011 - Heritage - 3513 - Operating | | 4,000.00 | -9,491,866.13 |
| Deposit | 10/31/2011 | 3453 | | SB Capital Corp. | #1014 Judith Zaratian - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 250.00 | -9,492,116.13 |
| Deposit | 11/01/2011 | 1357 | | Anthony Gee Revocabl... | #1152 Nandu Sekhar Bagchi - manager referen... | 1011 - Heritage - 3513 - Operating | | 40.00 | -9,492,156.13 |
| Deposit | 11/02/2011 | 1553 | | Lloyd E. Ester | #1044 Kapoor family trust - manager referral b... | 1011 - Heritage - 3513 - Operating | | 100.00 | -9,492,256.13 |
| Deposit | 11/03/2011 | 1235 | | Robert C. Prevredello | #1168 Anthony Gee Revocable Living Trust - I... | 1011 - Heritage - 3513 - Operating | | 500.00 | -9,492,756.13 |
| Deposit | 11/04/2011 | 1164 | | Joseph & Jorette Bugna... | #1148 Lloyd Ester - added contribution | 1011 - Heritage - 3513 - Operating | | 40,000.00 | -9,532,756.13 |
| Deposit | 11/07/2011 | 3483 | | R. & Marlow Heckman T... | #1187 Robert C. Prevredello - initial contributi... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -9,582,756.13 |
| Deposit | 11/08/2011 | 3467 | | Conrad G. Bergman | #1189 The Joseph & Jorette Bugna Revocabl... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -9,607,756.13 |
| Deposit | 11/08/2011 | 2088 | | Wason Family Trust | #1188 R & M Woo Heckman trust clo William ... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -9,657,756.13 |
| Deposit | 11/09/2011 | 315 | | Nabeel Ahmed | #1121 Conrad Bergman - added contribution | 1011 - Heritage - 3513 - Operating | | 250,000.00 | -9,907,756.13 |
| Deposit | 11/09/2011 | 154 | | SB Capital Corp. | #1186 Anthony Gee - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -9,917,756.13 |
| Deposit | 11/14/2011 | 3491 | | SB Capital Corp. | #1112 Conrad Bergman - manager referral bo... | 1011 - Heritage - 3513 - Operating | | 40.00 | -9,918,156.13 |
| Deposit | 11/14/2011 | 3494 | | SB Capital Corp. | #1030 Wason Family Trust - added contribution | 1011 - Heritage - 3513 - Operating | | 100.00 | -9,918,256.13 |
| Deposit | 11/14/2011 | 1502 | | SB Capital Corp. | #1101 Gidward Living Trust - added contribution | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -9,968,256.13 |
| Deposit | 11/14/2011 | 9990 | | SB Capital Corp. | #1157 Nabeel Ahmed - added contribution | 1011 - Heritage - 3513 - Operating | | 12,500.00 | -9,980,756.13 |
| Deposit | 11/21/2011 | 3499 | | SB Capital Corp. | #1030 Wason Family Trust - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 30,000.00 | -10,010,756.13 |
| Deposit | 11/21/2011 | 2002 | | SB Capital Corp. | #1180 Johnson Chunsheng Chiang - initial con... | 1011 - Heritage - 3513 - Operating | | 500.00 | -10,011,256.13 |
| Deposit | 11/21/2011 | 1057 | | SB Capital Corp. | #1180 Johnson Chunsheng Chiang - added contributi... | 1011 - Heritage - 3513 - Operating | | 300.00 | -10,011,556.13 |
| Deposit | 11/21/2011 | 577 | | SB Capital Corp. | #0960 Herbert Hogan - added contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -10,036,556.13 |
| Deposit | 11/23/2011 | 3535 | | SB Capital Corp. | #1191 Fredrick & Sandra Runnstrom Trust - ... | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -10,061,556.13 |
| Deposit | 11/23/2011 | 3534 | | SB Capital Corp. | #1184 Leo Family Trust - added contribution | 1011 - Heritage - 3513 - Operating | | 125.00 | -10,061,681.13 |
| Deposit | 11/23/2011 | 174 | | SB Capital Corp. | #1117 Marcial LaRoque - added contribution | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -10,086,681.13 |
| Deposit | 11/28/2011 | 518 | | SB Capital Corp. | #1117 Marcial LaRoque - manager referral bo... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -10,136,681.13 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1184 Leo Family Trust - manager referral bon... | 1011 - Heritage - 3513 - Operating | | 500.00 | -10,137,181.13 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1161 Virginia Barnes - added contribution | 1011 - Heritage - 3513 - Operating | | 250.00 | -10,137,431.13 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #0962 Taz-Kin Kwok - added contribution | 1011 - Heritage - 3513 - Operating | | 100,000.00 | -10,237,431.13 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1193 IRA Services FBO Mulherj, Sharmila - ... | 1011 - Heritage - 3513 - Operating | | 18,000.00 | -10,255,431.13 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1192 Ronald J. Gordon - initial contribution | 1011 - Heritage - 3513 - Operating | | 30,698.30 | -10,316,129.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #0975 Monique Bjorndal - added contribution ... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -10,416,129.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1098 R. Peter Griffith - Cash balance plan ... | 1011 - Heritage - 3513 - Operating | | 50,000.00 | -10,466,129.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1194 Ingle & Kay Paik - initial investment | 1011 - Heritage - 3513 - Operating | | 20,000.00 | -10,486,129.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #0962 Taz-Kin Kwok - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 75,000.00 | -10,561,129.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #0967 Frances Sheng - added contribution | 1011 - Heritage - 3513 - Operating | | 180.00 | -10,561,309.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1176 Jack Buchman - account top off | 1011 - Heritage - 3513 - Operating | | 25,000.00 | -10,586,309.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1196 IRA Services FBO Van Thilo, Barbara ... | 1011 - Heritage - 3513 - Operating | | 10.00 | -10,586,319.43 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1195 IRA Services FBO Van Thilo, Guido E... | 1011 - Heritage - 3513 - Operating | | 11,195.39 | -10,597,514.82 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #0975 Monique Bjorndal - manager referral bo... | 1011 - Heritage - 3513 - Operating | | 20,476.97 | -10,567,037.85 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #0967 Frances Sheng - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 500.00 | -10,567,537.85 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1184 Ronald Gordon - manager referral bonu... | 1011 - Heritage - 3513 - Operating | | 230.00 | -10,567,767.85 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1098 R. Peter Griffith - manager referral bon... | 1011 - Heritage - 3513 - Operating | | 500.00 | -10,568,267.85 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1161 Virginia Barnes - manager referral bon... | 1011 - Heritage - 3513 - Operating | | 200.00 | -10,568,467.85 |
| Deposit | 11/28/2011 | 5182 | | SB Capital Corp. | #1197 IRA Services FBO Sih, Peter - initial co... | 1011 - Heritage - 3513 - Operating | | 1,000.00 | -10,569,467.85 |
| Deposit | 11/28/2011 | 51 | | | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|---|--|-------------------------------------|---------------|--------|----------------|
| Deposit | 12/15/2011 | 3594 | | SB Capital Corp. | #1146 Lloyd Ester - manager referral bonus | 1011 - Heritage - 3513 - Operating | | 500.00 | -12,316,187.61 |
| Deposit | 12/16/2011 | 945 | | Khuzema A. Savai | #1202 Khuzema A. Savai - initial contribution | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,341,187.61 |
| Deposit | 12/18/2011 | wire | | Roger Wong Mulloy c/o ... | #1206 Roger Wong Mulloy c/o Wm Jordan As... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | -12,441,187.61 |
| Deposit | 12/19/2011 | wire | | Rev Living trust of Rich... | #12087 Rev Living trust of Richard L. Jones & L... | 1011 - Heritage - 3513 - Operating | 60,000.00 | | -12,501,187.61 |
| Deposit | 12/19/2011 | 55972 | | Ira Services FBO Merc... | #1208 Ira Services FBO Mercer, Elliott Charle... | 1011 - Heritage - 3513 - Operating | 54,855.00 | | -12,556,082.61 |
| Deposit | 12/20/2011 | 2825 | | The Lauri E Fried-Lee &... | #1203 The Lauri E Fried-Lee & Donald Lee Tr... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,581,082.61 |
| Deposit | 12/20/2011 | 55965 | | IRA Services FBO Mukherji, Sukumar ... | #1119 IRA Services FBO Mukherji, Sukumar ... | 1011 - Heritage - 3513 - Operating | 19,892.53 | | -12,600,975.14 |
| Deposit | 12/21/2011 | 56084 | | IRA Services FBO Moia... | #1204 IRA Services FBO Moia, Jerry - initial... | 1011 - Heritage - 3513 - Operating | 71,983.10 | | -12,672,958.24 |
| Deposit | 12/21/2011 | 3030 | | 1996 Sulman Family Tr... | #1207 1996 Sulman Family Trust - initial contri... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -12,722,958.24 |
| Deposit | 12/21/2011 | 276 | | Antonio F. Palumbo | #1168 Antonio Palumbo - added contribution | 1011 - Heritage - 3513 - Operating | 11,000.00 | | -12,733,958.24 |
| Deposit | 12/21/2011 | 56053 | | IRA Services FBO Lynch, Milton Teren... | #1205 IRA Services FBO Lynch, Milton Teren... | 1011 - Heritage - 3513 - Operating | 42,710.17 | | -12,786,668.41 |
| Deposit | 12/21/2011 | wire | | Henry ShaoLin & Joan ... | #1209 Henry ShaoLin & Joan Chang-Hoi Te... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,811,668.41 |
| Deposit | 12/22/2011 | 100 | | Yuki Tuttle | #1171 Yuki Tuttle - additional contribution | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -12,861,668.41 |
| Deposit | 12/22/2011 | 3634 | | SB Capital Corp. | #1156 Antonio Palumbo - manager referral bon... | 1011 - Heritage - 3513 - Operating | 110.00 | | -12,861,778.41 |
| Deposit | 12/22/2011 | | | Henry ShaoLin & Joan ... | CREDIT | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,886,778.41 |
| Deposit | 12/23/2011 | 8595 | | Krishna Bhola & Jennifer... | #1169 Krishna Bhola & Jennifer... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,911,778.41 |
| Deposit | 12/23/2011 | 2367 | | Mohamed Dhalla CPA | #1211 Mohamed Dhalla CPA Prof Corp-Def. B... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,936,778.41 |
| Deposit | 12/27/2011 | 56310 | | IRA Services FBO Seid... | #1213 IRA Services FBO Seidman, Lawrence ... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -12,961,778.41 |
| Deposit | 12/28/2011 | 1018 | | Mark Sun Lin | #1215 Mark Sun Lin - contribution | 1011 - Heritage - 3513 - Operating | 3,000.00 | | -12,964,778.41 |
| Deposit | 12/28/2011 | 521 | | Mark Sun Lin | #1215 Mark Sun Lin - added contribution | 1011 - Heritage - 3513 - Operating | 7,600.00 | | -12,972,378.41 |
| Deposit | 12/28/2011 | 1311 | | Mark Sun Lin | #1215 Mark Sun Lin - added contribution | 1011 - Heritage - 3513 - Operating | 14,400.00 | | -12,986,778.41 |
| Deposit | 12/28/2011 | 1833 | | Lindner Family Trust | #080 Lindner Family Trust - added contribution | 1011 - Heritage - 3513 - Operating | 4,000.00 | | -12,990,778.41 |
| Deposit | 12/28/2011 | 56359 | | IRA Services FBO Sih... | #1216 Ira Services FBO sih, Helen - initial contr... | 1011 - Heritage - 3513 - Operating | 8,087.46 | | -12,998,865.87 |
| Deposit | 12/28/2011 | 1832 | | John Lindner ITF Angeli... | #1217 John Lindner ITF Angelina Marie Land... | 1011 - Heritage - 3513 - Operating | 1,000.00 | | -12,999,865.87 |
| Deposit | 12/28/2011 | 1443 | | Henry ShaoLin & Joan ... | #1209 Henry ShaoLin & Joan Chang-Hoi... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -13,024,865.87 |
| Deposit | 12/28/2011 | 3350 | | Robert A. Luenberger | #0978 Robert Luenberger - added contribution | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -13,074,865.87 |
| Deposit | 12/29/2011 | 302 | | Marc Haysentny | #1212 Marc Haysentny - initial contribution | 1011 - Heritage - 3513 - Operating | 40,000.00 | | -13,114,865.87 |
| General Journal | 12/31/2011 | | | | #1053 Allen Bartlett Distribution (each) came b... | 3015 - Re-Invested Distributions | 67.84 | | -13,114,933.71 |
| General Journal | 01/01/2012 | c/o YE | | | Close out Distribution and Draws for 2011 | 3015 - Re-Invested Distributions | 13,114,534.71 | | 0.00 |
| Deposit | 01/03/2012 | 001940... | | Christopher Alonge | #1222 Christopher Alonge - initial contribution | 1011 - Heritage - 3513 - Operating | 175,000.00 | | -175,000.00 |
| Deposit | 01/04/2012 | 894 | | R. Peter Griffith DDS | #1088 R. Peter Griffith Cash balance plan - ad... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -225,000.00 |
| Deposit | 01/04/2012 | 3413 | | All Tabatabaian | #1219 All Tabatabaian - initial contribution | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -275,000.00 |
| Deposit | 01/04/2012 | 0280 | | Amir H & Louise F Sohr... | #1218 Amir H & Louise F. Sohrabi Trust - initial... | 1011 - Heritage - 3513 - Operating | 23,000.00 | | -300,000.00 |
| Deposit | 01/04/2012 | 101 | | Odavia Trust | #1220 Odavia Trust - initial contribution | 1011 - Heritage - 3513 - Operating | 17,000.00 | | -317,000.00 |
| Deposit | 01/04/2012 | 2839 | | Judith Zaratan | #1014 Judith Zaratan - Zaratan Living Trust - a... | 1011 - Heritage - 3513 - Operating | 1,000.00 | | -318,000.00 |
| Deposit | 01/05/2012 | 1980 | | Leef Revocable Trust dt... | #1221 Leef Revocable Trust did 11-29-06 - int... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -368,000.00 |
| Deposit | 01/08/2012 | AC04 | | Takeshi Omura | #1203 Takeshi Omura-Distribution paid out was retur... | 1020 - Torrey Pines - 7590 - Dis... | 1,238.35 | | -369,238.35 |
| Deposit | 01/09/2012 | 330 | | Weisbart 1986 Family T... | #1223 Weisbart 1986 Family Trust - initial contr... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -394,238.35 |
| Deposit | 01/09/2012 | 56666 | | Ira Services FBO Merc... | #1208 Ira Services FBO Charles Mercer - ad... | 1011 - Heritage - 3513 - Operating | 45,328.82 | | -439,567.17 |
| Deposit | 01/09/2012 | 106017... | | Robert Swanbeck | #1224 Robert Swanbeck - initial contribution | 1011 - Heritage - 3513 - Operating | 30,000.00 | | -469,567.17 |
| Deposit | 01/10/2012 | 1285 | | Dan L. Silva | #0964 Dan Silva - added contribution | 1011 - Heritage - 3513 - Operating | 10,000.00 | | -479,567.17 |
| Deposit | 01/11/2012 | 3651 | | SB Capital Corp. | #0978 Robert Luenberger - manager referral b... | 1011 - Heritage - 3513 - Operating | 500.00 | | -480,067.17 |
| Deposit | 01/11/2012 | 6186 | | Paula Klu | #1025 Paula Klu - initial contribution | 1011 - Heritage - 3513 - Operating | 100,000.00 | | -580,067.17 |
| Deposit | 01/11/2012 | 2264 | | Ronald J. Gordon, FBO... | #1192 Ronald Gordon - added contribution | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -630,067.17 |
| Deposit | 01/11/2012 | 3666 | | SB Capital | #1035 Pingyu & Carolyn Liu-Mgr Ref Bonus-a... | 1011 - Heritage - 3513 - Operating | 250.00 | | -630,317.17 |
| Deposit | 01/11/2012 | 3662 | | SB Capital | #1169 Krishna Bhola & Jennifer W. Bhola-Mgr... | 1011 - Heritage - 3513 - Operating | 250.00 | | -630,567.17 |
| Deposit | 01/11/2012 | 3654 | | SB Capital | #0962 Tes-Kin Kwok-Mgr Ref Bonus for add... | 1011 - Heritage - 3513 - Operating | 250.00 | | -630,817.17 |
| Deposit | 01/11/2012 | 3651 | | SB Capital | #0980 Lindner Family Trust-Mgr Ref Bonus for... | 1011 - Heritage - 3513 - Operating | 50.00 | | -630,867.17 |
| Deposit | 01/11/2012 | 3652 | | SB Capital | #1209 Henry ShaoLin & Joan Chang-Hoi... | 1011 - Heritage - 3513 - Operating | 250.00 | | -631,117.17 |
| Deposit | 01/11/2012 | 3650 | | SB Capital | #1014 Zaratan Living Trust-Mgr Ref Bonus for... | 1011 - Heritage - 3513 - Operating | 10.00 | | -631,127.17 |
| Deposit | 01/11/2012 | 3649 | | SB Capital | #1220 Odavia Trust-MGR Ref Bonus for add... | 1011 - Heritage - 3513 - Operating | 150.00 | | -631,277.17 |
| Deposit | 01/11/2012 | 3648 | | SB Capital | #1088 R. Peter Griffith DDS Cash Balance Pla... | 1011 - Heritage - 3513 - Operating | 500.00 | | -631,777.17 |
| Deposit | 01/12/2012 | 163 | | Elisabeth H. McClintock | #1226 Elisabeth H. McClintock and/or F. Mich... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -656,777.17 |
| Deposit | 01/13/2012 | 1253 | | Joseph & Joette Bugna... | #1189 Joseph & Joette Bugna Revocable Tru... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -706,777.17 |
| Deposit | 01/13/2012 | 4046 | | Wendi J. Dick | #1183 Wendi Dick-Additional Capital Contribut... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -756,777.17 |
| Deposit | 01/13/2012 | 300040... | | Ron Gordon | #1227 Ron Gordon, Rep Payee FBO Rebecca... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -781,777.17 |
| Deposit | 01/17/2012 | 4042 | | Sandra Khoury | #1228 Sandra Khoury-Initial Contribution | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -806,777.17 |
| Deposit | 01/17/2012 | 3710 | | SB Capital | #1192 Ronald Gordon-Mgr Referral Bonus-add... | 1011 - Heritage - 3513 - Operating | 500.00 | | -807,277.17 |
| Deposit | 01/17/2012 | 3712 | | SB Capital | #1189 The Joseph Bugna Revocable Trust-Mgr... | 1011 - Heritage - 3513 - Operating | 500.00 | | -807,777.17 |
| Deposit | 01/17/2012 | 3711 | | SB Capital | #0964 Dan Silva-Mgr Bonus-Additional Contrib... | 1011 - Heritage - 3513 - Operating | 100.00 | | -807,877.17 |
| Deposit | 01/17/2012 | 2842 | | Judith Zaratan | #1014 Zaratan Living Trust-Additional Contrib... | 1011 - Heritage - 3513 - Operating | 1,500.00 | | -809,377.17 |
| Deposit | 01/18/2012 | 484 | | Gracella Lenhart | #1229 Gracella Lenhart-initial capital contrib... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -834,377.17 |
| Deposit | 01/18/2012 | 165 | | Elisabeth H. McClintock | #1226 Elisabeth McClintock-Capital Contributi... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -859,377.17 |
| Deposit | 01/18/2012 | 2716 | | Sindair Family Trust | #1231 Erwin & Beatrice Sindair-Sindair Famil... | 1011 - Heritage - 3513 - Operating | 30,000.00 | | -889,377.17 |
| Deposit | 01/18/2012 | 2163 | | Carol Welch | #1230 Carol Lee Welch-Initial Capital Contrib... | 1011 - Heritage - 3513 - Operating | 10,000.00 | | -899,377.17 |
| Deposit | 01/20/2012 | 3735 | | SB Capital | #1192 Ronald Gordon-Mgr Referral Bonus-Add... | 1011 - Heritage - 3513 - Operating | 1,000.00 | | -900,377.17 |
| Deposit | 01/20/2012 | 3733 | | SB Capital | #1226 Elisabeth McClintock-Mgr Referral Bon... | 1011 - Heritage - 3513 - Operating | 250.00 | | -900,627.17 |
| Deposit | 01/20/2012 | 3732 | | SB Capital | #0869 Ronald J. Thompson-Mgr Referral Bonus | 1011 - Heritage - 3513 - Operating | 250.00 | | -900,877.17 |
| Deposit | 01/20/2012 | 3734 | | SB Capital | #1014 Zaratan Living Trust-Mgr Referral Bonu... | 1011 - Heritage - 3513 - Operating | 15.00 | | -900,892.17 |
| Deposit | 01/25/2012 | 0889 | | Edward Minasian | #1017 Edward Minasian-Additional Contribution | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -925,892.17 |
| Deposit | 01/25/2012 | 3741 | | SB Capital | #1017 Edward Minasian-Manager Referral Bon... | 1011 - Heritage - 3513 - Operating | 250.00 | | -926,092.17 |
| Deposit | 01/28/2012 | 11414 | | Richard E. Moore | #1232 Doris Moore initial capital contribution | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -976,092.17 |
| Deposit | 01/27/2012 | 59306 | | IRA Services FBO Blag... | #1235 IRA Services FBO Blagoff, Lisa Marie-I... | 1011 - Heritage - 3513 - Operating | 60,884.96 | | -1,036,977.13 |
| Deposit | 01/27/2012 | 59305 | | IRA Services FBO Lesov... | #1234 IRA Services FBO Lesov, Jacob-Initial... | 1011 - Heritage - 3513 - Operating | 30,000.00 | | -1,066,977.13 |
| Deposit | 01/30/2012 | 59251 | | IRA Services FBO Bag... | #1233 IRA Services FBO Bagwell, Joseph A-... | 1011 - Heritage - 3513 - Operating | 190,028.48 | | -1,256,977.13 |
| Deposit | 01/30/2012 | 3762 | | SB Capital | #1169 Krishna Bhola & Jennifer W. Bhola-Mgr... | 1011 - Heritage - 3513 - Operating | 608.85 | | -1,257,586.01 |
| Deposit | 01/30/2012 | 59374 | | IRA Services FBO Pres... | #1236 IRA Services FBO Pesner, Samuel-Init... | 1011 - Heritage - 3513 - Operating | 55,842.45 | | -1,313,428.46 |
| Deposit | 01/30/2012 | 59366 | | IRA Services FBO Soto... | #1013 IRA Services FBO Sotoudeh, Sepide-Ad... | 1011 - Heritage - 3513 - Operating | 4,927.00 | | -1,318,355.46 |
| Deposit | 01/30/2012 | 59369 | | IRA Services FBO Soto... | #1023 IRA Services FBO Sotoudeh, Valad-Ad... | 1011 - Heritage - 3513 - Operating | 5,901.18 | | -1,324,256.64 |
| Deposit | 01/30/2012 | 5942 | | Leo Family Trust - J. & ... | #1184 Leo Family Trust-additional contribution | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,349,256.64 |
| Deposit | 01/30/2012 | 3766 | | SB Capital | #1184 Leo Family Trust-Mgr Referral Bonus-A... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,374,256.64 |
| Deposit | 02/02/2012 | 0438 | | Patricia Pitts | #1237 Patricia Pitts-Initial Contribution | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,400,256.64 |
| Deposit | 02/06/2012 | 59733 | | Ira Services FBO Bird, ... | #1155 IRA Services FBO Bird, Michael | 1011 - Heritage - 3513 - Operating | 60,000.00 | | -1,460,256.64 |
| Deposit | 02/08/2012 | 2502 | | Steven Corcoran TTEE | #1238 Steven Corcoran TTEE- Initial Capital ... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,485,256.64 |
| Deposit | 02/09/2012 | 2391 | | Mohamed Dhalla CPA ... | #1211 Mohamed G Dhalla, CPA -Additional C... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,510,256.64 |
| Deposit | 02/09/2012 | 087 | | Pauline Schwartz | #1239 Pauline Schwartz-Initial Capital Contrib... | 1011 - Heritage - 3513 - Operating | 50,000.00 | | -1,560,256.64 |
| Deposit | 02/10/2012 | 59870 | | IRA Services FBO Pesn... | #1240 Ira Services FBO Pesner, Florence Lo... | 1011 - Heritage - 3513 - Operating | 19,150.70 | | -1,579,407.34 |
| Deposit | 02/10/2012 | 3809 | | Pauline Schwartz | #1239 Pauline Schwartz-Mgr Referral Bonus-A... | 1011 - Heritage - 3513 - Operating | 500.00 | | -1,580,007.34 |
| Deposit | 02/10/2012 | 1028 | | Gladys D. McDowell | #1032 Gladys D. McDowell-Additional Capital... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,605,007.34 |
| Deposit | 02/10/2012 | 3808 | | Gladys D. McDowell | #1032 Gladys D. McDowell-Mgr Bonus-Additi... | 1011 - Heritage - 3513 - Operating | 250.00 | | -1,605,257.34 |
| Deposit | 02/10/2012 | 6761 | | Lawrence A. & Frances ... | #1052 Lawrence Heston-Additional Capital Co... | 1011 - Heritage - 3513 - Operating | 20,000.00 | | -1,625,257.34 |
| Deposit | 02/10/2012 | 3807 | | Lawrence A. & Frances ... | #1052 Lawrence A. & Frances E. Heston - Mgr ... | 1011 - Heritage - 3513 - Operating | 200.00 | | -1,625,457.34 |
| Deposit | 02/13/2012 | 122 | | Kim Nhem Nguyen Liv... | #1025 Kim Nhem Nguyen Living Trust-Contrib... | 1011 - Heritage - 3513 - Operating | 43,000.00 | | -1,668,457.34 |
| Deposit | 02/13/2012 | 1678 | | Vivek Agarwal | #1067 Vivek Agarwal-Additional Contribution... | 1011 - Heritage - 3513 - Operating | 25,000.00 | | -1,693,457.34 |
| Deposit | 02/14/2012 | 3813 | | SB Capital | #1067 Vivek Agarwal Mgr Bonus-Additional Ca... | 1011 - Heritage - 3513 - Operating | 250.00 | | -1,693,707.34 |
| Deposit | 02/14/2012 | 3814 | | SB Capital | #1025 Kim Nhem Nguyen Mgr Bonus-Additional... | 1011 - Heritage - 3513 - Operating | 430.00 | | -1,694,137.34 |
| Deposit | 02/14/2012 | 2200 | | Steven Corcoran TTEE | #1238 Steven Corcoran TTEE-Initial Contribut... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | -1,794,137.34 |
| Deposit | 02/14/2012 | 123 | | Leonard Streizin | #1180 Leonard Streizin-Additional Capital Con... | 1011 - Heritage - 3513 - Operating | 40,000.00 | | -1,834,137.34 |
| Deposit | 02/17/2012 | 112 | | Amir H & Louise F Sohr... | #1218 Amir H & Louise F. Sohrabi Trust-Addi... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | -1,934,137.34 |
| Deposit | 02/17/2012 | 3822 | | Am | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|-----------------------------|--|------------------------------------|--------|------------|---------------|
| Deposit | 02/28/2012 | 1281 | | An Nian Zhang | #1060 An Nian Zhang-Additional Contribution (...) | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -2,184,276.79 |
| Deposit | 02/28/2012 | 1112 | | Conrad G. Bergman | #1112 Conrad Bergman-Additional Contributio... | 1011 · Heritage - 3513 - Operating | | 10,000.00 | -2,174,276.79 |
| Deposit | 02/28/2012 | 3857 | | SB Capital | #0873 Stephan Hengstler Mgr Bonus-Addition... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,174,526.79 |
| Deposit | 02/28/2012 | 3858 | | SB Capital | #1112 Conrad Bergman Mgr Bonus-Additional... | 1011 · Heritage - 3513 - Operating | | 100.00 | -2,174,626.79 |
| Deposit | 02/28/2012 | 584 | | An Nian Zhang | #1060 An Nian Zhang-Additional Contribution | 1011 · Heritage - 3513 - Operating | | 200.00 | -2,174,826.79 |
| Deposit | 02/28/2012 | 584 | | La Roque, Marcial Evan | #1117 La Roque, Marcial Evan | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -2,224,826.79 |
| General Journal | 02/28/2012 | Int. | | | #1053 Allen Barbier Distribution (sch) came b... | 3015 · Re-Invested Distributions | 678.84 | | -2,224,147.95 |
| Deposit | 03/01/2012 | 2621 | | Harold H. Turner | #1246 Harold Turner-Initial Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,249,147.95 |
| Deposit | 03/01/2012 | 60705 | | Robert & Patricia Dale ... | #1245 IRA Services FBO Roe, John Ryan-Init... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,274,147.95 |
| Deposit | 03/02/2012 | 1013 | | Robert & Patricia Dale ... | #1247 Robert & Patricia Dale Living Trust-Init... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,299,147.95 |
| Deposit | 03/02/2012 | 3865 | | Investors Prime Fund, L... | #1117 La Roque, Marcial Evan Mgr Bonus | 1011 · Heritage - 3513 - Operating | | 300.00 | -2,299,647.95 |
| Deposit | 03/05/2012 | 2015 | | Lloyd E. Ester | #1146 Lloyd Ester- Mgr Bonus- Additional Co... | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -2,329,647.95 |
| Deposit | 03/05/2012 | | | Yuki Tuttle | #1171 Yuki Tuttle- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -2,349,647.95 |
| Deposit | 03/05/2012 | 1877 | | John Lindner ITF Angell... | #1217 John Lindner-Additional Contribution | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -2,350,647.95 |
| Deposit | 03/05/2012 | 60039 | | IRA Services FBO Barb... | #1053 IRA Services FBO Barbier, Allen- Addit... | 1011 · Heritage - 3513 - Operating | | 10,117.75 | -2,360,765.70 |
| Deposit | 03/08/2012 | 3867 | | SB Capital | #1146 Lloyd Ester- Mgr Bonus- Additional Co... | 1011 · Heritage - 3513 - Operating | | 300.00 | -2,361,065.70 |
| Deposit | 03/08/2012 | 3868 | | Investors Prime Fund, L... | #1217 John Lindner- Mgr Bonus- Additional Co... | 1011 · Heritage - 3513 - Operating | | 10.00 | -2,361,075.70 |
| Deposit | 03/08/2012 | 3868 | | SB Capital | #1171 Yuki Tuttle- MGR Bonus- Additional C... | 1011 · Heritage - 3513 - Operating | | 200.00 | -2,361,275.70 |
| Deposit | 03/08/2012 | 3896 | | SB Capital | #1038 Andre Luthard- Mgr Bonus- Additional C... | 1011 · Heritage - 3513 - Operating | | 760.00 | -2,362,035.70 |
| Deposit | 03/08/2012 | 3895 | | SB Capital | #1128 James Barron- Mgr Bonus- Additional Co... | 1011 · Heritage - 3513 - Operating | | 250.00 | -2,362,285.70 |
| Deposit | 03/08/2012 | 5105 | | James K Barron 1897 R... | #1128 James Barron- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,387,285.70 |
| Deposit | 03/07/2012 | TRANS... | | SBC Portfolio Fund, LLC | Patsoff Family- Transferred from SBC PF | 1011 · Heritage - 3513 - Operating | | 302,269.00 | -2,689,554.70 |
| Deposit | 03/07/2012 | 605 | | Slocum Family Trust | #0949 Slocum Family Trust | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -2,707,574.70 |
| Deposit | 03/08/2012 | 3900 | | SB Capital | #0949 William Slocum Mgr Bonus- Additional ... | 1011 · Heritage - 3513 - Operating | | 200.00 | -2,707,774.70 |
| Deposit | 03/08/2012 | 3428 | | Alexander & Nancy Tra... | #1248 Alexander & Nancy Truficanti- Initial Co... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -2,732,774.70 |
| Deposit | 03/08/2012 | 910 | | TD Ameritrade FBO Ste... | #1248 Stewart Levin- Initial Contribution | 1011 · Heritage - 3513 - Operating | | 80,000.00 | -2,812,774.70 |
| Deposit | 03/08/2012 | 3901 | | SB Capital | #0986 Walter & Grace Petzold- mgr bonus- add... | 1011 · Heritage - 3513 - Operating | | 2,008.87 | -2,814,783.57 |
| Deposit | 03/08/2012 | 4010 | | Subodh & Sharadha T... | #0999 Subodh & Sharadha Toprani- Addition... | 1011 · Heritage - 3513 - Operating | | 250,000.00 | -3,064,783.57 |
| Deposit | 03/08/2012 | 3812 | | IRA Services FBO Bam... | #1243 IRA Services FBO Barney Philip- Initl ... | 1011 · Heritage - 3513 - Operating | | 99,740.42 | -3,164,523.79 |
| Deposit | 03/08/2012 | 3903 | | SB Capital | #0999 Subodh & Sharadha Toprani- Mgr Bonu... | 1011 · Heritage - 3513 - Operating | | 12,879.24 | -3,177,403.03 |
| Deposit | 03/08/2012 | 470 | | Judy Stoltz | #1250 Judy Stoltz- Initial Contribution | 1011 · Heritage - 3513 - Operating | | 35,000.00 | -3,212,403.03 |
| Deposit | 03/08/2012 | Transfer | | SBC Portfolio Fund, LLC | #0999 Subodh & Sharadha Toprani-Transfere... | 1011 · Heritage - 3513 - Operating | | 429,350.00 | -3,641,753.03 |
| Deposit | 03/08/2012 | 3804 | | SB Capital | #0999 Subodh & Sharadha Toprani- MGR Bon... | 1011 · Heritage - 3513 - Operating | | 7,500.00 | -3,649,253.03 |
| Deposit | 03/12/2012 | 8957 | | | #1086 Peter Griffin- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 40,000.00 | -3,709,253.03 |
| Deposit | 03/12/2012 | 57277 | | IRA sERVICES fbo Gra... | #1251 IRA sERVICES fbo Graeve, Egbert- Ini... | 1011 · Heritage - 3513 - Operating | | 45,685.87 | -3,754,938.90 |
| Deposit | 03/12/2012 | 57273 | | IRA Services FBO Strel... | #1244 IRA Services FBO Strelzin, Leonard- Ini... | 1011 · Heritage - 3513 - Operating | | 103,043.74 | -3,857,982.64 |
| Deposit | 03/12/2012 | 190 | | Investors Prime Fund, L... | #1086 Peter Griffin DUS Mgr Bonus | 1011 · Heritage - 3513 - Operating | | 400.00 | -3,858,382.64 |
| Deposit | 03/12/2012 | 031420... | | Liao, Hung Chih and Hu... | #1252 Liao, Hung Chih and Huang, Lily- Initl ... | 1011 · Heritage - 3513 - Operating | | 9,000.00 | -3,867,382.64 |
| Deposit | 03/12/2012 | Transfer | | SBC Portfolio Fund, LLC | #0982 Indian Muslim Relief & Charities-Addit... | 1011 · Heritage - 3513 - Operating | | 300,000.00 | -4,167,382.64 |
| Deposit | 03/12/2012 | 3102 | | Mary Potter | #1254 Mary Jo. Potter- Initial Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -4,192,382.64 |
| Deposit | 03/12/2012 | 7313 | | Barry Kirkland and Cath... | #1253 Barry and Cathryn A. Kirkland TTEES... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -4,242,382.64 |
| Deposit | 03/12/2012 | 1001 | | Barry Kirkland and Cath... | #1253 Barry and Cathryn A. Kirkland TTEES... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -4,267,382.64 |
| Deposit | 03/12/2012 | 7164 | | Andre Luthard | #1038 Andre Luthard- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 76,000.00 | -4,343,382.64 |
| Deposit | 03/12/2012 | 3948 | | SB Capital | #1253 Kirkland Trust- Mgr Bonus-Additional Co... | 1011 · Heritage - 3513 - Operating | | 750.00 | -4,344,132.64 |
| Deposit | 03/12/2012 | 052247... | | Ke Rui Lei and Yi Qun ... | #1036 Ke Rui Lei and Yi Qun Zhou- Mgr Bonus- A... | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -4,364,132.64 |
| Deposit | 03/20/2012 | 3951 | | SB Capital | #1036 Ke Rui Lei and Yi Qun Zhou- Mgr Bonus- A... | 1011 · Heritage - 3513 - Operating | | 200.00 | -4,364,332.64 |
| Deposit | 03/20/2012 | 1584 | | John P. and Jeanne Alno | #1255 John P. and Jeanne Alno | 1011 · Heritage - 3513 - Operating | | 217,800.00 | -4,582,132.64 |
| Deposit | 03/22/2012 | 9685 | | Robert T. Winters | #1256 Robert Winters- Initial Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -4,607,132.64 |
| Deposit | 03/22/2012 | 5755 | | Frederick Schwalbach | #1257 Frederick Schwalbach-Initial Contribution | 1011 · Heritage - 3513 - Operating | 0.00 | | -4,607,132.64 |
| Deposit | 03/26/2012 | WIRE0... | | Don R Castleman Acco... | #1258 Don R. Castleman Accountancy Corp D... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -4,657,132.64 |
| Deposit | 03/26/2012 | 1259 | | Sterling Trust | #1259 Sterling Trust, Custodian FBO Manuel ... | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -4,757,132.64 |
| Deposit | 03/26/2012 | 1049 | | Jim & Sue Van Blarigan | #1049 Jim & Sue Van Blarigan-Initial Contribu... | 1011 · Heritage - 3513 - Operating | | 35,000.00 | -4,792,132.64 |
| Deposit | 03/28/2012 | WIRE | | SBC Portfolio Fund, LLC | #1018 IRA Services FBO Petersen, Judith- Ir... | 1011 · Heritage - 3513 - Operating | | 618,318.21 | -5,410,450.85 |
| Deposit | 03/28/2012 | 3969 | | SB Capital | #1049 Jim & Sue Van Blarigan Mgr Bonus-Ad... | 1011 · Heritage - 3513 - Operating | | 500.00 | -5,410,950.85 |
| Deposit | 03/28/2012 | 2481 | | SB Capital | #1192 Ronald Gordon- Mgr Bonus-Additional C... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -5,460,950.85 |
| Deposit | 03/28/2012 | 2281 | | Ronald J. Gordon, FBO... | #1192 Ronald Gordon- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -5,485,950.85 |
| Deposit | 03/28/2012 | 1504 | | RB Williams Inc. Defina... | #1263 Farnam Johnson Corp-Additional Contr... | 1011 · Heritage - 3513 - Operating | | 47,381.07 | -5,533,331.92 |
| Deposit | 03/28/2012 | 58011 | | RB Williams Inc. Defina... | #1263 Farnam Johnson Corp-Additional Contr... | 1011 · Heritage - 3513 - Operating | | 437,419.14 | -5,970,751.06 |
| Deposit | 03/28/2012 | 032920... | | Floyd J. and Annetta J... | #1035 Pingyu & Carolyn- Additional Contribu... | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -5,975,751.06 |
| Deposit | 03/30/2012 | 4418 | | Floyd J. and Annetta J... | #1026 Floyd and Annetta Purdy- Additional C... | 1011 · Heritage - 3513 - Operating | | 50.00 | -5,975,801.06 |
| Deposit | 03/30/2012 | 3880 | | Garcelia Lenhart | #1026 Floyd and Annetta Purdy- Additional C... | 1011 · Heritage - 3513 - Operating | | 50.00 | -5,975,851.06 |
| Deposit | 03/30/2012 | 3881 | | Garcelia Lenhart | #1026 Floyd and Annetta Purdy- Additional C... | 1011 · Heritage - 3513 - Operating | | 50.00 | -5,975,901.06 |
| Deposit | 03/30/2012 | 485 | | Investors Prime Fund, L... | #1229 Garcelia Lenhart- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -5,980,901.06 |
| Deposit | 03/30/2012 | 3979 | | Antonio F. Palumbo | #1035 Pingyu & Carolyn- Mgr Bonus For Tra... | 1011 · Heritage - 3513 - Operating | | 90,000.00 | -6,070,901.06 |
| Deposit | 04/02/2012 | 288 | | Hanzou Song and Jifeng... | #1186 Antonio Palumbo- Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,095,901.06 |
| Deposit | 04/02/2012 | 226 | | Jim and Sandy Bland | #1261 Hanzou Song and Jifeng Mao- Initial Co... | 1011 · Heritage - 3513 - Operating | | 45,000.00 | -6,140,901.06 |
| Deposit | 04/02/2012 | 1001 | | Frederick Schwalbach | #1257 Frederick Schwalbach- Capital Contribu... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,165,901.06 |
| Deposit | 04/02/2012 | 040220... | | Pingyu & Carolyn Liu | #1257 Pingyu & Carolyn Liu transferred from SBC PF | 1011 · Heritage - 3513 - Operating | | 3,094.95 | -6,168,996.01 |
| Deposit | 04/04/2012 | 3985 | | Antonio F. Palumbo | #1186 Antonio Palumbo- Mgr Bonus Additional... | 1011 · Heritage - 3513 - Operating | | 900.00 | -6,169,896.01 |
| Deposit | 04/04/2012 | 58266 | | IRA Services FBO Rain... | #0945 IRA Services FBO Rainert, Syd J.- Add... | 1011 · Heritage - 3513 - Operating | | 41,677.88 | -6,211,573.89 |
| Deposit | 04/05/2012 | 309 | | The Lauri E Fried-Lee &... | #1203 The Lauri E Fried-Lee & Donald Lee T... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,236,573.89 |
| Deposit | 04/05/2012 | 6355 | | Olivia Hone | #1285 Olivia Hone- Initial Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,261,573.89 |
| Deposit | 04/05/2012 | 2282 | | Donna Pastilo | #1268 Donna Pastilo-Initial Contribution | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -6,311,573.89 |
| Deposit | 04/06/2012 | 1754 | | SB Capital Corp. | #1203 The Lauri E. Fried-Lee and Donald T L... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,336,573.89 |
| Deposit | 04/06/2012 | 3257 | | Hanzou & Sylvia Yang T... | #1287 Hanzou & Sylvia Yang Ttees- Initial Co... | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -6,386,573.89 |
| Deposit | 04/06/2012 | 1480 | | Ysabel and Barbara Ku... | #1288 Ysabel and Barbara Kusang- Initial Con... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,411,573.89 |
| Deposit | 04/09/2012 | 1271 | | Gilmore-Hallivell Living... | #1172 Gilmore-Hallivell Living Trust Addition... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,436,573.89 |
| Deposit | 04/09/2012 | 2357 | | Nicholas Cabell | #0944 Nicholas Cabell-Additional Contribution | 1011 · Heritage - 3513 - Operating | | 100,000.00 | -6,536,573.89 |
| Deposit | 04/09/2012 | 1551 | | Marco Tostado | #1269 Marco Tostado- Initial Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,561,573.89 |
| Deposit | 04/12/2012 | 58628 | | IRA Services FBO Kirkl... | #1270 IRA Services FBO Kirkland, Barry- Init... | 1011 · Heritage - 3513 - Operating | | 31,358.87 | -6,592,932.76 |
| Deposit | 04/13/2012 | 371 | | Sih Revocable Trust | #0892 Sih Revocable Trust- Additional Contrib... | 1011 · Heritage - 3513 - Operating | | 5,000.00 | -6,597,932.76 |
| Deposit | 04/13/2012 | 58735 | | IRA Services FBO Mao... | #1260 IRA Services FBO Mao, Jifeng- Initial C... | 1011 · Heritage - 3513 - Operating | | 28,743.13 | -6,626,675.89 |
| Deposit | 04/13/2012 | 2493 | | SB Capital | #0944 Nicholas Cabell- Additional Contributi... | 1011 · Heritage - 3513 - Operating | | 1,000.00 | -6,627,675.89 |
| Deposit | 04/13/2012 | 4003 | | SB Capital | #1172 Gilmore-Hallivell Living Trust Additio... | 1011 · Heritage - 3513 - Operating | | 250.00 | -6,627,925.89 |
| Deposit | 04/13/2012 | 4004 | | SB Capital | #1158 Ronald Young- Additional Contribution... | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,652,925.89 |
| Deposit | 04/13/2012 | 1410 | | Ronald Alphet Young | #1158 Ronald Alphet Young- Additional Contrib... | 1011 · Heritage - 3513 - Operating | | 20,000.00 | -6,672,925.89 |
| Deposit | 04/17/2012 | 7186 | | Andre Luthard | #1038 Andre Luthard- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 60,000.00 | -6,732,925.89 |
| Deposit | 04/18/2012 | 4039 | | SB Capital | #0992 Peter Sih- Additional Contribution- Mgr ... | 1011 · Heritage - 3513 - Operating | | 50.00 | -6,732,975.89 |
| Deposit | 04/18/2012 | 4038 | | SB Capital | #1038 Andre Luthard- Additional Contribution... | 1011 · Heritage - 3513 - Operating | | 600.00 | -6,733,575.89 |
| Deposit | 04/24/2012 | 61048 | | IRA Services FBO Pres... | #1240 IRA Services FBO Presner, Samuel- Ad... | 1011 · Heritage - 3513 - Operating | | 6,000.00 | -6,739,575.89 |
| Deposit | 04/24/2012 | 61048 | | IRA Services FBO Pres... | #1238 IRA Services FBO Presner, Samuel | 1011 · Heritage - 3513 - Operating | | 6,000.00 | -6,745,575.89 |
| Deposit | 04/27/2012 | 170 | | Elisabeth H. McClintock | #1226 Elisabeth H. McClintock | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,770,575.89 |
| Deposit | 04/27/2012 | 61228 | | IRA Services FBO Pou... | #1095 IRA Services FBO Poutler, Charles D. ... | 1011 · Heritage - 3513 - Operating | | 6,000.00 | -6,776,575.89 |
| Deposit | 04/27/2012 | 4046 | | Elisabeth H. McClintock | Elisabeth H. McClintock- Mgr Bonus- Addition... | 1011 · Heritage - 3513 - Operating | | 250.00 | -6,776,825.89 |
| Deposit | 05/02/2012 | 1482 | | Judy Stoltz | #1250 Judy Stoltz- Additional Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,801,825.89 |
| Deposit | 05/04/2012 | 6851 | | Leo Family Trust - J. & ... | #1184 Leo Family Trust-Additional Contribution | 1011 · Heritage - 3513 - Operating | | 30,000.00 | -6,831,825.89 |
| Deposit | 05/07/2012 | 11284 | | Dan Barron | Dan Barron-Initial Contribution | 1011 · Heritage - 3513 - Operating | | 50,000.00 | -6,881,825.89 |
| Deposit | 05/08/2012 | 3107 | | Mary Potter | Mary Potter-Additional Contribution | 1011 · Heritage - 3513 - Operating | | 25,000.00 | -6,906,825.89 |
| Deposit | 05/08/2012 | 4073 | | SB Capital | Mary Potter- Mgr Bonus-Additional Contribution | 1011 · Heritage - 3513 - Operating | | | |

Investors Prime Fund
General Ledger
All Transactions

2:52 PM
08/18/16
Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|----------------------------------|------------|-----------|-----|--|------|------------|--------------|--------------|---------------|
| 3015 - Re-Invested Distributions | | | | | | | | | |
| General Journal | 01/01/2010 | Reinv ... | | Jan 10 Growth Distributions | | | | 32,231.30 | -32,231.30 |
| General Journal | 02/01/2010 | Reinv ... | | Feb 10 Growth investor distributions | | | | 32,463.83 | -45,695.13 |
| General Journal | 03/01/2010 | Reinv ... | | Mar 10 Growth investor distributions | | | | 30,460.60 | -40,157.73 |
| General Journal | 04/01/2010 | Reinv ... | | Apr 10 Growth investor distributions | | | | 31,918.29 | -126,076.02 |
| General Journal | 05/01/2010 | Reinv ... | | May 10 Growth investor distributions | | | | 32,323.01 | -160,399.03 |
| General Journal | 06/01/2010 | Reinv ... | | Re-class neg sale in May Distribution to be ... | | 119.39 | | | -160,279.64 |
| General Journal | 07/01/2010 | Reinv ... | | Jun 10 Growth investor distributions | | | | 34,531.99 | -220,808.96 |
| General Journal | 08/01/2010 | Reinv ... | | Jul 10 Growth investor distributions | | | | 33,697.33 | -255,231.60 |
| General Journal | 09/01/2010 | Reinv ... | | Aug 10 Growth investor distributions | | | | 35,523.94 | -304,253.99 |
| General Journal | 10/01/2010 | Reinv ... | | Sep 10 Growth investor distributions | | | | 39,064.09 | -348,424.85 |
| General Journal | 11/01/2010 | Reinv ... | | Oct 10 Growth investor distributions | | | | 42,120.86 | -390,145.93 |
| General Journal | 12/01/2010 | Reinv ... | | Nov 10 Growth investor distributions | | | | 44,554.09 | -434,578.88 |
| General Journal | 01/01/2011 | Reinv ... | | Dec 10 Growth investor distributions | | | | 43,235.56 | -487,814.44 |
| General Journal | 02/01/2011 | Reinv ... | | Re-class to be to ASX | | 219.16 | | | -488,033.60 |
| General Journal | 03/01/2011 | Reinv ... | | Close 2010 Re-Invested Distributions into Ret... | | 434,359.72 | | | -53,673.88 |
| General Journal | 04/01/2011 | Reinv ... | | Jan 11 Growth investor distributions | | | | 49,725.40 | -48,948.48 |
| General Journal | 05/01/2011 | Reinv ... | | Feb 11 Growth investor distributions | | | | 49,537.09 | -152,385.57 |
| General Journal | 06/01/2011 | Reinv ... | | Mar 11 Growth investor distributions | | | | 55,960.77 | -208,346.80 |
| General Journal | 07/01/2011 | Reinv ... | | Apr 11 Growth investor distributions | | | | 55,417.36 | -263,764.16 |
| General Journal | 08/01/2011 | Reinv ... | | MAY 2011 Growth investor's distributions | | | | 61,172.90 | -323,447.81 |
| General Journal | 09/01/2011 | Reinv ... | | JUNE 2011 Growth investor's distributions | | | | 66,770.77 | -390,218.58 |
| General Journal | 10/01/2011 | Reinv ... | | JULY 2011 Growth investor's distributions | | | | 68,332.72 | -458,551.30 |
| General Journal | 11/01/2011 | Reinv ... | | Aug 2011 Growth investor's distributions | | | | 73,175.62 | -531,726.92 |
| General Journal | 12/01/2011 | Reinv ... | | September re-invested distributions for IPF | | | | 75,266.68 | -606,993.60 |
| General Journal | 01/01/2012 | Reinv ... | | October re-invested distributions for IPF | | | | 78,242.94 | -685,236.54 |
| General Journal | 02/01/2012 | Reinv ... | | November re-invested distributions for IPF | | | | 74,623.21 | -759,859.75 |
| General Journal | 03/01/2012 | Reinv ... | | #1053 Allen Bartlett Distribution (cash) came b... | | | | | -834,482.95 |
| General Journal | 04/01/2012 | Reinv ... | | December re-invested distributions for IPF | | | | | -913,265.90 |
| General Journal | 05/01/2012 | Reinv ... | | Re-class out Distribution and Drows for 2011 | | | | | -980,423.24 |
| General Journal | 06/01/2012 | Reinv ... | | Re-class out Distribution and Drows for 2011 | | | | | -1,059,286.26 |
| General Journal | 07/01/2012 | Reinv ... | | #1053 Allen Bartlett Distribution (cash) came b... | | | | | -1,138,112.02 |
| General Journal | 08/01/2012 | Reinv ... | | February re-invested distributions for IPF | | | | | -1,217,000.57 |
| General Journal | 09/01/2012 | Reinv ... | | March re-invested distributions for IPF | | | | | -1,295,782.90 |
| General Journal | 10/01/2012 | Reinv ... | | Frederick Tum ACH Return | | | | | -1,374,565.30 |
| General Journal | 05/01/2012 | Reinv ... | | May re-invested distributions for IPF | | | | | -1,453,350.63 |
| 3020 - Earnings | | | | | | | | | |
| Total 3020 - Earnings | | | | | | | 1,180,823.56 | 1,592,372.19 | -401,748.63 |

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Case 5:14-cr-00531-RMW Document 67-1 Filed 08/22/16 Page 72 of 139

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All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
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| Check | 02/01/2010 | 3005 | | John Escobar | 0892-CHK 2/1/10 distrib | | | | |
| Check | 02/01/2010 | 3007 | | TD Ameritrade FBO Ja... | 0903-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 184.83 | | 68,938.23 |
| Check | 02/01/2010 | 3008 | | Allen Barbieri | 8821-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 543.67 | | 69,483.90 |
| Check | 02/01/2010 | 3009 | | Farideh & Rahmatollah ... | 0956-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 70,099.99 |
| Check | 02/01/2010 | 3010 | | TD Ameritrade FBO M... | 0857-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 906.26 | | 71,006.25 |
| Check | 02/01/2010 | 3011 | | Heller Living Trust | 0958-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 689.02 | | 71,675.27 |
| Check | 02/01/2010 | 3014 | | Frances M. Sheng Trust | 0987-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 184.83 | | 71,860.10 |
| Check | 02/01/2010 | 3015 | | Elise M. Napoli | 0988-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 72,014.12 |
| Check | 02/01/2010 | 3016 | | Marchman Survivor Trust | 0994-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 188.80 | | 72,203.92 |
| Check | 02/01/2010 | 3017 | | Philip J. & Joyce D. Bach | 0985-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,468.69 | | 73,672.61 |
| Check | 02/01/2010 | 3018 | | Fadia Damon | VOID: 1006-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 73,826.63 |
| Check | 02/01/2010 | 3012 | | Lillian Re | 0973-CHK 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 73,826.63 |
| Check | 02/01/2010 | 020110-1 | | Michael and Martha Re... | 0826-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 74,442.72 |
| Check | 02/01/2010 | 020110-2 | | James Bradford | 0848-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 657.05 | | 75,099.77 |
| Check | 02/01/2010 | 020110-3 | | Hutchinson Trust | 0851-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,848.28 | | 76,948.05 |
| Check | 02/01/2010 | 020110-4 | | Lee V. Emerson | 0851-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 77,564.14 |
| Check | 02/01/2010 | 020110-5 | | St. Clair 2003 Trust | 0856-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 894.60 | | 78,458.74 |
| Check | 02/01/2010 | 020110-6 | | Merville Shaw | 0862-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 850.14 | | 79,308.88 |
| Check | 02/01/2010 | 020110-7 | | Stephan Hengster | 0873-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 236.67 | | 79,545.55 |
| Check | 02/01/2010 | 020110-8 | | The Rainier Living Trust | 0877-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 380.52 | | 79,926.07 |
| Check | 02/01/2010 | 020110-9 | | Donald J. Scherl Revoc... | 0881-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 80,234.12 |
| Check | 02/01/2010 | 020110-10 | | Tunberg Testamentary ... | 0888-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 350.02 | | 80,584.14 |
| Check | 02/01/2010 | 020110-11 | | Saul Levin | 0890-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,509.43 | | 81,903.57 |
| Check | 02/01/2010 | 020110-12 | | Cramer Revocable Trust | 0893-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,232.18 | | 83,135.76 |
| Check | 02/01/2010 | 020110-13 | | Luna Family Trust | 0894-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 539.79 | | 83,675.55 |
| Check | 02/01/2010 | 020110-14 | | David and Denise Keste... | 0898-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 27.73 | | 83,703.28 |
| Check | 02/01/2010 | 020110-15 | | Jerome Weigert | 0898-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,571.04 | | 85,274.32 |
| Check | 02/01/2010 | 020110-16 | | Amrodi Trust | 0907-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,232.19 | | 86,506.51 |
| Check | 02/01/2010 | 020110-17 | | W. Ronald Raeker | 0908-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 86,660.53 |
| Check | 02/01/2010 | 020110-18 | | Trust of Michael and Ca... | 0913-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 462.07 | | 87,122.60 |
| Check | 02/01/2010 | 020110-19 | | Robert M. Lowen, M.D. ... | 0916-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.14 | | 88,046.74 |
| Check | 02/01/2010 | 020110-20 | | Korich Family Trust | 0928-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 831.88 | | 88,878.60 |
| Check | 02/01/2010 | 020110-21 | | The Charlene A. Cordo... | 0930-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 231.03 | | 89,109.63 |
| Check | 02/01/2010 | 020110-22 | | Richard J. Foglia | 0933-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,370.81 | | 90,480.44 |
| Check | 02/01/2010 | 020110-23 | | Robert M. Lowen M.D. | 0939-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 167.61 | | 90,648.05 |
| Check | 02/01/2010 | 020110-24 | | Donita Benke & Richar... | 0940-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 356.98 | | 91,005.03 |
| Check | 02/01/2010 | 020110-25 | | Candy R Neal & Anna V... | 0950-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 163.45 | | 91,168.48 |
| Check | 02/01/2010 | 020110-26 | | Le Felix Ventures | 0952-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 451.01 | | 91,619.49 |
| Check | 02/01/2010 | 020110-27 | | Anthony Lok | 0953-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 585.29 | | 92,204.78 |
| Check | 02/01/2010 | 020110-28 | | Herbert Hoglan | 0950-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 92,820.87 |
| Check | 02/01/2010 | 020110-29 | | Jenny D. Lee | 0951-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 817.50 | | 93,638.37 |
| Check | 02/01/2010 | 020110-30 | | Tsz-Kin Kwok | 0952-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,632.65 | | 95,271.02 |
| Check | 02/01/2010 | 020110-31 | | Bernard & Helen Rubin ... | 0963-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 777.08 | | 96,048.10 |
| Check | 02/01/2010 | 020110-32 | | Doris S. Davidson | 0965-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 238.49 | | 96,286.59 |
| Check | 02/01/2010 | 020110-33 | | Jane Luthard Revocabl... | 0967-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 96,594.64 |
| Check | 02/01/2010 | 020110-34 | | Frank E. Allen | 0975-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.07 | | 96,902.71 |
| Check | 02/01/2010 | 020110-35 | | Monique Bjornal | 0980-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 374.36 | | 97,277.07 |
| Check | 02/01/2010 | 020110-36 | | Pete Petersen | 0986-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,528.16 | | 98,805.23 |
| Check | 02/01/2010 | 020110-37 | | Patapoff Family Trust | 0988-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 99,113.28 |
| Check | 02/01/2010 | 020110-38 | | Russell L. Varenkamp Jr. | 0990-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,540.23 | | 100,653.51 |
| Check | 02/01/2010 | 020110-39 | | Ned & Lorraine Passma... | 0990-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 100,961.56 |
| Check | 02/01/2010 | 020110-40 | | The Smith 1986 Revoc... | 0998-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 101,269.61 |
| Check | 02/01/2010 | 020110-41 | | Hanson Family Trust | 1001-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 101,577.66 |
| Check | 02/01/2010 | 020110-42 | | Charles Poulter | 1002-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 101,885.71 |
| Check | 02/01/2010 | 020110-43 | | Levin Family Trust | 1005-ACH 2/1/10 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 102,039.73 |
| Check | 02/01/2010 | 020110-44 | | Fadia Damon | Feb 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 102,347.78 |
| General Journal | 02/01/2010 | 2001 | | TD Ameritrade FBO M... | Feb 10 Growth Investor distributions | 3015 - Re-Invested Distributions | 32,463.83 | | 102,381.61 |
| Check | 02/02/2010 | 2002 | | IRA Services FBO Glen... | Feb distribution | 1011 - Heritage - 3513 - Operating | 17.30 | | 135,698.66 |
| Check | 02/02/2010 | 2004 | | Linda S. Wilson | Feb 2010 Distribution | 1011 - Heritage - 3513 - Operating | 40.88 | | 135,739.54 |
| Check | 02/02/2010 | 2005 | | IRA Services FBO April... | Feb 2010 Distribution | 1011 - Heritage - 3513 - Operating | 30.10 | | 135,769.64 |
| Check | 02/02/2010 | 2006 | | Sotoudeh Family 2003 ... | Feb 2010 distribution | 1011 - Heritage - 3513 - Operating | 68.77 | | 135,838.41 |
| Check | 02/02/2010 | 2000 | | Amy J. Fillin | Feb 2010 Distribution | 1011 - Heritage - 3513 - Operating | 97.58 | | 135,935.99 |
| Check | 02/28/2010 | 2014 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 201.80 | | 136,137.79 |
| Check | 03/01/2010 | 3019 | | John Escobar | Mar 2010 Distribution | 1011 - Heritage - 3513 - Operating | 0.00 | | 136,137.79 |
| Check | 03/01/2010 | 3020 | | TD Ameritrade FBO Ja... | Mar 2010 Distribution | 1020 - Torrey Pines-7590 - Dist... | 172.30 | | 136,310.09 |
| Check | 03/01/2010 | 3021 | | Allen Barbieri | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 450.56 | | 136,760.65 |
| Check | 03/01/2010 | 3023 | | Farideh & Rahmatollah ... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 574.35 | | 137,335.00 |
| Check | 03/01/2010 | 3024 | | TD Ameritrade FBO M... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 735.16 | | 138,070.16 |
| Check | 03/01/2010 | 3025 | | Heller Living Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 552.41 | | 138,622.57 |
| Check | 03/01/2010 | 3026 | | SB Capital | Mar 2010 distribution \$0.4 - withdrawal of \$6.68 | 1020 - Torrey Pines-7590 - Dist... | 172.30 | | 138,794.87 |
| Check | 03/01/2010 | 3027 | | Lillian Re | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 0.04 | | 138,804.91 |
| Check | 03/01/2010 | 3028 | | Frances M. Sheng Trust | VOID: Mar 2010 distribution ck was for \$143.59 | 1020 - Torrey Pines-7590 - Dist... | 574.35 | | 139,379.26 |
| Check | 03/01/2010 | 3029 | | Elise M. Napoli | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 139,379.26 |
| Check | 03/01/2010 | 3030 | | Marchman Survivor Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 201.02 | | 139,580.28 |
| Check | 03/01/2010 | 3031 | | Philip J. & Joyce D. Bach | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,435.86 | | 141,016.14 |
| Check | 03/01/2010 | 3032 | | VOID | VOID | 1020 - Torrey Pines-7590 - Dist... | 143.59 | | 141,159.73 |
| Check | 03/01/2010 | 3033 | | Frances M. Sheng Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 141,159.73 |
| Check | 03/01/2010 | 030110-1 | | Michael and Martha Re... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 143.59 | | 141,303.32 |
| Check | 03/01/2010 | 030110-2 | | James Bradford | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 612.53 | | 141,915.85 |
| Check | 03/01/2010 | 030110-3 | | Hutchinson Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,723.04 | | 143,638.89 |
| Check | 03/01/2010 | 030110-4 | | Lee V. Emerson | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 574.35 | | 144,213.24 |
| Check | 03/01/2010 | 030110-5 | | St. Clair 2003 Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 725.55 | | 144,938.79 |
| Check | 03/01/2010 | 030110-6 | | Stephan Hengster | Mar 2010 Distribution | 1020 - Torrey Pines-7590 - Dist... | 608.09 | | 145,546.88 |
| Check | 03/01/2010 | 030110-7 | | The Rainier Living Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 402.04 | | 145,948.92 |
| Check | 03/01/2010 | 030110-8 | | Donald J. Scherl Revoc... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 287.17 | | 146,236.09 |
| Check | 03/01/2010 | 030110-9 | | Tunberg Testamentary ... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 289.01 | | 146,525.10 |
| Check | 03/01/2010 | 030110-10 | | Saul Levin | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,407.15 | | 147,932.25 |
| Check | 03/01/2010 | 030110-11 | | Cramer Revocable Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,148.69 | | 149,080.94 |
| Check | 03/01/2010 | 030110-12 | | David and Denise Keste... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 236.42 | | 149,317.36 |
| Check | 03/01/2010 | 030110-13 | | Jerome Weigert | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,464.58 | | 150,781.94 |
| Check | 03/01/2010 | 030110-14 | | Amrodi Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,148.69 | | 151,930.63 |
| Check | 03/01/2010 | 030110-15 | | W. Ronald Raeker | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 143.59 | | 152,074.22 |
| Check | 03/01/2010 | 030110-16 | | Trust of Michael and Ca... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 430.76 | | 152,505.08 |
| Check | 03/01/2010 | 030110-17 | | Robert M. Lowen, M.D. ... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 861.52 | | 153,366.60 |
| Check | 03/01/2010 | 030110-18 | | Korich Family Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 686.07 | | 154,052.67 |
| Check | 03/01/2010 | 030110-19 | | The Charlene A. Cordo... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 215.38 | | 154,268.05 |
| Check | 03/01/2010 | 030110-20 | | Robert M. Lowen M.D. | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,277.92 | | 155,545.97 |
| Check | 03/01/2010 | 030110-21 | | Candy R Neal & Anna V... | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 294.76 | | 155,840.73 |
| Check | 03/01/2010 | 030110-22 | | Le Felix Ventures | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 347.57 | | 156,188.30 |
| Check | 03/01/2010 | 030110-23 | | Anthony Lok | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 738.47 | | 156,926.77 |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|--|---|------------------------------------|-----------|--------|------------|
| Check | 03/01/2010 | 030110... | | The Smith 1996 Revoc... | Mar 2010 distribution | | | | |
| Check | 03/01/2010 | 030110... | | Hanson Family Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 287.17 | | 165,536.63 |
| Check | 03/01/2010 | 030110... | | Charles Poulter | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 143.59 | | 165,680.20 |
| Check | 03/01/2010 | 030110... | | Levin Family Trust | Mar 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 287.17 | | 165,967.39 |
| General Journal | 03/01/2010 | 030110... | | Fadia Damon | Mar 10 Growth investor distributions | 1020 - Torrey Pines-7590 - Dist... | 756.65 | | 166,724.04 |
| Check | 03/17/2010 | 2024 | | IRA FBO Richard White | replace cld2003 as IRA acct closed at Pershing... | 3015 - Re-Invested Distributions | 30,460.60 | | 167,041.41 |
| Check | 04/01/2010 | 040110-1 | | VOID | | 1011 - Heritage - 3513 - Operating | 175.26 | | 167,502.01 |
| Check | 04/01/2010 | 040110-2 | | Michael and Martha Re... | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 167,502.01 |
| Check | 04/01/2010 | 040110-3 | | James Bradford | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 816.83 | | 167,577.27 |
| Check | 04/01/2010 | 040110-4 | | Hutchinson Trust | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,735.15 | | 169,294.10 |
| Check | 04/01/2010 | 040110-5 | | Lee V. Emerson | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 576.38 | | 200,028.25 |
| Check | 04/01/2010 | 040110-6 | | St. Clair 2003 Trust | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 579.53 | | 200,607.63 |
| Check | 04/01/2010 | 040110-7 | | Stephan Hengster | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 610.35 | | 201,187.16 |
| Check | 04/01/2010 | 040110-8 | | The Rainier Living Trust | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 433.79 | | 201,787.51 |
| Check | 04/01/2010 | 040110-9 | | Donald J. Scherl Revoc... | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 202,231.30 |
| Check | 04/01/2010 | 040110... | | Turnberg Testamentary ... | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 168.53 | | 202,520.49 |
| Check | 04/01/2010 | 3034 | | Saul Levin | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,417.04 | | 202,688.02 |
| Check | 04/01/2010 | 3035 | | John Escobar | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,156.77 | | 204,106.06 |
| Check | 04/01/2010 | 040110... | | TD Ameritrade FBO Ja... | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 205,262.83 |
| Check | 04/01/2010 | 040110... | | Cramer Revocable Trust | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 395.88 | | 205,262.83 |
| Check | 04/01/2010 | 040110... | | David and Denise Keste... | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 236.22 | | 205,658.71 |
| Check | 04/01/2010 | 040110... | | Jerome Weigert | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,474.88 | | 205,894.93 |
| Check | 04/01/2010 | 040110... | | Amrodi Trust | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,156.77 | | 207,368.81 |
| Check | 04/01/2010 | 040110... | | W. Ronald Raecker | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 144.50 | | 208,526.58 |
| Check | 04/01/2010 | 040110... | | Trust of Michael and Ca... | VOID: #0913-ACH failed - replaced by 4/1/100 ... | 1020 - Torrey Pines-7590 - Dist... | 433.79 | | 208,971.18 |
| Check | 04/01/2010 | 3036 | | Robert M. Lowen, M.D. ... | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 209,104.87 |
| Check | 04/01/2010 | 3037 | | IRA FBO Richard White | Apr 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 615.20 | | 209,720.17 |
| Check | 04/01/2010 | 3038 | | Farideh & Rahmatollah ... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 578.38 | | 210,288.55 |
| Check | 04/01/2010 | 3040 | | TD Ameritrade FBO M... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 0.39 | | 210,288.55 |
| Check | 04/01/2010 | 3041 | | Hedler Living Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 622.78 | | 210,911.33 |
| Check | 04/01/2010 | 3042 | | Lillian Re | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 458.46 | | 211,420.18 |
| Check | 04/01/2010 | 3043 | | Frances M. Sheng Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 173.52 | | 211,593.70 |
| Check | 04/01/2010 | 3044 | | Elsie M. Napoli | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 578.38 | | 212,172.08 |
| Check | 04/01/2010 | 3045 | | Sotoudeh Family 2003 ... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 144.76 | | 212,316.84 |
| Check | 04/01/2010 | 3046 | | Marchman Survivor Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 202.43 | | 212,519.27 |
| Check | 04/01/2010 | 3047 | | Philip J. & Joyce D. Bach | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1.19 | | 212,520.46 |
| Check | 04/01/2010 | 040110... | | IRA Services FBO Pete... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,443.96 | | 213,964.42 |
| Check | 04/01/2010 | 040110... | | Korich Family Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 149.37 | | 214,114.02 |
| Check | 04/01/2010 | 040110... | | The Charlene A. Cordo... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 216.89 | | 214,276.28 |
| Check | 04/01/2010 | 040110... | | Robert M. Lowen, M.D. ... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,286.90 | | 215,764.18 |
| Check | 04/01/2010 | 040110... | | Candy R Neal & Anna V... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 220.34 | | 215,984.52 |
| Check | 04/01/2010 | 040110... | | Le Felix Ventures | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 282.17 | | 216,276.69 |
| Check | 04/01/2010 | 040110... | | Anthony Lok | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 809.74 | | 217,086.43 |
| Check | 04/01/2010 | 040110... | | Herbert Hoglan | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 578.38 | | 217,664.81 |
| Check | 04/01/2010 | 040110... | | Jenny D. Lee | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 806.46 | | 218,471.27 |
| Check | 04/01/2010 | 040110... | | Tsz-Kin Kwok | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,532.72 | | 220,003.99 |
| Check | 04/01/2010 | 040110... | | Doris S. Davidson | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 841.45 | | 220,845.44 |
| Check | 04/01/2010 | 040110... | | Jane Luthard Revocabl... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 221,134.63 |
| Check | 04/01/2010 | 040110... | | Frank E. Allen | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 280.15 | | 221,424.78 |
| Check | 04/01/2010 | 040110... | | Monique Bjornal | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 351.45 | | 221,776.23 |
| Check | 04/01/2010 | 040110... | | Pete Petersen | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,482.61 | | 223,258.84 |
| Check | 04/01/2010 | 040110... | | Patapoff Family Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.48 | | 223,548.32 |
| Check | 04/01/2010 | 040110... | | Russell L. Varenkamp Jr. | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,445.96 | | 225,000.89 |
| Check | 04/01/2010 | 040110... | | Neal & Lorraine Pasama... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 225,289.28 |
| Check | 04/01/2010 | 040110... | | The Smith 1996 Revoc... | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 225,578.47 |
| Check | 04/01/2010 | 040110... | | Hanson Family Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 225,867.66 |
| Check | 04/01/2010 | 040110... | | Charles Poulter | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 226,156.85 |
| Check | 04/01/2010 | 040110... | | Levin Family Trust | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 144.60 | | 226,301.45 |
| Check | 04/01/2010 | 040110... | | Fadia Damon | Apr 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 289.19 | | 226,590.64 |
| General Journal | 04/01/2010 | ACH | | Trust of Michael and Ca... | replacement for #0913-ACH failed on 3/31/10 ... | 1020 - Torrey Pines-7590 - Dist... | 792.38 | | 227,383.02 |
| Check | 04/27/2010 | 2082 | | John Escobar | Apr 10 Growth investor distributions | 3015 - Re-Invested Distributions | 727.64 | | 228,110.66 |
| Check | 05/01/2010 | 3048 | | John Escobar | to replace 3/31/10 distribution cld3034 | 1011 - Heritage - 3513 - Operating | 31,818.29 | | 259,928.95 |
| Check | 05/01/2010 | 3050 | | TD Ameritrade FBO Ja... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 173.52 | | 260,102.47 |
| Check | 05/01/2010 | 3051 | | Allen Bartlett | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 174.48 | | 260,276.95 |
| Check | 05/01/2010 | 3052 | | Eschry Trust FBO Danni... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 309.46 | | 260,586.41 |
| Check | 05/01/2010 | 3053 | | Farideh & Rahmatollah ... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 581.83 | | 261,168.24 |
| Check | 05/01/2010 | 3054 | | TD Ameritrade FBO M... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 32.85 | | 261,201.09 |
| Check | 05/01/2010 | 3055 | | Hedler Living Trust | VOID: See cld3057 | 1020 - Torrey Pines-7590 - Dist... | 482.20 | | 261,683.29 |
| Check | 05/01/2010 | 3056 | | Lillian Re | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 261,683.29 |
| Check | 05/01/2010 | 3057 | | VOID: May 2010 distributio-replaced by cld3... | | 1020 - Torrey Pines-7590 - Dist... | 177.32 | | 261,860.61 |
| Check | 05/01/2010 | 3058 | | Frances M. Sheng Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 261,860.61 |
| Check | 05/01/2010 | 3059 | | Elsie M. Napoli | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 148.53 | | 262,009.14 |
| Check | 05/01/2010 | 3060 | | Marchman Survivor Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 208.07 | | 262,217.21 |
| Check | 05/01/2010 | 3061 | | Philip J. & Joyce D. Bach | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,638.15 | | 263,855.26 |
| Check | 05/01/2010 | 3062 | | IRA Services FBO Pete... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 178.06 | | 264,033.58 |
| Check | 05/01/2010 | 3063 | | Huazhen Deng | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 596.65 | | 264,630.22 |
| Check | 05/01/2010 | 050110-1 | | Kim Mitem Nguyen Liv ... | May 2020 distributio | 1020 - Torrey Pines-7590 - Dist... | 28.81 | | 264,705.87 |
| Check | 05/01/2010 | 050110-2 | | Michael and Martha Re... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 24.84 | | 264,730.68 |
| Check | 05/01/2010 | 050110-3 | | James Bradford | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 619.88 | | 265,350.50 |
| Check | 05/01/2010 | 050110-4 | | Hutchinson Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 581.80 | | 265,932.30 |
| Check | 05/01/2010 | 050110-5 | | Lee V. Emerson | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,744.81 | | 267,677.11 |
| Check | 05/01/2010 | 050110-6 | | St. Clair 2003 Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 401.09 | | 268,078.20 |
| Check | 05/01/2010 | 050110-7 | | Stephan Hengster | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 610.30 | | 268,688.50 |
| Check | 05/01/2010 | 050110-8 | | The Rainier Living Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 438.79 | | 269,127.29 |
| Check | 05/01/2010 | 050110-9 | | Donald J. Scherl Revoc... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 290.80 | | 269,418.09 |
| Check | 05/01/2010 | 050110... | | Turnberg Testamentary ... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 14.91 | | 269,432.99 |
| Check | 05/01/2010 | 050110... | | Saul Levin | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,239.63 | | 270,672.62 |
| Check | 05/01/2010 | 050110... | | James T. Cramer | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,166.15 | | 271,838.77 |
| Check | 05/01/2010 | 050110... | | David and Denise Keste... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 232.57 | | 272,071.34 |
| Check | 05/01/2010 | 050110... | | Jerome Weigert | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,485.52 | | 273,556.87 |
| Check | 05/01/2010 | 050110... | | Amrodi Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,166.51 | | 274,723.38 |
| Check | 05/01/2010 | 050110... | | W. Ronald Raecker | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 144.50 | | 274,867.88 |
| Check | 05/01/2010 | 050110... | | Trust of Michael and Ca... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 441.29 | | 275,309.17 |
| Check | 05/01/2010 | 050110... | | Robert M. Lowen, M.D. ... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 569.51 | | 275,878.68 |
| Check | 05/01/2010 | 050110... | | Korich Family Trust | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 518.59 | | 276,397.27 |
| Check | 05/01/2010 | 050110... | | The Charlene A. Cordo... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 213.15 | | 276,610.42 |
| Check | 05/01/2010 | 050110... | | Robert M. Lowen, M.D. ... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,268.82 | | 277,879.24 |
| Check | 05/01/2010 | 050110... | | Candy R Neal & Anna V... | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 96.70 | | 277,975.94 |
| Check | 05/01/2010 | 050110... | | Le Felix Ventures | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 204.44 | | 278,072.38 |
| Check | 05/01/2010 | 050110... | | Anthony Lok | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 789.85 | | 278,862.23 |
| Check | 05/01/2010 | 050110... | | Herbert Hoglan | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 589.89 | | 279,452.12 |
| Check | 05/01/2010 | 050110... | | Jenny D. Lee | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 827.12 | | 280,279.24 |
| Check | 05/01/2010 | 050110... | | Tsz-Kin Kwok | May 2010 distributio | 1020 - Torrey Pines-7590 - Dist... | 1,568.96 | | 281,848.20 |
| Check | 05/01/20 | | | | | | | | |

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08/10/16Investors Prime Fund
General Ledger
All Transactions

Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|--|------------------------------------|-----------|--------|------------|
| Check | 05/01/2010 | 050110... | | Ned & Lorraine Pasma... | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 297.10 | | 288,027.53 |
| Check | 05/01/2010 | 050110... | | The Smith 1996 Revoc... | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 288,325.43 |
| Check | 05/01/2010 | 050110... | | Hanson Family Trust | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 297.85 | | 288,623.38 |
| Check | 05/01/2010 | 050110... | | Charles Poulter | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 143.99 | | 288,772.37 |
| Check | 05/01/2010 | 050110... | | Hanson Family Trust | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 288.11 | | 289,070.48 |
| Check | 05/01/2010 | 050110... | | Freda Dembo | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 103.42 | | 289,173.90 |
| Check | 05/01/2010 | 3094 | | Peneco, DBO P.F. And... | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 623.88 | | 289,807.78 |
| Check | 05/01/2010 | 3095 | | Lillian Re | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 21.78 | | 290,019.60 |
| Check | 05/01/2010 | 3096 | | Sauer Family Trust - Ac... | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 413.44 | | 290,636.38 |
| Check | 05/01/2010 | 3097 | | IRA Services FBO Mar... | May 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 32,323.91 | | 291,049.82 |
| General Journal | 05/01/2010 | 2091 | | Tin Thi To | May 2010 Distribution as ACH not yet initiated | 1011 - Holdings-7513 - Options | 24.44 | | 323,372.83 |
| General Journal | 05/01/2010 | May Ne... | | | Re-class neg acts in May Distribution to the Au... | 3015 - Re-Invested Distributions | 118.39 | | 323,491.22 |
| Check | 06/01/2010 | 3098 | | John Escobar | #0982-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 323,673.66 |
| Check | 06/01/2010 | 3099 | | TD Ameritrade FBO Ja... | #0983-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 319.78 | | 323,993.44 |
| Check | 06/01/2010 | 3071 | | John Escobar | #0984-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 608.13 | | 324,601.57 |
| Check | 06/01/2010 | 3072 | | Ferdin & Ramadallah ... | #0985-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 469.33 | | 325,070.90 |
| Check | 06/01/2010 | 3073 | | IRA Services FBO Mar... | #0986-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 183.23 | | 325,254.13 |
| Check | 06/01/2010 | 3074 | | Heller Living Trust | #0987-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 769.34 | | 326,023.47 |
| Check | 06/01/2010 | 3075 | | Lillian Re | #0988-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 153.48 | | 326,176.95 |
| Check | 06/01/2010 | 3076 | | Elise M. Napoli | #0989-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 115.01 | | 326,291.96 |
| Check | 06/01/2010 | 3077 | | Marathon Survivor Tru... | #0990-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 308.37 | | 326,599.40 |
| Check | 06/01/2010 | 3078 | | Philip J. & Joyce D. Ba... | #0991-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 327,217.49 |
| Check | 06/01/2010 | 3079 | | IRA Services FBO Pe... | #0992-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 327,371.51 |
| Check | 06/01/2010 | 3080 | | Kim Nien Nguyen Liv ... | #0993-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 154.04 | | 327,525.55 |
| Check | 06/01/2010 | 3081 | | Tin Thi To | #0994-CHK June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 327,708.00 |
| Check | 06/01/2010 | 060110-1 | | James Bradford | #0995-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 60.12 | | 327,768.12 |
| Check | 06/01/2010 | 060110-2 | | Hudson Trust | #0996-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 375.01 | | 328,143.13 |
| Check | 06/01/2010 | 060110-3 | | Lee V. Emerson | #0997-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 94.172 | | 328,237.30 |
| Check | 06/01/2010 | 060110-4 | | Stephen Woodard | #0998-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 30.55 | | 328,267.85 |
| Check | 06/01/2010 | 060110-5 | | The Rainier Living Tru... | #0999-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,302.34 | | 329,570.19 |
| Check | 06/01/2010 | 060110-6 | | Saul Levin | #1000-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,218.46 | | 330,788.65 |
| Check | 06/01/2010 | 060110-7 | | James T. Omer | #1001-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 13.72 | | 330,802.37 |
| Check | 06/01/2010 | 060110-8 | | Armed Trust | #1002-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,590.71 | | 332,393.08 |
| Check | 06/01/2010 | 060110-9 | | W. Ronald Recker | #1003-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,185.25 | | 333,578.33 |
| Check | 06/01/2010 | 060110-10 | | Trust of Michael and Ca... | #1004-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 143.05 | | 333,721.38 |
| Check | 06/01/2010 | 060110-11 | | Kim Nien Nguyen Liv ... | #1005-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 150.26 | | 333,871.64 |
| Check | 06/01/2010 | 060110-12 | | Kim Nien Nguyen Liv ... | #1006-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 335,162.85 |
| Check | 06/01/2010 | 060110-13 | | Kim Nien Nguyen Liv ... | #1007-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 336,454.06 |
| Check | 06/01/2010 | 060110-14 | | Kim Nien Nguyen Liv ... | #1008-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 337,745.27 |
| Check | 06/01/2010 | 060110-15 | | Kim Nien Nguyen Liv ... | #1009-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 339,036.48 |
| Check | 06/01/2010 | 060110-16 | | Kim Nien Nguyen Liv ... | #1010-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 340,327.69 |
| Check | 06/01/2010 | 060110-17 | | Kim Nien Nguyen Liv ... | #1011-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 341,618.90 |
| Check | 06/01/2010 | 060110-18 | | Kim Nien Nguyen Liv ... | #1012-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 342,910.11 |
| Check | 06/01/2010 | 060110-19 | | Kim Nien Nguyen Liv ... | #1013-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 344,201.32 |
| Check | 06/01/2010 | 060110-20 | | Kim Nien Nguyen Liv ... | #1014-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 345,492.53 |
| Check | 06/01/2010 | 060110-21 | | Kim Nien Nguyen Liv ... | #1015-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 346,783.74 |
| Check | 06/01/2010 | 060110-22 | | Kim Nien Nguyen Liv ... | #1016-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 348,074.95 |
| Check | 06/01/2010 | 060110-23 | | Kim Nien Nguyen Liv ... | #1017-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 349,366.16 |
| Check | 06/01/2010 | 060110-24 | | Kim Nien Nguyen Liv ... | #1018-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 350,657.37 |
| Check | 06/01/2010 | 060110-25 | | Kim Nien Nguyen Liv ... | #1019-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 351,948.58 |
| Check | 06/01/2010 | 060110-26 | | Kim Nien Nguyen Liv ... | #1020-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 353,239.79 |
| Check | 06/01/2010 | 060110-27 | | Kim Nien Nguyen Liv ... | #1021-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 354,531.00 |
| Check | 06/01/2010 | 060110-28 | | Kim Nien Nguyen Liv ... | #1022-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 355,822.21 |
| Check | 06/01/2010 | 060110-29 | | Kim Nien Nguyen Liv ... | #1023-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 357,113.42 |
| Check | 06/01/2010 | 060110-30 | | Kim Nien Nguyen Liv ... | #1024-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 358,404.63 |
| Check | 06/01/2010 | 060110-31 | | Kim Nien Nguyen Liv ... | #1025-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 359,695.84 |
| Check | 06/01/2010 | 060110-32 | | Kim Nien Nguyen Liv ... | #1026-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 360,987.05 |
| Check | 06/01/2010 | 060110-33 | | Kim Nien Nguyen Liv ... | #1027-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 362,278.26 |
| Check | 06/01/2010 | 060110-34 | | Kim Nien Nguyen Liv ... | #1028-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 363,569.47 |
| Check | 06/01/2010 | 060110-35 | | Kim Nien Nguyen Liv ... | #1029-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 364,860.68 |
| Check | 06/01/2010 | 060110-36 | | Kim Nien Nguyen Liv ... | #1030-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 366,151.89 |
| Check | 06/01/2010 | 060110-37 | | Kim Nien Nguyen Liv ... | #1031-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 367,443.10 |
| Check | 06/01/2010 | 060110-38 | | Kim Nien Nguyen Liv ... | #1032-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 368,734.31 |
| Check | 06/01/2010 | 060110-39 | | Kim Nien Nguyen Liv ... | #1033-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 370,025.52 |
| Check | 06/01/2010 | 060110-40 | | Kim Nien Nguyen Liv ... | #1034-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 371,316.73 |
| Check | 06/01/2010 | 060110-41 | | Kim Nien Nguyen Liv ... | #1035-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 372,607.94 |
| Check | 06/01/2010 | 060110-42 | | Kim Nien Nguyen Liv ... | #1036-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 373,899.15 |
| Check | 06/01/2010 | 060110-43 | | Kim Nien Nguyen Liv ... | #1037-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 375,190.36 |
| Check | 06/01/2010 | 060110-44 | | Kim Nien Nguyen Liv ... | #1038-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 376,481.57 |
| Check | 06/01/2010 | 060110-45 | | Kim Nien Nguyen Liv ... | #1039-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 377,772.78 |
| Check | 06/01/2010 | 060110-46 | | Kim Nien Nguyen Liv ... | #1040-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 379,063.99 |
| Check | 06/01/2010 | 060110-47 | | Kim Nien Nguyen Liv ... | #1041-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 380,355.20 |
| Check | 06/01/2010 | 060110-48 | | Kim Nien Nguyen Liv ... | #1042-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 381,646.41 |
| Check | 06/01/2010 | 060110-49 | | Kim Nien Nguyen Liv ... | #1043-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 382,937.62 |
| Check | 06/01/2010 | 060110-50 | | Kim Nien Nguyen Liv ... | #1044-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 384,228.83 |
| Check | 06/01/2010 | 060110-51 | | Kim Nien Nguyen Liv ... | #1045-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 385,520.04 |
| Check | 06/01/2010 | 060110-52 | | Kim Nien Nguyen Liv ... | #1046-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 386,811.25 |
| Check | 06/01/2010 | 060110-53 | | Kim Nien Nguyen Liv ... | #1047-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 388,102.46 |
| Check | 06/01/2010 | 060110-54 | | Kim Nien Nguyen Liv ... | #1048-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 389,393.67 |
| Check | 06/01/2010 | 060110-55 | | Kim Nien Nguyen Liv ... | #1049-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 390,684.88 |
| Check | 06/01/2010 | 060110-56 | | Kim Nien Nguyen Liv ... | #1050-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 391,976.09 |
| Check | 06/01/2010 | 060110-57 | | Kim Nien Nguyen Liv ... | #1051-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 393,267.30 |
| Check | 06/01/2010 | 060110-58 | | Kim Nien Nguyen Liv ... | #1052-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 394,558.51 |
| Check | 06/01/2010 | 060110-59 | | Kim Nien Nguyen Liv ... | #1053-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 395,849.72 |
| Check | 06/01/2010 | 060110-60 | | Kim Nien Nguyen Liv ... | #1054-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 397,140.93 |
| Check | 06/01/2010 | 060110-61 | | Kim Nien Nguyen Liv ... | #1055-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 398,432.14 |
| Check | 06/01/2010 | 060110-62 | | Kim Nien Nguyen Liv ... | #1056-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 399,723.35 |
| Check | 06/01/2010 | 060110-63 | | Kim Nien Nguyen Liv ... | #1057-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 401,014.56 |
| Check | 06/01/2010 | 060110-64 | | Kim Nien Nguyen Liv ... | #1058-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 402,305.77 |
| Check | 06/01/2010 | 060110-65 | | Kim Nien Nguyen Liv ... | #1059-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 403,596.98 |
| Check | 06/01/2010 | 060110-66 | | Kim Nien Nguyen Liv ... | #1060-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 404,888.19 |
| Check | 06/01/2010 | 060110-67 | | Kim Nien Nguyen Liv ... | #1061-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 406,179.40 |
| Check | 06/01/2010 | 060110-68 | | Kim Nien Nguyen Liv ... | #1062-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 407,470.61 |
| Check | 06/01/2010 | 060110-69 | | Kim Nien Nguyen Liv ... | #1063-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 408,761.82 |
| Check | 06/01/2010 | 060110-70 | | Kim Nien Nguyen Liv ... | #1064-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 410,053.03 |
| Check | 06/01/2010 | 060110-71 | | Kim Nien Nguyen Liv ... | #1065-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 411,344.24 |
| Check | 06/01/2010 | 060110-72 | | Kim Nien Nguyen Liv ... | #1066-ACH June 2010 distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.21 | | 412,635.45 |
| Check | 06/01/2 | | | | | | | | |

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Investors Prime Fund

08/18/16

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|---|------------------------------------|-----------|--------|------------|
| Check | 07/01/2010 | 070110... | | Levin Family Trust | Jul 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 278.67 | | 421,430.89 |
| Check | 07/01/2010 | 070110... | | Fadia Damon | Jul 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 783.56 | | 422,194.55 |
| Check | 07/01/2010 | 070110... | | Huazhen Deng | Jul 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 139.34 | | 422,333.89 |
| Check | 07/01/2010 | 070110... | | Elizabeth Cehovec | Jul 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 260.09 | | 422,593.98 |
| General Journal | 07/01/2010 | Reinv... | | | Jul 10 Growth investor distributions | 3015 - Re-Invested Distributions | 38,887.33 | | 458,481.31 |
| Check | 07/06/2010 | 070610-1 | | Lillian Re | Jul 10 Distrib | 1020 - Torrey Pines-7590 - Dist... | 775.72 | | 457,257.03 |
| Check | 07/06/2010 | 070610-2 | | Michael K. Griswold | Jul 2010 Distribution | 1020 - Torrey Pines-7590 - Dist... | 134.69 | | 457,391.72 |
| Check | 07/06/2010 | 070610-3 | | Miu Ying Tsang | Jul 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 46.45 | | 457,438.17 |
| Check | 07/16/2010 | 3086 | | Wason Family Trust | Jul 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 269.38 | | 457,707.55 |
| Check | 08/01/2010 | 3087 | | John Escobar | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 176.05 | | 457,883.60 |
| Check | 08/01/2010 | 3088 | | TD Ameritrade FBO Ja... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 220.09 | | 458,103.69 |
| Check | 08/01/2010 | 3099 | | Allen Barbieri | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 586.84 | | 458,690.53 |
| Check | 08/01/2010 | 3100 | | Fatideh & Rahmatollah ... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 124.06 | | 458,814.59 |
| Check | 08/01/2010 | 3101 | | IRA Services FBO Maur... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 32.68 | | 458,847.27 |
| Check | 08/01/2010 | 3102 | | Heller Living Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 176.81 | | 459,024.08 |
| Check | 08/01/2010 | 3101 | | Frances M. Sheng Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 296.73 | | 459,320.81 |
| Check | 08/01/2010 | 3104 | | Elsie M. Napoli | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 267.53 | | 459,588.34 |
| Check | 08/01/2010 | 3105 | | Marchman Survivor Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 2,872.15 | | 462,560.49 |
| Check | 08/01/2010 | 3106 | | Philip J. & Joyce D. Bach | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 288.44 | | 462,858.93 |
| Check | 08/01/2010 | 3107 | | IRA Services FBO Pete... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 594.52 | | 463,453.45 |
| Check | 08/01/2010 | 3108 | | Kim Nitem Nguyen Liv ... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 463,602.08 |
| Check | 08/01/2010 | 3109 | | Tin Thi To | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 463,750.71 |
| Check | 08/01/2010 | 3110 | | Andre Luthard | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 88.30 | | 463,839.01 |
| Check | 08/01/2010 | 3111 | | Sauer Family Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 44.58 | | 463,883.59 |
| Check | 08/01/2010 | 3112 | | TD Ameritrade FBO T.L... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 357.90 | | 464,239.49 |
| Check | 08/01/2010 | 3113 | | TD Ameritrade FBO Ca... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 20.76 | | 464,260.27 |
| Check | 08/01/2010 | 3114 | | IRA Services FBO Maril... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 23.45 | | 464,283.72 |
| Check | 08/01/2010 | 3115 | | Robert N. Lowen, M.D. ... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 221.26 | | 464,504.98 |
| Check | 08/01/2010 | 3116 | | Wason Family Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 287.88 | | 464,802.86 |
| Check | 08/01/2010 | 3117 | | Frank E. Allen Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 363.01 | | 465,165.87 |
| Check | 08/01/2010 | 080110-1 | | Michael and Martha Re... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 625.74 | | 465,791.71 |
| Check | 08/01/2010 | 080110-2 | | James Bradford | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,750.48 | | 467,542.19 |
| Check | 08/01/2010 | 080110-3 | | Hutchinson Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 586.83 | | 468,128.02 |
| Check | 08/01/2010 | 080110-4 | | Lee V. Emerson | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 229.04 | | 468,358.06 |
| Check | 08/01/2010 | 080110-5 | | St. Clair 2003 Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 446.65 | | 468,804.71 |
| Check | 08/01/2010 | 080110-6 | | Stephan Hengstler | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 444.42 | | 469,259.13 |
| Check | 08/01/2010 | 080110-7 | | The Rainier Living Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 293.41 | | 469,552.54 |
| Check | 08/01/2010 | 080110-8 | | Tunberg Testamentary ... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,108.11 | | 470,660.65 |
| Check | 08/01/2010 | 080110-9 | | Saul Levin | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,173.87 | | 471,834.52 |
| Check | 08/01/2010 | 080110... | | David and Denise Keste... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,496.41 | | 473,330.93 |
| Check | 08/01/2010 | 080110... | | Jerome Weigert | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,001.07 | | 474,332.00 |
| Check | 08/01/2010 | 080110... | | Amrod Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.71 | | 474,479.71 |
| Check | 08/01/2010 | 080110... | | W. Ronald Raecker | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 442.05 | | 474,920.76 |
| Check | 08/01/2010 | 080110... | | Korich Family Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 218.42 | | 475,140.18 |
| Check | 08/01/2010 | 080110... | | The Charlene A. Cordo... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,246.03 | | 476,386.21 |
| Check | 08/01/2010 | 080110... | | Le Felix Ventures | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 836.55 | | 477,222.76 |
| Check | 08/01/2010 | 080110... | | Anthony Lok | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 587.43 | | 477,810.19 |
| Check | 08/01/2010 | 080110... | | Herbert Hogan | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 824.77 | | 477,834.96 |
| Check | 08/01/2010 | 080110... | | Jenny D. Lee | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,564.95 | | 480,199.45 |
| Check | 08/01/2010 | 080110... | | Tsz-Kin Kwok | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,487.45 | | 481,686.90 |
| Check | 08/01/2010 | 080110... | | Ana Lucia Davidson | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 294.91 | | 481,981.81 |
| Check | 08/01/2010 | 080110... | | Jane Luthard Revocabl... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.74 | | 482,279.55 |
| Check | 08/01/2010 | 080110... | | Frank E. Allen | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 685.69 | | 482,965.44 |
| Check | 08/01/2010 | 080110... | | Lillian Re | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 652.52 | | 483,618.06 |
| Check | 08/01/2010 | 080110... | | Monique Bjornal | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,500.36 | | 485,118.32 |
| Check | 08/01/2010 | 080110... | | Pete Petersen | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 366.30 | | 485,724.62 |
| Check | 08/01/2010 | 080110... | | Petapoff Family Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,480.99 | | 487,205.61 |
| Check | 08/01/2010 | 080110... | | Russell L. Varenkamp Jr. | Aug 2010 Distrib | 1020 - Torrey Pines-7590 - Dist... | 891.78 | | 488,097.39 |
| Check | 08/01/2010 | 080110... | | Ned & Lorraine Passma... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 286.25 | | 488,383.64 |
| Check | 08/01/2010 | 080110... | | The Smith 1996 Revoca... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.05 | | 488,680.69 |
| Check | 08/01/2010 | 080110... | | Hanson Family Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 287.10 | | 488,967.79 |
| Check | 08/01/2010 | 080110... | | Charles Poulter | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.57 | | 489,136.36 |
| Check | 08/01/2010 | 080110... | | Levin Family Trust | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 287.26 | | 489,423.62 |
| Check | 08/01/2010 | 080110... | | Fadia Damon | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 814.49 | | 490,248.11 |
| Check | 08/01/2010 | 080110... | | Huazhen Deng | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 490,396.74 |
| Check | 08/01/2010 | 080110... | | Michael K. Griswold | Aug 2010 Distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 490,545.37 |
| Check | 08/01/2010 | 080110... | | Gladys D. McDowell | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 178.36 | | 490,723.73 |
| Check | 08/01/2010 | 080110... | | Elizabeth Cehovec | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 594.52 | | 491,318.25 |
| Check | 08/01/2010 | 080110... | | Miu Ying Tsang | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 491,466.88 |
| Check | 08/01/2010 | 080110... | | Gregory & Ann Fisher | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 149.59 | | 491,616.47 |
| Check | 08/01/2010 | 080110... | | Daniel & Katherine Lau... | Aug 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 81.61 | | 491,698.08 |
| Check | 08/01/2010 | 080110... | | Kapoor Family Living Tr... | Aug 2010 Distrib | 1020 - Torrey Pines-7590 - Dist... | 67.12 | | 491,765.10 |
| General Journal | 08/01/2010 | Reinv ... | | | Aug 10 Growth investor distributions | 3015 - Re-Invested Distributions | 36,532.94 | | 528,298.04 |
| Check | 08/18/2010 | 2041 | | Robert M. Lowen, M.D. ... | VOID: July 2010 Distrib - reprint ACH didn't cle... | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 528,298.04 |
| Check | 08/20/2010 | 3118 | | Robert M. Lowen, M.D. ... | Re-print of Voided ck #2040 for Jul ACH didn't ... | 1020 - Torrey Pines-7590 - Dist... | 299.93 | | 528,597.97 |
| Check | 09/01/2010 | 090110-1 | | Michael and Martha Re... | #0828 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 625.74 | | 529,223.71 |
| Check | 09/01/2010 | 090110-2 | | James Bradford | #0848 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,760.48 | | 530,984.19 |
| Check | 09/01/2010 | 090110-3 | | Hutchinson Trust | #0849 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 588.83 | | 531,571.02 |
| Check | 09/01/2010 | 090110-4 | | St. Clair 2003 Trust | #0856 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 321.99 | | 531,893.01 |
| Check | 09/01/2010 | 090110-5 | | Stephan Hengstler | #0873 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 444.42 | | 532,337.43 |
| Check | 09/01/2010 | 090110-6 | | The Rainier Living Trust | #0877 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 293.41 | | 532,630.84 |
| Check | 09/01/2010 | 090110-7 | | Tunberg Testamentary ... | #0888 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,108.11 | | 533,738.95 |
| Check | 09/01/2010 | 090110-8 | | Saul Levin | #0890 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,173.87 | | 534,912.82 |
| Check | 09/01/2010 | 090110-9 | | David and Denise Keste... | #0896 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,496.41 | | 536,409.23 |
| Check | 09/01/2010 | 090110... | | Jerome Weigert | #0898 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 675.03 | | 537,084.26 |
| Check | 09/01/2010 | 090110... | | Amrod Trust | #0907 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.71 | | 537,230.97 |
| Check | 09/01/2010 | 090110... | | W. Ronald Raecker | #0908 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 442.05 | | 537,673.02 |
| Check | 09/01/2010 | 090110... | | Korich Family Trust | #0928 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 218.42 | | 537,892.44 |
| Check | 09/01/2010 | 090110... | | The Charlene A. Cordo... | #0930 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,246.03 | | 539,138.47 |
| Check | 09/01/2010 | 090110... | | Xiao Qun (Charlie) Za | #0938 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 80.31 | | 539,228.78 |
| Check | 09/01/2010 | 090110... | | Le Felix Ventures | #0952 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 879.89 | | 540,108.67 |
| Check | 09/01/2010 | 090110... | | Anthony Lok | #0953 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 887.43 | | 540,996.10 |
| Check | 09/01/2010 | 090110... | | Herbert Hogan | #0960 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 824.77 | | 541,820.87 |
| Check | 09/01/2010 | 090110... | | Jenny D. Lee | #0961 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,564.49 | | 543,085.36 |
| Check | 09/01/2010 | 090110... | | Tsz-Kin Kwok | #0962 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,489.27 | | 544,574.63 |
| Check | 09/01/2010 | 090110... | | Ana Lucia Davidson | #0965 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.26 | | 544,871.89 |
| Check | 09/01/2010 | 090110... | | Jane Luthard Revocabl... | #0967 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 296.36 | | 545,170.25 |
| Check | 09/01/2010 | 090110... | | Frank E. Allen | #0968 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 685.69 | | 545,855.94 |
| Check | 09/01/2010 | 090110... | | Lillian Re | #0973 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 882.52 | | 546,748.66 |
| Check | 09/01/2010 | 090110... | | Monique Bjornal | #0975 Sep 10 Distrib | 1020 - Torrey Pines-7590 - Dist... | 1,500.36 | | 548,249.02 |
| Check | 09/01/2010 | 090110... | | Pete Petersen | #0980 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 416.16 | | 548,665.18 |
| Check | 09/01/2010 | 090110... | | Petapoff Family Trust | #0986 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,078.25 | | 549,743.43 |
| Check | 09/01/2010 | 090110... | | Frances M. Sheng Trust | #0987 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 296.73 | | 550,040.16 |
| Check | 09/01/2010 | 090110... | | Russell L. Varenkamp Jr.</ | | | | | |

Investors Prime Fund
General Ledger
All Transactions

2:52 PM

08/18/16

Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|--------------------------------------|------------------------------------|-----------|--------|------------|
| Check | 09/01/2010 | 090110... | | Wiscon Family Trust | #1030 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 267.66 | | 553,846.26 |
| Check | 09/01/2010 | 090110... | | Gladys D. McDowell | #1033 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 178.36 | | 553,667.90 |
| Check | 09/01/2010 | 090110... | | Michael and Marsha Re... | #1033 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 594.52 | | 553,073.38 |
| Check | 09/01/2010 | 090110... | | Ellen M. Napoli | #1033 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 552,924.75 |
| Check | 09/01/2010 | 090110... | | Gregory A. Van Fisher | #1038 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 178.36 | | 552,746.39 |
| Check | 09/01/2010 | 090110... | | Andre Luthard | #1040 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.63 | | 552,597.76 |
| Check | 09/01/2010 | 090110... | | David & Katherine Lau... | #1044 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.08 | | 552,389.68 |
| Check | 09/01/2010 | 090110... | | Wiscon Family Trust | #1045 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 81.51 | | 552,308.17 |
| Check | 09/01/2010 | 090110... | | Lawrence A. & Frances M... | #1053 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 2,008.59 | | 550,300.58 |
| Check | 09/01/2010 | 090110... | | John Escobar | #0932 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 598.81 | | 549,701.77 |
| Check | 09/01/2010 | 3120 | | Allen Barbieri | #0938 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 176.81 | | 549,524.96 |
| Check | 09/01/2010 | 3121 | | Heller Living Trust | #0958 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 300.58 | | 549,224.38 |
| Check | 09/01/2010 | 3122 | | Elle M. Napoli | #0958 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 2,972.15 | | 546,252.23 |
| Check | 09/01/2010 | 3123 | | Don L. Macroman, TTEE | #0958 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 288.44 | | 545,963.79 |
| Check | 09/01/2010 | 3124 | | Philip J. & Joyce D. Bach | #0958 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 244.52 | | 545,719.27 |
| Check | 09/01/2010 | 3125 | | IRA Services FBO Petr... | #1019 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 447.38 | | 545,271.89 |
| Check | 09/01/2010 | 3126 | | The Kim N. Nguyen Liv... | #1025 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 232.25 | | 545,039.64 |
| Check | 09/01/2010 | 3127 | | Tin Thi To | #1026 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 124.86 | | 544,914.78 |
| Check | 09/01/2010 | 3128 | | Ray Williams | #1051 Sep 10 distrib | 1020 - Torrey Pines-7590 - Dist... | 43.67 | | 544,871.11 |
| Check | 09/01/2010 | 3129 | | IRA Services FBO Davi... | #1056 Sep 10 distrib | 3015 - Re-invested Distributions | 38,064.07 | | 506,807.04 |
| Check | 09/01/2010 | 3130 | | VA Compass FBO Petr... | Sep 10 Growth Investor distributions | 1020 - Torrey Pines-7590 - Dist... | 627.53 | | 506,179.51 |
| General Journal | 09/01/2010 | Reinv ... | | Michael and Marsha Re... | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,765.51 | | 504,413.99 |
| Check | 09/01/2010 | 100110-1 | | James Bradford | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 598.50 | | 503,815.49 |
| Check | 09/01/2010 | 100110-2 | | St. Clair 2003 Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 442.91 | | 503,372.58 |
| Check | 09/01/2010 | 100110-3 | | Stephan Hengstler | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 294.25 | | 503,078.33 |
| Check | 09/01/2010 | 100110-4 | | The Rainier Living Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 968.19 | | 502,109.14 |
| Check | 09/01/2010 | 100110-5 | | St. Clair 2003 Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,177.22 | | 500,931.92 |
| Check | 09/01/2010 | 100110-6 | | St. Clair 2003 Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,500.88 | | 499,431.04 |
| Check | 09/01/2010 | 100110-7 | | St. Clair 2003 Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 147.11 | | 499,283.93 |
| Check | 09/01/2010 | 100110-8 | | David and Denise Keste... | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 443.31 | | 498,840.62 |
| Check | 09/01/2010 | 100110-9 | | Jerome Weigert | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 220.05 | | 498,620.57 |
| Check | 09/01/2010 | 100110-10 | | Amrod Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,248.59 | | 497,371.98 |
| Check | 09/01/2010 | 100110-11 | | W. Ronald Rapier | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 83.35 | | 497,288.63 |
| Check | 09/01/2010 | 100110-12 | | Korch Family Trust | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 827.12 | | 496,461.51 |
| Check | 09/01/2010 | 100110-13 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 586.11 | | 495,875.40 |
| Check | 09/01/2010 | 100110-14 | | Anthony Luk | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,568.98 | | 494,306.42 |
| Check | 09/01/2010 | 100110-15 | | Herbert Hogan | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,483.33 | | 492,822.09 |
| Check | 09/01/2010 | 100110-16 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.22 | | 492,523.87 |
| Check | 09/01/2010 | 100110-17 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 687.85 | | 491,836.02 |
| Check | 09/01/2010 | 100110-18 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 885.07 | | 490,950.95 |
| Check | 09/01/2010 | 100110-19 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,504.64 | | 489,446.31 |
| Check | 09/01/2010 | 100110-20 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 417.35 | | 489,028.96 |
| Check | 09/01/2010 | 100110-21 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.58 | | 488,731.38 |
| Check | 09/01/2010 | 100110-22 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 894.33 | | 487,836.95 |
| Check | 09/01/2010 | 100110-23 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.10 | | 487,539.85 |
| Check | 09/01/2010 | 100110-24 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 487,393.96 |
| Check | 09/01/2010 | 100110-25 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 487,185.85 |
| Check | 09/01/2010 | 100110-26 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 486,369.03 |
| Check | 09/01/2010 | 100110-27 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 486,079.43 |
| Check | 09/01/2010 | 100110-28 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 485,931.38 |
| Check | 09/01/2010 | 100110-29 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 485,633.43 |
| Check | 09/01/2010 | 100110-30 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 485,487.54 |
| Check | 09/01/2010 | 100110-31 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 485,279.43 |
| Check | 09/01/2010 | 100110-32 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 484,462.61 |
| Check | 09/01/2010 | 100110-33 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 484,173.01 |
| Check | 09/01/2010 | 100110-34 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 483,984.96 |
| Check | 09/01/2010 | 100110-35 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 483,687.06 |
| Check | 09/01/2010 | 100110-36 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 483,541.17 |
| Check | 09/01/2010 | 100110-37 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 483,332.21 |
| Check | 09/01/2010 | 100110-38 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 482,515.39 |
| Check | 09/01/2010 | 100110-39 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 482,225.79 |
| Check | 09/01/2010 | 100110-40 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 481,977.74 |
| Check | 09/01/2010 | 100110-41 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 481,679.84 |
| Check | 09/01/2010 | 100110-42 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 481,533.95 |
| Check | 09/01/2010 | 100110-43 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 481,325.84 |
| Check | 09/01/2010 | 100110-44 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 480,509.02 |
| Check | 09/01/2010 | 100110-45 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 480,219.42 |
| Check | 09/01/2010 | 100110-46 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 480,071.37 |
| Check | 09/01/2010 | 100110-47 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 479,773.42 |
| Check | 09/01/2010 | 100110-48 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 479,627.53 |
| Check | 09/01/2010 | 100110-49 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 479,419.44 |
| Check | 09/01/2010 | 100110-50 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 478,602.62 |
| Check | 09/01/2010 | 100110-51 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 478,313.02 |
| Check | 09/01/2010 | 100110-52 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 478,164.97 |
| Check | 09/01/2010 | 100110-53 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 477,867.07 |
| Check | 09/01/2010 | 100110-54 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 477,721.18 |
| Check | 09/01/2010 | 100110-55 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 477,513.09 |
| Check | 09/01/2010 | 100110-56 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 476,696.27 |
| Check | 09/01/2010 | 100110-57 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 476,406.67 |
| Check | 09/01/2010 | 100110-58 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 476,258.62 |
| Check | 09/01/2010 | 100110-59 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 475,960.72 |
| Check | 09/01/2010 | 100110-60 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 475,814.83 |
| Check | 09/01/2010 | 100110-61 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 475,606.74 |
| Check | 09/01/2010 | 100110-62 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 474,789.92 |
| Check | 09/01/2010 | 100110-63 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 474,500.32 |
| Check | 09/01/2010 | 100110-64 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 474,351.27 |
| Check | 09/01/2010 | 100110-65 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 474,053.32 |
| Check | 09/01/2010 | 100110-66 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 473,907.43 |
| Check | 09/01/2010 | 100110-67 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 473,709.34 |
| Check | 09/01/2010 | 100110-68 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 472,892.52 |
| Check | 09/01/2010 | 100110-69 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 472,602.92 |
| Check | 09/01/2010 | 100110-70 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 472,454.87 |
| Check | 09/01/2010 | 100110-71 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 472,156.92 |
| Check | 09/01/2010 | 100110-72 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 472,011.03 |
| Check | 09/01/2010 | 100110-73 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 471,802.94 |
| Check | 09/01/2010 | 100110-74 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 471,000.12 |
| Check | 09/01/2010 | 100110-75 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.60 | | 470,710.52 |
| Check | 09/01/2010 | 100110-76 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 470,562.47 |
| Check | 09/01/2010 | 100110-77 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 470,264.52 |
| Check | 09/01/2010 | 100110-78 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.89 | | 470,118.63 |
| Check | 09/01/2010 | 100110-79 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 208.11 | | 470,000.74 |
| Check | 09/01/2010 | 100110-80 | | Le Felix Ventures | Oct 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 469,183.92 |
| Check | 09/01/2010 | 100110-81 | | Le Felix Ventures | Oct 2 | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|------------|-----|----------------------------|--|------------------------------------|-----------|--------|------------|
| Check | 11/01/2010 | 110110... | | Herbert Hogan | #0960 Nov 2010 distrib - cash rovd out of \$854... | 1020 - Torrey Pines-7590 - Dist... | 300.00 | | 702,908.60 |
| Check | 11/01/2010 | 110110... | | Jenny D. Lee | #0961 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,821.26 | | 704,529.69 |
| Check | 11/01/2010 | 110110... | | Tsz-Kin Kwok | #0962 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,543.31 | | 706,073.17 |
| Check | 11/01/2010 | 110110... | | Ana Lucia Davidson | #0965 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 706,381.22 |
| Check | 11/01/2010 | 110110... | | Jane Luthard Revocabl... | #0967 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 309.19 | | 706,690.41 |
| Check | 11/01/2010 | 110110... | | Frank E. Allen | #0969 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 717.78 | | 707,401.19 |
| Check | 11/01/2010 | 110110... | | Lillian Re | #0973 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.90 | | 708,326.09 |
| Check | 11/01/2010 | 110110... | | Montague Bjornal | #0975 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,554.80 | | 709,880.89 |
| Check | 11/01/2010 | 110110... | | Pete Petersen | #0980 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 431.27 | | 710,312.16 |
| Check | 11/01/2010 | 110110... | | Patapoff Family Trust | #0986 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 382.16 | | 710,694.32 |
| Check | 11/01/2010 | 110110... | | Frances M. Sheng Trust | #0987 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.50 | | 711,001.82 |
| Check | 11/01/2010 | 110110... | | Russell L. Varenkamp Jr. | #0988 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.14 | | 711,905.96 |
| Check | 11/01/2010 | 110110... | | Ned & Lorraine Passma... | #0990 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.00 | | 712,212.96 |
| Check | 11/01/2010 | 110110... | | The Smith 1995 Revoc... | #0998 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.83 | | 712,520.79 |
| Check | 11/01/2010 | 110110... | | Hanson Family Trust | #1001 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 927.06 | | 713,447.85 |
| Check | 11/01/2010 | 110110... | | Charles Roulter | #1002 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 153.96 | | 713,601.81 |
| Check | 11/01/2010 | 110110... | | Levin Family Trust | #1005 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 713,909.86 |
| Check | 11/01/2010 | 110110... | | Fadia Damon | #1006 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 844.05 | | 714,753.91 |
| Check | 11/01/2010 | 110110... | | Huazhen Deng | #1024 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 309.59 | | 715,063.50 |
| Check | 11/01/2010 | 110110... | | Michael K. Griswold | #1028 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 715,217.52 |
| Check | 11/01/2010 | 110110... | | Wason Family Trust | #1030 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 715,525.57 |
| Check | 11/01/2010 | 110110... | | Glady D. McDowell | #1032 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 184.63 | | 715,710.40 |
| Check | 11/01/2010 | 110110... | | Elizabeth Cehovec | #1033 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 716,326.49 |
| Check | 11/01/2010 | 110110... | | Miu Ying Tsang | #1034 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 716,480.51 |
| Check | 11/01/2010 | 110110... | | Gregory & Ann Fisher | #1037 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 184.83 | | 716,665.34 |
| Check | 11/01/2010 | 110110... | | Andre Luthard | #1038 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 716,819.36 |
| Check | 11/01/2010 | 110110... | | Daniel & Katherine Lau... | #1040 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 716,973.38 |
| Check | 11/01/2010 | 110110... | | Frank E. Allen Trust | #1048 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 4,288.31 | | 721,261.69 |
| Check | 11/01/2010 | 110110... | | Lawrence A. & Frances ... | #1052 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 244.35 | | 721,506.04 |
| Check | 11/01/2010 | 110110... | | Robert C. & Barbara M. ... | #1066 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 721,660.06 |
| Check | 11/01/2010 | 110110... | | Stephanie Dao | #1073 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 263.95 | | 721,924.01 |
| Check | 11/01/2010 | 110110... | | Nicola Perrone | #1074 Nov 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 119.24 | | 722,043.25 |
| General Journal | 11/01/2010 | Reinv ... | | | Nov 2010 Growth investor distribution | 3015 - Re-Invested Distributions | 44,363.68 | | 766,406.93 |
| Check | 12/01/2010 | 120110-1 | | | Nov 10 #0960 Hogan reinvested distrib portion | 3015 - Re-Invested Distributions | 554.69 | | 766,961.62 |
| Check | 12/01/2010 | 120110-2 | | Michael and Martha Re... | #0826 Dec Distrib | 1020 - Torrey Pines-7590 - Dist... | 627.53 | | 767,589.15 |
| Check | 12/01/2010 | 120110-3 | | James Bradfield | #0848 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,765.51 | | 769,354.66 |
| Check | 12/01/2010 | 120110-4 | | Hutchinson Trust | #0848 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 588.50 | | 769,943.16 |
| Check | 12/01/2010 | 120110-5 | | St. Clair 2000 Trust | #0850 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 322.81 | | 770,265.97 |
| Check | 12/01/2010 | 120110-6 | | Stephan Hengstler | #0873 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 492.85 | | 770,758.82 |
| Check | 12/01/2010 | 120110-7 | | The Rainier Living Trust | #0877 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 741.42 | | 771,500.34 |
| Check | 12/01/2010 | 120110-8 | | Tunberg Testamentary ... | #0886 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 932.41 | | 772,432.75 |
| Check | 12/01/2010 | 120110-9 | | Stad Levin | #0890 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,177.22 | | 773,609.97 |
| Check | 12/01/2010 | 120110-10 | | David & Denise Kest... | #0896 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,506.88 | | 775,116.85 |
| Check | 12/01/2010 | 120110-11 | | Amrod Trust | #0907 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 147.13 | | 775,263.98 |
| Check | 12/01/2010 | 120110-12 | | W. Ronald Raackner | #0908 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 593.86 | | 775,857.84 |
| Check | 12/01/2010 | 120110-13 | | Korich Family Trust | #0928 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 220.05 | | 776,077.89 |
| Check | 12/01/2010 | 120110-14 | | The Charlene A. Cord... | #0930 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,203.88 | | 777,275.57 |
| Check | 12/01/2010 | 120110-15 | | Xiao Gun (Charlie) Za | #0938 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 94.39 | | 777,369.96 |
| Check | 12/01/2010 | 120110-16 | | Le Felix Ventures | #0952 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 882.40 | | 778,252.36 |
| Check | 12/01/2010 | 120110-17 | | Anthony Lok | #0953 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 568.11 | | 778,841.47 |
| Check | 12/01/2010 | 120110-18 | | Jenny D. Lee | #0961 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,568.96 | | 780,410.43 |
| Check | 12/01/2010 | 120110-19 | | Tsz-Kin Kwok | #0962 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,493.53 | | 781,903.96 |
| Check | 12/01/2010 | 120110-20 | | Ana Lucia Davidson | #0965 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 782,202.07 |
| Check | 12/01/2010 | 120110-21 | | Jane Luthard Revocabl... | #0967 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 299.22 | | 782,501.29 |
| Check | 12/01/2010 | 120110-22 | | Frank E. Allen | #0969 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 697.85 | | 783,199.14 |
| Check | 12/01/2010 | 120110-23 | | Lillian Re | #0973 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 885.07 | | 784,084.21 |
| Check | 12/01/2010 | 120110-24 | | Montique Bjornal | #0975 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,607.01 | | 785,691.22 |
| Check | 12/01/2010 | 120110-25 | | Pete Petersen | #0980 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 417.35 | | 786,108.57 |
| Check | 12/01/2010 | 120110-26 | | Patapoff Family Trust | #0986 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 292.78 | | 786,401.35 |
| Check | 12/01/2010 | 120110-27 | | Frances M. Sheng Trust | #0987 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.58 | | 786,698.93 |
| Check | 12/01/2010 | 120110-28 | | Russell L. Varenkamp Jr. | #0988 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 894.33 | | 787,593.26 |
| Check | 12/01/2010 | 120110-29 | | Ned & Lorraine Passma... | #0990 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.10 | | 787,890.36 |
| Check | 12/01/2010 | 120110-30 | | The Smith 1995 Revoc... | #0998 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 297.90 | | 788,188.26 |
| Check | 12/01/2010 | 120110-31 | | Hanson Family Trust | #1001 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 897.15 | | 788,085.41 |
| Check | 12/01/2010 | 120110-32 | | Charles Roulter | #1002 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.89 | | 788,234.40 |
| Check | 12/01/2010 | 120110-33 | | Levin Family Trust | #1005 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 788,532.51 |
| Check | 12/01/2010 | 120110-34 | | Fadia Damon | #1006 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 816.82 | | 790,349.33 |
| Check | 12/01/2010 | 120110-35 | | Huazhen Deng | #1024 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 328.71 | | 790,678.04 |
| Check | 12/01/2010 | 120110-36 | | Michael K. Griswold | #1028 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.05 | | 790,823.09 |
| Check | 12/01/2010 | 120110-37 | | Wason Family Trust | #1030 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 791,121.20 |
| Check | 12/01/2010 | 120110-38 | | Glady D. McDowell | #1032 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 178.87 | | 791,300.07 |
| Check | 12/01/2010 | 120110-39 | | Elizabeth Cehovec | #1033 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 595.22 | | 791,895.29 |
| Check | 12/01/2010 | 120110-40 | | Miu Ying Tsang | #1034 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.05 | | 792,050.34 |
| Check | 12/01/2010 | 120110-41 | | Gregory & Ann Fisher | #1037 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 178.87 | | 792,229.21 |
| Check | 12/01/2010 | 120110-42 | | Andre Luthard | #1038 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.05 | | 792,374.26 |
| Check | 12/01/2010 | 120110-43 | | Daniel & Katherine Lau... | #1040 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 145.05 | | 792,519.31 |
| Check | 12/01/2010 | 120110-44 | | Andrew Kim Lui | #1041 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.32 | | 792,826.63 |
| Check | 12/01/2010 | 120110-45 | | Donna Fang Lui | #1047 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 504.54 | | 793,331.17 |
| Check | 12/01/2010 | 120110-46 | | Frank E. Allen Trust | #1048 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 4,784.56 | | 798,115.73 |
| Check | 12/01/2010 | 120110-47 | | Lawrence A. & Frances ... | #1052 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.60 | | 798,423.43 |
| Check | 12/01/2010 | 120110-48 | | Robert C. & Barbara M. ... | #1066 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 798,572.48 |
| Check | 12/01/2010 | 120110-49 | | Stephanie Dao | #1073 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 565.60 | | 799,138.08 |
| Check | 12/01/2010 | 120110-50 | | Nicola Perrone | #1074 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 799,436.19 |
| Check | 12/01/2010 | 120110-51 | | Takeshi Omura | #1079 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 288.11 | | 799,724.30 |
| Check | 12/01/2010 | 3160 | | John Escobar | #0882 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 176.55 | | 799,900.85 |
| Check | 12/01/2010 | 3161 | | Allen Barbieri | #0921 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 583.52 | | 800,484.37 |
| Check | 12/01/2010 | 3162 | | Heller Living Trust | #0958 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 177.32 | | 800,661.69 |
| Check | 12/01/2010 | 3163 | | Elsie M. Napoli | #0988 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 370.68 | | 801,042.35 |
| Check | 12/01/2010 | 3164 | | Don L. Marchman, TTEE | #0994 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 2,890.65 | | 804,028.00 |
| Check | 12/01/2010 | 3165 | | Philip J. & Joyce D. Bach | #0998 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 299.29 | | 804,327.29 |
| Check | 12/01/2010 | 3166 | | IRA Services FBO Pete... | #1019 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 596.22 | | 804,923.51 |
| Check | 12/01/2010 | 3167 | | The Kim N. Nguyen Liv... | #1025 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 596.22 | | 805,519.73 |
| Check | 12/01/2010 | 3168 | | Tin Thi To | #1028 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 894.33 | | 806,414.06 |
| Check | 12/01/2010 | 3169 | | Randall & Maile William... | #1051 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 301.09 | | 806,715.15 |
| Check | 12/01/2010 | 3170 | | IRA Services FBO Davi... | #1055 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 807,013.26 |
| Check | 12/01/2010 | 3171 | | VA Compass FBO Felix... | #1056 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 238.08 | | 807,251.34 |
| Check | 12/01/2010 | 3172 | | Ying Chen and Grace ... | #1077 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 99.37 | | 807,350.71 |
| Check | 12/01/2010 | 3173 | | Gerald K. Schofield Trust | #1078 Dec 2010 distrib | 1020 - Torrey Pines-7590 - Dist... | 74.53 | | 807,425.24 |
| General Journal | 12/31/2010 | Reinv ... | | | Dec 2010 Growth Investor distributions | 3015 - Re-Invested Distributions | 43,235.56 | | 850,660.80 |
| General Journal | 12/31/2010 | Adj to ... | | | Re | | | | |

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Investors Prime Fund

08/18/16

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|--------------------------------------|------------------------------------|-----------|--------|------------|
| Check | 01/01/2011 | 3185 | | VA Compass FBO Felix... | #1056 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 247.05 | | 6,588.64 |
| Check | 01/01/2011 | 3186 | | Yingie Qian and Grace ... | #1077 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 6,742.66 |
| Check | 01/01/2011 | 3187 | | Jeffrey W. Abramson | #1086 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 715.46 | | 7,458.12 |
| Check | 01/01/2011 | 3188 | | Allan Leibof | #1090 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 59.82 | | 7,517.74 |
| Check | 01/01/2011 | 3189 | | Yeh Family Trust | #0320 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 252.44 | | 7,770.18 |
| Check | 01/01/2011 | 010111-1 | | Michael and Martha Re... | #0826 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 648.44 | | 8,418.62 |
| Check | 01/01/2011 | 010111-2 | | James Bradford | #0848 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,824.36 | | 10,242.98 |
| Check | 01/01/2011 | 010111-3 | | Hutchinson Trust | #0849 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 608.12 | | 10,851.10 |
| Check | 01/01/2011 | 010111-4 | | St. Clair 2003 Trust | #0856 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 333.67 | | 11,184.77 |
| Check | 01/01/2011 | 010111-5 | | Stephan Hengstler | #0873 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 570.55 | | 11,755.32 |
| Check | 01/01/2011 | 010111-6 | | The Rainier Living Trust | #0877 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 766.13 | | 12,521.75 |
| Check | 01/01/2011 | 010111-7 | | Tunberg Testamentary ... | #0886 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 963.49 | | 13,485.24 |
| Check | 01/01/2011 | 010111-8 | | Saul Levin | #0890 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,216.46 | | 14,701.70 |
| Check | 01/01/2011 | 010111-9 | | David and Denise Keste... | #0896 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,550.71 | | 16,252.41 |
| Check | 01/01/2011 | 010111-10 | | Amrodi Trust | #0907 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 152.03 | | 16,404.44 |
| Check | 01/01/2011 | 010111-11 | | W. Ronald Raucker | #0908 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 227.38 | | 17,016.09 |
| Check | 01/01/2011 | 010111-12 | | Korich Family Trust | #0928 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,229.64 | | 17,245.47 |
| Check | 01/01/2011 | 010111-13 | | The Charlene A. Cordo... | #0930 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 88.21 | | 18,475.11 |
| Check | 01/01/2011 | 010111-14 | | Xiao Qun (Charlie) Za | #0938 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 117.65 | | 18,573.32 |
| Check | 01/01/2011 | 010111-15 | | Le Felix Ventures | #0952 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 489.50 | | 18,690.97 |
| Check | 01/01/2011 | 010111-16 | | Anthony Lok | #0953 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 520.71 | | 19,180.47 |
| Check | 01/01/2011 | 010111-17 | | Tsz-Kin Kwok | #0962 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 19,481.17 |
| Check | 01/01/2011 | 010111-18 | | Ana Lucia Davidson | #0965 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 309.19 | | 19,790.36 |
| Check | 01/01/2011 | 010111-19 | | Jane Lufhard Revocabl... | #0967 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 710.78 | | 20,501.14 |
| Check | 01/01/2011 | 010111-20 | | Frank E. Allen | #0969 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.90 | | 21,426.04 |
| Check | 01/01/2011 | 010111-21 | | Lillian Re | #0973 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,741.47 | | 23,167.51 |
| Check | 01/01/2011 | 010111-22 | | Monique Bjornal | #0975 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 431.27 | | 23,598.78 |
| Check | 01/01/2011 | 010111-23 | | Pete Petersen | #0980 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 302.54 | | 23,901.32 |
| Check | 01/01/2011 | 010111-24 | | Patapoff Family Trust | #0986 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 452.99 | | 24,354.31 |
| Check | 01/01/2011 | 010111-25 | | Frances M. Sheng Trust | #0987 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.14 | | 25,278.45 |
| Check | 01/01/2011 | 010111-26 | | Russell L. Varenkamp Jr. | #0988 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.00 | | 25,585.45 |
| Check | 01/01/2011 | 010111-27 | | Ned & Lorraine Passma... | #0990 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.83 | | 25,893.28 |
| Check | 01/01/2011 | 010111-28 | | The Smith 1996 Revoc... | #0998 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,127.79 | | 27,021.07 |
| Check | 01/01/2011 | 010111-29 | | Hanson Family Trust | #1001 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 153.96 | | 27,175.03 |
| Check | 01/01/2011 | 010111-30 | | Charles Poulter | #1002 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 27,483.08 |
| Check | 01/01/2011 | 010111-31 | | Levin Family Trust | #1005 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 844.05 | | 28,327.13 |
| Check | 01/01/2011 | 010111-32 | | Fadia Damon | #1006 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 28,481.18 |
| Check | 01/01/2011 | 010111-33 | | Huazhen Deng | #1024 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 476.87 | | 28,958.05 |
| Check | 01/01/2011 | 010111-34 | | Michael K. Griswold | #1030 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 218.93 | | 29,176.98 |
| Check | 01/01/2011 | 010111-35 | | Wason Family Trust | #1032 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 29,793.07 |
| Check | 01/01/2011 | 010111-36 | | Gladye D. McDowell | #1033 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 30,000.00 |
| Check | 01/01/2011 | 010111-37 | | Elizabeth Cehovec | #1034 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 184.83 | | 30,184.83 |
| Check | 01/01/2011 | 010111-38 | | Miu Ying Tsang | #1037 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 173.09 | | 30,357.92 |
| Check | 01/01/2011 | 010111-39 | | Gregory & Ann Fisher | #1037 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 317.57 | | 30,675.49 |
| Check | 01/01/2011 | 010111-40 | | Donna Fang Lui | #1047 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 825.07 | | 31,500.56 |
| Check | 01/01/2011 | 010111-41 | | Frank E. Allen Trust | #1049 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 4,944.15 | | 36,444.71 |
| Check | 01/01/2011 | 010111-42 | | Lawrence A. & Frances ... | #1052 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.59 | | 36,753.30 |
| Check | 01/01/2011 | 010111-43 | | Robert C. & Barbara M. ... | #1066 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 180.11 | | 36,933.41 |
| Check | 01/01/2011 | 010111-44 | | Stephanie Dao | #1073 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 584.45 | | 37,517.86 |
| Check | 01/01/2011 | 010111-45 | | Nicola Perrone | #1074 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 548.82 | | 38,066.68 |
| Check | 01/01/2011 | 010111-46 | | Gerard K. Schofield Trust | #1078 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.52 | | 38,221.20 |
| Check | 01/01/2011 | 010111-47 | | Takeshi Omura | #1078 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 38,837.29 |
| General Journal | 01/01/2011 | Growth... | | | Jan 11 Growth Investor distributions | 3015 - Re-Invested Distributions | 49,725.40 | | 88,562.69 |
| Check | 01/13/2011 | 3190 | | IRA Services FBO Pete... | #1019 Jan 11 Distrib | 1020 - Torrey Pines-7590 - Dist... | 724.77 | | 89,287.46 |
| Check | 01/13/2011 | 3191 | | Kim Nhim Nguyen Liv ... | #1025 Jan 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 90,000.00 |
| Check | 01/13/2011 | 3192 | | John Escobar | #0882 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 90,182.44 |
| Check | 01/13/2011 | 3193 | | Yeh Family Trust | #0820 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 289.83 | | 90,472.27 |
| Check | 01/13/2011 | 3194 | | Allen Barbell | #0821 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 608.13 | | 91,080.40 |
| Check | 02/01/2011 | 3195 | | Heller Living Trust | #0958 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 245.45 | | 91,325.85 |
| Check | 02/01/2011 | 3196 | | Don L. Marchman, TTEE | #0994 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 94,405.85 |
| Check | 02/01/2011 | 3197 | | Philip J. & Joyce D. Bach | #0955 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 309.27 | | 94,715.12 |
| Check | 02/01/2011 | 3198 | | IRA Services FBO Pete... | #1019 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 994.41 | | 95,709.53 |
| Check | 02/01/2011 | 3199 | | The Kim N. Nguyen Livt... | #1025 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 618.18 | | 96,327.71 |
| Check | 02/01/2011 | 3200 | | Tin Thi To | #1026 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.14 | | 97,251.85 |
| Check | 02/01/2011 | 3201 | | Randall & Maile William... | #1051 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 311.13 | | 97,562.98 |
| Check | 02/01/2011 | 3202 | | IRA Services FBO Davi... | #1055 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 97,871.03 |
| Check | 02/01/2011 | 3203 | | VA Compass FBO Felix... | #1056 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 247.05 | | 98,118.08 |
| Check | 02/01/2011 | 3204 | | Yingie Qian and Grace ... | #1077 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 98,272.10 |
| Check | 02/01/2011 | 3205 | | Jeffrey W. Abramson | #1086 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,233.13 | | 99,505.23 |
| Check | 02/01/2011 | 3206 | | Allan Leibof | #1090 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 155.31 | | 100,000.00 |
| Check | 02/01/2011 | 3207 | | Barbara Bushae | #1094 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 155.31 | | 100,155.31 |
| Check | 02/01/2011 | 3208 | | Chris & Glenda Beradit | #1097 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 44.72 | | 100,200.03 |
| Check | 02/01/2011 | 020111-1 | | Michael and Martha Re... | #0826 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 648.44 | | 100,848.47 |
| Check | 02/01/2011 | 020111-2 | | James Bradford | #0848 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,824.36 | | 102,672.83 |
| Check | 02/01/2011 | 020111-3 | | Hutchinson Trust | #0849 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 608.12 | | 103,280.95 |
| Check | 02/01/2011 | 020111-4 | | St. Clair 2003 Trust | #0856 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 333.67 | | 103,614.62 |
| Check | 02/01/2011 | 020111-5 | | Stephan Hengstler | #0873 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 570.55 | | 104,185.17 |
| Check | 02/01/2011 | 020111-6 | | The Rainier Living Trust | #0877 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 766.13 | | 104,951.30 |
| Check | 02/01/2011 | 020111-7 | | Tunberg Testamentary ... | #0886 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 963.49 | | 105,914.79 |
| Check | 02/01/2011 | 020111-8 | | Saul Levin | #0890 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,216.46 | | 107,131.25 |
| Check | 02/01/2011 | 020111-9 | | David and Denise Keste... | #0896 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,550.71 | | 108,681.96 |
| Check | 02/01/2011 | 020111-10 | | Amrodi Trust | #0907 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 152.03 | | 110,000.00 |
| Check | 02/01/2011 | 020111-11 | | W. Ronald Raucker | #0908 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 227.38 | | 110,227.38 |
| Check | 02/01/2011 | 020111-12 | | Korich Family Trust | #0928 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,229.64 | | 111,457.02 |
| Check | 02/01/2011 | 020111-13 | | The Charlene A. Cordo... | #0930 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 88.21 | | 111,545.23 |
| Check | 02/01/2011 | 020111-14 | | Xiao Qun (Charlie) Za | #0938 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 117.65 | | 111,662.88 |
| Check | 02/01/2011 | 020111-15 | | Le Felix Ventures | #0952 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 489.50 | | 112,152.38 |
| Check | 02/01/2011 | 020111-16 | | Anthony Lok | #0953 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 520.71 | | 112,673.09 |
| Check | 02/01/2011 | 020111-17 | | Tsz-Kin Kwok | #0962 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 112,981.14 |
| Check | 02/01/2011 | 020111-18 | | Ana Lucia Davidson | #0965 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 309.19 | | 113,290.33 |
| Check | 02/01/2011 | 020111-19 | | Jane Lufhard Revocabl... | #0967 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 710.78 | | 113,999.11 |
| Check | 02/01/2011 | 020111-20 | | Frank E. Allen | #0969 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.90 | | 114,924.01 |
| Check | 02/01/2011 | 020111-21 | | Lillian Re | #0973 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,741.47 | | 116,665.48 |
| Check | 02/01/2011 | 020111-22 | | Monique Bjornal | #0975 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 431.27 | | 117,096.75 |
| Check | 02/01/2011 | 020111-23 | | Pete Petersen | #0980 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 302.54 | | 117,399.29 |
| Check | 02/01/2011 | 020111-24 | | Patapoff Family Trust | #0986 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 452.99 | | 117,852.28 |
| Check | 02/01/2011 | 020111-25 | | Frances M. Sheng Trust | #0987 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 924.14 | | 118,776.42 |
| Check | 02/01/2011 | 020111-26 | | Russell L. Varenkamp Jr. | #0988 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.00 | | 119,083.42 |
| Check | 02/01/2011 | 020111-27 | | Ned & Lorraine Passma... | #0990 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 307.83 | | 119,391.25 |
| Check | 02/01/2011 | 020111-28 | | The Smith 1996 Revoc... | #0998 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,127.79 | | 120,519.04 |
| Check | 02/01/2011 | 020111-29 | | Hanson Family Trust | #1001 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 153.96 | | 120,672.99 |
| Check | 02/01/2011 | | | | | | | | |

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Investors Prime Fund

08/18/16

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|----------------------------|--|------------------------------------|-----------|--------|------------|
| Check | 02/01/2011 | 020111... | | Andre Luthard | #1038 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 185.14 | | 126,528.05 |
| Check | 02/01/2011 | 020111... | | Andrew Kin Lui | #1041 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 317.57 | | 126,845.62 |
| Check | 02/01/2011 | 020111... | | Donna Fang Lui | #1047 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 825.07 | | 127,670.69 |
| Check | 02/01/2011 | 020111... | | Frank E. Allen Trust | #1048 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 4,944.15 | | 132,614.84 |
| Check | 02/01/2011 | 020111... | | Lawrence A. & Frances... | #1052 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 309.59 | | 132,724.43 |
| Check | 02/01/2011 | 020111... | | Robert C. & Barbara M. ... | #1066 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 234.32 | | 132,958.75 |
| Check | 02/01/2011 | 020111... | | Stephanie Dao | #1073 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 584.45 | | 133,543.20 |
| Check | 02/01/2011 | 020111... | | Nicola Perrone | #1074 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 619.17 | | 134,162.37 |
| Check | 02/01/2011 | 020111... | | Gerald K. Schofield Trust | #1078 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 155.56 | | 134,317.93 |
| Check | 02/01/2011 | 020111... | | Takeshi Omura | #1079 Feb 11 distrib | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 134,934.02 |
| General Journal | 02/01/2011 | Growth... | | | Feb 11 Growth Investor distributions | 3015 - Re-Invested Distributions | 53,119.30 | | 188,053.32 |
| Check | 03/01/2011 | 030111-1 | | Michael and Marthe Re... | VOID: #0626 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-2 | | James Bradford | VOID: #0648 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-3 | | Hutchinson Trust | VOID: #0649 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-4 | | St. Clair 2003 Trust | VOID: #0656 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-5 | | Stephen Hengstler | VOID: #0673 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-6 | | The Reiner Living Trust | VOID: #0677 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-7 | | Tunberg Testamentary ... | VOID: #0686 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-8 | | Saul Levin | VOID: #0690 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111-9 | | David and Denise Keste... | VOID: #0696 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Arnold Trust | VOID: #0697 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | W. Ronald Rozcker | VOID: #0698 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Korich Family Trust | VOID: #0698 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | The Charlene A. Cordo... | VOID: #0690 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Xiao Qun (Charlie) Za | VOID: #0698 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Anthony Lok | VOID: #0693 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Herbert Haglan | VOID: #0680 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Tsz-Kin Kwok | VOID: #0682 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Ana Luda Davidson | VOID: #0665 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Jane Luthard Revocabl... | VOID: #0667 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Frank E. Allen | VOID: #0695 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Lillian Re | VOID: #0673 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Monique Bjorndal | VOID: #0675 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Pete Petersen | VOID: #0680 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Patapoff Family Trust | VOID: #0686 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Frances M. Sheng Trust | VOID: #0687 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Russell D. Varenkamp Jr. | VOID: #0688 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Ned & Lorraine Passma... | VOID: #0680 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | The Smith 1996 Revoc... | VOID: #0698 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Hanson Family Trust | VOID: #1001 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Charles Poulter | VOID: #1002 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Levin Family Trust | VOID: #1005 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Fadia Damon | VOID: #1006 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Huazhen Deng | VOID: #1024 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Michael K. Griswold | VOID: #1029 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Wason Family Trust | VOID: #1030 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Gladys D. McDowell | VOID: #1032 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Elizabeth Cehovecs | VOID: #1033 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Miu Ying Tsang | VOID: #1034 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Gregory & Ann Fisher | VOID: #1037 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Andre Luthard | VOID: #1038 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Andrew Kin Lui | VOID: #1041 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Donna Fang Lui | VOID: #1047 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Frank E. Allen Trust | VOID: #1048 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Lawrence A. & Frances... | VOID: #1052 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Robert C. & Barbara M. ... | VOID: #1066 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Stephanie Dao | VOID: #1073 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Nicola Perrone | VOID: #1074 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Gerald K. Schofield Trust | VOID: #1078 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Takeshi Omura | VOID: #1079 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 030111... | | Barbara Bushae | VOID: #1094 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 188,053.32 |
| Check | 03/01/2011 | 3209 | | John Escobar | #0892 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 164.78 | | 188,218.10 |
| Check | 03/01/2011 | 3210 | | Yeh Family Trust | #0920 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 261.78 | | 188,479.88 |
| Check | 03/01/2011 | 3211 | | Allen Barberi | #0821 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 567.23 | | 189,047.11 |
| Check | 03/01/2011 | 3212 | | Hellier Living Trust | #0958 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 221.70 | | 189,268.81 |
| Check | 03/01/2011 | 3213 | | Don L. Marchman, TTEE | #0994 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 2,781.94 | | 192,050.75 |
| Check | 03/01/2011 | 3214 | | Philip J. & Joyce D. Bach | #0895 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 279.34 | | 192,330.09 |
| Check | 03/01/2011 | 3215 | | IRA Services FBO Pete... | #1019 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 886.74 | | 193,216.83 |
| Check | 03/01/2011 | 3216 | | The Kim N. Nguyen Liv... | #1025 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 556.47 | | 193,773.30 |
| Check | 03/01/2011 | 3217 | | Tin Tin To | #1028 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 424.76 | | 194,808.06 |
| Check | 03/01/2011 | 3218 | | Randall & Made William... | #1051 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 293.87 | | 195,101.93 |
| Check | 03/01/2011 | 3219 | | IRA Services FBO Davl... | #1053 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 278.24 | | 195,380.17 |
| Check | 03/01/2011 | 3220 | | VA Compass FBO Felix... | #1056 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 223.14 | | 195,603.31 |
| Check | 03/01/2011 | 3221 | | Ying Gao and Grace ... | VOID: #1077 Mar 2011 distrib re-cut on clck32... | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 195,603.31 |
| Check | 03/01/2011 | 3222 | | Jeffrey W. Abramson | #1086 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 1,633.64 | | 197,236.95 |
| Check | 03/01/2011 | 3223 | | Chris & Glenda Berad... | #1097 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 138.12 | | 197,375.07 |
| Check | 03/01/2011 | 3224 | | Gidwani Living Trust | #1101 Mar 2011 distrib | 1020 - Torrey Pines-7590 - Dist... | 34.78 | | 197,409.85 |
| General Journal | 03/01/2011 | Growth... | | | Mar 2011 Growth investor's distributions | 3015 - Re-Invested Distributions | 49,537.08 | | 246,946.93 |
| Check | 03/07/2011 | | | ACH - IPF GROUP DIS... | Prime Investors Investors 1202881801 Prime I... | 1020 - Torrey Pines-7590 - Dist... | 30,533.19 | | 277,480.12 |
| Check | 03/21/2011 | 3225 | | Ying Gao and Grace ... | Mar 2011 Distrib - Replacement of canceled 3/... | 1020 - Torrey Pines-7590 - Dist... | 138.12 | | 277,618.24 |
| General Journal | 04/01/2011 | Growth In | | | Apr 2011 Growth investor's distributions | 3015 - Re-Invested Distributions | 55,890.77 | | 333,509.01 |
| Check | 04/01/2011 | 040111... | | ACH - IPF GROUP DIS... | ACH FOR DISTRIBUTIONS | 1020 - Torrey Pines-7590 - Dist... | 55,642.15 | | 389,151.15 |
| Check | 04/01/2011 | 040111... | | Tunberg Testamentary ... | 0886 TUNBERG APRIL DISTRIBUTEMENT | 1020 - Torrey Pines-7590 - Dist... | 836.30 | | 389,987.45 |
| Check | 04/01/2011 | 3234 | | Xinhong Huang & Dutor... | april distribution | 1020 - Torrey Pines-7590 - Dist... | 175.68 | | 370,157.13 |
| Check | 04/01/2011 | 3240 | | Tin Tin To | april distribution | 1020 - Torrey Pines-7590 - Dist... | 760.18 | | 370,917.31 |
| Check | 04/01/2011 | 3231 | | John Escobar | #0892 escobar april disbursement | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 371,099.75 |
| Check | 04/01/2011 | 3232 | | Yeh Family Trust | #0920 Yeh Family Trust april disbursement | 1020 - Torrey Pines-7590 - Dist... | 286.83 | | 371,386.58 |
| Check | 04/01/2011 | 3233 | | Allen Barberi | #0821 barberi april disbursement | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 372,088.05 |
| Check | 04/01/2011 | 3235 | | Hellier Living Trust | #0958 Hellier trust april disbursement | 1020 - Torrey Pines-7590 - Dist... | 245.45 | | 372,333.50 |
| Check | 04/01/2011 | 3236 | | Don L. Marchman, TTEE | #0994 Marchman april disbursement | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 375,413.50 |
| Check | 04/01/2011 | 3237 | | Philip J. & Joyce D. Bach | #0895 Bach april disbursement | 1020 - Torrey Pines-7590 - Dist... | 309.27 | | 375,722.77 |
| Check | 04/01/2011 | 3238 | | IRA Services FBO Pete... | #1019 IRA svcs - j peterson april disbursement | 1020 - Torrey Pines-7590 - Dist... | 992.82 | | 376,715.59 |
| Check | 04/01/2011 | 3239 | | The Kim N. Nguyen Liv... | #1025 kim nguyen april disbursement | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 377,331.68 |
| Check | 04/01/2011 | 3241 | | Randall & Made William... | #1051 randy williams april disbursement | 1020 - Torrey Pines-7590 - Dist... | 331.15 | | 377,662.83 |
| Check | 04/01/2011 | 3242 | | VA Compass FBO Felix... | #1056 VA compass too felix remainder trust a... | 1020 - Torrey Pines-7590 - Dist... | 247.05 | | 377,912.88 |
| Check | 04/01/2011 | 3243 | | Ying Gao and Grace ... | #1077 Gao april disbursement | 1020 - Torrey Pines-7590 - Dist... | 154.55 | | 378,067.43 |
| Check | 04/01/2011 | 3244 | | Jeffrey W. Abramson | #1086 abramson april disbursement | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 380,539.50 |
| Check | 04/01/2011 | 3245 | | Chris & Glenda Berad... | #1097 beradli april disbursement | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 380,693.52 |
| Check | 04/01/2011 | 3246 | | Gidwani Living Trust | #1101 gidwani april disbursement | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 380,847.54 |
| Check | 04/01/2011 | 3247 | | Charlot Wu | #1104 Wu april disbursement | 1020 - Torrey Pines-7590 - Dist... | 178.87 | | 381,026.41 |
| Check | 04/01/2011 | 3248 | | Michael & Catherine Co... | #1108 connors april disbursement | 1020 - Torrey Pines-7590 - Dist... | 519.11 | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-------------|-----|--|---|------------------------------------|------------|------------|--------------|
| Check | 05/01/2011 | 3262 | | Tin Thi To | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 596.22 | | 389,031.48 |
| Check | 05/01/2011 | 3263 | | Randall & Maile William... | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 320.47 | | 388,351.95 |
| Check | 05/01/2011 | 3264 | | IRA Services FBO Davi... | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 599.20 | | 388,851.15 |
| Check | 05/01/2011 | 3265 | | VA Compass FBO Felix... | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 220.01 | | 390,171.16 |
| Check | 05/01/2011 | 3266 | | Yingge Qian and Grace ... | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 390,320.21 |
| Check | 05/01/2011 | 3267 | | Jeffrey W. Abramson | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 2,392.33 | | 392,712.54 |
| Check | 05/01/2011 | 3268 | | Chris & Glenda Berattis | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 392,861.59 |
| Check | 05/01/2011 | 3269 | | Giovanni Living Trust | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 393,010.64 |
| Check | 05/01/2011 | 3270 | | Charlotte Wu | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 178.87 | | 393,189.51 |
| Check | 05/01/2011 | 3271 | | Michael & Catherine Co... | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 597.81 | | 393,787.32 |
| Check | 05/01/2011 | 3272 | | Ron Labetch Co Profit ... | MAY DISTR | 1020 - Torrey Pines-7590 - Dist... | 304.07 | | 394,091.39 |
| General Journal | 05/02/2011 | MAY DI... | | MAY 2011 Growth investor's distributions | 3015 - Re-Invested Distributions | | 55,412.36 | | 449,503.75 |
| Check | 05/04/2011 | 050111... | | ACH - IPF GROUP DIS... | Prime Investors Investors 1202891801 Prime I... | 1020 - Torrey Pines-7590 - Dist... | 36,490.89 | | 485,994.64 |
| General Journal | 05/31/2011 | dist pay... | | rev dist... | to gross up for June Distribution Payable - 110... | 2010 - Distributions Payable | | 586,561.71 | |
| General Journal | 06/01/2011 | Jun Distr | | | to gross up for June Distribution Payable - 110... | 2010 - Distributions Payable | | 110,867.07 | |
| Check | 06/01/2011 | 060111... | | ACH - IPF GROUP DIS... | ACH - IPF GROUP DISTRIBUTION | 1020 - Torrey Pines-7590 - Dist... | 60,172.90 | | 546,167.54 |
| Check | 06/01/2011 | wire | | ACH - IPF GROUP DIS... | ACH - IPF GROUP DISTRIBUTION | 1020 - Torrey Pines-7590 - Dist... | 1,358.49 | | 547,526.03 |
| Check | 06/01/2011 | 3274 | | John Escobar | | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 547,708.47 |
| Check | 06/01/2011 | 3275 | | Yeh Family Trust | | 1020 - Torrey Pines-7590 - Dist... | 288.83 | | 547,997.30 |
| Check | 06/01/2011 | 3276 | | Allen Barbieri | | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 548,698.77 |
| Check | 06/01/2011 | 3277 | | Hellier Living Trust | | 1020 - Torrey Pines-7590 - Dist... | 245.45 | | 548,944.22 |
| Check | 06/01/2011 | 3278 | | Don L. Marchman, TTEE | | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 552,024.22 |
| Check | 06/01/2011 | 3279 | | Philip J. & Joyce D. Bach | | 1020 - Torrey Pines-7590 - Dist... | 309.27 | | 552,333.49 |
| Check | 06/01/2011 | 3280 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 966.66 | | 553,300.15 |
| Check | 06/01/2011 | 3281 | | The Kim N. Nguyen Liv... | distribution - June | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 553,918.24 |
| Check | 06/01/2011 | 3282 | | Tin Thi To | | 1020 - Torrey Pines-7590 - Dist... | 462.07 | | 554,536.31 |
| Check | 06/01/2011 | 3283 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 618.17 | | 555,154.48 |
| Check | 06/01/2011 | 3284 | | VA Compass FBO Felix... | | 1020 - Torrey Pines-7590 - Dist... | 222.41 | | 555,376.89 |
| Check | 06/01/2011 | 3285 | | Jeffrey W. Abramson | | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 557,848.96 |
| Check | 06/01/2011 | 3286 | | Chris & Glenda Berattis | | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 558,002.98 |
| Check | 06/01/2011 | 3287 | | Charlotte Wu | | 1020 - Torrey Pines-7590 - Dist... | 184.83 | | 558,187.81 |
| Check | 06/01/2011 | 3288 | | Ron Labetch Co Profit ... | | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 558,502.02 |
| General Journal | 06/30/2011 | svc trst | | | to gross up for July Distribution Payable - 1121... | 2010 - Distributions Payable | 112,124.76 | | 670,626.78 |
| General Journal | 07/01/2011 | revdistr... | | | to gross up for July Distribution Payable - 1121... | 2010 - Distributions Payable | | 112,124.76 | |
| General Journal | 07/01/2011 | reinvest... | | | JULY 2011 Growth investor's distributions | 3015 - Re-Invested Distributions | 62,300.10 | | 732,926.88 |
| Check | 07/01/2011 | 070111... | | ACH - IPF GROUP DIS... | ACH - IPF GROUP DISTRIBUTION - JULY 20... | 1020 - Torrey Pines-7590 - Dist... | 39,243.40 | | 772,160.28 |
| Check | 07/01/2011 | 3290 | | John Escobar | | 1020 - Torrey Pines-7590 - Dist... | 176.55 | | 772,336.83 |
| Check | 07/01/2011 | 3291 | | Yeh Family Trust | | 1020 - Torrey Pines-7590 - Dist... | 280.48 | | 772,617.31 |
| Check | 07/01/2011 | 3292 | | Allen Barbieri | | 1020 - Torrey Pines-7590 - Dist... | 678.84 | | 773,296.15 |
| Check | 07/01/2011 | 3293 | | Hellier Living Trust | | 1020 - Torrey Pines-7590 - Dist... | 237.53 | | 773,533.68 |
| Check | 07/01/2011 | 3294 | | Don L. Marchman, TTEE | | 1020 - Torrey Pines-7590 - Dist... | 2,980.65 | | 776,514.33 |
| Check | 07/01/2011 | 3295 | | Philip J. & Joyce D. Bach | | 1020 - Torrey Pines-7590 - Dist... | 298.29 | | 776,812.62 |
| Check | 07/01/2011 | 3296 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 854.83 | | 777,667.45 |
| Check | 07/01/2011 | 3297 | | Kim Nhem Nguyen Liv ... | | 1020 - Torrey Pines-7590 - Dist... | 596.22 | | 778,263.67 |
| Check | 07/01/2011 | 3298 | | Tin Thi To | | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 778,561.78 |
| Check | 07/01/2011 | 3299 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 599.20 | | 779,160.98 |
| Check | 07/01/2011 | 3300 | | VA Compass FBO Felix... | | 1020 - Torrey Pines-7590 - Dist... | 215.24 | | 779,376.22 |
| Check | 07/01/2011 | 3301 | | Jeffrey W. Abramson | | 1020 - Torrey Pines-7590 - Dist... | 2,392.33 | | 781,768.55 |
| Check | 07/01/2011 | 3302 | | Chris & Glenda Berattis | | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 781,917.60 |
| Check | 07/01/2011 | 3303 | | Ron Labetch Co Profit ... | | 1020 - Torrey Pines-7590 - Dist... | 304.07 | | 782,221.67 |
| Check | 07/01/2011 | 3304 | | Hsin-Shen Tai | | 1020 - Torrey Pines-7590 - Dist... | 59.82 | | 782,281.49 |
| Check | 07/01/2011 | 3305 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 124.69 | | 782,406.18 |
| Check | 07/01/2011 | 3306 | | Tu D. or Hoon N. Vu | | 1020 - Torrey Pines-7590 - Dist... | 54.88 | | 782,461.06 |
| Check | 07/01/2011 | 3307 | | IRA Services FBO Cano... | | 1020 - Torrey Pines-7590 - Dist... | 178.58 | | 782,639.64 |
| General Journal | 07/31/2011 | dist pay | | | to gross up for July Distribution Payable - 1282... | 2010 - Distributions Payable | | 128,209.69 | |
| General Journal | 08/01/2011 | rev dist... | | | to gross up for July Distribution Payable - 1282... | 2010 - Distributions Payable | 128,209.69 | | |
| General Journal | 08/01/2011 | reinvest... | | | Aug 2011 Growth investor's distributions | 3015 - Re-Invested Distributions | 68,532.72 | | 851,149.33 |
| Check | 08/01/2011 | 080111... | | ACH - IPF GROUP DIS... | | 1020 - Torrey Pines-7590 - Dist... | 45,235.17 | | 896,385.05 |
| Check | 08/01/2011 | 3308 | | John Escobar | | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 896,567.49 |
| Check | 08/01/2011 | 3309 | | Yeh Family Trust | | 1020 - Torrey Pines-7590 - Dist... | 280.48 | | 896,847.97 |
| Check | 08/01/2011 | 3310 | | Allen Barbieri | | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 897,549.44 |
| Check | 08/01/2011 | 3311 | | Hellier Living Trust | | 1020 - Torrey Pines-7590 - Dist... | 245.45 | | 897,794.89 |
| Check | 08/01/2011 | 3312 | | Don L. Marchman, TTEE | | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 900,874.89 |
| Check | 08/01/2011 | 3313 | | Philip J. & Joyce D. Bach | | 1020 - Torrey Pines-7590 - Dist... | 309.27 | | 901,184.16 |
| Check | 08/01/2011 | 3314 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 2,373.34 | | 903,557.50 |
| Check | 08/01/2011 | 3315 | | The Kim N. Nguyen Liv... | | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 904,175.59 |
| Check | 08/01/2011 | 3316 | | Tin Thi To | | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 904,483.64 |
| Check | 08/01/2011 | 3317 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 618.17 | | 905,101.81 |
| Check | 08/01/2011 | 3318 | | VA Compass FBO Felix... | | 1020 - Torrey Pines-7590 - Dist... | 222.41 | | 905,324.22 |
| Check | 08/01/2011 | 3319 | | Jeffrey W. Abramson | | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 907,796.29 |
| Check | 08/01/2011 | 3320 | | Chris & Glenda Berattis | | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 907,950.31 |
| Check | 08/01/2011 | 3321 | | Ron Labetch Co Profit ... | | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 908,264.52 |
| Check | 08/01/2011 | 3322 | | Hsin-Shen Tai | | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 908,882.61 |
| Check | 08/01/2011 | 3323 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 1,832.71 | | 910,715.32 |
| General Journal | 09/02/2011 | reinvest... | | | Sept 2011 Growth investor's distributions | 3015 - Re-Invested Distributions | 73,178.82 | | 983,894.14 |
| Check | 09/02/2011 | 3324 | | ACH - IPF GROUP DIS... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 48,802.39 | | 1,032,696.46 |
| Check | 09/02/2011 | 3325 | | John Escobar | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 1,032,878.90 |
| Check | 09/02/2011 | 3326 | | Yeh Family Trust | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 288.83 | | 1,033,167.73 |
| Check | 09/02/2011 | 3327 | | Allen Barbieri | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 1,033,869.20 |
| Check | 09/02/2011 | 3328 | | Hellier Living Trust | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 245.45 | | 1,034,114.65 |
| Check | 09/02/2011 | 3329 | | Don L. Marchman, TTEE | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 1,037,194.65 |
| Check | 09/02/2011 | 3330 | | Philip J. & Joyce D. Bach | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 309.27 | | 1,037,503.92 |
| Check | 09/02/2011 | 3331 | | IRA Services FBO Pete... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 3,040.45 | | 1,040,544.37 |
| Check | 09/02/2011 | 3332 | | The Kim N. Nguyen Liv... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 1,041,162.46 |
| Check | 09/02/2011 | 3333 | | Tin Thi To | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 1,041,470.51 |
| Check | 09/02/2011 | 3334 | | IRA Services FBO Davi... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 618.17 | | 1,042,088.68 |
| Check | 09/02/2011 | 3335 | | VA Compass FBO Felix... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 222.41 | | 1,042,311.09 |
| Check | 09/02/2011 | 3336 | | Jeffrey W. Abramson | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 1,044,783.16 |
| Check | 09/02/2011 | 3337 | | Chris & Glenda Berattis | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 1,044,937.18 |
| Check | 09/02/2011 | 3338 | | Ron Labetch Co Profit ... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 1,045,251.39 |
| Check | 09/02/2011 | 3339 | | Hsin-Shen Tai | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 1,045,869.48 |
| Check | 09/02/2011 | 3340 | | IRA Services FBO Pete... | Sept 2011 investor's distributions | 1020 - Torrey Pines-7590 - Dist... | 1,832.71 | | 1,047,702.19 |
| General Journal | 09/02/2011 | intr rec... | | | October reinvested distributions for IPF | 3015 - Re-Invested Distributions | 75,288.69 | | 1,122,990.88 |
| Check | 10/01/2011 | ach | | ACH - IPF GROUP DIS... | October distribution for IPF - ACH | 1020 - Torrey Pines-7590 - Dist... | 47,374.52 | | 1,170,365.40 |
| Check | 10/01/2011 | 3342 | | John Escobar | | 1020 - Torrey Pines-7590 - Dist... | 176.55 | | 1,170,541.95 |
| Check | 10/01/2011 | 3343 | | Yeh Family Trust | | 1020 - Torrey Pines-7590 - Dist... | 280.48 | | 1,170,822.43 |
| Check | 10/01/2011 | 3344 | | Allen Barbieri | | 1020 - Torrey Pines-7590 - Dist... | 678.84 | | 1,171,501.27 |
| Check | 10/01/2011 | 3345 | | Hellier Living Trust | | 1020 - Torrey Pines-7590 - Dist... | 237.53 | | 1,171,738.80 |
| Check | 10/01/2011 | 3346 | | Don L. Marchman, TTEE | | 1020 - Torrey Pines-7590 - Dist... | 2,980.65 | | 1,174,719.45 |
| Check | 10/01/2011 | 3347 | | Philip J. & Joyce D. Bach | | 1020 - Torrey Pines-7590 - Dist... | 299.29 | | 1,175,018.74 |
| Check | 10/01/2011 | 3348 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 2,942.37 | | 1,177,961.11 |
| Check | 10/01/2011 | 3349 | | The Kim N. Nguyen Liv... | | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 1,178,579.20 |
| Check | 10/01/2011 | 3350 | | Tin Thi To | | 1020 - Torrey Pines-7590 - Dist... | 599.20 | | 1,179,178.40 |
| Check | 10/01/2011 | 3351 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 39.75 | | 1,179,218.15 |
| Check | 10/01/2011 | 3352 | | VA Compass FBO Felix... | | 1020 - Torrey Pines-7590 - Dist... | 215.24 | | 1,179,433.40 |
| Check | 10/01/2011 | 3353 | | Jeffrey W. Abramson | | 1020 - Torrey Pines-7590 - Dist... | 2,392.33 | | 1,181,825.73 |
| Check | 10/01/2011 | 3354 | | Chris & Glenda Berattis | | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 1,182,000.00 |
| Check | 10/01/2011 | 3355 | | Ron Labetch Co Profit ... | | 1020 - Torrey Pines-7590 - Dist... | 304.07 | | 1,182,304.07 |
| Check | 10/01/2011 | 3356 | | Akhila N. Gantayat | | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 1,182,453.12 |
| Check | 10/01/2011 | 3357 | | Ivy To | | 1020 - Torrey Pines-7 | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-------------|-----|-----------------------------|---|------------------------------------|-----------|--------------|--------------|
| General Journal | 11/01/2011 | reinvest... | | | November reinvested distributions for IPF | 3015 - Re-Invested Distributions | 78,242.94 | | 1,186,023.90 |
| Check | 11/01/2011 | 3360 | | John Escobar | November distribution | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 1,186,206.34 |
| Check | 11/01/2011 | 3361 | | Yeh Family Trust | nov distribution | 1020 - Torrey Pines-7590 - Dist... | 289.83 | | 1,186,496.17 |
| Check | 11/01/2011 | 3362 | | Allen Barbieri | nov distribution | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 1,187,197.64 |
| Check | 11/01/2011 | 3363 | | Heller Living Trust | Nov distribution | 1020 - Torrey Pines-7590 - Dist... | 289.11 | | 1,187,486.75 |
| Check | 11/01/2011 | 3364 | | Don L. Marchman, TTEE | Nov Distr | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 1,190,566.75 |
| Check | 11/01/2011 | 3365 | | Philip J. & Joyce D. Bach | nov distr | 1020 - Torrey Pines-7590 - Dist... | 309.27 | | 1,190,866.02 |
| Check | 11/01/2011 | 3366 | | IRA Services FBO Pete... | nov distrib | 1020 - Torrey Pines-7590 - Dist... | 3,040.45 | | 1,193,906.47 |
| Check | 11/01/2011 | 3367 | | The Kim N. Nguyen Livi... | nov distr | 1020 - Torrey Pines-7590 - Dist... | 556.47 | | 1,194,462.94 |
| Check | 11/01/2011 | 3368 | | IRA Services FBO Davi... | nov distr | 1020 - Torrey Pines-7590 - Dist... | 819.17 | | 1,195,102.11 |
| Check | 11/01/2011 | 3369 | | VA Compass FBO Felix... | nov distr | 1020 - Torrey Pines-7590 - Dist... | 222.41 | | 1,195,324.52 |
| Check | 11/01/2011 | 3370 | | Jeffrey W. Abramson | nov distr | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 1,197,796.59 |
| Check | 11/01/2011 | 3371 | | Chris & Glenda Beratis | nov distr | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 1,197,950.61 |
| Check | 11/01/2011 | 3372 | | Ron Labetch Co Profit ... | nov distr | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 1,198,264.82 |
| Check | 11/01/2011 | 3373 | | Akhila N. Gantayat | nov distr | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 1,198,418.84 |
| Check | 11/01/2011 | 3374 | | ira Services FBO Bird, ... | nov distr | 1020 - Torrey Pines-7590 - Dist... | 560.25 | | 1,198,979.09 |
| Check | 11/01/2011 | 3375 | | Ivy To | nov distr | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 1,199,287.14 |
| Check | 11/01/2011 | 3376 | | Yuki Tuttle | nov distr | 1020 - Torrey Pines-7590 - Dist... | 184.83 | | 1,199,471.97 |
| Check | 11/01/2011 | 3377 | | Jack Buchman | Nov distr | 1020 - Torrey Pines-7590 - Dist... | 278.18 | | 1,199,750.15 |
| Check | 11/01/2011 | 110111... | | ACH - IPF GROUP DIS... | November 2011 ACH Distribution | 1020 - Torrey Pines-7590 - Dist... | 52,156.40 | | 1,251,906.55 |
| Check | 12/01/2011 | 3378 | | John Escobar | Dec 2011 Distribution | 1020 - Torrey Pines-7590 - Dist... | 50,770.37 | | 1,302,676.92 |
| Check | 12/01/2011 | 3379 | | Yeh Family Trust | | 1020 - Torrey Pines-7590 - Dist... | 176.55 | | 1,302,853.47 |
| Check | 12/01/2011 | 3380 | | Allen Barbieri | | 1020 - Torrey Pines-7590 - Dist... | 289.48 | | 1,303,133.55 |
| Check | 12/01/2011 | 3381 | | Heller Living Trust | | 1020 - Torrey Pines-7590 - Dist... | 678.84 | | 1,303,812.79 |
| Check | 12/01/2011 | 3382 | | Don L. Marchman, TTEE | | 1020 - Torrey Pines-7590 - Dist... | 287.16 | | 1,304,100.85 |
| Check | 12/01/2011 | 3383 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 2,890.65 | | 1,307,090.60 |
| Check | 12/01/2011 | 3384 | | The Kim N. Nguyen Livi... | | 1020 - Torrey Pines-7590 - Dist... | 2,942.37 | | 1,310,032.97 |
| Check | 12/01/2011 | 3385 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 289.11 | | 1,310,331.08 |
| Check | 12/01/2011 | 3386 | | VA Compass FBO Felix... | | 1020 - Torrey Pines-7590 - Dist... | 598.20 | | 1,310,929.28 |
| Check | 12/01/2011 | 3387 | | Jeffrey W. Abramson | | 1020 - Torrey Pines-7590 - Dist... | 215.24 | | 1,311,145.52 |
| Check | 12/01/2011 | 3388 | | Chris & Glenda Beratis | | 1020 - Torrey Pines-7590 - Dist... | 2,392.33 | | 1,313,537.85 |
| Check | 12/01/2011 | 3389 | | Ron Labetch Co Profit ... | | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 1,313,686.90 |
| Check | 12/01/2011 | 3390 | | Akhila N. Gantayat | | 1020 - Torrey Pines-7590 - Dist... | 304.07 | | 1,313,990.97 |
| Check | 12/01/2011 | 3391 | | ira Services FBO Bird, ... | | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 1,314,140.02 |
| Check | 12/01/2011 | 3392 | | Ivy To | | 1020 - Torrey Pines-7590 - Dist... | 541.96 | | 1,314,681.98 |
| Check | 12/01/2011 | 3393 | | Krishna Bhola & Jennife... | | 1020 - Torrey Pines-7590 - Dist... | 288.11 | | 1,314,970.09 |
| Check | 12/01/2011 | 3394 | | Jack Buchman | | 1020 - Torrey Pines-7590 - Dist... | 149.90 | | 1,315,119.99 |
| Check | 12/01/2011 | 3395 | | Marlou Heckman c/o W... | | 1020 - Torrey Pines-7590 - Dist... | 288.05 | | 1,315,408.04 |
| Check | 12/01/2011 | 3396 | | Ronald J. Gordon, FBO... | | 1020 - Torrey Pines-7590 - Dist... | 1,391.18 | | 1,316,818.22 |
| General Journal | 12/31/2011 | reinvest... | | | December reinvested distributions for IPF | 3015 - Re-Invested Distributions | 74,623.21 | | 1,316,908.55 |
| General Journal | 12/31/2011 | Tax Adj... | | | Tax Adj-CPA-JE 101 | 4010 - Loan Interest Income | | 678.84 | 1,391,531.86 |
| General Journal | 01/01/2012 | c/o YE | | | Close out Distribution and Draws for 2011 | 3015 - Re-Invested Distributions | 86,041.42 | 1,391,531.86 | -678.84 |
| General Journal | 01/06/2012 | reinvest... | | | December reinvested distributions for IPF | 3015 - Re-Invested Distributions | 52,712.31 | | 85,362.58 |
| Check | 01/06/2012 | ACH | | | December Distribution | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 138,074.89 |
| Check | 01/06/2012 | 3397 | | John Escobar | January 2011 Distribution | 1020 - Torrey Pines-7590 - Dist... | 289.83 | | 138,257.33 |
| Check | 01/06/2012 | 3398 | | Yeh Family Trust | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 138,547.16 |
| Check | 01/06/2012 | 3399 | | Allen Barbieri | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 307.06 | | 138,246.63 |
| Check | 01/06/2012 | 3400 | | Heller Living Trust | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 3,070.00 | | 139,555.69 |
| Check | 01/06/2012 | 3401 | | IRA Services FBO Mich... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 144.28 | | 139,659.97 |
| Check | 01/06/2012 | 3402 | | Don L. Marchman, TTEE | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 142,739.97 |
| Check | 01/06/2012 | 3403 | | IRA Services FBO Pete... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 3,040.45 | | 145,780.42 |
| Check | 01/06/2012 | 3404 | | IRA Services FBO Davi... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 819.17 | | 146,396.59 |
| Check | 01/06/2012 | 3405 | | VA Compass FBO Felix... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 138.32 | | 146,535.91 |
| Check | 01/06/2012 | 3406 | | Jeffrey W. Abramson | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 148,007.98 |
| Check | 01/06/2012 | 3407 | | Chris & Glenda Beratis | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 148,162.00 |
| Check | 01/06/2012 | 3408 | | Ron Labetch Co Profit ... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 148,476.21 |
| Check | 01/06/2012 | 3409 | | Akhila N. Gantayat | #1150 January Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 148,630.23 |
| Check | 01/06/2012 | 3410 | | ira Services FBO Bird, ... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 560.03 | | 150,190.26 |
| Check | 01/06/2012 | 3411 | | Ivy To | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 150,498.31 |
| Check | 01/06/2012 | 3412 | | Krishna Bhola & Jennife... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 203.71 | | 150,702.02 |
| Check | 01/06/2012 | 3413 | | Jack Buchman | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.04 | | 151,010.06 |
| Check | 01/06/2012 | 3414 | | Marlou Heckman c/o W... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 1,540.23 | | 152,550.29 |
| Check | 01/06/2012 | 3415 | | Ron Gordon | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 152,858.34 |
| Check | 01/06/2012 | 3416 | | Hsiu Feng Wang & Jim... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 1,291.81 | | 154,150.15 |
| Check | 01/06/2012 | 3417 | | The Lauri E Fried-Lee &... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 99.58 | | 154,219.71 |
| Check | 01/06/2012 | 3418 | | Roger Wong Mullay c/o ... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 357.73 | | 154,577.44 |
| Check | 01/06/2012 | 3419 | | Mohamed Dhalla CPA ... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 58.62 | | 154,637.08 |
| Check | 01/06/2012 | 3420 | | Rev Living trust of Rich... | January Distribution | 1020 - Torrey Pines-7590 - Dist... | 168.94 | | 154,806.00 |
| Check | 01/06/2012 | 3421 | | Fadia Damon | #1007 Fadia Damon - partial withdrawal | 1011 - Heritage - 3513 - Operating | 5,000.00 | | 159,806.00 |
| Check | 02/01/2012 | 3422 | | John Escobar | Feb. 2012 Distribution | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 159,988.44 |
| Check | 02/01/2012 | 3423 | | Yeh Family Trust | Feb. 2012 Distribution | 1020 - Torrey Pines-7590 - Dist... | 289.83 | | 160,278.27 |
| Check | 02/01/2012 | 3424 | | Allen Barbieri | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 160,979.74 |
| Check | 02/01/2012 | 3425 | | Heller Living Trust | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 307.06 | | 161,286.80 |
| Check | 02/01/2012 | 3426 | | Don L. Marchman, TTEE | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 3,080.00 | | 164,366.80 |
| Check | 02/01/2012 | 3427 | | IRA Services FBO Pete... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 3,040.45 | | 167,405.25 |
| Check | 02/01/2012 | 3428 | | IRA Services FBO Davi... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 819.17 | | 168,024.42 |
| Check | 02/01/2012 | 3429 | | Jeffrey W. Abramson | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 170,496.49 |
| Check | 02/01/2012 | 3430 | | Chris & Glenda Beratis | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 170,650.51 |
| Check | 02/01/2012 | 3431 | | Ron Labetch Co Profit ... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 170,964.72 |
| Check | 02/01/2012 | 3432 | | Akhila N. Gantayat | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 171,118.74 |
| Check | 02/01/2012 | 3433 | | ira Services FBO Bird, ... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 325.18 | | 171,443.92 |
| Check | 02/01/2012 | 3434 | | Ivy To | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 171,751.97 |
| Check | 02/01/2012 | 3435 | | Krishna Bhola & Jennife... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 172,060.47 |
| Check | 02/01/2012 | 3436 | | Jack Buchman | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 172,368.52 |
| Check | 02/01/2012 | 3437 | | Marlou Heckman c/o W... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 1,540.23 | | 173,908.75 |
| Check | 02/01/2012 | 3438 | | Ron Gordon | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 174,438.89 |
| Check | 02/01/2012 | 3439 | | Hsiu Feng Wang & Jim... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 1,540.23 | | 175,979.12 |
| Check | 02/01/2012 | 3440 | | The Lauri E Fried-Lee &... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 176,133.24 |
| Check | 02/01/2012 | 3441 | | Roger Wong Mullay c/o ... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 616.09 | | 176,749.33 |
| Check | 02/01/2012 | 3442 | | Mohamed Dhalla CPA ... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 177,365.42 |
| Check | 02/01/2012 | 3443 | | Amir H & Louise F Solr... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 288.17 | | 177,653.59 |
| Check | 02/01/2012 | 3444 | | Ali Tabatabaian | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 143.09 | | 177,796.68 |
| Check | 02/01/2012 | 3445 | | Weisbart 1986 Family T... | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 437.23 | | 178,233.91 |
| Check | 02/01/2012 | 3446 | | Robert Swanbeck | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 53,230.82 | | 231,464.73 |
| Check | 02/01/2012 | 3447 | | Paula Klug | Feb. 2012 Dist | 1020 - Torrey Pines-7590 - Dist... | 1,669.83 | | 233,094.56 |
| Check | 02/03/2012 | ech | | | IRA Services FBO Pete... | 3015 - Re-Invested Distributions | 91,814.02 | | 324,908.58 |
| General Journal | 02/28/2012 | reinvest... | | | February reinvested distributions for IPF | 3015 - Re-Invested Distributions | 50,334.20 | | 325,084.49 |
| Check | 03/31/2012 | 3452 | | John Escobar | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 170.67 | | 325,255.16 |
| Check | 03/31/2012 | ACH | | | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 271.13 | | 325,526.29 |
| Check | 03/31/2012 | 3454 | | Yeh Family Trust | | 1020 - Torrey Pines-7590 - Dist... | 656.22 | | 326,182.51 |
| Check | 03/31/2012 | 3455 | | Allen Barbieri | | 1020 - Torrey Pines-7590 - Dist... | 287.25 | | 326,469.76 |
| Check | 03/31/2012 | 3456 | | Heller Living Trust | | 1020 - Torrey Pines-7590 - Dist... | 2,881.29 | | 329,351.05 |
| Check | 03/31/2012 | 3457 | | Marchman Survivor Trust | | 1020 - Torrey Pines-7590 - Dist... | 2,837.34 | | 332,188.39 |
| Check | 03/31/2012 | 3458 | | IRA Services FBO Pete... | | 1020 - Torrey Pines-7590 - Dist... | 153.83 | | 332,342.22 |
| Check | 03/31/2012 | 3459 | | The Kim N. Nguyen Livi... | | 1020 - Torrey Pines-7590 - Dist... | 579.23 | | 332,921.45 |
| Check | 03/31/2012 | 3460 | | IRA Services FBO Davi... | | 1020 - Torrey Pines-7590 - Dist... | 2,312.59 | | 335,234.04 |
| Check | 03/31/2012 | 3461 | | Jeffrey W. Abramson | | 1020 - Torrey Pines-7590 - Dist... | 144.09 | | 335,378.13 |
| Check | 03/31/2012 | 3462 | | Chris & Glenda Beratis | | 1020 - Torrey Pines-7590 - Dist... | 293.94 | | 335,672.07 |
| Check | 03/31/2012 | | | | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-------------|-----|----------------------------|--|------------------------------------|------------|--------|------------|
| Check | 03/31/2012 | 3465 | | Ira Services FBO Bird, ... | | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 386,277.90 |
| Check | 03/31/2012 | 3466 | | Ivy To | | 1020 - Torrey Pines-7590 - Dist... | 288.17 | | 386,565.97 |
| Check | 03/31/2012 | 3467 | | Krishna Bhola & Jennife... | | 1020 - Torrey Pines-7590 - Dist... | 290.84 | | 386,558.81 |
| Check | 03/31/2012 | 3468 | | Jack Buchman | | 1020 - Torrey Pines-7590 - Dist... | 288.17 | | 387,144.98 |
| Check | 03/31/2012 | 3469 | | Marlou Heckman c/o W... | | 1020 - Torrey Pines-7590 - Dist... | 1,440.86 | | 388,585.84 |
| Check | 03/31/2012 | 3470 | | Johnson Chunsheng Ch... | | 1020 - Torrey Pines-7590 - Dist... | 146.46 | | 388,732.32 |
| Check | 03/31/2012 | 3471 | | Ronald J. Gordon, FBO... | | 1020 - Torrey Pines-7590 - Dist... | 576.35 | | 389,308.67 |
| Check | 03/31/2012 | 3472 | | Hsu Feng Wang & Jim... | | 1020 - Torrey Pines-7590 - Dist... | 1,440.86 | | 390,749.53 |
| Check | 03/31/2012 | 3473 | | The Lauri E Fried-Lee &... | | 1020 - Torrey Pines-7590 - Dist... | 144.09 | | 390,893.62 |
| Check | 03/31/2012 | 3474 | | Roger Wong Mulloy c/o ... | | 1020 - Torrey Pines-7590 - Dist... | 576.35 | | 391,469.97 |
| Check | 03/31/2012 | 3475 | | Mohamed Dhalia CPA ... | | 1020 - Torrey Pines-7590 - Dist... | 253.39 | | 391,723.36 |
| Check | 03/31/2012 | 3476 | | Amir H & Louise F Sohr... | | 1020 - Torrey Pines-7590 - Dist... | 568.40 | | 392,291.76 |
| Check | 03/31/2012 | 3477 | | Ali Tabatabaian | | 1020 - Torrey Pines-7590 - Dist... | 288.17 | | 392,579.93 |
| Check | 03/31/2012 | 3478 | | Weisbart 1986 Family T... | | 1020 - Torrey Pines-7590 - Dist... | 144.09 | | 392,722.02 |
| Check | 03/31/2012 | 3479 | | Robert Swanbeck | | 1020 - Torrey Pines-7590 - Dist... | 201.01 | | 392,923.03 |
| Check | 03/31/2012 | 3480 | | Paula Klug | | 1020 - Torrey Pines-7590 - Dist... | 576.35 | | 393,499.38 |
| Check | 03/31/2012 | 3481 | | Pauline Schwartz | | 1020 - Torrey Pines-7590 - Dist... | 216.62 | | 393,715.00 |
| Check | 03/31/2012 | 3482 | | Michael Gagnon | | 1020 - Torrey Pines-7590 - Dist... | 69.56 | | 393,784.56 |
| Check | 04/01/2012 | 3483 | | John Escobar | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 393,970.00 |
| Check | 04/01/2012 | 3484 | | Yeh Family Trust | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 288.03 | | 394,258.03 |
| Check | 04/01/2012 | 3485 | | Allen Barbieri | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 394,959.50 |
| Check | 04/01/2012 | 3486 | | Hellier Living Trust | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 307.00 | | 395,266.50 |
| Check | 04/01/2012 | 3487 | | Don L. Marchman, TTEE | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 3,060.00 | | 398,348.36 |
| Check | 04/01/2012 | 3488 | | The Kim N. Nguyen Livi... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 254.82 | | 398,613.28 |
| Check | 04/01/2012 | 3489 | | IRA Services FBO Davi... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 619.17 | | 399,232.45 |
| Check | 04/01/2012 | 3490 | | Ira Services FBO John ... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.31 | | 399,386.76 |
| Check | 04/01/2012 | 3491 | | Jeffrey W. Abramson | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 2,472.07 | | 401,858.83 |
| Check | 04/01/2012 | 3492 | | Chris & Glenda Beradis | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 402,012.85 |
| Check | 04/01/2012 | 3493 | | Ron Labetich Co Profit ... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 314.21 | | 402,327.06 |
| Check | 04/01/2012 | 3494 | | Akhila N. Gantayat | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 402,481.08 |
| Check | 04/01/2012 | 3495 | | Ira Services FBO Bird, ... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 369.66 | | 402,850.74 |
| Check | 04/01/2012 | 3496 | | Ivy To | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.03 | | 403,158.79 |
| Check | 04/01/2012 | 3497 | | Krishna Bhola & Jennife... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.03 | | 403,466.84 |
| Check | 04/01/2012 | 3498 | | Jack Buchman | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.03 | | 403,774.88 |
| Check | 04/01/2012 | 3499 | | Marlou Heckman c/o W... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 1,540.23 | | 405,315.12 |
| Check | 04/01/2012 | 3500 | | Johnson Chunsheng Ch... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 156.58 | | 405,471.70 |
| Check | 04/01/2012 | 3501 | | Ronald J. Gordon, FBO... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 665.77 | | 406,137.47 |
| Check | 04/01/2012 | 3502 | | Hsu Feng Wang & Jim... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 1,540.23 | | 407,677.70 |
| Check | 04/01/2012 | 3503 | | The Lauri E Fried-Lee &... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 407,831.72 |
| Check | 04/01/2012 | 3504 | | Roger Wong Mulloy c/o ... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 618.09 | | 408,449.81 |
| Check | 04/01/2012 | 3505 | | Mohamed Dhalia CPA ... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 408,757.86 |
| Check | 04/01/2012 | 3506 | | Amir H & Louise F Sohr... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 924.14 | | 409,682.00 |
| Check | 04/01/2012 | 3507 | | Ali Tabatabaian | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 409,990.05 |
| Check | 04/01/2012 | 3508 | | Weisbart 1986 Family T... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 410,144.07 |
| Check | 04/01/2012 | 3509 | | Robert Swanbeck | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.28 | | 410,452.35 |
| Check | 04/01/2012 | 3510 | | Paula Klug | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 619.09 | | 411,071.44 |
| Check | 04/01/2012 | 3511 | | Pauline Schwartz | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 411,379.49 |
| Check | 04/01/2012 | 3512 | | Michael Gagnon | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 308.05 | | 411,687.54 |
| Check | 04/01/2012 | 3513 | | Leonard Strelzin | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 408.58 | | 412,096.12 |
| Check | 04/01/2012 | 3514 | | Don R Castelman Acco... | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 69.56 | | 412,165.68 |
| Check | 04/01/2012 | 3515 | | ACH March Distribution | ACH March Distribution | 1020 - Torrey Pines-7590 - Dist... | 57,217.67 | | 469,383.35 |
| General Journal | 04/01/2012 | reinvest... | | Jenny D. Lee | March reinvested distributions for IPF | 3015 - Re-Invested Distributions | 108,096.02 | | 577,478.37 |
| General Journal | 04/01/2012 | reinvest... | | | Withdrawal | 1011 - Heritage - 3513 - Operating | 49,948.23 | | 627,424.60 |
| General Journal | 05/01/2012 | Dist-ACH | | | May reinvested distributions for IPF | 3015 - Re-Invested Distributions | 114,412.76 | | 741,837.36 |
| Check | 05/01/2012 | 3517 | | John Escobar | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 53,526.74 | | 795,364.10 |
| Check | 05/01/2012 | 3518 | | Yeh Family Trust | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 176.55 | | 795,540.65 |
| Check | 05/01/2012 | 3519 | | Allen Barbieri | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 260.48 | | 795,801.13 |
| Check | 05/01/2012 | 3520 | | Hellier Living Trust | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 678.84 | | 796,479.97 |
| Check | 05/01/2012 | 3521 | | Don L. Marchman, TTEE | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 287.16 | | 796,767.13 |
| Check | 05/01/2012 | 3522 | | Subodh & Sharadha T... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 2,980.65 | | 799,747.78 |
| Check | 05/01/2012 | 3523 | | The Kim N. Nguyen Livi... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 4,917.62 | | 804,665.40 |
| Check | 05/01/2012 | 3524 | | IRA Services FBO Davi... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 256.37 | | 804,921.77 |
| Check | 05/01/2012 | 3525 | | Ira Services FBO John ... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 599.20 | | 805,520.97 |
| Check | 05/01/2012 | 3526 | | Jeffrey W. Abramson | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 805,670.02 |
| Check | 05/01/2012 | 3527 | | Chris & Glenda Beradis | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 2,392.33 | | 808,062.35 |
| Check | 05/01/2012 | 3528 | | Ron Labetich Co Profit ... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 808,211.40 |
| Check | 05/01/2012 | 3529 | | Akhila N. Gantayat | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 304.07 | | 808,515.47 |
| Check | 05/01/2012 | 3530 | | Ira Services FBO Bird, ... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 808,664.52 |
| Check | 05/01/2012 | 3531 | | Ivy To | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 357.73 | | 809,022.25 |
| Check | 05/01/2012 | 3532 | | Krishna Bhola & Jennife... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 809,320.36 |
| Check | 05/01/2012 | 3533 | | Jack Buchman | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 809,618.47 |
| Check | 05/01/2012 | 3534 | | Marlou Heckman c/o W... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 809,916.58 |
| Check | 05/01/2012 | 3535 | | Johnson Chunsheng Ch... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 1,480.55 | | 811,397.13 |
| Check | 05/01/2012 | 3536 | | Ronald J. Gordon, FBO... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 151.53 | | 811,548.66 |
| Check | 05/01/2012 | 3537 | | Hsu Feng Wang & Jim... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 894.33 | | 812,442.99 |
| Check | 05/01/2012 | 3538 | | The Lauri E Fried-Lee &... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 1,480.55 | | 813,923.54 |
| Check | 05/01/2012 | 3539 | | Roger Wong Mulloy c/o ... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 254.50 | | 814,178.04 |
| Check | 05/01/2012 | 3540 | | Mohamed Dhalia CPA ... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 596.22 | | 814,774.26 |
| Check | 05/01/2012 | 3541 | | Amir H & Louise F Sohr... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 288.11 | | 815,062.37 |
| Check | 05/01/2012 | 3542 | | Ali Tabatabaian | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 894.33 | | 815,956.70 |
| Check | 05/01/2012 | 3543 | | Weisbart 1986 Family T... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 288.11 | | 816,244.81 |
| Check | 05/01/2012 | 3544 | | Robert Swanbeck | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 149.05 | | 816,393.86 |
| Check | 05/01/2012 | 3545 | | Paula Klug | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 299.30 | | 816,693.16 |
| Check | 05/01/2012 | 3546 | | Pauline Schwartz | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 586.22 | | 817,279.38 |
| Check | 05/01/2012 | 3547 | | Michael Gagnon | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 817,577.49 |
| Check | 05/01/2012 | 3548 | | IRA Services FBO Strel... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 298.11 | | 817,875.60 |
| Check | 05/01/2012 | 3549 | | Don R Castelman Acco... | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 614.37 | | 818,490.00 |
| Check | 05/01/2012 | 3550 | | Levin Family Trust | Withdrawal | 1011 - Heritage - 3513 - Operating | 75,000.00 | | 893,490.00 |
| Check | 05/01/2012 | 3551 | | Levin Family Trust | Withdrawal | 1011 - Heritage - 3513 - Operating | 12,500.00 | | 906,388.00 |
| Check | 06/01/2012 | 3552 | | Maggie Bedstone | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 758.98 | | 907,137.00 |
| Check | 06/01/2012 | 3553 | | Ronald Thompson | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 324.56 | | 907,461.56 |
| Check | 06/01/2012 | 3554 | | John & Lena King | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 3,402.66 | | 910,864.22 |
| Check | 06/01/2012 | 3555 | | John Lindner ITF Angeli... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 721.75 | | 911,585.97 |
| Check | 06/01/2012 | 3556 | | Angie Smith 2010 Revo... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 690.01 | | 912,296.04 |
| Check | 06/01/2012 | 3557 | | The Hunsaker Family T... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 228.86 | | 912,524.90 |
| Check | 06/01/2012 | 3558 | | Ronald and Mary Pier... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 1,875.13 | | 914,400.03 |
| Check | 06/01/2012 | 3559 | | Cary Levin and Stewart ... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 470.23 | | 914,870.26 |
| Check | 06/01/2012 | 3560 | | John Escobar | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 1,555.51 | | 916,425.77 |
| Check | 06/01/2012 | 3561 | | Boyer Natto | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 182.44 | | 916,608.21 |
| Check | 06/01/2012 | 3562 | | Younan Lu and Ujan Du | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 358.55 | | 916,966.76 |
| Check | 06/01/2012 | 3563 | | Jeanette F. and Martin ... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 210.04 | | 917,176.80 |
| Check | 06/01/2012 | 3564 | | Jon W. Stell | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 451.77 | | 917,628.57 |
| Check | 06/01/2012 | 3565 | | Robert Morris | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 917,782.59 |
| Check | 06/01/2012 | 3566 | | Natalie Taffie Feathers | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 376.57 | | 918,159.16 |
| Check | 06/01/2012 | 3567 | | Hsiang-Kang & Chao-T... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 1.91 | | 918,161.07 |
| Check | 06/01/2012 | 3568 | | Allen Barbieri | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 289.83 | | 918,450.90 |
| Check | 06/01/2012 | 3569 | | David R. & Beverly S. L... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 701.47 | | 919,152.37 |
| Check | 06/01/2012 | 3570 | | Macan Singh | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 423.15 | | 919,575.52 |
| Check | 06/01/2012 | 3571 | | Nicholas Cabell | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 891.58 | | 920,467.10 |
| Check | 06/01/2012 | 3572 | | IRA Services FBO Syd ... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 1,059.05 | | 921,526.52 |

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2:52 PM

08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|------|-----|-----------------------------|------|-------|-------|--------|---------|
| Check | 08/01/2012 | 3573 | | ira Services FBO Victor... | | | | | |
| Check | 08/01/2012 | 3574 | | Wm. C. & Sally S. Sloc... | | | | | |
| Check | 08/01/2012 | 3575 | | TD Ameritrade FBO NS... | | | | | |
| Check | 08/01/2012 | 3576 | | Hedler Living Trust | | | | | |
| Check | 08/01/2012 | 3577 | | R. & M. McDowell, Trust... | | | | | |
| Check | 08/01/2012 | 3578 | | Dan L. Silva | | | | | |
| Check | 08/01/2012 | 3579 | | William J. Messung | | | | | |
| Check | 08/01/2012 | 3580 | | IRA Services FBO Mari... | | | | | |
| Check | 08/01/2012 | 3581 | | Frank J. Napoli | | | | | |
| Check | 08/01/2012 | 3582 | | Robert A. Luenberger | | | | | |
| Check | 08/01/2012 | 3583 | | Francis & Dorothy Schw... | | | | | |
| Check | 08/01/2012 | 3584 | | Nathalia F. Gross | | | | | |
| Check | 08/01/2012 | 3585 | | Vincent Turner | | | | | |
| Check | 08/01/2012 | 3586 | | The Pete & Judith Peter... | | | | | |
| Check | 08/01/2012 | 3587 | | Indian Muslim Relief & C... | | | | | |
| Check | 08/01/2012 | 3588 | | Richard Wilcox | | | | | |
| Check | 08/01/2012 | 3589 | | Zaheda Shaikh | | | | | |
| Check | 08/01/2012 | 3590 | | Peter & Helen Sih TTEES | | | | | |
| Check | 08/01/2012 | 3591 | | The Marchman Trust A... | | | | | |
| Check | 08/01/2012 | 3592 | | Philip J. & Joyce D. Bach | | | | | |
| Check | 08/01/2012 | 3593 | | Fadia Damon | | | | | |
| Check | 08/01/2012 | 3594 | | Fadia Damon | | | | | |
| Check | 08/01/2012 | 3595 | | Subodh & Sharadha T... | | | | | |
| Check | 08/01/2012 | 3596 | | Terence & Kathleen Lee... | | | | | |
| Check | 08/01/2012 | 3597 | | IRA Services FBO Karl | | | | | |
| Check | 08/01/2012 | 3598 | | Peter N. Chumli II & G... | | | | | |
| Check | 08/01/2012 | 3599 | | Cory Levin and Stewart... | | | | | |
| Check | 08/01/2012 | 3600 | | Fadia Damon | | | | | |
| Check | 08/01/2012 | 3601 | | The Mark Bingham Foll... | | | | | |
| Check | 08/01/2012 | 3602 | | William C. & Karen LS K... | | | | | |
| Check | 08/01/2012 | 3603 | | Urban Family Revocab... | | | | | |
| Check | 08/01/2012 | 3604 | | Vahid Sotoudeh, FBO C... | | | | | |
| Check | 08/01/2012 | 3605 | | Vahid Sotoudeh | | | | | |
| Check | 08/01/2012 | 3606 | | IRA Services FBO Soto... | | | | | |
| Check | 08/01/2012 | 3607 | | Zaratan, Nilo & Judith T... | | | | | |
| Check | 08/01/2012 | 3608 | | Edwin A. Laurence Trust | | | | | |
| Check | 08/01/2012 | 3609 | | Stephen Blair | | | | | |
| Check | 08/01/2012 | 3610 | | Edward Minasian | | | | | |
| Check | 08/01/2012 | 3611 | | IRA Services FBO Pete... | | | | | |
| Check | 08/01/2012 | 3612 | | Judith Abel | | | | | |
| Check | 08/01/2012 | 3613 | | JOSEPH & Joyce Lipov... | | | | | |
| Check | 08/01/2012 | 3614 | | IRA Services FBO Soto... | | | | | |
| Check | 08/01/2012 | 3615 | | The Kim N. Nguyen Livi... | | | | | |
| Check | 08/01/2012 | 3616 | | Willard E. Fee, Jr. | | | | | |
| Check | 08/01/2012 | 3617 | | Floyd J. and Annette J. ... | | | | | |
| Check | 08/01/2012 | 3618 | | Bonnie Benson | | | | | |
| Check | 08/01/2012 | 3619 | | Pingyu & Carolyn Liu | | | | | |
| Check | 08/01/2012 | 3620 | | Ke Rui Lei and Yi Qun ... | | | | | |
| Check | 08/01/2012 | 3621 | | Andre Luthard | | | | | |
| Check | 08/01/2012 | 3622 | | IRA Services FBO Wak... | | | | | |
| Check | 08/01/2012 | 3623 | | Daniel & Katherine Lau... | | | | | |
| Check | 08/01/2012 | 3624 | | Ashok & Nisha Kapoor | | | | | |
| Check | 08/01/2012 | 3625 | | Hany & Freda Goldstein | | | | | |
| Check | 08/01/2012 | 3626 | | IRA Services FBO Zhou... | | | | | |
| Check | 08/01/2012 | 3627 | | Vahid Tador | | | | | |
| Check | 08/01/2012 | 3628 | | IRA Services FBO Barb... | | | | | |
| Check | 08/01/2012 | 3629 | | Edward Minasian | | | | | |
| Check | 08/01/2012 | 3630 | | IRA Services FBO Davi... | | | | | |
| Check | 08/01/2012 | 3631 | | Fanny Fung-Yi Pan | | | | | |
| Check | 08/01/2012 | 3632 | | Christiana G. Schandra | | | | | |
| Check | 08/01/2012 | 3633 | | An Nian Zhang | | | | | |
| Check | 08/01/2012 | 3634 | | Te Family Trust | | | | | |
| Check | 08/01/2012 | 3635 | | IRA Services FBO John ... | | | | | |
| Check | 08/01/2012 | 3636 | | IRA Services FBO Barb... | | | | | |
| Check | 08/01/2012 | 3637 | | Vivick Aganwal | | | | | |
| Check | 08/01/2012 | 3638 | | Ning Sung & Eniko Mat... | | | | | |
| Check | 08/01/2012 | 3639 | | Masayoshi & Sanae Habu | | | | | |
| Check | 08/01/2012 | 3640 | | IRA Services FBO Sun... | | | | | |
| Check | 08/01/2012 | 3641 | | Robert S. Ferguson, Jr. | | | | | |
| Check | 08/01/2012 | 3642 | | Takeshi Omura | | | | | |
| Check | 08/01/2012 | 3643 | | Perone Solo 401(k) | | | | | |
| Check | 08/01/2012 | 3644 | | IRA Services FBO Kem... | | | | | |
| Check | 08/01/2012 | 3645 | | Thilagaranj Tangavelu ... | | | | | |
| Check | 08/01/2012 | 3646 | | IRA Services FBO Ceh... | | | | | |
| Check | 08/01/2012 | 3647 | | IRA Services FBO Vam... | | | | | |
| Check | 08/01/2012 | 3648 | | Joel W. Goodman | | | | | |
| Check | 08/01/2012 | 3649 | | Jeffrey W. Abramson | | | | | |
| Check | 08/01/2012 | 3650 | | Masayoshi & Sanae Habu | | | | | |
| Check | 08/01/2012 | 3651 | | Masayoshi & Sanae Habu | | | | | |
| Check | 08/01/2012 | 3652 | | Rainer 2001 Living Trust | | | | | |
| Check | 08/01/2012 | 3653 | | Allan Leibor | | | | | |
| Check | 08/01/2012 | 3654 | | Matthew & Stephanie T... | | | | | |
| Check | 08/01/2012 | 3655 | | IRA Services FBO Van S... | | | | | |
| Check | 08/01/2012 | 3656 | | IRA Services FBO Charl... | | | | | |
| Check | 08/01/2012 | 3657 | | Sukumar & Shamila Mu... | | | | | |
| Check | 08/01/2012 | 3658 | | Chris & Glenda Beratis | | | | | |
| Check | 08/01/2012 | 3659 | | R. Peter Griffin DDS | | | | | |
| Check | 08/01/2012 | 3660 | | Rhona Leibor | | | | | |
| Check | 08/01/2012 | 3661 | | IRA Services FBO Kurt ... | | | | | |
| Check | 08/01/2012 | 3662 | | Nocera Rev. Sep. Prop... | | | | | |
| Check | 08/01/2012 | 3663 | | The Ruzhka Family Rev... | | | | | |
| Check | 08/01/2012 | 3664 | | IRA sERVICES FBO Li... | | | | | |
| Check | 08/01/2012 | 3665 | | Marcel La Roque | | | | | |
| Check | 08/01/2012 | 3666 | | IRA Services FBO Muk... | | | | | |
| Check | 08/01/2012 | 3667 | | Howard Gottlieb | | | | | |
| Check | 08/01/2012 | 3668 | | Gilmore Family Trust | | | | | |
| Check | 08/01/2012 | 3669 | | IRA Services FBO Dan... | | | | | |
| Check | 08/01/2012 | 3670 | | John P. and Jeanne Abn... | | | | | |
| Check | 08/01/2012 | 3671 | | John McCarthy | | | | | |
| Check | 08/01/2012 | 3672 | | Stephen D. Pahl TTEES | | | | | |
| Check | 08/01/2012 | 3673 | | Horst G. Bannmer TTEES | | | | | |
| Check | 08/01/2012 | 3674 | | IRA services Trust | | | | | |
| Check | 08/01/2012 | 3675 | | Mary Sung | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|------|-----|-----------------------------|------|-------------------------------------|----------|--------|--------------|
| Check | 06/01/2012 | 3586 | | Rev. Living Trust of Bro... | | 1020 - Torrey Pines- 7590 - Dist... | 164.17 | | 1,001,585.82 |
| Check | 06/01/2012 | 3587 | | Edward & Mariam Bell | | 1020 - Torrey Pines- 7590 - Dist... | 477.22 | | 1,002,073.04 |
| Check | 06/01/2012 | 3588 | | Nyberg Revocable Trust | | 1020 - Torrey Pines- 7590 - Dist... | 163.91 | | 1,002,236.95 |
| Check | 06/01/2012 | 3589 | | Gary J. Hall | | 1020 - Torrey Pines- 7590 - Dist... | 165.42 | | 1,002,402.37 |
| Check | 06/01/2012 | 3591 | | IRA Services FBO Den... | | 1020 - Torrey Pines- 7590 - Dist... | 21.52 | | 1,002,423.89 |
| Check | 06/01/2012 | 3592 | | Vincent C. Moyer | | 1020 - Torrey Pines- 7590 - Dist... | 432.21 | | 1,002,856.10 |
| Check | 06/01/2012 | 3593 | | Mary Ester DiPietro | | 1020 - Torrey Pines- 7590 - Dist... | 163.68 | | 1,003,019.78 |
| Check | 06/01/2012 | 3594 | | John Woo & Cindy Ng | | 1020 - Torrey Pines- 7590 - Dist... | 165.16 | | 1,003,184.94 |
| Check | 06/01/2012 | 3595 | | James Po Kun Chiang... | | 1020 - Torrey Pines- 7590 - Dist... | 656.38 | | 1,003,841.32 |
| Check | 06/01/2012 | 3596 | | David Hernandez | | 1020 - Torrey Pines- 7590 - Dist... | 283.73 | | 1,004,105.05 |
| Check | 06/01/2012 | 3597 | | Armour Family Trust | | 1020 - Torrey Pines- 7590 - Dist... | 202.17 | | 1,004,307.22 |
| Check | 06/01/2012 | 3598 | | Oliver & Joanna Yu | | 1020 - Torrey Pines- 7590 - Dist... | 164.63 | | 1,004,471.85 |
| Check | 06/01/2012 | 3700 | | Akhila N. Gantayat | | 1020 - Torrey Pines- 7590 - Dist... | 325.49 | | 1,004,797.34 |
| Check | 06/01/2012 | 3701 | | Shiang Mei Hui | | 1020 - Torrey Pines- 7590 - Dist... | 154.02 | | 1,004,951.36 |
| Check | 06/01/2012 | 3702 | | Nileshu Sekhar Bagchi ... | | 1020 - Torrey Pines- 7590 - Dist... | 649.57 | | 1,005,600.93 |
| Check | 06/01/2012 | 3703 | | ira Services FBO Stowe... | | 1020 - Torrey Pines- 7590 - Dist... | 226.65 | | 1,005,827.58 |
| Check | 06/01/2012 | 3704 | | Larry Minter | | 1020 - Torrey Pines- 7590 - Dist... | 162.33 | | 1,005,990.21 |
| Check | 06/01/2012 | 3705 | | ira Services FBO Bird, ... | | 1020 - Torrey Pines- 7590 - Dist... | 775.79 | | 1,006,766.00 |
| Check | 06/01/2012 | 3706 | | Ronald Alphon Young | | 1020 - Torrey Pines- 7590 - Dist... | 359.66 | | 1,007,125.66 |
| Check | 06/01/2012 | 3707 | | Nabeel Ahmed | | 1020 - Torrey Pines- 7590 - Dist... | 288.84 | | 1,007,424.30 |
| Check | 06/01/2012 | 3707 | | Carol A. Staley | | 1020 - Torrey Pines- 7590 - Dist... | 355.53 | | 1,007,779.83 |
| Check | 06/01/2012 | 3708 | | IRA Services FBO Moni... | | 1020 - Torrey Pines- 7590 - Dist... | 810.68 | | 1,008,590.71 |
| Check | 06/01/2012 | 3708 | | WJA Secure Income Fu... | | 1020 - Torrey Pines- 7590 - Dist... | 354.17 | | 1,008,944.88 |
| Check | 06/01/2012 | 3710 | | Virginia Barnes | | 1020 - Torrey Pines- 7590 - Dist... | 846.48 | | 1,009,791.36 |
| Check | 06/01/2012 | 3711 | | Ivy Te | | 1020 - Torrey Pines- 7590 - Dist... | 1,288.89 | | 1,010,880.25 |
| Check | 06/01/2012 | 3712 | | Wendi J. Dick | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,011,188.30 |
| Check | 06/01/2012 | 3713 | | Carl A. Wolfe | | 1020 - Torrey Pines- 7590 - Dist... | 508.40 | | 1,011,696.70 |
| Check | 06/01/2012 | 3714 | | TD Ameritrade FBO Ma... | | 1020 - Torrey Pines- 7590 - Dist... | 161.30 | | 1,011,858.00 |
| Check | 06/01/2012 | 3715 | | Antonio F. Palumbo | | 1020 - Torrey Pines- 7590 - Dist... | 181.27 | | 1,012,039.27 |
| Check | 06/01/2012 | 3716 | | Richard E. Moore | | 1020 - Torrey Pines- 7590 - Dist... | 887.61 | | 1,012,926.88 |
| Check | 06/01/2012 | 3717 | | Kristina Shola & Jennife... | | 1020 - Torrey Pines- 7590 - Dist... | 161.08 | | 1,013,087.96 |
| Check | 06/01/2012 | 3718 | | Yi Qun Zhou | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,013,376.01 |
| Check | 06/01/2012 | 3719 | | Gilmore-Hallwell Living... | | 1020 - Torrey Pines- 7590 - Dist... | 38.73 | | 1,013,414.74 |
| Check | 06/01/2012 | 3720 | | IRA Services FBO Zhou... | | 1020 - Torrey Pines- 7590 - Dist... | 317.13 | | 1,013,731.87 |
| Check | 06/01/2012 | 3721 | | Millenium Trust Co. FB... | | 1020 - Torrey Pines- 7590 - Dist... | 321.71 | | 1,014,053.58 |
| Check | 06/01/2012 | 3722 | | Jack Buchanan | | 1020 - Torrey Pines- 7590 - Dist... | 321.45 | | 1,014,375.03 |
| Check | 06/01/2012 | 3723 | | Tiffany Woo | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,014,683.08 |
| Check | 06/01/2012 | 3724 | | Katherine Woo | | 1020 - Torrey Pines- 7590 - Dist... | 180.50 | | 1,014,863.58 |
| Check | 06/01/2012 | 3726 | | Stella S. F. Liu | | 1020 - Torrey Pines- 7590 - Dist... | 190.50 | | 1,015,054.08 |
| Check | 06/01/2012 | 3727 | | Louis J. & Karen A. Leo... | | 1020 - Torrey Pines- 7590 - Dist... | 275.52 | | 1,015,329.60 |
| Check | 06/01/2012 | 3728 | | IRA sERVICES too Jam... | | 1020 - Torrey Pines- 7590 - Dist... | 627.87 | | 1,015,957.47 |
| Check | 06/01/2012 | 3729 | | Anthony Gue Revocabl... | | 1020 - Torrey Pines- 7590 - Dist... | 272.83 | | 1,016,230.30 |
| Check | 06/01/2012 | 3730 | | Robert & Mary Pravedell... | | 1020 - Torrey Pines- 7590 - Dist... | 258.04 | | 1,016,488.34 |
| Check | 06/01/2012 | 3731 | | Marlou Heckman c/o W... | | 1020 - Torrey Pines- 7590 - Dist... | 158.65 | | 1,016,646.99 |
| Check | 06/01/2012 | 3732 | | Johnson Chunsheng Ch... | | 1020 - Torrey Pines- 7590 - Dist... | 1,540.23 | | 1,018,187.22 |
| Check | 06/01/2012 | 3733 | | Ronald J. Gordon, FBO... | | 1020 - Torrey Pines- 7590 - Dist... | 156.58 | | 1,018,343.80 |
| Check | 06/01/2012 | 3734 | | IRA Services FBO Muk... | | 1020 - Torrey Pines- 7590 - Dist... | 924.14 | | 1,019,267.94 |
| Check | 06/01/2012 | 3735 | | Ingle & Kay Pak | | 1020 - Torrey Pines- 7590 - Dist... | 195.04 | | 1,019,462.98 |
| Check | 06/01/2012 | 3736 | | ira Services FBO Van T... | | 1020 - Torrey Pines- 7590 - Dist... | 476.20 | | 1,019,939.18 |
| Check | 06/01/2012 | 3737 | | IRA Services FBO Van ... | | 1020 - Torrey Pines- 7590 - Dist... | 129.84 | | 1,020,069.02 |
| Check | 06/01/2012 | 3738 | | IRA Services FBO Sih, ... | | 1020 - Torrey Pines- 7590 - Dist... | 71.04 | | 1,020,140.06 |
| Check | 06/01/2012 | 3739 | | Hsu Feng Wang & Jim... | | 1020 - Torrey Pines- 7590 - Dist... | 253.67 | | 1,020,393.73 |
| Check | 06/01/2012 | 3740 | | Peasner Family Revocab... | | 1020 - Torrey Pines- 7590 - Dist... | 1,540.23 | | 1,021,933.96 |
| Check | 06/01/2012 | 3741 | | Bronco Enterprises Inc. | | 1020 - Torrey Pines- 7590 - Dist... | 119.76 | | 1,022,053.72 |
| Check | 06/01/2012 | 3742 | | Yokayo & Aaron K. Hau... | | 1020 - Torrey Pines- 7590 - Dist... | 317.03 | | 1,022,370.75 |
| Check | 06/01/2012 | 3743 | | Khuzema A. Sawai | | 1020 - Torrey Pines- 7590 - Dist... | 8,749.93 | | 1,031,119.68 |
| Check | 06/01/2012 | 3744 | | The Lauri E Fried-Lee &... | | 1020 - Torrey Pines- 7590 - Dist... | 158.29 | | 1,031,277.97 |
| Check | 06/01/2012 | 3745 | | IRA Services FBO Moie... | | 1020 - Torrey Pines- 7590 - Dist... | 309.59 | | 1,031,587.56 |
| Check | 06/01/2012 | 3746 | | IRA Services FBO Lymc... | | 1020 - Torrey Pines- 7590 - Dist... | 455.33 | | 1,031,992.89 |
| Check | 06/01/2012 | 3747 | | Roger Wong Mulloy c/o ... | | 1020 - Torrey Pines- 7590 - Dist... | 314.44 | | 1,032,307.33 |
| Check | 06/01/2012 | 3748 | | ira Services FBO Merca... | | 1020 - Torrey Pines- 7590 - Dist... | 616.09 | | 1,032,923.42 |
| Check | 06/01/2012 | 3749 | | Yan Cindy Kwok and Fr... | | 1020 - Torrey Pines- 7590 - Dist... | 167.52 | | 1,033,090.94 |
| Check | 06/01/2012 | 3750 | | Mahamed Dhalia CPA ... | | 1020 - Torrey Pines- 7590 - Dist... | 633.02 | | 1,033,723.96 |
| Check | 06/01/2012 | 3751 | | IRA Services FBO Sel... | | 1020 - Torrey Pines- 7590 - Dist... | 158.11 | | 1,033,882.07 |
| Check | 06/01/2012 | 3752 | | Rev Living trust of Rich... | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,034,190.12 |
| Check | 06/01/2012 | 3753 | | Mark Sun Lin | | 1020 - Torrey Pines- 7590 - Dist... | 157.85 | | 1,034,347.97 |
| Check | 06/01/2012 | 3754 | | IRA Services FBO Sih, ... | | 1020 - Torrey Pines- 7590 - Dist... | 378.63 | | 1,034,726.60 |
| Check | 06/01/2012 | 3755 | | Lindner John | | 1020 - Torrey Pines- 7590 - Dist... | 167.52 | | 1,034,894.12 |
| Check | 06/01/2012 | 3756 | | Amin H & Louise F Sohr... | | 1020 - Torrey Pines- 7590 - Dist... | 51.08 | | 1,034,945.20 |
| Check | 06/01/2012 | 3757 | | Ali Tabatabaie | | 1020 - Torrey Pines- 7590 - Dist... | 12.61 | | 1,034,957.81 |
| Check | 06/01/2012 | 3758 | | Ovadia Trust | | 1020 - Torrey Pines- 7590 - Dist... | 924.14 | | 1,035,761.95 |
| Check | 06/01/2012 | 3759 | | Robert K & Ethel J Leaf... | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,036,070.00 |
| Check | 06/01/2012 | 3760 | | Robert K & Ethel J Leaf... | | 1020 - Torrey Pines- 7590 - Dist... | 253.26 | | 1,036,323.26 |
| Check | 06/01/2012 | 3761 | | Weisbart 1986 Family T... | | 1020 - Torrey Pines- 7590 - Dist... | 315.46 | | 1,036,638.72 |
| Check | 06/01/2012 | 3762 | | Robert Swanbeck | | 1020 - Torrey Pines- 7590 - Dist... | 154.02 | | 1,036,792.74 |
| Check | 06/01/2012 | 3763 | | Paula Klug | | 1020 - Torrey Pines- 7590 - Dist... | 308.26 | | 1,037,061.00 |
| Check | 06/01/2012 | 3764 | | Elizabeth H. McClintock | | 1020 - Torrey Pines- 7590 - Dist... | 616.09 | | 1,037,677.09 |
| Check | 06/01/2012 | 3764 | | Ron Gordon | | 1020 - Torrey Pines- 7590 - Dist... | 472.08 | | 1,038,149.17 |
| Check | 06/01/2012 | 3765 | | Sandra Khoury | | 1020 - Torrey Pines- 7590 - Dist... | 157.42 | | 1,038,306.59 |
| Check | 06/01/2012 | 3766 | | Graciela Lenhart | | 1020 - Torrey Pines- 7590 - Dist... | 157.29 | | 1,038,463.88 |
| Check | 06/01/2012 | 3767 | | Carol Misch | | 1020 - Torrey Pines- 7590 - Dist... | 188.58 | | 1,038,652.46 |
| Check | 06/01/2012 | 3768 | | Beatrice Sindair TEEES | | 1020 - Torrey Pines- 7590 - Dist... | 188.68 | | 1,038,841.14 |
| Check | 06/01/2012 | 3769 | | Doris T. Moore | | 1020 - Torrey Pines- 7590 - Dist... | 188.68 | | 1,039,029.82 |
| Check | 06/01/2012 | 3773 | | IRA Services FBO Pes... | | 1020 - Torrey Pines- 7590 - Dist... | 314.03 | | 1,039,343.85 |
| Check | 06/01/2012 | 3774 | | Patricia F. Pitts | | 1020 - Torrey Pines- 7590 - Dist... | 388.34 | | 1,039,732.19 |
| Check | 06/01/2012 | 3775 | | Steven Corcoran TTEE | | 1020 - Torrey Pines- 7590 - Dist... | 156.79 | | 1,039,888.98 |
| Check | 06/01/2012 | 3776 | | Pauline Schwartz | | 1020 - Torrey Pines- 7590 - Dist... | 782.30 | | 1,040,671.28 |
| Check | 06/01/2012 | 3777 | | IRA services FBO Flore... | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,040,979.33 |
| Check | 06/01/2012 | 3778 | | Michael Gagnon | | 1020 - Torrey Pines- 7590 - Dist... | 156.84 | | 1,041,136.17 |
| Check | 06/01/2012 | 3779 | | ira Services FBO Philip ... | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,041,444.22 |
| Check | 06/01/2012 | 3780 | | ira Services FBO Leona... | | 1020 - Torrey Pines- 7590 - Dist... | 621.11 | | 1,042,065.33 |
| Check | 06/01/2012 | 3781 | | IRA sERVICES too Joh... | | 1020 - Torrey Pines- 7590 - Dist... | 634.85 | | 1,042,699.18 |
| Check | 06/01/2012 | 3782 | | Harold H. Turner | | 1020 - Torrey Pines- 7590 - Dist... | 155.90 | | 1,042,855.08 |
| Check | 06/01/2012 | 3783 | | Robert Dale & Patricia ... | | 1020 - Torrey Pines- 7590 - Dist... | 155.90 | | 1,043,010.98 |
| Check | 06/01/2012 | 3784 | | TD Ameritrade FBO Sta... | | 1020 - Torrey Pines- 7590 - Dist... | 155.80 | | 1,043,166.78 |
| Check | 06/01/2012 | 3785 | | IRA sERVICES FBO E... | | 1020 - Torrey Pines- 7590 - Dist... | 506.56 | | 1,043,673.34 |
| Check | 06/01/2012 | 3787 | | Benny Kirkland and Cuth... | | 1020 - Torrey Pines- 7590 - Dist... | 284.27 | | 1,043,957.61 |
| Check | 06/01/2012 | 3788 | | Mary Jo Potter, TTEES | | 1020 - Torrey Pines- 7590 - Dist... | 471.06 | | 1,044,428.67 |
| Check | 06/01/2012 | 3789 | | John P. and Jeanne Atto | | 1020 - Torrey Pines- 7590 - Dist... | 280.87 | | 1,044,709.73 |
| Check | 06/01/2012 | 3790 | | Frederick Schwalbach | | 1020 - Torrey Pines- 7590 - Dist... | 1,352.10 | | 1,046,061.83 |
| Check | 06/01/2012 | 3791 | | Sterling Trust FBO Mar... | | 1020 - Torrey Pines- 7590 - Dist... | 154.88 | | 1,046,216.71 |
| Check | 06/01/2012 | 3792 | | Don R Castleman Acco... | | 1020 - Torrey Pines- 7590 - Dist... | 308.05 | | 1,046,524.76 |
| Check | 06/01/2012 | 3793 | | IRA sERVICES FBO Jif... | | 1020 - Torrey Pines- 7590 - Dist... | 620.63 | | 1,047,145.39 |
| Check | 06/01/2012 | 3794 | | IRA services fbo Carol ... | | 1020 - Torrey Pines- 7590 - Dist... | 183.81 | | 1,047,329.20 |
| Check | 06/01/2012 | 3795 | | Fannam Johnson Corp | | 1020 - Torrey Pines- 7590 - Dist... | 293.89 | | 1,047,623.09 |
| Check | 06/01/2012 | 3796 | | Jim and Sandy Bland | | 1020 - Torrey Pines- 7590 - Dist... | 155.06 | | 1,047,778.15 |
| Check | 06/01/2012 | 3797 | | Olivia Hone | | 1020 - Torrey Pines- 7590 - Dist... | 278.84 | | 1,048,056.99 |
| Check | 06/01/2012 | 3798 | | Donna Pettillo | | 1020 - Torrey Pines- 7590 - Dist... | 154.85 | | 1,048,211.84 |
| Check | 06/01/2012 | 3799 | | Hantao & Sylvia Yang T... | | 1020 - Torrey Pines- 7590 - Dist... | 309.64 | | 1,048,521.48 |
| Check | 06/01/2012 | 3800 | | Yasabel and Barbara Ku... | | 1020 - Torrey Pines- 7590 - Dist... | 309.64 | </ | |

Investors Prime Fund
General Ledger
All Transactions

2:52 PM
08/18/16

Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-------|------------|------|-----|---------------------------|----------------------------------|-------|-----------|--------|--------------|
| Check | 08/01/2012 | ACH | | ACH - IPF GROUP DIS... | | | | | |
| Check | 08/01/2012 | 3771 | | IRA Services FBO Bag... | May Distribution | | 53,486.84 | | 1,102,945.80 |
| Check | 08/01/2012 | 3772 | | IRA Services FBO Bag... | May Distribution | | 1,178.98 | | 1,101,766.82 |
| Check | 08/01/2012 | 3785 | | Alexander & Nancy Tr... | May Distribution | | 382.94 | | 1,104,697.10 |
| Check | 08/01/2012 | 2513 | | Becky McVittie | Withdrawal | | 155.71 | | 1,104,852.81 |
| Check | 08/01/2012 | | | Internal Revenue Servi... | Foreign Tax for Jack Buchman | | 10,000.00 | | 1,114,852.81 |
| Check | 08/01/2012 | | | CA Franchise Board | Foreign Tax for Jack Buchman | | 188.00 | | 1,115,040.81 |
| Check | 08/01/2012 | | | Internal Revenue Servi... | Foreign Tax for Jack Buchman | | 53.00 | | 1,115,093.81 |
| Check | 08/01/2012 | | | CA Franchise Board | Foreign Tax for Pauline Schwartz | | 317.00 | | 1,115,410.81 |
| Check | 08/01/2012 | | | Internal Revenue Servi... | Foreign Tax for Pauline Schwartz | | 84.00 | | 1,115,526.81 |
| Check | 08/01/2012 | | | CA Franchise Board | Foreign Tax for Pauline Schwartz | | 251.00 | | 1,115,777.81 |
| Check | 08/01/2012 | | | Leonard Strazin | May Distribution | | 6.00 | | 1,115,783.81 |
| Check | 08/01/2012 | 3725 | | | | | 6.00 | | 1,115,789.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,115,795.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,115,801.81 |
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| Check | 08/01/2012 | | | | | | 6.00 | | 1,115,861.81 |
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| Check | 08/01/2012 | | | | | | 6.00 | | 1,115,873.81 |
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| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,053.81 |
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| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,065.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,071.81 |
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| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,095.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,101.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,107.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,113.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,119.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,125.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,131.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,137.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,143.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,149.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,155.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,161.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,167.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,173.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,179.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,185.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,191.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,197.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,203.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,209.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,215.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,221.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,227.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,233.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,239.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,245.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,251.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,257.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,263.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,269.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,275.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,281.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,287.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,293.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,299.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,305.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,311.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,317.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,323.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,329.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,335.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,341.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,347.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,353.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,359.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,365.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,371.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,377.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,383.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,389.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,395.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,401.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,407.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,413.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,419.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,425.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,431.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,437.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,443.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,449.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,455.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,461.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,467.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,473.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,479.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,485.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,491.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,497.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,503.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,509.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,515.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,521.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,527.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,533.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,539.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,545.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,551.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,557.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,563.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,569.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,575.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,581.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,587.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,593.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,599.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,605.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,611.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,617.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,623.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,629.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,635.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,641.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,647.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,653.81 |
| Check | 08/01/2012 | | | | | | 6.00 | | 1,116,659.81 |
| | | | | | | | | | |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Item | Split | Debit | Credit | Balance |
|---|------------|-------------|-----|---|--|------------------------------------|---------------|---------------|----------------|
| Check | 03/14/2012 | 3142012 | | Naghme Sarkeshik | Final Withdrawal-Closing Account | 1020 - Torrey Pines-7590 - Dist... | 32,560.64 | | 260,021.22 |
| Check | 03/19/2012 | 3192012 | | SBC Senior Commercial... | Linden, Alice and Herbert Hoglan-transferred fr... | 1011 - Heritage - 3513 - Operating | 100,000.00 | | 100,000.00 |
| Check | 03/28/2012 | 3142013 | | Herbert Hoglan | #0960 Herbert Hoglan Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 105,081.79 | | 465,103.01 |
| Check | 03/28/2012 | 2481 | | Ronald J. Gordon, FBO... | Withdrawal-Mgr Bonus | 1011 - Heritage - 3513 - Operating | 500.00 | | 465,603.01 |
| Check | 03/28/2012 | 2482 | | IRA Services FBO Victor... | #0946 IRA Services FBO McPhun, Victor With... | 1011 - Heritage - 3513 - Operating | 6,300.00 | | 471,903.01 |
| Check | 03/29/2012 | 3282012 | | Susan B. Coffey Revoc... | Susan B. Coffey Revocable Trust | 1020 - Torrey Pines-7590 - Dist... | 3,000.00 | | 474,903.01 |
| Check | 03/31/2012 | 3302012 | | Wang Xiaodong | Wang Xiaodong Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 22,500.00 | | 497,403.01 |
| Check | 03/31/2012 | 3453 | | Jon W. Steel | | 1020 - Torrey Pines-7590 - Dist... | 144.06 | | 497,547.07 |
| Check | 04/01/2012 | 3484 | | Yi Qun Zhou | March Distribution | 1020 - Torrey Pines-7590 - Dist... | 154.02 | | 497,701.12 |
| Check | 04/05/2012 | ACH | | Becky McVittie | Transferred to SBC SCMF | 1011 - Heritage - 3513 - Operating | 20,000.00 | | 517,701.12 |
| Check | 04/05/2012 | 2491 | | Nicholas Cabell | Withdrawal | 1011 - Heritage - 3513 - Operating | 10,000.00 | | 527,701.12 |
| Check | 04/18/2012 | 2493 | | Nicholas Cabell | # 044 Nicholas Cabell Withdrawal of Mgr Bonus | 1011 - Heritage - 3513 - Operating | 1,000.00 | | 528,701.12 |
| Check | 05/01/2012 | 3518 | | Jon W. Steel | May Distribution | 1020 - Torrey Pines-7590 - Dist... | 148.05 | | 528,850.17 |
| Check | 05/02/2012 | 2497 | | Susan B. Coffey Revoc... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 200.00 | | 529,050.17 |
| Check | 05/03/2012 | 2498 | | IRA Services FBO Victor... | Withdrawal | 1011 - Heritage - 3513 - Operating | 3,700.00 | | 532,750.17 |
| Check | 05/03/2012 | 2499 | | VanSlangen Family Trust | Close 2009 Distrib into Retained Equity | 1011 - Heritage - 3513 - Operating | 45,000.00 | | 577,750.17 |
| Check | 05/07/2012 | 2500 | | Jenny D. Tang | Close 2010 Contributions into Retained Equity | 1011 - Heritage - 3513 - Operating | 125,000.00 | | 702,750.17 |
| Check | 05/07/2012 | 2501 | | Becky McVittie | Withdrawal | 1011 - Heritage - 3513 - Operating | 10,000.00 | | 712,750.17 |
| Check | 05/07/2012 | 2502 | | Fadia Daman | Withdrawal | 1011 - Heritage - 3513 - Operating | 6,000.00 | | 718,750.17 |
| Check | 05/07/2012 | 2503 | | Fanny Fung-Yi Pan | Withdrawal | 1011 - Heritage - 3513 - Operating | 6,000.00 | | 724,750.17 |
| Check | 05/18/2012 | 2506 | | William J. Massung | #0968 William J. Massung | 1011 - Heritage - 3513 - Operating | 15,000.00 | | 739,750.17 |
| Check | 05/30/2012 | 2507 | | Frank J. Napoli | Withdrawal | 1011 - Heritage - 3513 - Operating | 9,000.00 | | 748,750.17 |
| Check | 06/01/2012 | 3624 | | Chris Doyle | #0974 Frank Napoli- Withdrawal | 1011 - Heritage - 3513 - Operating | 20,000.00 | | 768,750.17 |
| Check | 06/01/2012 | 2510 | | Ronald and Mary Pierce... | Withdrawal | 1020 - Torrey Pines-7590 - Dist... | 421.48 | | 769,171.65 |
| Check | 06/04/2012 | 2516 | | Susan B. Coffey Revoc... | Withdrawal | 1011 - Heritage - 3513 - Operating | 600.00 | | 769,771.65 |
| Check | 06/06/2012 | 2516 | | Bonnie Benson | Close 2010 Distributions into Retained Equity | 1020 - Torrey Pines-7590 - Dist... | 200.00 | | 769,971.65 |
| Check | 06/12/2012 | 2515 | | Cary Levin and Stewart... | Withdrawal | 1011 - Heritage - 3513 - Operating | 50,000.00 | | 819,971.65 |
| Check | 06/12/2012 | 2514 | | Cary Levin and Stewart... | Withdrawal | 1011 - Heritage - 3513 - Operating | 111,725.00 | | 931,696.65 |
| Check | 06/12/2012 | 2514 | | Cary Levin and Stewart... | Withdrawal | 1011 - Heritage - 3513 - Operating | 18,750.00 | | 950,446.65 |
| Total 3040 - Withdrawals | | | | | | | 8,969,471.32 | 8,019,024.67 | 950,446.65 |
| 3050 - C/Y Investor Earnings Equity | | | | | | | | | |
| Total 3050 - C/Y Investor Earnings Equity | | | | | | | | | 0.00 |
| 3999 - Retained Members Equity | | | | | | | | | |
| General Journal | 12/31/2009 | Openin... | | Set up 01/01/10 Opening Balances on new QB... | 1010 - Wells Fargo - 6093 - Ope... | | 8,366,614.88 | | -8,366,614.88 |
| General Journal | 12/31/2009 | Contrib... | | Close 2009 Y/E bal into Retained Members Eq... | 3010 - Contributions | | 5,502,911.00 | | -13,869,525.88 |
| General Journal | 12/31/2009 | Distrib ... | | Close 2009 Distrib into Retained Equity | 3030 - Distributions | 2,957,131.00 | | | -10,912,394.88 |
| General Journal | 01/01/2011 | P/Y Dis... | | To Remove Syndication Fees & related accum... | 1250 - LT Rec - Fund Mgrs Org... | | 38,680.62 | | -10,951,075.50 |
| General Journal | 01/01/2011 | P/Y Dis... | | Close 2010 Contributions into Retained Equity | 3010 - Contributions | | 8,064,539.52 | | -18,015,615.02 |
| General Journal | 01/01/2011 | P/Y Dis... | | Close 2010 Re-Invested distributions into Ret... | 3015 - Re-Invested Distributions | | 434,359.72 | | -18,449,974.74 |
| General Journal | 01/01/2011 | P/Y Dis... | | Close 2010 Distributions into Retained Equity | 3030 - Distributions | 650,514.37 | | | -18,599,489.37 |
| General Journal | 01/01/2011 | P/Y Dis... | | Close 2010 Withdrawals into Retained Equity | 3040 - Withdrawals | 3,974,184.53 | | | -14,625,275.84 |
| General Journal | 01/01/2011 | Redas... | | Reclass 2010 NI fr RE to Retained Members E... | 32000 - *Members Equity | | 852,685.80 | | -15,477,961.64 |
| General Journal | 01/01/2012 | clo YE | | Close out Distribution and Draws for 2011 | 3015 - Re-Invested Distributions | | 10,230,418.78 | | -25,708,381.42 |
| Total 3999 - Retained Members Equity | | | | | | | 7,781,829.90 | 33,490,211.32 | -25,708,381.42 |
| 3000 - Members Equity - Other | | | | | | | | | |
| Total 3000 - Members Equity - Other | | | | | | | | | 0.00 |
| Total 3000 - Members Equity | | | | | | | 51,323,702.13 | 82,312,522.76 | -30,988,820.63 |
| 30000 - Operating Balance Equity | | | | | | | | | |
| Total 30000 - Operating Balance Equity | | | | | | | | | 0.00 |
| 32000 - *Members Equity | | | | | | | | | |
| General Journal | 01/01/2011 | Redas... | | Reclass 2010 NI fr RE to Retained Members E... | 3999 - Retained Members Equity | | 852,685.80 | | 852,685.80 |
| General Journal | 01/01/2012 | clo YE | | Close out Distribution and Draws for 2011 | 3015 - Re-Invested Distributions | 1,391,258.63 | | | 2,243,944.63 |
| General Journal | 06/01/2012 | amort | | Reversal of Amortization taken in 2011 over a... | 1651 - Accum Amort-Orig Costs | | 3,305.20 | | 2,240,639.43 |
| Total 32000 - *Members Equity | | | | | | | 2,243,944.63 | 3,305.20 | 2,240,639.43 |
| 4000 - Fund Income | | | | | | | | | |
| 4010 - Loan Interest Income | | | | | | | | | |
| General Journal | 01/10/2010 | 01/10 A... | | \$1420.63 - assume rcv'd in Dec 09 and taken c... | 2520 - Unearned Prepaid Interest | | 0.00 | | 0.00 |
| Deposit | 01/05/2010 | | | Online transfer | 1011 - Heritage - 3513 - Operating | | 9,187.50 | | -9,187.50 |
| Deposit | 01/06/2010 | 9 | | Dolores Park Assoc | 1011 - Heritage - 3513 - Operating | | 3,020.55 | | -12,208.05 |
| Deposit | 01/13/2010 | 1088 | | Kallappa G. Rampur | 1011 - Heritage - 3513 - Operating | | 15,669.53 | | -27,877.58 |
| Deposit | 01/15/2010 | | | Cherry Lane | 1010 - Wells Fargo - 6093 - Ope... | | 4,832.82 | | -32,810.50 |
| General Journal | 01/19/2010 | #30001... | | #30001 Loan Int in Trust Recog | 2080 - Due to SB Capital | | 3,528.78 | | -36,339.28 |
| Deposit | 01/19/2010 | 263 | | Kallappa G. Rampur | 1011 - Heritage - 3513 - Operating | | 0.00 | | -36,339.28 |
| Deposit | 01/19/2010 | 1098 | | SB Capital | 1011 - Heritage - 3513 - Operating | | 3,006.66 | | -39,346.14 |
| Deposit | 01/19/2010 | 1097 | | SB Capital | 1011 - Heritage - 3513 - Operating | | 6,020.83 | | -45,366.97 |
| Deposit | 01/20/2010 | 1731 | | Valley Produce | 1011 - Heritage - 3513 - Operating | | 1,781.83 | | -47,148.80 |
| Deposit | 01/25/2010 | 5056 | | Mary D. Woelfel Living ... | 1011 - Heritage - 3513 - Operating | | 1,418.83 | | -48,567.63 |
| General Journal | 01/13/2010 | #86424... | | Loan#864243 recognize earned interest | 2520 - Unearned Prepaid Interest | | 1,420.14 | | -49,987.77 |
| Deposit | 02/01/2010 | 1003 | | Sameena Baig | 1011 - Heritage - 3513 - Operating | | 12,093.75 | | -62,081.52 |
| General Journal | 02/01/2010 | 02/01 A... | | Prf portion of Loan #21 S02 LLC dep to BT | 1210 - Due Fr SBC Cap Brokers... | | 0.00 | | -62,081.52 |
| General Journal | 02/01/2010 | 02/01 A... | | King S0 pre-pd int - 02/01/10 loan pmt | 2520 - Unearned Prepaid Interest | | 1,100.01 | | -63,181.53 |
| Deposit | 02/18/2010 | 1015 | | SB Capital Brokers Trus... | 1011 - Heritage - 3513 - Operating | | 12,093.75 | | -75,275.28 |
| Deposit | 02/19/2010 | 1011 | | SB Capital Brokers Trus... | 1011 - Heritage - 3513 - Operating | | 3,587.87 | | -78,863.15 |
| Deposit | 02/19/2010 | 1008 | | Dolores Park #75 due 2/1/10 | 1011 - Heritage - 3513 - Operating | | 7,656.25 | | -86,499.40 |
| Deposit | 02/19/2010 | 1020 | | S02 LLC #21 due 2/1/10 | 1011 - Heritage - 3513 - Operating | | 13,484.56 | | -99,983.96 |
| Deposit | 02/19/2010 | 1019 | | Rampur #51 due 2/1/10 interest | 1011 - Heritage - 3513 - Operating | | 4,832.82 | | -104,816.88 |
| Deposit | 02/28/2010 | 1022 | | Cherry Lane #389023268 due 2/1/10 | 1011 - Heritage - 3513 - Operating | | 1,751.25 | | -106,568.13 |
| Deposit | 02/28/2010 | 1023 | | Valley Produce #200000 due 2/1/10 interest | 1011 - Heritage - 3513 - Operating | | 1,466.67 | | -108,034.80 |
| Deposit | 02/28/2010 | 1026 | | Clarence King #50 due 2 & 3/1/10 | 1011 - Heritage - 3513 - Operating | | 10,735.07 | | -118,769.87 |
| Deposit | 03/09/2010 | 1028 | | Sameena Baig #8AIG due 3/1/10 | 1011 - Heritage - 3513 - Operating | | 1,417.52 | | -120,187.39 |
| Deposit | 03/12/2010 | 1037 | | Mary D. Woelfel #8A43 due 3/1/10 - interest | 1011 - Heritage - 3513 - Operating | | 611.11 | | -120,798.50 |
| Deposit | 03/12/2010 | 1030 | | Clarence King #50 due 4/1/10 interest | 1011 - Heritage - 3513 - Operating | | 4,376.00 | | -125,174.50 |
| Deposit | 03/12/2010 | 1031 | | Dolores Park #75 due 3/1/10 | 1011 - Heritage - 3513 - Operating | | 7,656.25 | | -132,830.75 |
| Deposit | 03/12/2010 | 1033 | | Rampur #51 due 3/1/10- int portion | 1011 - Heritage - 3513 - Operating | | 13,387.86 | | -146,317.61 |
| Deposit | 03/12/2010 | 1034 | | Cherry Lane #84457 due 3/1/10 | 1011 - Heritage - 3513 - Operating | | 4,832.82 | | -151,250.53 |
| Deposit | 04/02/2010 | 1044 | | Valley Produce #200000 due 3/1/10 - interest | 1011 - Heritage - 3513 - Operating | | 1,740.58 | | -152,991.11 |
| Deposit | 04/05/2010 | 1049 | | Cherry Lane #84457 loan payoff | 1011 - Heritage - 3513 - Operating | | 5,427.70 | | -158,418.81 |
| Deposit | 04/09/2010 | 1050 | | Woelfel loan#864243 payoff-interest | 1011 - Heritage - 3513 - Operating | | 1,899.16 | | -160,317.97 |
| Deposit | 04/14/2010 | 1058 | | The Four Brothers Inns Loan #59 due 2/25/10-int | 1011 - Heritage - 3513 - Operating | | 4,784.59 | | -165,102.56 |
| Deposit | 04/14/2010 | 1057 | | S02 LLC, loan #21 due 4/1/10 | 1011 - Heritage - 3513 - Operating | | 7,656.25 | | -172,758.81 |
| Deposit | 04/14/2010 | 1061 | | BAIG loan due 4/1 & 13, 2010 | 1011 - Heritage - 3513 - Operating | | 10,376.73 | | -183,135.54 |
| Deposit | 04/14/2010 | 1062 | | Rampur loan #51 due 4/1/10-int | 1011 - Heritage - 3513 - Operating | | 13,411.86 | | -196,547.40 |
| Deposit | 04/20/2010 | 1070 | | Luna Loan#103 pmt due 4/1/10-interest | 1011 - Heritage - 3513 - Operating | | 3,511.67 | | -199,959.07 |
| Deposit | 04/30/2010 | 1072 | | Valley Produce loan#20000 due 4/1/10 - interest | 1011 - Heritage - 3513 - Operating | | 1,021.25 | | -200,980.32 |
| Deposit | 05/06/2010 | 1083 | | S02 LLC Loan #21 pmt due 5/1/10 | 1011 - Heritage - 3513 - Operating | | 8,109.33 | | -209,089.65 |
| Deposit | 05/06/2010 | 1081 | | BAIG loan int pmt due 5/1/10 | 1011 - Heritage - 3513 - Operating | | 5,890.10 | | -214,979.75 |
| Deposit | 05/21/2010 | 1093 | | -MULTIPLE- | 1011 - Heritage - 3513 - Operating | | 17,021.28 | | -232,001.03 |
| Deposit | 05/28/2010 | 1097 | | BAIG loan interest due 6/1/10 | 1011 - Heritage - 3513 - Operating | | 4,031.25 | | -236,032.28 |
| Deposit | 05/28/2010 | 1098 | | #200000 Valley Produce pmt due 5/1/10-int | 1011 - Heritage - 3513 - Operating | | 1,718.88 | | -237,751.16 |
| Deposit | 05/28/2010 | 1099 | | Loan#103 Luna Int Due 5/1/10 | 1011 - Heritage - 3513 - Operating | | 1,136.67 | | -238,887.83 |
| Deposit | 06/17/2010 | 1104 | | #21 S02 LLC int pmt due 6/1/10 | 1011 - Heritage - 3513 - Operating | | 1,967.50 | | -240,855.33 |
| Deposit | 06/17/2010 | 1105 | | #0100 Amy Lin int pmt due 6/1/10 | 1011 - Heritage - 3513 - Operating | | 2,311.25 | | -243,166.58 |
| Deposit | 06/17/2010 | 1106 | | SB Capital Brokers Trus... | 1011 - Heritage - 3513 - Operating | | 4,239.58 | | -247,406.16 |
| Check | 06/17/2010 | Wire out | | Old Republic Title | 1011 - Heritage - 3513 - Operating | | 3,760.87 | | -251,167.03 |

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-------------|-----|----------------------------|---|------------------------------------|-----------|-----------|---------------|
| General Journal | 06/30/2010 | Int Rec... | | | Reflect Loan Int Rec @ 6/30/10 | 1150 - Fund Loans Interest Rec... | | 64,900.83 | -316,454.80 |
| Deposit | 07/08/2010 | 1119 | | SB Capital Brokers Trus... | SB Capital #300001pmt due 3/1 and partial 4/1... | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -326,454.80 |
| Deposit | 07/08/2010 | 1120 | | SB Capital Brokers Trus... | SB Capital loan#85 pmt due 3/1/10 | 1011 - Heritage - 3513 - Operating | | 10,000.00 | -336,454.80 |
| Deposit | 07/08/2010 | 1121 | | SB Capital Brokers Trus... | Rampur loan#51 int due 6/10/10 | 1011 - Heritage - 3513 - Operating | | 13,933.19 | -350,388.99 |
| Deposit | 07/08/2010 | 1152 | | SB Capital Brokers Trus... | #108 Mardock pmt due 7/1/10 | 1011 - Heritage - 3513 - Operating | | 2,698.20 | -353,087.19 |
| Deposit | 07/15/2010 | 1123 | | SB Capital Brokers Trus... | #51 Rampur pmt made on act-int | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -356,587.19 |
| Deposit | 07/15/2010 | 1128 | | SB Capital Brokers Trus... | #75 Dolores Park - past due interest | 1011 - Heritage - 3513 - Operating | | 17,543.42 | -374,130.61 |
| Deposit | 07/15/2010 | 1128 | | SB Capital Brokers Trus... | #108 Sherwin loan pmt due 7/1/10 int | 1011 - Heritage - 3513 - Operating | | 4,238.58 | -378,369.19 |
| Deposit | 07/29/2010 | 1129 | | SB Capital Brokers Trus... | #108 Mardock pmt due 7/1/10 | 1011 - Heritage - 3513 - Operating | | 976.80 | -379,345.99 |
| Deposit | 07/29/2010 | 1133 | | SB Capital Brokers Trus... | #21 SO2 LLC pmt due 7/1/10 | 1011 - Heritage - 3513 - Operating | | 9,187.50 | -388,533.49 |
| Deposit | 07/29/2010 | 1134 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 7/1/10 | 1011 - Heritage - 3513 - Operating | | 3,298.70 | -391,832.19 |
| Deposit | 07/29/2010 | 1139 | | SB Capital Brokers Trus... | #103 Luna int due 6/1/10 | 1011 - Heritage - 3513 - Operating | | 1,133.22 | -392,965.41 |
| Deposit | 07/29/2010 | 1141 | | SB Capital Brokers Trus... | #103 Luna int pmt due 7/1/10 | 1011 - Heritage - 3513 - Operating | | 1,136.67 | -394,102.08 |
| Deposit | 07/29/2010 | 1142 | | SB Capital Brokers Trus... | #51 Rampur prior int due | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -397,602.08 |
| Deposit | 07/29/2010 | 1143 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 6/1/10-int | 1011 - Heritage - 3513 - Operating | | 1,708.32 | -399,310.40 |
| Deposit | 08/04/2010 | 1150 | | SB Capital Brokers Trus... | #51 Rampur int pmt posted to 7/21/10 pmt | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -402,810.40 |
| Deposit | 08/04/2010 | 1148 | | SB Capital Brokers Trus... | 61-REPURCH ck fr FCI applied to int | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -406,310.40 |
| Deposit | 08/04/2010 | 1151 | | SB Capital Brokers Trus... | 61-REPURCH Loan repurchase -int | 1011 - Heritage - 3513 - Operating | | 1,302.65 | -407,613.05 |
| Deposit | 08/09/2010 | 1152 | | SB Capital Brokers Trus... | #75 Dolores Park payoff-int | 1011 - Heritage - 3513 - Operating | | 7,708.33 | -415,321.38 |
| Deposit | 08/09/2010 | 1154 | | SB Capital Brokers Trus... | #51 Rampur pmt applied to 7/28/10 int | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -418,821.38 |
| Deposit | 08/13/2010 | 1156 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 8/1/10 | 1011 - Heritage - 3513 - Operating | | 3,211.25 | -422,032.63 |
| Deposit | 08/13/2010 | 1157 | | SB Capital Brokers Trus... | #108 Mardock pmt due 8/1/10 | 1011 - Heritage - 3513 - Operating | | 3,298.70 | -425,331.33 |
| Deposit | 08/13/2010 | 1160 | | SB Capital Brokers Trus... | #51 Rampur pmt on acct posted to 8/3/10-int | 1011 - Heritage - 3513 - Operating | | 14,057.69 | -439,389.02 |
| Deposit | 08/13/2010 | 1161 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 8/1 & 8/13/10 | 1011 - Heritage - 3513 - Operating | | 2,815.50 | -442,204.52 |
| Deposit | 08/13/2010 | 1156 | | SB Capital Brokers Trus... | #21 SO2 LLC pmt due 8/1/10 | 1011 - Heritage - 3513 - Operating | | 9,187.50 | -451,392.02 |
| Deposit | 08/18/2010 | 2071 | | SBC Portfolio Fund, LLC | #100 Any Lin loan owned by IPF Feb-Apr Int ... | 1011 - Heritage - 3513 - Operating | | 6,933.78 | -458,325.80 |
| Deposit | 08/24/2010 | 1168 | | SB Capital Brokers Trus... | #113 Gupta pmt due 8/1/10 | 1011 - Heritage - 3513 - Operating | | 1,625.00 | -460,000.80 |
| Deposit | 08/24/2010 | 1164 | | SB Capital Brokers Trus... | Valley Produce #200000 pmt due 7/1/10-int | 1011 - Heritage - 3513 - Operating | | 3,385.46 | -463,386.26 |
| Deposit | 08/24/2010 | 1187 | | SB Capital Brokers Trus... | #103 Luna pmt due 8/1/10 - int | 1011 - Heritage - 3513 - Operating | | 1,133.22 | -464,519.48 |
| Deposit | 08/24/2010 | 1171 | | SB Capital Brokers Trus... | #106 Pmt due 9/1/10 | 1011 - Heritage - 3513 - Operating | | 4,228.61 | -470,748.09 |
| Deposit | 08/24/2010 | 1174 | | SB Capital Brokers Trus... | #51 Rampur pmt on acct applied to int | 1011 - Heritage - 3513 - Operating | | 3,500.00 | -474,248.09 |
| Deposit | 08/24/2010 | 1183 | | SB Capital Brokers Trus... | #103 Luna loan pmt due 9/1/10 | 1011 - Heritage - 3513 - Operating | | 1,133.22 | -475,381.31 |
| Deposit | 08/24/2010 | 1185 | | SB Capital Brokers Trus... | #108 Mardock pmt due 9/1/10 | 1011 - Heritage - 3513 - Operating | | 3,052.50 | -478,433.81 |
| Deposit | 08/24/2010 | 1179 | | SB Capital Brokers Trus... | #51 Rampur pmt on acct - interest | 1011 - Heritage - 3513 - Operating | | 7,018.26 | -485,452.07 |
| Deposit | 08/24/2010 | 1180 | | SB Capital Brokers Trus... | #21 SO2 pmt due 9/1/10 | 1011 - Heritage - 3513 - Operating | | 9,187.50 | -494,639.57 |
| Deposit | 08/24/2010 | 1181 | | SB Capital Brokers Trus... | #113 Gupta pmt due 9/1/10 | 1011 - Heritage - 3513 - Operating | | 1,625.00 | -496,264.57 |
| Deposit | 08/24/2010 | 1182 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 9/1/10 | 1011 - Heritage - 3513 - Operating | | 2,311.25 | -498,575.82 |
| Deposit | 08/24/2010 | 1193 | | SB Capital Brokers Trus... | #20000 Valley Produce due 9/1/10 - int | 1011 - Heritage - 3513 - Operating | | 1,675.96 | -500,251.78 |
| Deposit | 08/24/2010 | 1187 | | SB Capital Brokers Trus... | #21 SO2 LLC loan payoff - int | 1011 - Heritage - 3513 - Operating | | 9,061.84 | -509,313.62 |
| General Journal | 09/30/2010 | Sep Int... | | SO2, LLC | Re-class to reflect Int Rec @ 9/30/10 | 1150 - Fund Loans Interest Rec... | | 28,860.08 | -538,173.70 |
| General Journal | 09/30/2010 | OS Chgs | | | 4 Bros appraisal advance interest | 1153 - Fund Loan Expense Adv... | | 42.19 | -538,215.89 |
| General Journal | 09/30/2010 | Reclas... | | | Re-class Neely Svc Trst to Svc Fee Income | 4015 - Loan Servicing Fee | 412.50 | | -538,628.39 |
| Deposit | 10/13/2010 | 1207 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 10/1/10 | 1011 - Heritage - 3513 - Operating | | 2,311.25 | -540,939.64 |
| Deposit | 10/13/2010 | 1208 | | SB Capital Brokers Trus... | #113 Gupta int pmt due 10/1/10 | 1011 - Heritage - 3513 - Operating | | 3,211.25 | -544,150.89 |
| Deposit | 10/13/2010 | 1202 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 10/1/10-int | 1011 - Heritage - 3513 - Operating | | 1,664.65 | -545,815.54 |
| Deposit | 10/13/2010 | 1202 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 10/1/10 - int | 1011 - Heritage - 3513 - Operating | | 4,228.52 | -550,044.06 |
| Deposit | 10/26/2010 | 1214 | | SB Capital Brokers Trus... | #51 Rampur pmt applied to 10/10 - int | 1011 - Heritage - 3513 - Operating | | 14,008.57 | -564,052.63 |
| Deposit | 10/26/2010 | 1216 | | SB Capital Brokers Trus... | #103 Luna pmt due 10/10 | 1011 - Heritage - 3513 - Operating | | 1,136.67 | -565,189.30 |
| Deposit | 10/26/2010 | 1217 | | SB Capital Brokers Trus... | Neely Svc Trst due 10/1/10 | 1011 - Heritage - 3513 - Operating | | 1,412.50 | -566,601.80 |
| General Journal | 10/31/2010 | Int Rec | | | Adjust to reflect loan interest receivable @ 10/... | 1150 - Fund Loans Interest Rec... | | 16,898.67 | -583,499.47 |
| General Journal | 10/31/2010 | Svcng ... | | | Aje to reflect Svcng Trst bal @ 10/31/10 - #108 | 1155 - Servicing Trust Balance | | 3,052.50 | -586,551.97 |
| Deposit | 11/18/2010 | 1240 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 12/1/10 - int | 1011 - Heritage - 3513 - Operating | | 1,842.95 | -588,394.92 |
| Deposit | 11/18/2010 | 1235 | | SB Capital Brokers Trus... | SO2LLC pmt due 11/1/10 | 1011 - Heritage - 3513 - Operating | | 452.83 | -588,847.75 |
| Deposit | 11/18/2010 | 1236 | | SB Capital Brokers Trus... | #108 Sherwin pmt due 11/1/10 - int | 1011 - Heritage - 3513 - Operating | | 4,228.44 | -593,076.19 |
| Deposit | 11/18/2010 | 1237 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 11/1/10 | 1011 - Heritage - 3513 - Operating | | 2,311.25 | -595,387.44 |
| Deposit | 11/18/2010 | 1239 | | SB Capital Brokers Trus... | #113 Gupta pmt due 11/1/10 | 1011 - Heritage - 3513 - Operating | | 1,625.00 | -597,012.44 |
| Deposit | 11/18/2010 | 1239 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 11/1/10 | 1011 - Heritage - 3513 - Operating | | 1,844.44 | -598,856.88 |
| Deposit | 11/30/2010 | 1245 | | SB Capital Brokers Trus... | Mardock pmt due 11/1/10 int | 1011 - Heritage - 3513 - Operating | | 3,051.32 | -601,908.20 |
| General Journal | 11/30/2010 | Int Rec | | | AJE to reflect Int Rec @ 11/30/10 | 1110 - Fund Loans | | 13,551.09 | -615,459.29 |
| General Journal | 11/30/2010 | Svc Trst | | | Servicing Trst bal @ 11/30/10 | 1155 - Servicing Trust Balance | | 15,404.41 | -630,863.70 |
| Deposit | 12/06/2010 | | | SB Capital Brokers Trus... | Reclass of 10/26/10 Bounced pmt | 1011 - Heritage - 3513 - Operating | | 14,327.74 | -645,191.44 |
| General Journal | 12/06/2010 | #51 Oc... | | | Re-class 10/26/10 #51 Rampur bounced ck po... | 1110 - Fund Loans | | 106.33 | -645,297.77 |
| Deposit | 12/14/2010 | 1256 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 12/1/10 - int | 1011 - Heritage - 3513 - Operating | | 140.94 | -645,438.71 |
| Deposit | 12/22/2010 | 1266 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 12/1/10 | 1011 - Heritage - 3513 - Operating | | 77.04 | -645,515.75 |
| General Journal | 12/22/2010 | #51 Oc... | | | #51 Rampur ck36 Bounced | -SPLIT- | 14,434.07 | | -659,950.82 |
| Deposit | 12/31/2010 | 1268 | | SB Capital Brokers Trus... | #65 SB Cap Corp Int Rec Dec int inc | 1011 - Heritage - 3513 - Operating | | 827.56 | -660,778.38 |
| Deposit | 12/31/2010 | 1269 | | SB Capital Brokers Trus... | #300001 SB Cap Corp Dec int | 1011 - Heritage - 3513 - Operating | | 524.02 | -661,302.40 |
| General Journal | 12/31/2010 | #51 Ra... | | | Reverse 11/30/10 Int Rec #51 Rampur | 1150 - Fund Loans Interest Rec... | 9,643.10 | | -670,945.50 |
| General Journal | 12/31/2010 | Svcng ... | | | Servicing Trst bal @ 12/31/10 | 1155 - Servicing Trust Balance | | 17,484.21 | -688,429.71 |
| General Journal | 12/31/2010 | Int Rec | | | Adj to Int Rec @ 12/31/10 | 1150 - Fund Loans Interest Rec... | | 35,148.08 | -723,577.79 |
| General Journal | 12/31/2010 | AUD ei... | | | WIO Int Rec related to 4 Bros Loan | 1150 - Fund Loans Interest Rec... | 25,000.00 | | -748,577.79 |
| General Journal | 01/01/2011 | Rev Pl... | | | Reverse 12/31/10 Servicing Trst Accrual | 4015 - Loan Servicing Fee | 17,484.21 | | -766,062.00 |
| Deposit | 01/10/2011 | 1280 | | SB Capital Brokers Trus... | #51 Rampur 12/10/10 pmt post-int | 1011 - Heritage - 3513 - Operating | | 14,434.07 | -780,496.07 |
| Deposit | 01/10/2011 | 1282 | | SB Capital Brokers Trus... | #108 Mardock pmt due 12/1/10 - int in Svcng ... | 1011 - Heritage - 3513 - Operating | | 3,050.14 | -783,546.21 |
| Deposit | 01/20/2011 | 1285 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 1/1/11 | 1011 - Heritage - 3513 - Operating | | 2,311.25 | -785,857.46 |
| Deposit | 01/20/2011 | 1286 | | SB Capital Brokers Trus... | #106 Sherwin pmt due 1/1/11 | 1011 - Heritage - 3513 - Operating | | 4,228.28 | -790,085.74 |
| Deposit | 01/20/2011 | 1287 | | SB Capital Brokers Trus... | #20000 Valley pmt due 1/1/11 | 1011 - Heritage - 3513 - Operating | | 1,608.92 | -791,694.66 |
| General Journal | 01/31/2011 | Int Rec | | | Adj to Interest Rec @ 01/31/11 per Pool Stmt | 1150 - Fund Loans Interest Rec... | | 17,555.63 | -809,250.29 |
| General Journal | 01/31/2011 | Svc Tr... | | | Reflect Servicing Trst Bal @ 01/31/11 | 1155 - Servicing Trust Balance | | 17,619.11 | -826,869.40 |
| General Journal | 01/31/2011 | WIO E... | | | WIO acc int in Exp Adv-loan being re-negotiated | 1153 - Fund Loan Expense Adv... | 64.01 | | -826,933.41 |
| General Journal | 02/16/2011 | 4 Bros L... | | | -MULTIPLE- | 1110 - Fund Loans | | 84,486.34 | -891,419.75 |
| Deposit | 02/17/2011 | 240029... | | Old Republic Title | 4 Bros - New Loan to replace old loan - non ca... | 1110 - Fund Loans | 25,000.00 | | -916,419.75 |
| Deposit | 02/28/2011 | 1311 | | SB Capital Brokers Trus... | Four Bros - Escrow interest | 1011 - Heritage - 3513 - Operating | | 3,532.67 | -920,952.42 |
| Deposit | 02/28/2011 | 1313 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 2/1/11 | 1011 - Heritage - 3513 - Operating | | 2,311.25 | -923,263.67 |
| Deposit | 02/28/2011 | 1314 | | SB Capital Brokers Trus... | #108 Mardock pmt due 2/1/11 | 1011 - Heritage - 3513 - Operating | | 3,048.94 | -926,312.61 |
| Deposit | 02/28/2011 | 1314 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 2/1/11 | 1011 - Heritage - 3513 - Operating | | 1,593.83 | -927,906.44 |
| General Journal | 02/28/2011 | Int Rec | | | 2/1/11 Four Bros dep to #3513, posted in AB... | 1011 - Heritage - 3513 - Operating | 3,532.67 | | -931,439.11 |
| General Journal | 03/15/2011 | Int Rec | | | Adj to Int Rec per Mortgage Pool Stmt @ 2/28/... | 1150 - Fund Loans Interest Rec... | 50,153.11 | | -981,592.22 |
| General Journal | 03/15/2011 | Int Rec | | | Aje to reflect Int Rec @ 3/15/11 | 1150 - Fund Loans Interest Rec... | | 45,705.47 | -1,027,297.69 |
| Deposit | 03/18/2011 | 1318 | | SB Capital Brokers Trus... | #129 4 Bros pmt due 3/1/11 | 1011 - Heritage - 3513 - Operating | | 2,194.65 | -1,030,492.34 |
| Deposit | 03/18/2011 | 1319 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 3/1/11 | 1011 - Heritage - 3513 - Operating | | 1,581.82 | -1,032,074.16 |
| Deposit | 03/18/2011 | 1323 | | SB Capital Brokers Trus... | #100 Any Lin pmt due 3/1/11 | 1011 - Heritage - 3513 - Operating | | 2,234.85 | -1,034,309.01 |
| Deposit | 03/28/2011 | 1328 | | SB Capital Brokers Trus... | -MULTIPLE- | 1011 - Heritage - 3513 - Operating | | 8,456.27 | -1,042,765.28 |
| Deposit | 03/28/2011 | 1327 | | Kallappa G. Rampur | #51 Rampur interest payment 02/10/11 | 1011 - Heritage - 3513 - Operating | | 14,494.17 | -1,057,259.45 |
| General Journal | 03/31/2011 | INT REC | | | Adj to Interest Rec @ 03/31/11 per Pool Stmt | 1150 - Fund Loans Interest Rec... | 7,681.71 | | -1,064,941.16 |
| General Journal | 03/31/2011 | INT DU... | | | INTEREST DUE ON ADVANCES FOR WHIS... | 1154 - Acc Int on Adv Exp Rec... | | 422.51 | -1,065,363.67 |
| General Journal | 04/30/2011 | int rec | | | to gross up interest receivable to actual | 1150 - Fund Loans Interest Rec... | | 38,882.25 | -1,104,245.92 |
| General Journal | 04/30/2011 | adv ex... | | | to gross up interest receivable due on loan adv... | 1154 - Acc Int on Adv Exp Rec... | | 1,027.29 | -1,105,273.21 |
| General Journal | 05/31/2011 | adv ex... | | | to gross up interest receivable for May due on ... | 1154 - Acc Int on Adv Exp Rec... | | 1,514.77 | -1,106,788.00 |
| General Journal | 05/31/2011 | int rec... | | | -MULTIPLE- | 1150 - Fund Loans Interest Rec... | | 35,773.37 | -1,142,561.37 |
| General Journal | 05/31/2011 | serv tr... | | | servicing trust balance 05/31/11 | 1155 - Servicing Trust Balance | | 3,048.99 | -1,145,610.36 |
| General Journal | 06/30/201 | | | | | | | | |

Total 4010 - Loan Interest Income

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08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|---------------|-----|----------------------------|---|-------------------------------------|------------|--------------|---------------|
| Deposit | 04/18/2012 | 1770 | | Country/inn/3AM | 3 AM LLC/Country Inn | 1011 - Heritage - 3513 - Operating | | | |
| Deposit | 05/18/2012 | 1796 | | SB Capital Brokers Trus... | -MULTIPLE- | 1011 - Heritage - 3513 - Operating | | | |
| Total 4015 - Loan Servicing Fee | | | | | | | | 2,887.87 | -63,379.05 |
| | | | | | | | | 7,003.08 | -70,382.13 |
| 4020 - Loan Fee Income | | | | | | | 10,722.95 | 81,105.08 | -70,382.13 |
| Deposit | 06/25/2010 | 1117 | | SB Capital Brokers Trus... | Sale of Marshall/Spa Outlet loan | 1011 - Heritage - 3513 - Operating | | | |
| Deposit | 08/30/2010 | 168710... | | SO2, LLC | #21 SO2 LLC loan payoff - Fees | 1011 - Heritage - 3513 - Operating | | | |
| General Journal | 10/31/2010 | Mansha... | | | Marshall loan prem from 8/25/10 deposit - SB L... | 1011 - Subsid. Inc. - Loan Premi... | | 93,172.50 | -93,172.50 |
| General Journal | 03/03/2011 | adj svc ... | | | servicing trust balance 03/31/11 | 1155 - Servicing Trust Balance | | | -350.00 |
| General Journal | 04/01/2011 | rev svc... | | | servicing trust balance 04/1/11 | 1155 - Servicing Trust Balance | | 154.06 | -504.06 |
| Deposit | 04/18/2011 | 1334 | | SB Capital Brokers Trus... | Loan #0100 - Amy Lin loan payoff - fee income | 1011 - Heritage - 3513 - Operating | | | |
| General Journal | 04/30/2011 | svc trst | | | servicing trust balance 04/30/11 - NSF | 1155 - Servicing Trust Balance | | 154.06 | -504.06 |
| General Journal | 05/01/2011 | rev ser... | | | reverse servicing trust balance 04/30/11 | 1155 - Servicing Trust Balance | | 160.00 | -504.06 |
| General Journal | 07/31/2011 | SVCTR... | | | servicing trust balance 07/31/11 | 1155 - Servicing Trust Balance | | 25.00 | -504.06 |
| General Journal | 08/01/2011 | rev svc... | | | rev servicing trust balance 07/31/11 | 1155 - Servicing Trust Balance | | | -504.06 |
| General Journal | 08/31/2011 | svcsrbal | | | servicing trust balance 08/31/11 | 1155 - Servicing Trust Balance | | | -504.06 |
| General Journal | 09/30/2011 | intr rec... | | | to gross up for NSF fees due from Rampur | 1150 - Fund Loans Interest Rec... | | 50.00 | -554.06 |
| Total 4020 - Loan Fee Income | | | | | | | 93,511.56 | 94,065.62 | -554.06 |
| 4030 - Bank & Investment Interest | | | | | | | | | |
| Deposit | 01/29/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 30.33 | -30.33 |
| Deposit | 02/28/2010 | | | | Interest | 1050 - Community Bank of the ... | | 138.05 | -168.38 |
| Deposit | 02/28/2010 | | | Community Bank of Th... | Feb 2010 Interest earned in CD#300000023 | 1030 - Community Bank of the ... | | 129.21 | -207.59 |
| Deposit | 03/30/2010 | | | Community Bank of Th... | Mar 10 Interest earned on CD#300000023 | 1050 - Community Bank of the ... | | 30.24 | -327.83 |
| Deposit | 03/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 133.74 | -461.57 |
| Deposit | 05/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 35.65 | -497.22 |
| Deposit | 06/30/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 7.59 | -504.81 |
| Deposit | 07/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 1.47 | -506.28 |
| Deposit | 08/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 1.73 | -508.01 |
| Deposit | 09/30/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 0.65 | -508.66 |
| Deposit | 10/31/2010 | | | Lighthouse Bank | Interest | 1030 - Heritage - 3596 - Money ... | | 0.03 | -508.69 |
| Deposit | 11/30/2010 | | | | Interest | 1051 - Lighthouse Bank MM - 5... | | 14.42 | -523.11 |
| Deposit | 12/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 500.97 | -1,024.08 |
| Deposit | 12/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 139.13 | -1,163.21 |
| Deposit | 12/31/2010 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 369.33 | -1,532.54 |
| Deposit | 01/31/2011 | | | | Interest | 1051 - Lighthouse Bank MM - 5... | | 703.22 | -2,235.76 |
| Deposit | 01/31/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 6.82 | -2,242.58 |
| Deposit | 01/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1052 - High Desert - MM - 0819 | | 16.43 | -2,259.01 |
| Deposit | 02/28/2011 | | | | Interest | 1030 - Lighthouse Bank MM - 5... | | 169.93 | -2,428.74 |
| Deposit | 02/28/2011 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 4.25 | -2,433.09 |
| Deposit | 03/31/2011 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 413.79 | -2,846.78 |
| Deposit | 03/31/2011 | | | Heritage Bank | INTEREST | 1052 - High Desert - MM - 0819 | | 161.63 | -3,008.41 |
| Deposit | 03/31/2011 | | | | Interest | 1051 - Lighthouse Bank MM - 5... | | 150.84 | -3,159.25 |
| Deposit | 04/29/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 6.62 | -3,165.87 |
| Deposit | 04/30/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 178.99 | -3,344.86 |
| Deposit | 05/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 148.68 | -3,493.74 |
| Deposit | 05/31/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 187.49 | -3,681.23 |
| Deposit | 06/30/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 144.15 | -3,825.38 |
| Deposit | 06/30/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 179.92 | -4,005.30 |
| Deposit | 07/29/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 148.05 | -4,153.35 |
| Deposit | 07/31/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 146.65 | -4,300.00 |
| Deposit | 07/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1051 - Lighthouse Bank MM - 5... | | 86.78 | -4,386.78 |
| Deposit | 08/31/2011 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 2.16 | -4,388.94 |
| Deposit | 08/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1051 - Lighthouse Bank MM - 5... | | 302.92 | -4,691.86 |
| Deposit | 08/31/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 2.23 | -4,694.09 |
| Deposit | 08/31/2011 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 30.25 | -4,724.34 |
| Deposit | 08/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1051 - Lighthouse Bank MM - 5... | | 205.71 | -4,930.05 |
| Deposit | 09/30/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 2.23 | -4,932.28 |
| Deposit | 10/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 30.27 | -4,962.55 |
| Deposit | 10/31/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 193.14 | -5,155.69 |
| Deposit | 11/30/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 29.31 | -5,185.00 |
| Deposit | 12/30/2011 | | | | Interest | 1052 - High Desert - MM - 0819 | | 277.07 | -5,462.07 |
| Deposit | 12/31/2011 | | | Heritage Bank of Comm... | INTEREST | 1030 - Heritage - 3596 - Money ... | | 6.68 | -5,468.75 |
| Deposit | 01/28/2012 | | | | Interest | 1052 - High Desert - MM - 0819 | | 585.02 | -6,053.77 |
| Deposit | 01/31/2012 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 2.95 | -6,056.72 |
| Deposit | 02/28/2012 | | | Heritage Bank of Comm... | INTEREST | 1052 - High Desert - MM - 0819 | | 977.36 | -7,034.08 |
| Deposit | 02/28/2012 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 3.04 | -7,037.12 |
| General Journal | 03/31/2012 | Int. Transfer | | | Investment expense | 1052 - High Desert - MM - 0819 | | 550.12 | -7,587.24 |
| General Journal | 03/31/2012 | | | | Interest for March | 1030 - Heritage - 3596 - Money ... | | 3.04 | -7,590.28 |
| Deposit | 03/31/2012 | | | | Interest | 1517 - Interactive Brokers Cash ... | 100.00 | 5.89 | -7,600.02 |
| Deposit | 04/30/2012 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 122.26 | -7,722.28 |
| Deposit | 04/30/2012 | | | | Interest | 1052 - High Desert - MM - 0819 | | 3.04 | -7,725.32 |
| Deposit | 05/31/2012 | | | | Interest | 1030 - Heritage - 3596 - Money ... | | 39.22 | -7,764.54 |
| Total 4030 - Bank & Investment Interest | | | | | | | 100.00 | 8,402.34 | -8,302.34 |
| 4000 - Fund Income - Other | | | | | | | | | |
| Total 4000 - Fund Income - Other | | | | | | | | | |
| Total 4000 - Fund Income | | | | | | | | | 0.00 |
| 4040 - Gain on Sale-Premium | | | | | | | 438,825.53 | 1,950,379.08 | -1,511,553.55 |
| Deposit | 05/21/2012 | 1798 | | SB Capital Brokers Trus... | SouthCoast Cabinet- Premium Received from ... | 1011 - Heritage - 3513 - Operating | | | |
| Total 4040 - Gain on Sale-Premium | | | | | | | 0.00 | 27,601.45 | -27,601.45 |
| | | | | | | | | 27,601.45 | -27,601.45 |

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2:52 PM

08/18/16

Investors Prime Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-------------|-----|----------------------------|---|--------------------------------------|------------|------------|-------------|
| 4100 - Other Fund Income | | | | | | | | | |
| 4110 - Late Fee Income | | | | | | | | | |
| Deposit | 07/28/2010 | 1143 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 6/1/10-LC | 1011 - Heritage - 3513 - Operating | | | |
| Deposit | 08/09/2010 | 1152 | | SB Capital Brokers Trus... | #75 Dolores Park payoff-escrow fees | 1011 - Heritage - 3513 - Operating | 180.00 | | -180.00 |
| Deposit | 08/24/2010 | 1164 | | SB Capital Brokers Trus... | Valley Produce #200000 pmt due 7/1/10-LC | 1011 - Heritage - 3513 - Operating | | | |
| Deposit | 09/28/2010 | 1193 | | SB Capital Brokers Trus... | #20000 Valley Produce due 9/1/10 - Late Chg | 1011 - Heritage - 3513 - Operating | 218.75 | | -398.75 |
| General Journal | 09/30/2010 | LC Rec | | | Fund Loan Late Charges Rec @ 9/30/10-4 Bros... | 1011 - Heritage - 3513 - Operating | 150.00 | | -548.75 |
| Deposit | 10/31/2010 | Sveng ... | | | Aje to reflect Sveng Trust bal @ 10/31/10- #108 | 1155 - Servicing Trust Balance | 2,760.21 | | -728.75 |
| General Journal | 11/30/2010 | 1245 | | SB Capital Brokers Trus... | Mardock pmt due 11/1/10 reverse lita fee | 1011 - Heritage - 3513 - Operating | 152.63 | | -3,488.96 |
| General Journal | 12/31/2010 | LC Chgs... | | | Nov 10 Late Chgs Rec- 4 Bros | 1151 - Fund Loan Late Charges ... | | | -3,641.59 |
| General Journal | 01/31/2011 | LI Chg ... | | | Adj to Late Chgs Rec @ 12/31/10 | 1151 - Fund Loan Late Charges ... | 152.63 | | -3,488.96 |
| General Journal | 02/16/2011 | 4 Bros L... | | | Adj to Late Chgs Rec @ 01/31/11 per Pool Stmt | 1151 - Fund Loan Late Charges ... | 360.03 | | -3,848.99 |
| Deposit | 02/28/2011 | 1314 | | SB Capital Brokers Trus... | 4 Bros - New Loan to replace old loan - non ca... | 1151 - Fund Loan Late Charges ... | 1,163.13 | | -5,012.12 |
| Deposit | 02/28/2011 | 1315 | | SB Capital Brokers Trus... | #20000 Valley Produce pmt due 2/1/11 | 1110 - Fund Loans | 175.00 | | -5,187.12 |
| General Journal | 03/31/2011 | INT REC | | | Adj to LC Rec per Mortgage Pool Stmt @ 2/28... | 1011 - Heritage - 3513 - Operating | 427.68 | | -5,614.78 |
| General Journal | 04/30/2011 | intr rec | | | Adj to Interest Rec @ 03/31/11 per Pool Stmt | 1011 - Heritage - 3513 - Operating | 150.00 | | -5,764.78 |
| General Journal | 06/30/2011 | sbolcc | | | to gross up late charges receivable to actual | 1151 - Fund Loan Late Charges ... | 3,178.37 | | -8,297.45 |
| General Journal | 07/31/2011 | SVC TR... | | | servicing trust balance 07/31/11 | 1150 - Fund Loans Interest Rec... | 115.53 | | -6,121.08 |
| General Journal | 08/31/2011 | int rec | | | to gross up late charges receivable to actual | 1150 - Fund Loans Interest Rec... | 477.51 | | -6,714.12 |
| General Journal | 08/31/2011 | rev wr... | | | rev servicing trust balance 07/31/11 | 1155 - Servicing Trust Balance | 175.00 | | -6,889.15 |
| General Journal | 08/31/2011 | svctrd bal | | | rev servicing trust balance 08/31/11 | 1150 - Fund Loans Interest Rec... | 249.03 | | -7,138.18 |
| General Journal | 08/31/2011 | int rec | | | to gross up LATE FEE receivable to actual for ... | 1155 - Servicing Trust Balance | 249.03 | | -7,387.21 |
| Total 4110 - Late Fee Income | | | | | | | | | |
| | | | | | | | 3,753.03 | 11,047.89 | -7,294.86 |
| 4115 - Int Inc on Mgr Note | | | | | | | | | |
| General Journal | 03/15/2011 | Int Rec | | | 01/01 - 03/15/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | | | |
| General Journal | 03/15/2011 | mgr int ... | | | 03/16 - 03/31/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 27,499.14 | | -27,499.14 |
| General Journal | 04/30/2011 | mgr int ... | | | 04/01/11 - 04/30/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 5,945.76 | | -33,444.90 |
| General Journal | 05/31/2011 | mgr int ... | | | 05/01/11 - 05/31/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 11,148.30 | | -44,593.20 |
| Deposit | 06/30/2011 | | | SB Capital Corp. | payment on interest for manager note | 1251 - Int Rec on Fund Mgrs Or... | 11,519.91 | | -56,113.11 |
| General Journal | 07/31/2011 | mgr int ... | | | 07/01/11 - 07/31/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 11,519.91 | | -67,633.02 |
| General Journal | 08/31/2011 | mgr int ... | | | 08/01/11 - 08/31/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 22,669.06 | | -90,302.08 |
| Deposit | 09/29/2011 | 3362 | | SB Capital Corp. | interest on due from | 1251 - Int Rec on Fund Mgrs Or... | 22,669.06 | | -112,971.14 |
| General Journal | 10/31/2011 | intr rec... | | | 10/01/11 - 10/31/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 22,669.06 | | -135,640.20 |
| General Journal | 11/30/2011 | intr rec... | | | 11/01/11 - 11/30/11 Int Rec on Due fr Mgr Note | 1251 - Int Rec on Fund Mgrs Or... | 26,211.12 | | -161,851.32 |
| Deposit | 12/21/2011 | 3609 | | SB Capital Corp. | interest receivable on manager due from | 1011 - Heritage - 3513 - Operating | 27,185.71 | | -189,037.03 |
| General Journal | 01/31/2012 | Int | | | January 2012 Interest on Due From Fund Mana... | 1251 - Int Rec on Fund Mgrs Or... | 28,844.68 | | -217,881.71 |
| General Journal | 02/29/2012 | Int | | | Feb 2012 Interest on Due From Fund Manager | 1251 - Int Rec on Fund Mgrs Or... | 30,602.58 | | -248,484.29 |
| General Journal | 03/31/2012 | Int | | | March 2012 Interest on Due From Fund Manager | 1251 - Int Rec on Fund Mgrs Or... | 28,628.22 | | -277,092.51 |
| General Journal | 04/30/2012 | Int | | | April 2012 Interest on Due From Fund Mana... | 1251 - Int Rec on Fund Mgrs Or... | 30,602.58 | | -307,695.09 |
| General Journal | 05/31/2012 | Int | | | May 2012 Interest on Due From Fund Manager | 1251 - Int Rec on Fund Mgrs Or... | 29,615.40 | | -337,310.49 |
| General Journal | 06/18/2012 | Int | | | June 2012 Interest on Due From Fund Manager | 1251 - Int Rec on Fund Mgrs Or... | 30,602.53 | | -367,913.02 |
| Total 4115 - Int Inc on Mgr Note | | | | | | | | | |
| | | | | | | | 0.00 | 367,656.62 | -367,656.62 |
| 4100 - Other Fund Income - Other | | | | | | | | | |
| Total 4100 - Other Fund Income - Other | | | | | | | | | |
| | | | | | | | 3,753.03 | 398,704.51 | -394,951.48 |
| 5000 - Fund Related Expenses | | | | | | | | | |
| 5010 - Bank Fees | | | | | | | | | |
| Check | 01/29/2010 | | | | Service Charge | 1011 - Heritage - 3513 - Operating | 20.00 | | 20.00 |
| Check | 03/31/2010 | | | | Service Charge | 1011 - Heritage - 3513 - Operating | 15.00 | | 35.00 |
| Check | 04/01/2010 | Bank Fee | | Heritage Bank | Incoming wire fee | 1011 - Heritage - 3513 - Operating | 15.00 | | 50.00 |
| Check | 04/13/2010 | 2063 | | IRA Services | Fund agreed to pay Syd Rainer's IRA act fees | 1011 - Heritage - 3513 - Operating | 495.00 | | 545.00 |
| Check | 04/27/2010 | Bank Fee | | Heritage Bank | Incoming wire fee - sale of BAIG loan | 1011 - Heritage - 3513 - Operating | 15.00 | | 560.00 |
| Check | 05/05/2010 | EFT | | Heritage Bank | Service Charge | 1011 - Heritage - 3513 - Operating | 27.00 | | 587.00 |
| Check | 05/11/2010 | EFT | | Heritage Bank | Stop Payment Item Charge | 1011 - Heritage - 3513 - Operating | 20.00 | | 607.00 |
| Check | 05/19/2010 | EFT | | Heritage Bank | Outgoing Wire Charge Loan #106 Sherwin | 1011 - Heritage - 3513 - Operating | 35.00 | | 642.00 |
| Check | 05/19/2010 | EFT | | Heritage Bank | Incoming wire fee | 1011 - Heritage - 3513 - Operating | 15.00 | | 657.00 |
| Check | 05/28/2010 | EFT | | Heritage Bank | Outgoing wire fee - Cohen Trust W/D | 1011 - Heritage - 3513 - Operating | 35.00 | | 692.00 |
| Check | 06/08/2010 | Wire Fee | | Heritage Bank | Mardock loan wire fee charged by Heritage | 1011 - Heritage - 3513 - Operating | 35.00 | | 727.00 |
| Check | 06/15/2010 | Bank Fee | | Heritage Bank | Wire in fee for wire from Miller Mayer Alys | 1011 - Heritage - 3513 - Operating | 15.00 | | 742.00 |
| Check | 06/17/2010 | Wire Fee | | Heritage Bank | Stop payment fee | 1011 - Heritage - 3513 - Operating | 20.00 | | 762.00 |
| Check | 06/30/2010 | | | | Gupta loan wire out fee | 1011 - Heritage - 3513 - Operating | 35.00 | | 797.00 |
| Check | 07/02/2010 | Wire Fee | | Heritage Bank | Service Charge | 1011 - Heritage - 3513 - Operating | 8.00 | | 832.00 |
| Check | 07/31/2010 | | | | Wire out fee-Gupta loan #113 | 1011 - Heritage - 3513 - Operating | 34.50 | | 866.00 |
| Check | 08/05/2010 | Ret Clk ... | | Heritage Bank | Service Charge | 1011 - Heritage - 3513 - Operating | 10.00 | | 876.00 |
| Check | 08/31/2010 | | | | Redeposited item charge | 1011 - Heritage - 3513 - Operating | 40.50 | | 916.50 |
| Check | 10/05/2010 | Bnk Fee | | Heritage Bank | Rampur ok redeposited item charge | 1011 - Heritage - 3513 - Operating | 10.00 | | 926.50 |
| Check | 10/12/2010 | 101210... | | Heritage Bank | Bank Fee for outgoing wire to Far West | 1011 - Heritage - 3513 - Operating | 35.00 | | 961.50 |
| Check | 10/21/2010 | Wire Fee | | Heritage Bank | Wire out Fee | 1011 - Heritage - 3513 - Operating | 35.00 | | 996.00 |
| Deposit | 11/16/2010 | | | Wells Fargo - 6093 | Reversal of Sep & Oct fees | 1011 - Heritage - 3513 - Operating | | | 1,031.00 |
| Check | 10/21/2011 | | | Heritage Bank of Comm... | DELUXE BUS SYS. BUS PRODS 66579780 | 1011 - Wells Fargo - 6093 - Ope... | 33.62 | 40.00 | 1,064.00 |
| Check | 02/28/2012 | ach | | | Bank charge | 1052 - High Desert - MM - 0819 | 3.04 | | 1,067.04 |
| Check | 04/30/2012 | ach | | Torrey Pines Bank | Bank Fees | 1020 - Torrey Pines - 7590 - Dist... | 12.60 | | 1,079.64 |
| Total 5010 - Bank Fees | | | | | | | | | |
| | | | | | | | 1,087.46 | 40.00 | 1,047.46 |
| 5011 - Wire Charges | | | | | | | | | |
| Total 5011 - Wire Charges | | | | | | | | | |
| | | | | | | | | | |
| 5012 - Bank Analysis Fees | | | | | | | | | |
| Total 5012 - Bank Analysis Fees | | | | | | | | | |
| | | | | | | | | | |
| 5020 - Fund Management Fees | | | | | | | | | |
| Check | 01/24/2011 | EFT | | SB Capital Corp. | "Managers Fee" | 1011 - Heritage - 3513 - Operating | | | |
| General Journal | 12/01/2011 | reclass ... | | | redassfy management fees from 1/31/11 to d... | 1250 - LT Rec - Fund Mgrs Org... | 100,000.00 | | 100,000.00 |
| General Journal | 12/31/2011 | Mgmt F... | | | Excess earning as Management Fee to SB Ca... | 1250 - LT Rec - Fund Mgrs Org... | 165,632.48 | | 265,632.48 |
| General Journal | 01/31/2012 | Mgmt F... | | | Excess earning as Management Fee to SB Ca... | 1250 - LT Rec - Fund Mgrs Org... | 57,322.25 | | 322,954.73 |
| General Journal | 02/28/2012 | Mgmt F... | | | Reversal of Management Fee Recorded in Pri... | 1250 - LT Rec - Fund Mgrs Org... | | | 322,954.73 |
| Total 5020 - Fund Management Fees | | | | | | | | | |
| | | | | | | | 352,954.73 | 352,954.73 | 0.00 |
| 5025 - Fund Syndication Expenses | | | | | | | | | |
| Total 5025 - Fund Syndication Expenses | | | | | | | | | |
| | | | | | | | | | |
| 5030 - Finders Fees | | | | | | | | | |
| Check | 04/02/2010 | 1501 | | Hamilton Ridge Advisors | BAIG placement fee | 1011 - Heritage - 3513 - Operating | 10,000.00 | | 10,000.00 |
| Total 5030 - Finders Fees | | | | | | | | | |
| | | | | | | | 10,000.00 | | 10,000.00 |
| 5035 - Appraisal Fees | | | | | | | | | |
| Check | 04/20/2010 | 1522 | | Property Sciences | Advance o Brannonn Cottage AKA Four Bros L... | 1011 - Heritage - 3513 - Operating | 3,500.00 | | 3,500.00 |
| General Journal | 12/31/2010 | 4 Bros ... | | | Liab for 4 Bros pd 4/20/10 cl@1522 | 2510 - Misc. Other Liabilities | | | 0.00 |
| Total 5035 - Appraisal Fees | | | | | | | | | |
| | | | | | | | 3,500.00 | 3,500.00 | 0.00 |

| Investors Prime Fund General Ledger All Transactions | | | | | | | | | |
|--|------------|-------------|-----|-----------------------------|--|-------------------------------------|------------|--------------|-------------|
| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
| 5040 - Loan Fees | | | | | | | | | |
| Check | 01/18/2010 | 1070 | | Hamilton Ridge Advisors | due to a 12/24/09 principal increase on SO2 L... | 1011 - Heritage - 3513 - Operating | | | |
| Check | 08/17/2010 | 2185 | | Anton Haramis | Dolores Park Loan Fee | 1011 - Heritage - 3513 - Operating | 352.40 | | 352.40 |
| Check | 02/23/2012 | 2458 | | California Secretary of ... | UCC Financing Statement Amendment for Air... | 1011 - Heritage - 3513 - Operating | 5,000.00 | | 5,352.40 |
| Total 5040 - Loan Fees | | | | | | | 30.00 | | 5,382.40 |
| 5050 - Loan Foreclosure Costs | | | | | | | | | |
| Check | 04/12/2012 | 2494 | | PLM Lender Services | Deposit for NOD Filing for 4 Brothers Inn. | 1011 - Heritage - 3513 - Operating | 5,382.40 | 0.00 | 5,382.40 |
| Total 5050 - Loan Foreclosure Costs | | | | | | | 3,900.00 | | 3,900.00 |
| 5060 - Lender Services Costs | | | | | | | | | |
| General Journal | 01/31/2010 | W/O s... | | | W/O small calculation difference in unearned ... | 2520 - Unearned Prepaid Interest | 3,900.00 | 0.00 | 3,900.00 |
| Deposit | 04/05/2010 | 1049 | | SB Capital Brokers Trus... | Cherry Lane #84457 loan payoff | 1011 - Heritage - 3513 - Operating | | | |
| Check | 04/22/2010 | 1148 | | Old Republic Title | Purchase of Cavalino's portion of SO2 loan by ... | 1011 - Heritage - 3513 - Operating | 0.00 | 0.69 | -0.69 |
| Deposit | 08/04/2010 | 1151 | | SB Capital Brokers Trus... | 61-REPURCH Loan repurchase-escrow fees | 1011 - Heritage - 3513 - Operating | 752.40 | | 751.71 |
| Deposit | 08/09/2010 | 1152 | | SB Capital Brokers Trus... | #75 Dolores Park payoff-escrow fees | 1011 - Heritage - 3513 - Operating | | 3,202.02 | -2,450.31 |
| Check | 10/19/2010 | 2229 | | Alameda County Recor... | DOT Modification 4500 Park Ave-Rampur | 1011 - Heritage - 3513 - Operating | | 827.62 | -3,388.16 |
| Check | 02/23/2012 | 2461 | | Old Republic Title | Four Bros Escrow Fees | 1011 - Heritage - 3513 - Operating | 21.00 | 131.23 | -3,409.16 |
| Check | 02/23/2012 | 2462 | | Alameda County Recor... | 47300 Kato, LLC Assignment of Deed of Trust | 1011 - Heritage - 3513 - Operating | 3,816.00 | | -427.84 |
| Check | 02/23/2012 | 2463 | | San Luis Obispo County... | Recording Fee for Aung San, LLC | 1011 - Heritage - 3513 - Operating | 0.00 | | 427.84 |
| Check | 02/23/2012 | 2464 | | Napa County Recorder... | Recording Fee for Airport Blvd Realty, LLC | 1011 - Heritage - 3513 - Operating | 34.00 | | 461.84 |
| Check | 02/28/2012 | 2465 | | City and County of San ... | Recording Fee for David Sherwin | 1011 - Heritage - 3513 - Operating | 17.00 | | 478.84 |
| Check | 03/13/2012 | 2470 | | Napa County Recorder... | Alameda County Recor... | 1011 - Heritage - 3513 - Operating | 15.00 | | 493.84 |
| General Journal | 03/13/2012 | Servic... | | Alameda County Recor... | Kato-Assignment of Deed of Trust | 1011 - Heritage - 3513 - Operating | 43.00 | | 536.84 |
| Check | 03/21/2012 | 2480 | | Alameda County Recor... | For CHK 2470 voided on 05/01/2012 | 1011 - Heritage - 3513 - Operating | 0.00 | | 536.84 |
| Check | 04/05/2012 | 2487 | | SB Capital Brokers Trus... | Reimburse Trust account for Cortland Fees (n... | 1011 - Heritage - 3513 - Operating | 18.00 | | 554.84 |
| General Journal | 04/30/2012 | Servic... | | Alameda County Recor... | Assignment of DOT for 47300 Kato | 1011 - Heritage - 3513 - Operating | 1,800.00 | | 2,354.84 |
| Check | 08/15/2012 | | | SB Capital Brokers Trus... | Reverse of GJE Servicing t - For CHK 2470 v... | 1011 - Heritage - 3513 - Operating | 21.00 | | 2,375.84 |
| Total 5060 - Lender Services Costs | | | | | Cortland Fee on JAM and Focus Hospitality | 1011 - Heritage - 3513 - Operating | 962.38 | 18.00 | 2,393.84 |
| 5101 - Application Fee Expense | | | | | | | 7,499.78 | 4,179.58 | 3,320.22 |
| Total 5101 - Application Fee Expense | | | | | | | | | |
| 5110 - Bank Holdings Acquisition Expens | | | | | | | | | |
| General Journal | 12/31/2011 | Farwest | | | Completed portion of Norm's contract | 1519 - misc prepaids for LLC | 50,000.00 | | 50,000.00 |
| Total 5110 - Bank Holdings Acquisition Expens | | | | | | | 50,000.00 | | 50,000.00 |
| 5120 - Recording and Filing Fees | | | | | | | | | |
| Check | 03/14/2012 | 2473 | | Clerk-Recorder's Office ... | Recording Fee-UCC-1 Marshall | 1011 - Heritage - 3513 - Operating | 0.00 | | 0.00 |
| General Journal | 03/14/2012 | GAAP ... | | Clerk-Recorder's Office ... | For CHK 2473 voided on 04/18/2012 | 1011 - Heritage - 3513 - Operating | 10.00 | | 10.00 |
| Check | 04/06/2012 | 2480 | | San Mateo County Recor... | Assignment of DOT-David Sherwin | 1011 - Heritage - 3513 - Operating | 18.00 | | 28.00 |
| General Journal | 04/18/2012 | GAAP ... | | Clerk-Recorder's Office ... | Reverse of GJE GAAP 2012 - For CHK 2473 ... | 1011 - Heritage - 3513 - Operating | | 10.00 | 18.00 |
| Total 5120 - Recording and Filing Fees | | | | | | | 28.00 | 10.00 | 18.00 |
| 5900 - Provision for Loan Losses | | | | | | | | | |
| Check | 04/02/2010 | 1503 | | Amy J. Fillin | Return 2% Loan Loss adjustment | 1011 - Heritage - 3513 - Operating | 939.25 | | 939.25 |
| Check | 04/02/2010 | 1504 | | TD Ameritrade FBO M. ... | Return 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 248.42 | | 1,187.67 |
| Check | 04/02/2010 | 1505 | | Twila Allen Miller Trust | Refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 459.33 | | 1,646.00 |
| Check | 04/02/2010 | 1507 | | Joanne Banks Living Tr... | Refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 630.08 | | 2,276.08 |
| Check | 04/02/2010 | 1508 | | Merville Shaw | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 874.05 | | 3,380.72 |
| Check | 04/08/2010 | 1509 | | Richard J. Foglia | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 854.71 | | 4,234.77 |
| Check | 04/08/2010 | 2057 | | Dennis Banks & Richar... | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 399.79 | | 5,109.49 |
| Check | 04/08/2010 | 2058 | | Luna Family Trust | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 569.43 | | 5,508.27 |
| Check | 04/08/2010 | 2059 | | IRA Services FBO Glen... | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 1,109.13 | | 6,098.70 |
| Check | 04/08/2010 | 2060 | | IRA FBO Richard White | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 433.68 | | 7,207.83 |
| Check | 04/08/2010 | 2081 | | Linda S. Wilson | refund 2% loan loss adjustment | 1011 - Heritage - 3513 - Operating | 422.23 | | 7,641.81 |
| General Journal | 12/31/2010 | 2010 A... | | IRA Services FBO April... | To book allowance for loan loss of \$25000 rela... | 1115 - Allowance for Loan Losses | 0.00 | | 8,064.04 |
| General Journal | 12/31/2010 | LL Rev ... | | | Prov for LL Rev refunded back to SH on 4/2/10 | -SPLT- | | | 8,064.04 |
| Total 5900 - Provision for Loan Losses | | | | | | | 8,064.04 | | 0.00 |
| 5901 - Actual Loan Loss Expenses | | | | | | | | | |
| Total 5901 - Actual Loan Loss Expenses | | | | | | | | | |
| 5999 - C/Y Inc./Growth Investor Eam. | | | | | | | | | |
| Total 5999 - C/Y Inc./Growth Investor Eam. | | | | | | | | | |
| 5000 - Fund Related Expenses - Other | | | | | | | | | |
| Total 5000 - Fund Related Expenses - Other | | | | | | | | | |
| 6000 - Payroll Expenses | | | | | | | | | |
| Total 6000 - Payroll Expenses | | | | | | | | | |
| 7000 - Other Income | | | | | | | | | |
| General Journal | 09/30/2010 | 7590 ... | | | Void Feb 2009 Distrib cks never cleared #2011... | 1020 - Tarry Pines- 7590 - Dist... | 332.19 | | -332.19 |
| General Journal | 12/31/2010 | Audit TL... | | | Re-class to tie to Audit TB @ 12/31/10 | 7110 - Subsid. Inc. - Loan Premi... | 2.00 | | -334.19 |
| Total 7000 - Other Income | | | | | | | 0.00 | 334.19 | -334.19 |
| 7100 - Subsidiary Distributions | | | | | | | | | |
| 7105 - Subsid. Inc. - Interest Income | | | | | | | | | |
| Total 7105 - Subsid. Inc. - Interest Income | | | | | | | | | |
| 7110 - Subsid. Inc. - Loan Premium | | | | | | | | | |
| Deposit | 09/13/2010 | | | SB Capital Brokers Trus... | Neely Wu Loan Lender Rebate trf fr BTA | 1011 - Heritage - 3513 - Operating | 82,853.75 | | -82,853.75 |
| Deposit | 09/28/2010 | | | SB Capital Brokers Trus... | Power Brake loan premium trf fr SBBT | 1011 - Heritage - 3513 - Operating | 99,131.96 | | -191,985.71 |
| Deposit | 10/13/2010 | 1200 | | SB Capital Brokers Trus... | SO2 Note premium | 1011 - Heritage - 3513 - Operating | 2.00 | | -191,987.71 |
| General Journal | 10/31/2010 | Marsha... | | SB Capital LLC | Marshall loan prem from 8/25/10 deposit - SB L... | 4020 - Loan Fee Income | 101,801.70 | | -386,991.91 |
| General Journal | 12/23/2010 | 3002 | | | Bragato note sale premium | 1011 - Heritage - 3513 - Operating | 93,172.50 | | -355,711.81 |
| General Journal | 12/31/2010 | 2010 A... | | | Record 2010 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 555,711.91 | | 0.00 |
| General Journal | 12/31/2010 | 2010 A... | | | To Remove Syndication fees & related accum... | 1510 - Investment in SBC LLC | 458,139.00 | | -458,139.00 |
| General Journal | 12/31/2010 | 2010 A... | | | Re-class to tie to Audit TB @ 12/31/10 | 7000 - Other Income | 9,351.00 | | -467,490.00 |
| General Journal | 01/03/2011 | Bragat... | | | Bragato loan premium receivable | 1230 - Due Fr SB Capital LLC | 56,160.00 | | -467,490.00 |
| General Journal | 01/03/2011 | Lumber... | | | Loan premium on Lumber Co loan sale | 1230 - Due Fr SB Capital LLC | 180,000.00 | | -523,648.00 |
| General Journal | 03/31/2011 | SBC LL... | | | Record 1ST QUARTER 2011 Net Income fro... | 1510 - Investment in SBC LLC | 127,688.92 | | -631,346.92 |
| Total 7110 - Subsid. Inc. - Loan Premium | | | | | | | 555,713.91 | 1,387,080.83 | -831,346.92 |

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08/18/16

Accrual Basis

Investors Prime Fund
General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--|------------|--------------|-----|----------------------------|--|------------------------------------|------------|--------------|---------------|
| 7100 - Subsidiary Distributions - Other | | | | | | | | | |
| General Journal | 04/30/2011 | sbc llc i... | | | Record April 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | | | |
| General Journal | 05/31/2011 | sbc llc i... | | | Record May 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | | | |
| General Journal | 06/30/2011 | sbc llc i... | | | Record June 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 47,201.92 | | -47,201.92 |
| General Journal | 07/31/2011 | sbc llc i... | | | Record July 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 31,846.71 | | -79,048.63 |
| General Journal | 08/31/2011 | sbc llc i... | | | Record Aug 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 261,143.02 | | -340,191.65 |
| General Journal | 09/30/2011 | mgr int ... | | | Record Sept 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 2,782.74 | | -342,974.39 |
| General Journal | 10/31/2011 | sub inc | | | Record Oct 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 22,942.59 | | -365,916.98 |
| General Journal | 11/30/2011 | rev sw... | | | Record Nov 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 149,782.60 | | -515,699.58 |
| General Journal | 12/31/2011 | sbc llc i... | | | Record Dec 2011 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 68,970.29 | | -584,669.87 |
| General Journal | 01/31/2012 | sbc llc i... | | | Record Jan 2012 Net Income from SBC, LLC | 1510 - Investment in SBC LLC | 11,685.45 | | -596,355.32 |
| General Journal | 02/29/2012 | SBC, L... | | | Record Feb 2012 Adjustment to Interest in SBC, LLC | 1510 - Investment in SBC LLC | | 324,301.10 | -272,054.22 |
| General Journal | 03/31/2012 | SBC, L... | | | Record March 2012 Adjustment to Interest in SBC, LLC | 1510 - Investment in SBC LLC | | 186,653.01 | -85,401.21 |
| General Journal | 04/30/2012 | SBC, L... | | | Record April 2012 Adjustment to Interest in SBC, LLC | 1510 - Investment in SBC LLC | | 49,812.07 | -1,083,938.53 |
| General Journal | 05/31/2012 | SBC, L... | | | Record May 2012 Adjustment to Interest in SBC, LLC | 1510 - Investment in SBC LLC | | 259,800.59 | -1,333,750.80 |
| General Journal | 06/21/2012 | SBC, L... | | | Record June 2012 Adjustment to Interest in SBC, LLC | 1510 - Investment in SBC LLC | 120,807.44 | | -1,393,551.19 |
| | | | | | | | 8,203.88 | | -1,272,643.75 |
| | | | | | | | | 4,649.09 | -1,268,068.96 |
| | | | | | | | 140,796.77 | 1,408,885.73 | -1,269,088.96 |
| | | | | | | | 696,510.68 | 2,796,946.56 | -2,100,435.88 |
| Total 7100 - Subsidiary Distributions - Other | | | | | | | | | |
| 6000 - Administrative & General | | | | | | | | | |
| 6010 - Advertising & Promotion | | | | | | | | | |
| Check | 01/31/2010 | 2096 | | Prima Mail | IPF Postcard mailing | 1020 - Torrey Pines-7590 - Dist... | | | |
| Check | 04/12/2010 | 2062 | | Prima Mail | VOID: card mailings-printed on Alta#7590 check | 1011 - Heritage - 3513 - Operating | 5,000.00 | | 5,000.00 |
| Check | 04/13/2010 | 2067 | | Prima Mail | orig posted to 83513 | 1020 - Torrey Pines-7590 - Dist... | 0.00 | | 5,000.00 |
| Check | 04/19/2010 | 2069 | | Prima Mail | remaining bill on April mailing | 1011 - Heritage - 3513 - Operating | 1,375.62 | | 6,375.62 |
| Check | 04/20/2010 | 2070 | | US Postmaster | IPF Postcard postage | 1011 - Heritage - 3513 - Operating | 572.17 | | 6,947.79 |
| Check | 05/12/2010 | 2086 | | US Postmaster | Postage for Express printing postcard mailing... | 1011 - Heritage - 3513 - Operating | 1,987.22 | | 8,935.01 |
| Check | 09/21/2010 | 2213 | | Lamortina Weekly | Advertising | 1011 - Heritage - 3513 - Operating | 1,078.44 | | 10,013.45 |
| General Journal | 11/01/2010 | Scotsm... | | Keiretsu Forum | Presentation Fee | 1011 - Heritage - 3513 - Operating | 252.50 | | 10,215.85 |
| | | | | | Exp. 11/1/09 prepaid for Jul 2010 ad in Scots... | 1420 - Misc. Prepaids | 12,500.00 | | 22,715.85 |
| | | | | | | | 3,885.50 | | 26,601.45 |
| | | | | | | | 26,601.45 | 0.00 | 26,601.45 |
| Total 6010 - Advertising & Promotion | | | | | | | | | |
| 6030 - Business License & Fees | | | | | | | | | |
| Check | 03/18/2010 | 2025 | | Dept. of Corporations | Exam fee 1/5/10 inv#50336 File# 605 3208 | 1011 - Heritage - 3513 - Operating | 640.78 | | 640.78 |
| Check | 04/30/2010 | 2085 | | Dept. of Corporations | Application for securities offering | 1011 - Heritage - 3513 - Operating | 2,500.00 | | 3,140.78 |
| Check | 10/18/2010 | 2228 | | Dept. of Corporations | Application fee | 1011 - Heritage - 3513 - Operating | 50.00 | | 3,190.78 |
| Check | 11/13/2011 | 2257 | | Dept. of Corporations | 2011 DOC Permit Renewal | 1011 - Heritage - 3513 - Operating | 50.00 | | 3,240.78 |
| Check | 03/22/2011 | 2303 | | Dept. of Corporations | Fictitious Business name filing | 1011 - Heritage - 3513 - Operating | 2,500.00 | | 5,740.78 |
| Check | 03/31/2011 | 2304 | | Santa Clara County Re... | DOC Administrative Fee | 1011 - Heritage - 3513 - Operating | 37.35 | | 5,778.13 |
| Check | 06/10/2011 | 2346 | | Dept. of Corporations | | 1011 - Heritage - 3513 - Operating | 50.00 | | 5,828.13 |
| | | | | | | | 5,828.13 | 0.00 | 5,828.13 |
| Total 6030 - Business License & Fees | | | | | | | | | |
| 6050 - Meals & Entertainment | | | | | | | | | |
| 6051 - Investor Events | | | | | | | | | |
| Check | 04/21/2010 | 2073 | | Sunnyvale Historical So... | rental deposit for 6/5/10 IPF investor dinner | 1011 - Heritage - 3513 - Operating | | | 0.00 |
| Check | 08/03/2010 | 2114 | | John Elder | Deposit on 6/5/10 IPF investor dinner | 1011 - Heritage - 3513 - Operating | 637.50 | | 637.50 |
| Check | 08/07/2010 | 2121 | | John Elder | Investor dinner caterer | 1011 - Heritage - 3513 - Operating | 2,000.00 | | 2,637.50 |
| Check | 06/15/2010 | 2130 | | Peter Eberle | 6/5/10 Annual IPF Investor Dinner expenses | 1011 - Heritage - 3513 - Operating | 3,500.00 | | 6,137.50 |
| Check | 05/11/2011 | 2325 | | Magnolia Jazz Band | deposit for investor dinner | 1011 - Heritage - 3513 - Operating | 2,550.00 | | 8,687.50 |
| Check | 05/11/2011 | 2333 | | Keiretsu Forum | Sponsorship Fee | 1011 - Heritage - 3513 - Operating | 500.00 | | 9,187.50 |
| Check | 05/11/2011 | 2334 | | Los Altos History Museum | facility rental - Annual investor dinner | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 34,187.50 |
| Check | 05/11/2011 | 2335 | | John Elder | Alcohol Permit for annual investor dinner | 1011 - Heritage - 3513 - Operating | 3,000.00 | | 37,187.50 |
| Check | 05/11/2011 | 2336 | | Los Altos Police Depart... | investor dinner - deposit for catering | 1011 - Heritage - 3513 - Operating | 36.00 | | 37,223.50 |
| Check | 05/11/2011 | 2337 | | Magnolia Jazz Band | VOID: duplicate liquor license for investor app... | 1011 - Heritage - 3513 - Operating | 5,000.00 | | 42,223.50 |
| Check | 06/03/2011 | 2343 | | Stephanie Janowski | Harplst for Investor Appreciation Dinner | 1011 - Heritage - 3513 - Operating | 0.00 | | 42,223.50 |
| Check | 06/03/2011 | 2344 | | John Elder | balance for catering services | 1011 - Heritage - 3513 - Operating | 500.00 | | 42,723.50 |
| Check | 06/05/2011 | 2342 | | Jack Welch | added costs - catering services | 1011 - Heritage - 3513 - Operating | 325.00 | | 43,048.50 |
| Check | 07/11/2011 | exp | | Los Altos History Museum | reimburse for investor dinner | 1011 - Heritage - 3513 - Operating | 9,650.00 | | 52,698.50 |
| Deposit | | | | | additional rental equipment for investor dinner | 1011 - Heritage - 3513 - Operating | 1,500.00 | | 54,198.50 |
| | | | | | | | 163.07 | | 54,361.57 |
| | | | | | | | 110.00 | | 54,471.57 |
| | | | | | | | 54,491.57 | 0.00 | 54,491.57 |
| Total 6051 - Investor Events | | | | | | | | | |
| 6055 - Printing, forms, stationary | | | | | | | | | |
| Check | 04/02/2010 | 2052 | | Applied Business Softw... | envelopes, tax forms checks | 1011 - Heritage - 3513 - Operating | 2,240.83 | | 2,240.83 |
| Check | 11/30/2010 | EFT | | Heritage Bank | Deluxe Printing - deposit slip order | 1011 - Heritage - 3513 - Operating | 11.00 | | 2,251.83 |
| Check | 01/11/2011 | EFT | | Heritage Bank | For Deluxe check printing | 1011 - Heritage - 3513 - Operating | 24.50 | | 2,276.33 |
| Check | 02/28/2012 | wire | | Deluxe Business System | Checks | 1011 - Heritage - 3513 - Operating | 92.49 | | 2,368.82 |
| Check | 08/21/2012 | 2530 | | Express Printing & Grap... | Inv# 60718 & 60743 | 1011 - Heritage - 3513 - Operating | 700.65 | | 3,069.47 |
| | | | | | | | 3,069.57 | 0.00 | 3,069.57 |
| Total 6055 - Printing, forms, stationary | | | | | | | | | |
| 6060 - Insurance | | | | | | | | | |
| Deposit | 01/07/2010 | SB Cap... | | SB Capital | Reimbursement of Life policy | 1011 - Heritage - 3513 - Operating | | | |
| Check | 01/20/2010 | EFT | | Mass Mutual | Jan Insurance premium | 1010 - Wells Fargo - 6093 - Ope... | 2,241.99 | 2,241.99 | -2,241.99 |
| Check | 01/20/2010 | EFT | | Mass Mutual | Ja Life Ins premium | 1010 - Wells Fargo - 6093 - Ope... | 1,954.02 | | -4,196.01 |
| Check | 02/22/2010 | EFT | | Mass Mutual | Life Insurance | 1010 - Wells Fargo - 6093 - Ope... | 1,954.02 | | -6,150.03 |
| Check | 03/31/2010 | EFT | | Mass Mutual | Life Insurance | 1010 - Wells Fargo - 6093 - Ope... | 1,954.02 | | -8,104.05 |
| Check | 04/02/2010 | EFT | | Mass Mutual | | 1010 - Wells Fargo - 6093 - Ope... | 1,954.02 | | -10,058.07 |
| Check | 05/20/2010 | EFT | | Mass Mutual | | 1010 - Wells Fargo - 6093 - Ope... | 1,954.02 | | -12,012.09 |
| Check | 06/21/2010 | EFT | | Mass Mutual | | 1010 - Wells Fargo - 6093 - Ope... | 1,954.02 | | -13,966.11 |
| Check | 08/06/2010 | 2186 | | Mass Mutual | Life Insurance premium | 1011 - Heritage - 3513 - Operating | 1,954.02 | | -15,920.13 |
| Check | 09/20/2010 | EFT | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 3,908.04 | | -19,828.17 |
| Check | 10/20/2010 | EFT | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 1,954.02 | | -21,782.19 |
| Check | 11/22/2010 | EFT | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 1,954.02 | | -23,736.21 |
| Check | 12/31/2010 | EFT | | Mass Mutual | | 1011 - Heritage - 3513 - Operating | 1,954.02 | | -25,690.23 |
| General Journal | 12/31/2010 | 2010_A... | | Mass Mutual | Record Life Ins prems pd as due fr fund mana... | 1470 - Cash Surrender Value of ... | 1,954.02 | | -27,644.25 |
| Check | 05/20/2011 | | | | VOID: MASSMUTUAL LIFE MASSMUTUAL Q... | 1011 - Heritage - 3513 - Operating | 0.00 | 23,448.00 | -4,196.25 |
| | | | | | | | 25,690.23 | 25,688.99 | -0.24 |
| Total 6060 - Insurance | | | | | | | | | |
| 6080 - Computer Hardware & Software | | | | | | | | | |
| Total 6080 - Computer Hardware & Software | | | | | | | | | |
| 6100 - Professional Services | | | | | | | | | |
| Total 6100 - Professional Services | | | | | | | | | |

Investors Prime Fund
General Ledger
All Transactions

2:52 PM
08/18/15

Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|------------|-----|--------------------------|---|------------------------------------|-----------|--------|------------|
| 6101 - Legal | | | | | | | | | |
| Check | 01/04/2010 | 1133 | | K & L Gates LLP | Inv for Nov 09 charges | | 18,724.24 | | 18,724.24 |
| Check | 01/04/2010 | 1134 | | K & L Gates LLP | Inv for Nov 09 charges | | 8,797.50 | | 27,521.74 |
| Check | 02/26/2010 | 2010 | | Shin & Lubin LLP | 65891.43 Inv for SBC license | | 8,576.43 | | 36,098.17 |
| Check | 02/26/2010 | 2011 | | Doss Law, A Law Corp... | 29810 #02830 thru Jan 31, 2010 | | 4,383.75 | | 40,481.92 |
| Check | 02/26/2010 | 2013 | | Silicon Valley Law Group | 131110 Inv #61247 Acct#2684 | | 1,700.02 | | 42,182.54 |
| Check | 06/08/2010 | Legal A... | | K & L Gates LLP | 1231109 #2114859 | | 12,000.00 | | 54,182.54 |
| General Journal | 06/30/2010 | Doss L... | | | Miller Mayer inv#76369 applied to retainer bal... | | 1,820.00 | | 56,002.54 |
| General Journal | 06/30/2010 | Doss L... | | | VOID: Doss Legal matter #0004 thru Jan 10 | 1410 - Legal Retainers | 5,068.73 | | 61,071.27 |
| General Journal | 06/30/2010 | Doss L... | | | Doss Legal matter #0004 thru Jan 10 | 2510 - Misc. Other Liabilities | 3,887.50 | | 64,958.77 |
| General Journal | 06/30/2010 | Doss L... | | | Doss #64601 Matter #0004 | 2510 - Misc. Other Liabilities | 1,237.50 | | 66,196.27 |
| General Journal | 06/30/2010 | Doss L... | | | Doss #64602 Matter #0004 | 2510 - Misc. Other Liabilities | 707.84 | | 66,904.11 |
| General Journal | 06/30/2010 | Doss L... | | | 115510 Inv #601277 Matter 2095-0004 | 2510 - Misc. Other Liabilities | 635.66 | | 67,539.77 |
| General Journal | 11/03/2010 | Legal A... | | | -MULTIPLE- | 1011 - Heritage - 3513 - Operating | 1,387.23 | | 68,927.00 |
| Check | 12/17/2010 | 2245 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 15,624.75 | | 84,551.75 |
| Check | 01/13/2011 | 2259 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 10,148.74 | | 94,700.49 |
| Check | 01/24/2011 | 2262 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 10,148.74 | | 104,849.23 |
| Check | 01/26/2011 | 2265 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 107,731.67 |
| Check | 02/17/2011 | 2271 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 25,000.00 | | 132,731.67 |
| Check | 03/04/2011 | 2287 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 135,614.11 |
| Check | 03/10/2011 | 2291 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 138,496.55 |
| Check | 03/11/2011 | 2292 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 141,378.99 |
| Check | 03/25/2011 | 2305 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 144,261.43 |
| Check | 03/26/2011 | 2320 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 147,143.87 |
| Check | 03/29/2011 | 2321 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 150,026.31 |
| Check | 05/03/2011 | 2326 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 152,908.75 |
| Check | 05/17/2011 | 2336 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 155,791.19 |
| Check | 06/22/2011 | 2350 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 158,673.63 |
| Check | 07/07/2011 | 2358 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 161,556.07 |
| Check | 08/02/2011 | 2359 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 164,438.51 |
| Check | 08/07/2011 | 2385 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 167,320.95 |
| Check | 11/17/2011 | 2412 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 170,203.39 |
| Check | 01/10/2012 | 2445 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 173,085.83 |
| Check | 01/17/2012 | 2447 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 175,968.27 |
| Check | 02/15/2012 | 2448 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 178,850.71 |
| Check | 02/15/2012 | 2448 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 181,733.15 |
| Check | 03/15/2012 | 2476 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 184,615.59 |
| Check | 03/15/2012 | 2478 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 187,498.03 |
| Check | 03/15/2012 | 2478 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 190,380.47 |
| Check | 04/02/2012 | 2486 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 193,262.91 |
| Check | 04/02/2012 | 2486 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 196,145.35 |
| Check | 05/03/2012 | 2713 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 199,027.79 |
| Check | 05/08/2012 | 2713 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 201,910.23 |
| Check | 05/16/2012 | 2716 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 204,792.67 |
| Check | 05/16/2012 | 2716 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 207,675.11 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 210,557.55 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 213,440.00 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 216,322.44 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 219,204.88 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 222,087.32 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 224,969.76 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 227,852.20 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 230,734.64 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 233,617.08 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 236,499.52 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 239,381.96 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 242,264.40 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 245,146.84 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 248,029.28 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 250,911.72 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 253,794.16 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 256,676.60 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 259,559.04 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 262,441.48 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 265,323.92 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 268,206.36 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 271,088.80 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 273,971.24 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 276,853.68 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 279,736.12 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 282,618.56 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 285,501.00 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 288,383.44 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 291,265.88 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 294,148.32 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 297,030.76 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 299,913.20 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 302,795.64 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 305,678.08 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 308,560.52 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 311,442.96 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 314,325.40 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 317,207.84 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 320,090.28 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 322,972.72 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 325,855.16 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 328,737.60 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 331,620.04 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 334,502.48 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 337,384.92 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 340,267.36 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 343,149.80 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 346,032.24 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 348,914.68 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 351,797.12 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 354,679.56 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 357,562.00 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 360,444.44 |
| Check | 06/12/2012 | 2812 | | Doss Law, A Law Corp... | 11/11/11 #00051 - bank language | 1011 - Heritage - 3513 - Operating | 2,882.44 | | 363,326.88 |
| Check | 06/12/2012 | 28 | | | | | | | |

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Investors Prime Fund
General Ledger

All Transactions

| Type | Date | Num | Adj | Name | MEMO | Split | Debit | Credit | Balance |
|--|------------|--------|-----|--------------------------|--|------------------------------------|------------|----------|------------|
| Check | 06/04/2012 | 2509 | | David Grubis | | 1011 - Heritage - 3513 - Operating | 6,000.00 | | 294,296.45 |
| Check | 06/12/2012 | 2526 | | David Grubis | | 1011 - Heritage - 3513 - Operating | 8,000.00 | | 286,296.45 |
| Total 6104 - Contracting & Consulting | | | | | | | 302,296.45 | 0.00 | 302,296.45 |
| 6500 - Interest Expense | | | | | | | | | |
| Check | 10/18/2010 | 2227 | | Heritage Bank of Comm... | LOC pmt due 10/24/10 | 1011 - Heritage - 3513 - Operating | 722.22 | | 722.22 |
| Check | 12/06/2010 | 120610 | | Heritage Bank of Comm... | LOC pmt due as of 12/01/10 | 1011 - Heritage - 3513 - Operating | 1,354.46 | | 2,121.52 |
| Check | 12/14/2010 | 121410 | | Heritage Bank of Comm... | LOC pmt due 12/14/10 | 1011 - Heritage - 3513 - Operating | 1,354.46 | | 3,475.88 |
| Check | 01/11/2011 | EFT | | Heritage Bank of Comm... | int. pmt - reversed on same day to Heritage M... | 1030 - Heritage - 3513 - Operating | 1,359.30 | | 4,974.88 |
| Check | 01/11/2011 | EFT | | Heritage Bank of Comm... | int. pmt LOC - TO LOAN # 7140000100 | 1030 - Heritage - 3513 - Operating | 1,359.30 | | 4,974.88 |
| Check | 01/11/2011 | EFT | | Heritage Bank of Comm... | employment of interest on Loan#7140-100 | 1030 - Heritage - 3513 - Operating | 1,359.30 | | 4,974.88 |
| Total 6500 - Interest Expense | | | | | | | 6,274.28 | 1,359.30 | 4,874.88 |
| 6505 - Bank Fees and Service Charges | | | | | | | | | |
| Check | 01/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 4.00 | | 4.00 |
| Check | 02/28/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 2.50 | | 6.50 |
| Check | 03/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 21.00 | | 27.50 |
| Check | 04/30/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 20.00 | | 47.50 |
| Check | 05/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 21.00 | | 68.50 |
| Check | 06/30/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 21.00 | | 89.50 |
| Check | 07/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 21.00 | | 110.50 |
| Check | 08/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 0.02 | | 110.52 |
| Check | 09/30/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 97.00 | | 207.52 |
| Check | 10/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 12.00 | | 219.52 |
| Check | 11/30/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 11.00 | | 230.52 |
| Check | 12/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 18.50 | | 249.02 |
| Check | 01/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 22.00 | | 271.02 |
| Check | 02/28/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 11.00 | | 282.02 |
| Check | 03/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 2.00 | | 284.02 |
| Check | 04/30/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 5.00 | | 289.02 |
| Check | 05/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 30.00 | | 319.02 |
| Check | 06/30/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 334.02 |
| Check | 07/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 349.02 |
| Check | 08/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 364.02 |
| Check | 09/30/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 379.02 |
| Check | 10/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 394.02 |
| Check | 11/30/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 409.02 |
| Check | 12/31/2011 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 424.02 |
| Check | 01/31/2012 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 439.02 |
| Check | 02/28/2012 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 454.02 |
| Check | 03/31/2012 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 469.02 |
| Check | 04/30/2012 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 484.02 |
| Check | 05/31/2012 | | | | Service Charge | 1010 - Wells Fargo - 6093 - Op... | 15.00 | | 499.02 |
| Total 6505 - Bank Fees and Service Charges | | | | | | | 895.99 | 0.00 | 895.99 |
| 6510 - Lender Loan Fees | | | | | | | | | |
| Check | 08/28/2010 | | | Heritage Bank | Loc loan fee | 1011 - Heritage - 3513 - Operating | 500.00 | | 500.00 |
| Total 6510 - Lender Loan Fees | | | | | | | 500.00 | 0.00 | 500.00 |
| 6800 - Misc. Expense | | | | | | | | | |
| General Journal | 01/01/2010 | 1502 | | VOID | W/O small balance in Other Life Act | 2510 - Misc. Other Liabilities | 10.66 | | 10.66 |
| Check | 04/02/2010 | 1510 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/02/2010 | 1511 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/02/2010 | 1512 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/08/2010 | 2065 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/13/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| General Journal | 04/18/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/10/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/17/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/24/2010 | 2106 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/24/2010 | 2105 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 06/08/2010 | 2121 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 06/15/2010 | 2126 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 06/15/2010 | 2127 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 07/30/2010 | 2170 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2172 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2173 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2174 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2175 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2177 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2178 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2179 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2180 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2181 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2183 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/06/2010 | 2208 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/15/2010 | 2209 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/15/2010 | 2210 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 10/01/2010 | 2216 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 11/01/2010 | 2233 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 11/10/2010 | 2235 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 11/25/2010 | 2281 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 02/28/2011 | 2276 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 02/28/2011 | 2279 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 02/28/2011 | 2280 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| 6810 - Lender Loan Fees | | | | | | | | | |
| Check | 08/28/2010 | | | Heritage Bank | Loc loan fee | 1011 - Heritage - 3513 - Operating | 500.00 | | 500.00 |
| Total 6810 - Lender Loan Fees | | | | | | | 500.00 | 0.00 | 500.00 |
| 6800 - Misc. Expense | | | | | | | | | |
| General Journal | 01/01/2010 | 1502 | | VOID | W/O small balance in Other Life Act | 2510 - Misc. Other Liabilities | 10.66 | | 10.66 |
| Check | 04/02/2010 | 1510 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/02/2010 | 1511 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/02/2010 | 1512 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/08/2010 | 2065 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 04/13/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| General Journal | 04/18/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/10/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/17/2010 | 2068 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/24/2010 | 2106 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 05/24/2010 | 2105 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 06/08/2010 | 2121 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 06/15/2010 | 2126 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 06/15/2010 | 2127 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 07/30/2010 | 2170 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2172 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2173 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2174 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2175 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2177 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2178 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2179 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2180 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2181 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/01/2010 | 2183 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/06/2010 | 2208 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/15/2010 | 2209 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 08/15/2010 | 2210 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 10/01/2010 | 2216 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 11/01/2010 | 2233 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 11/10/2010 | 2235 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 11/25/2010 | 2281 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 02/28/2011 | 2276 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 02/28/2011 | 2279 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |
| Check | 02/28/2011 | 2280 | | VOID | VOID | 1011 - Heritage - 3513 - Operating | 0.00 | | 10.66 |

2:52 PM

08/18/16

Investors Prima Fund

General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-----------|-----|---|---|------------------------------------|----------------|----------------|------------|
| Check | 02/28/2011 | 2281 | | VOID | | | | | |
| Check | 02/28/2011 | 2282 | | VOID | | | | | |
| Check | 03/14/2011 | 2294 | | VOID | | | | | |
| Check | 04/01/2011 | 2326 | | VOID | | | | | |
| Check | 05/10/2011 | 2327 | | VOID | | | | | 1,848.94 |
| Check | 05/10/2011 | 2328 | | VOID | | | | | 1,848.94 |
| Check | 05/11/2011 | 2330 | | VOID | | | | | 1,848.94 |
| Check | 06/14/2011 | 2348 | | VOID | | | | | 1,848.94 |
| Check | 07/11/2011 | 3289 | | VOID | | | | | 1,848.94 |
| Total 6900 - Misc. Expense | | | | | | | 1,635.00 | | 3,511.94 |
| 6000 - Administrative & General - Other | | | | | | | | | |
| Total 6000 - Administrative & General - Other | | | | | | | | | |
| Total 6000 - Administrative & General | | | | | | | 3,569.94 | 58.00 | 3,511.94 |
| 8000 - Other Expense | | | | | | | | | 0.00 |
| 8020 - Amortization Expense | | | | | | | 692,570.67 | 27,147.29 | 865,423.38 |
| General Journal | 01/31/2010 | M/E A.J. | | Jan 10 Amortization | | 1651 - Accum Amort-Orig Costs | 9,147.64 | | 9,147.64 |
| General Journal | 01/31/2010 | M/E A.J. | | Jan 10 Amort of 2010 Synd Costs | | 1653 - Accum Amort-Synd Costs | 2,083.33 | | 11,230.97 |
| General Journal | 01/31/2010 | M/E A.J. | | Jan 10 Amort of Avisi | | 1654 - Accum Amort-SBA Licen... | 166.86 | | 11,397.83 |
| General Journal | 02/28/2010 | M/E A.J. | | Feb 10 Amortization | | 1651 - Accum Amort-Orig Costs | 9,147.64 | | 20,545.27 |
| General Journal | 02/28/2010 | M/E A.J. | | Feb 10 Amort of 2010 Synd Costs | | 1653 - Accum Amort-SBA Licen... | 166.86 | | 24,285.26 |
| General Journal | 02/28/2010 | M/E A.J. | | Feb 10 Amort of Avisi | | 1654 - Accum Amort-Synd Costs | 3,748.99 | | 24,461.92 |
| General Journal | 03/31/2010 | M/E A.J. | | Mar 10 Amortization | | 1651 - Accum Amort-Orig Costs | 9,147.64 | | 33,609.56 |
| General Journal | 03/31/2010 | M/E A.J. | | Mar 10 Amort of 2010 Synd Costs | | 1653 - Accum Amort-SBA Licen... | 166.86 | | 33,776.21 |
| General Journal | 03/31/2010 | M/E A.J. | | Mar 10 Amort of Avisi | | 1654 - Capitalized SBA License ... | 166.86 | | 37,942.57 |
| General Journal | 04/30/2010 | M/E A.J. | | Apr 10 Amortization | | 1651 - Accum Amort-Orig Costs | 9,147.64 | | 47,090.51 |
| General Journal | 04/30/2010 | M/E A.J. | | Apr 10 Amort of Avisi | | 1653 - Accum Amort-SBA Licen... | 166.86 | | 47,257.17 |
| General Journal | 05/31/2010 | M/E A.J. | | May 10 Amortization | | 1654 - Capitalized SBA License ... | 166.86 | | 56,404.81 |
| General Journal | 05/31/2010 | M/E A.J. | | May 10 Amort of Avisi | | 1651 - Accum Amort-Orig Costs | 9,147.64 | | 56,571.47 |
| General Journal | 06/30/2010 | M/E A.J. | | Jun 10 Amortization | | 1653 - Accum Amort-SBA Licen... | 166.86 | | 65,719.11 |
| General Journal | 06/30/2010 | M/E A.J. | | Jun 10 Amort of Avisi | | 1654 - Capitalized SBA License ... | 166.86 | | 65,885.77 |
| General Journal | 07/31/2010 | M/E A.J. | | SBA Lic Costs moved to SBC LLC | | -SPLIT- | | 20,123.14 | 45,762.63 |
| General Journal | 08/31/2010 | M/E A.J. | | Jul 10 Amortization | | -SPLIT- | 6,522.67 | | 52,285.30 |
| General Journal | 08/31/2010 | M/E A.J. | | Aug 10 Amortization | | -SPLIT- | 6,522.67 | | 58,807.97 |
| General Journal | 09/30/2010 | M/E A.J. | | Sep 10 Amortization | | -SPLIT- | 6,522.67 | | 65,330.64 |
| General Journal | 10/31/2010 | M/E A.J. | | Oct 10 Amortization | | -SPLIT- | 6,522.67 | | 71,853.31 |
| General Journal | 11/30/2010 | M/E A.J. | | Nov 10 Amortization | | -SPLIT- | 6,522.67 | | 78,375.98 |
| General Journal | 12/31/2010 | M/E A.J. | | Dec 10 Amortization | | -SPLIT- | 6,522.67 | | 84,898.65 |
| General Journal | 01/31/2011 | M/E A.J. | | To Remove Syndication fees & related accum... | | 1250 - LT Rec - Fund Mgrs Org... | | 68,989.89 | 14,898.66 |
| General Journal | 02/28/2011 | M/E A.J. | | Jan 2011 Amortization | | 1652 - Accum Amort-Restruct C... | | 1,153.00 | 13,745.66 |
| General Journal | 03/31/2011 | M/E A.J. | | Feb 2011 Amortization | | -SPLIT- | | | 15,268.36 |
| General Journal | 04/28/2011 | M/E A.J. | | March 2011 Amortization Expense | | -SPLIT- | 1,522.70 | | 16,791.06 |
| General Journal | 05/31/2011 | M/E A.J. | | April Amortization expense | | -SPLIT- | 1,522.70 | | 18,313.76 |
| General Journal | 06/30/2011 | M/E A.J. | | May Amortization expense | | -SPLIT- | 1,522.70 | | 19,836.46 |
| General Journal | 07/31/2011 | M/E A.J. | | June Amortization expense | | -SPLIT- | 1,522.70 | | 21,359.16 |
| General Journal | 08/31/2011 | M/E A.J. | | gross up for July amortization | | -SPLIT- | 1,522.70 | | 22,881.86 |
| General Journal | 09/29/2011 | M/E A.J. | | gross up for Aug amortization | | -SPLIT- | 1,522.70 | | 24,404.56 |
| General Journal | 10/31/2011 | M/E A.J. | | gross up for Sept amortization | | -SPLIT- | 1,522.70 | | 25,927.26 |
| General Journal | 11/30/2011 | M/E A.J. | | gross up for Oct amortization | | -SPLIT- | 1,522.70 | | 27,449.96 |
| General Journal | 12/31/2011 | M/E A.J. | | gross up for Nov amortization | | -SPLIT- | 1,522.70 | | 28,972.66 |
| General Journal | 02/29/2012 | M/E A.J. | | gross up for Dec amortization | | -SPLIT- | 1,522.70 | | 30,495.36 |
| General Journal | 02/29/2012 | M/E A.J. | | gross up for January & Feb amortization | | -SPLIT- | 1,522.70 | | 32,018.06 |
| General Journal | 03/31/2012 | M/E A.J. | | Amortization of Loan Cost | | -SPLIT- | 1,522.70 | | 33,540.76 |
| General Journal | 04/30/2012 | M/E A.J. | | gross up for March amortization | | -SPLIT- | 1,522.70 | | 35,063.46 |
| General Journal | 05/31/2012 | M/E A.J. | | Amortization of Loan Cost | | -SPLIT- | 1,522.70 | | 36,586.16 |
| General Journal | 06/30/2012 | M/E A.J. | | gross up for April amortization | | -SPLIT- | 1,522.70 | | 38,108.86 |
| General Journal | 07/31/2012 | M/E A.J. | | Amortization of Loan Cost | | -SPLIT- | 1,522.70 | | 39,631.56 |
| General Journal | 08/31/2012 | M/E A.J. | | gross up for May amortization | | -SPLIT- | 1,522.70 | | 41,154.26 |
| General Journal | 09/30/2012 | M/E A.J. | | Amortization of Loan Cost | | -SPLIT- | 1,522.70 | | 42,676.96 |
| General Journal | 10/31/2012 | M/E A.J. | | Reversal of Amortization Expense taken this y... | | 1161 - Loan Cost-Accumulated ... | 3,045.40 | | 45,722.36 |
| General Journal | 11/30/2012 | M/E A.J. | | Aung Solvency-Amortization adjustment for day... | | 1161 - Loan Cost-Accumulated ... | 2,575.60 | | 48,297.96 |
| General Journal | 12/31/2012 | M/E A.J. | | CREDIT | | 1161 - Loan Cost-Accumulated ... | 1,522.70 | | 50,820.66 |
| General Journal | 01/31/2013 | M/E A.J. | | Edge Partners Amortization expense for 06/11 L... | | 1161 - Loan Cost-Accumulated ... | 1,522.70 | | 52,343.36 |
| General Journal | 02/29/2013 | M/E A.J. | | Amortization of Loan Cost | | 1161 - Loan Cost-Accumulated ... | 1,522.70 | | 53,866.06 |
| Total 8020 - Amortization Expense | | | | | | | 230.22 | | 55,837.42 |
| 8000 - Other Expense - Other | | | | | | | 5,591.59 | | 61,428.01 |
| Total 8000 - Other Expense - Other | | | | | | | 159,765.84 | 98,336.83 | 61,428.01 |
| Total 8000 - Other Expense | | | | | | | | | 0.00 |
| 9000 - Tax Related Expenses | | | | | | | 159,765.84 | 98,336.83 | 61,428.01 |
| 9010 - Property Tax Expense | | | | | | | | | |
| Total 9010 - Property Tax Expense | | | | | | | | | |
| 9020 - LLC Fees & Franchise Taxes | | | | | | | | | 0.00 |
| Check | 04/20/2010 | 2071 | | Franchise Tax Board | 2010 Form 3522 SOS#200513910348 | 1011 - Heritage - 3513 - Operating | 800.00 | | 800.00 |
| Check | 05/10/2010 | 2092 | | VOID | | 1011 - Heritage - 3513 - Operating | 0.00 | | 800.00 |
| Check | 12/29/2010 | 2250 | | SB Capital Corp. | 2007 CA #3522 pd by SB Cap Corp ckt#2000 o... | 2300 - Income Taxes Payable | 5,200.00 | | 1,600.00 |
| General Journal | 12/31/2010 | 2010 A... | | | | 1011 - Heritage - 3513 - Operating | 600.00 | | 7,400.00 |
| Check | 03/22/2011 | 2302 | | Franchise Tax Board | 2011 Form 3536 SOS#200513910348 | 1011 - Heritage - 3513 - Operating | 482.14 | | 7,882.14 |
| Check | 09/28/2011 | 2330 | | Franchise Tax Board | CHECK | | | | |
| Total 9020 - LLC Fees & Franchise Taxes | | | | | | | 7,892.14 | 0.00 | 7,882.14 |
| 9000 - Tax Related Expenses - Other | | | | | | | | | |
| Total 9000 - Tax Related Expenses - Other | | | | | | | | | |
| Total 9000 - Tax Related Expenses | | | | | | | 7,892.14 | 0.00 | 7,882.14 |
| No accont | | | | | | | | | 0.00 |
| Total no accont | | | | | | | | | |
| TOTAL | | | | | | | 203,282,740.84 | 203,282,740.84 | 0.00 |

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EXHIBIT F

2:47 PM

08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--------------------------------------|------------|----------|-----|--|--|----------------------------|-----------|-----------|------------|
| 1000 - Cash | | | | | | | | | |
| 1010 - Wells Fargo - 8602 | | | | | | | | | |
| General Journal | 12/31/2009 | Open... | | | | | | | |
| Check | 01/12/2010 | 2069 | | SBC Portfolio Fund LLC | Set up 01/01/10 Opening Balances ... | -SPLIT- | | | |
| Check | 01/31/2010 | | | | Account transfer to Heritage #3695 | 1060 - Interbank Transfers | 12,696.25 | | 12,696.25 |
| Check | 02/28/2010 | | | | Service Charge | 5010 - Bank Fees | | 12,670.25 | 26.00 |
| Deposit | 03/29/2010 | | | | Service Charge | 5010 - Bank Fees | | 48.50 | -22.50 |
| | | | | | Fees charged off | 5010 - Bank Fees | | 22.50 | -45.00 |
| Total 1010 - Wells Fargo - 8602 | | | | | | | 45.00 | | 0.00 |
| 1020 - Torrey Pines - 2470 - Distrib | | | | | | | | | |
| General Journal | 12/31/2009 | Open... | | | | | | | |
| Deposit | 01/07/2010 | | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 12,741.25 | 12,741.25 | 0.00 |
| Check | 01/08/2010 | 01081... | | Lee V. Emerson Revocable Trust | Deposit | | | | |
| Check | 01/08/2010 | 01081... | | White Revocable Trust | 0101-ACH-09 Jan 09 Distrib | 1060 - Interbank Transfers | 5,131.70 | | 5,131.70 |
| Check | 01/08/2010 | 01081... | | Valencia Family Trust | 0103-ACH Jan 10 distrib | 3030 - Distributions | 30,000.00 | | 35,131.70 |
| Check | 01/08/2010 | 01081... | | Rahmatollah (Ray) Kashaf | 0105-ACH-09 Jan 10 distrib | 3030 - Distributions | | 4,550.14 | 30,581.56 |
| Check | 01/08/2010 | 01081... | | Amy Jo Fillin | 0106-ACH Jan 10 distrib | 3030 - Distributions | | 190.78 | 30,390.80 |
| Check | 01/08/2010 | 01081... | | Mason Family Trust | 0108-ACH Jan 10 distrib | 3030 - Distributions | | 1,023.78 | 29,367.02 |
| Check | 01/08/2010 | 01081... | | Korich Family Trust | 0110-ACH Jan 10 distrib | 3030 - Distributions | | 1,472.73 | 27,894.29 |
| Check | 01/08/2010 | 01081... | | Korich Family Trust-112 | 0111-ACH Jan 10 distrib | 3030 - Distributions | | 603.68 | 27,290.61 |
| Check | 01/08/2010 | 01081... | | Marilyn J. Anair | 0112-ACH Jan 10 distrib | 3030 - Distributions | | 758.36 | 26,532.25 |
| Check | 01/08/2010 | 01081... | | M.J. LaGrone Revocable Trust | 0113-ACH Jan 10 distrib | 3030 - Distributions | | 1,306.26 | 25,225.99 |
| Check | 01/08/2010 | 01081... | | Stephanie Dao | 0114-ACH Jan 10 distrib | 3030 - Distributions | | 1,323.41 | 23,902.57 |
| Check | 01/08/2010 | 01081... | | Phillip R. Tiley Living Trst, 12/15... | 0115-ACH Jan 10 distrib | 3030 - Distributions | | 634.19 | 23,268.38 |
| Check | 01/08/2010 | 01081... | | Patapoff Family Trust | 0121-ACH Jan 10 distrib | 3030 - Distributions | | 378.18 | 22,890.20 |
| Check | 01/29/2010 | 01081... | | Sotoudeh Family 2003 Trust | 0125-ACH Jan 10 distrib | 3030 - Distributions | | 758.36 | 22,131.84 |
| Check | 02/01/2010 | 2009 | | Yeh Family Trust | 0126-ACH Jan 10 distrib | 3030 - Distributions | | 379.18 | 21,752.66 |
| Check | 02/01/2010 | 2009 | | Julia M. Williams | Service Charge | 3030 - Distributions | | 379.18 | 21,373.48 |
| Check | 02/01/2010 | 2009 | | Farideh and Farzaneh Kashaf | Feb 2010 distribution #0104 | 5010 - Bank Fees | | 379.60 | 20,993.88 |
| Check | 02/01/2010 | 2009 | | Lee V. Emerson Revocable Trust | Feb 2010 distribution | 3030 - Distributions | | 6.80 | 20,987.08 |
| Check | 02/01/2010 | 2009 | | White Revocable Trust | Feb 2010 distribution | 3030 - Distributions | | 354.77 | 20,632.31 |
| Check | 02/01/2010 | 2009 | | Valencia Family Trust | VOID: Feb 2010 Distrib for \$468.46... | 3030 - Distributions | | 532.14 | 20,099.17 |
| Check | 02/01/2010 | 2009 | | Rahmatollah (Ray) Kashaf | Feb 2010 distribution | 3030 - Distributions | 0.00 | 354.77 | 19,744.40 |
| Check | 02/01/2010 | 2009 | | Amy Jo Fillin | Feb 2010 distribution | 3030 - Distributions | | 4,257.17 | 15,487.23 |
| Check | 02/01/2010 | 2009 | | Mason Family Trust | Feb 2010 distribution | 3030 - Distributions | | 57.94 | 15,429.29 |
| Check | 02/01/2010 | 2009 | | Korich Family Trust | Feb 2010 distribution | 3030 - Distributions | | 1,377.90 | 14,051.39 |
| Check | 02/01/2010 | 2009 | | Korich Family Trust-112 | Feb 2010 distribution | 3030 - Distributions | | 438.67 | 13,612.72 |
| Check | 02/01/2010 | 2009 | | Marilyn J. Anair | Feb 2010 distribution | 3030 - Distributions | | 709.36 | 12,903.36 |
| Check | 02/01/2010 | 2009 | | M.J. LaGrone Revocable Trust | Feb 2010 distribution | 3030 - Distributions | | 1,222.15 | 11,681.21 |
| Check | 02/01/2010 | 2009 | | Stephanie Dao | Feb 2010 distribution | 3030 - Distributions | | 1,238.19 | 10,443.02 |
| Check | 02/01/2010 | 2009 | | Phillip R. Tiley Living Trst, 12/15... | Feb 2010 distribution | 3030 - Distributions | | 780.29 | 9,662.73 |
| Check | 02/01/2010 | 2009 | | Patapoff Family Trust | Feb 2010 distribution | 3030 - Distributions | | 354.68 | 9,308.05 |
| Check | 02/01/2010 | 2009 | | Sotoudeh Family 2003 Trust | Feb 2010 distribution | 3030 - Distributions | | 709.36 | 8,598.69 |
| Check | 02/28/2010 | 2009 | | Fadia Damon | Feb 2010 distribution | 3030 - Distributions | | 354.77 | 8,243.92 |
| Check | 03/01/2010 | 03011... | | Lee V. Emerson Revocable Trust | Service Charge | 3030 - Distributions | | 368.86 | 7,875.06 |
| Check | 03/01/2010 | 03011... | | Valencia Family Trust | Deposit | 5010 - Bank Fees | | 368.27 | 7,506.79 |
| Check | 03/01/2010 | 03011... | | Rahmatollah (Ray) Kashaf | Mar 2010 distribution | 1060 - Interbank Transfers | 20,000.00 | 81.82 | 7,424.97 |
| Check | 03/01/2010 | 03011... | | Amy Jo Fillin | Mar 2010 distribution | 3030 - Distributions | | 3,958.67 | 3,466.30 |
| Check | 03/01/2010 | 03011... | | Mason Family Trust | Mar 2010 distribution | 3030 - Distributions | | 582.20 | 2,884.10 |
| Check | 03/01/2010 | 03011... | | Korich Family Trust | Mar 2010 distribution | 3030 - Distributions | | 1,281.35 | 1,602.75 |
| Check | 03/01/2010 | 03011... | | Korich Family Trust-112 | Mar 2010 distribution | 3030 - Distributions | | 326.14 | 1,276.61 |
| Check | 03/01/2010 | 03011... | | Marilyn J. Anair | Mar 2010 distribution | 3030 - Distributions | | 658.65 | 617.96 |
| Check | 03/01/2010 | 03011... | | M.J. LaGrone Revocable Trust | Mar 2010 distribution | 3030 - Distributions | | 1,136.51 | -518.55 |
| Check | 03/01/2010 | 03011... | | Stephanie Dao | Mar 2010 distribution | 3030 - Distributions | | 1,151.43 | -1,670.06 |
| Check | 03/01/2010 | 03011... | | Phillip R. Tiley Living Trst, 12/15... | Mar 2010 distribution | 3030 - Distributions | | 725.62 | -2,395.68 |
| Check | 03/01/2010 | 03011... | | Patapoff Family Trust | Mar 2010 distribution | 3030 - Distributions | | 328.83 | -2,724.51 |
| Check | 03/01/2010 | 03011... | | Sotoudeh Family 2003 Trust | Mar 2010 distribution | 3030 - Distributions | | 658.65 | -3,383.16 |
| Check | 03/01/2010 | 03011... | | Fadia Damon | Mar 2010 distribution | 3030 - Distributions | | 326.81 | -3,709.97 |
| Check | 03/01/2010 | 2004 | | Bernard & Helen Rubin Trust | Mar 2010 distribution | 3030 - Distributions | | 340.60 | -4,050.57 |
| Check | 03/01/2010 | 2005 | | Julia M. Williams | Mar 2010 distribution | 3030 - Distributions | | 342.74 | -4,393.31 |
| Check | 03/01/2010 | 2006 | | Yeh Family Trust - act 2 | Mar 2010 distribution | 3030 - Distributions | | 340.60 | -4,733.91 |
| Check | 03/01/2010 | 2007 | | Farideh and Farzaneh Kashaf | Mar 2010 distribution | 3030 - Distributions | | 510.90 | -5,244.81 |
| Check | 03/01/2010 | 2008 | | Yeh Family Trust - act 2 | VOID: Mar 2010 distrib - replaced b... | 3030 - Distributions | | 328.81 | -5,573.62 |
| Check | 03/01/2010 | 2009 | | Bernard & Helen Rubin Trust | Mar 2010 distribution | 3030 - Distributions | | 484.68 | -6,058.30 |
| Check | 03/01/2010 | 2009 | | VOID | Feb 2010 distribution replacement ck | 3030 - Distributions | | 436.96 | -6,495.26 |
| Check | 04/01/2010 | 2011 | | Yeh Family Trust | Service Charge | 3030 - Distributions | | 328.91 | -6,824.27 |
| Check | 04/01/2010 | 2012 | | Julia M. Williams | VOID: Apr 2010 distrib - replaced b... | 5010 - Bank Fees | 0.00 | 141.78 | -6,966.05 |
| Check | 04/01/2010 | 2013 | | Yeh Family Trust - act 2 | Apr 2010 distribution | 3030 - Distributions | | 95.00 | -7,061.05 |
| Check | 04/01/2010 | 2014 | | Linda S. Wilson | Apr 2010 distribution | 3030 - Distributions | 0.00 | | -7,156.05 |
| Check | 04/01/2010 | 2015 | | Farideh and Farzaneh Kashaf | Apr 2010 distribution | 3030 - Distributions | | 532.14 | -7,688.19 |
| Check | 04/01/2010 | 2016 | | Lee V. Emerson Revocable Trust | Apr 2010 distribution | 3030 - Distributions | | 355.23 | -8,043.42 |
| Check | 04/01/2010 | 2017 | | Valencia Family Trust | Apr 2010 distribution | 3030 - Distributions | | 157.01 | -8,200.43 |
| Check | 04/01/2010 | 2018 | | Rahmatollah (Ray) Kashaf | Apr 2010 distribution | 3030 - Distributions | | 468.88 | -8,669.31 |
| Check | 04/01/2010 | 2019 | | Amy Jo Fillin | Apr 2010 distribution | 3030 - Distributions | | 4,257.17 | -12,926.48 |
| Check | 04/01/2010 | 2020 | | Mason Family Trust | Apr 2010 distribution | 3030 - Distributions | | 531.69 | -13,458.17 |
| Check | 04/01/2010 | 2021 | | Korich Family Trust | Apr 2010 distribution | 3030 - Distributions | | 1,377.90 | -14,836.07 |
| Check | 04/01/2010 | 2022 | | Korich Family Trust-112 | Apr 2010 distribution | 3030 - Distributions | | 350.71 | -15,186.78 |
| Check | 04/01/2010 | 2023 | | M.J. LaGrone Revocable Trust | Apr 2010 distribution | 3030 - Distributions | | 709.36 | -15,896.14 |
| Check | 04/01/2010 | 2024 | | Stephanie Dao | Apr 2010 distribution | 3030 - Distributions | | 1,222.15 | -17,118.29 |
| Check | 04/01/2010 | 2025 | | Phillip R. Tiley Living Trst, 12/15... | Apr 2010 distribution | 3030 - Distributions | | 1,238.19 | -18,356.48 |
| Check | 04/01/2010 | 2026 | | Patapoff Family Trust | Apr 2010 distribution | 3030 - Distributions | | 354.68 | -18,711.16 |
| Check | 04/01/2010 | 2027 | | Fadia Damon | Apr 2010 distribution | 3030 - Distributions | | 709.36 | -19,420.52 |
| Check | 04/01/2010 | 2028 | | Bernard & Helen Rubin Trust | Apr 2010 distribution | 3030 - Distributions | | 397.30 | -19,817.82 |
| Check | 04/01/2010 | 2029 | | Marilyn J. Anair | Apr 2010 distribution | 3030 - Distributions | | 368.27 | -20,186.09 |
| Check | 04/01/2010 | 2030 | | Yeh Family Trust | Apr 2010 distribution | 3030 - Distributions | | 368.27 | -20,554.36 |
| Check | 04/01/2010 | 2031 | | Yeh Family Trust | Deposit | 1060 - Interbank Transfers | 30,000.00 | 780.29 | -21,334.65 |
| Check | 04/01/2010 | 2032 | | Yeh Family Trust | #0104 Apr 2010 distribution-replac... | -SPLIT- | | | |
| Check | 04/01/2010 | 2033 | | Yeh Family Trust | Service Charge | 1060 - Interbank Transfers | | 355.23 | -21,689.88 |
| Check | 04/01/2010 | 2034 | | Yeh Family Trust | May 2010 distrib #104 | 5010 - Bank Fees | 20,000.00 | | -21,689.88 |
| Check | 04/01/2010 | 2035 | | Yeh Family Trust - act 2 | May 2010 distribution | 3030 - Distributions | | 88.72 | -21,778.60 |
| Check | 04/01/2010 | 2036 | | Linda S. Wilson | May 2010 distribution | 3030 - Distributions | | 343.32 | -22,121.92 |
| Check | 04/01/2010 | 2037 | | Farideh and Farzaneh Kashaf | May 2010 distribution | 3030 - Distributions | | 511.43 | -22,633.35 |
| Check | 04/01/2010 | 2038 | | Lee V. Emerson Revocable Trust | May 2010 distribution | 3030 - Distributions | | 343.32 | -22,976.67 |
| Check | 04/01/2010 | 2039 | | Valencia Family Trust | May 2010 distribution | 3030 - Distributions | | 15.19 | -23,001.86 |
| Check | 04/01/2010 | 2040 | | Rahmatollah (Ray) Kashaf | May 2010 distribution | 3030 - Distributions | | 451.18 | -23,453.04 |
| Check | 04/01/2010 | 2041 | | Amy Jo Fillin | May 2010 distribution | 3030 - Distributions | | 4,117.47 | -27,570.51 |
| Check | 04/01/2010 | 2042 | | Mason Family Trust | May 2010 distribution | 3030 - Distributions | | 511.19 | -28,081.70 |
| Check | 04/01/2010 | 2043 | | Korich Family Trust | May 2010 distribution | 3030 - Distributions | | 1,328.91 | -29,410.61 |
| Check | 04/01/2010 | 2044 | | Korich Family Trust-112 | May 2010 distribution | 3030 - Distributions | | 268.51 | -29,679.12 |
| Check | 04/01/2010 | 2045 | | Marilyn J. Anair | May 2010 distribution | 3030 - Distributions | | 686.47 | -30,365.59 |
| Check | 04/01/2010 | 2046 | | M.J. LaGrone Revocable Trust | May 2010 distribution | 3030 - Distributions | | 1,182.72 | -31,548.31 |
| Check | 04/01/2010 | 2047 | | Stephanie Dao | May 2010 distribution | 3030 - Distributions | | 1,188.25 | -32,736.56 |
| Check | 04/01/2010 | 2048 | | Phillip R. Tiley Living Trst, 12/15... | May 2010 distribution | 3030 - Distributions | | 587.87 | -33,324.43 |
| Check | 04/01/2010 | 2049 | | Patapoff Family Trust | May 2010 distribution | 3030 - Distributions | | 343.24 | -33,667.67 |
| Check | 04/01/2010 | 2050 | | | May 2010 distribution | 3030 - Distributions | | 686.47 | -34,354.14 |
| Check | 04/01/2010 | 2051 | | | May 2010 distribution | 3030 - Distributions | | 414.21 | -34,768.35 |
| Check | 04/01/2010 | 2052 | | | May 2010 distribution | 3030 - Distributions | | 354.45 | -35,122.80 |

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08/18/16

Accrual Basis

SBC Portfolio Fund, LLC
General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|-------------------------------------|---|-------------------------------------|-----------|----------|-----------|
| Check | 05/01/2010 | 05011... | | Fadia Damon | May 2010 distribution | 3030 - Distributions | | 354.45 | 32,690.75 |
| Check | 05/01/2010 | 05011... | | Bernard & Helen Rubin Trust | May 2010 distribution | 3030 - Distributions | | 568.49 | 32,121.26 |
| Check | 05/01/2010 | 2023 | | Yeh Family Trust | Reimb investor for bank fees | 5010 - Bank Fees | | 20.00 | 32,101.26 |
| Deposit | 05/20/2010 | | | | Deposit | 1060 - Interbank Transfers | 30,000.00 | | 62,101.26 |
| Check | 05/31/2010 | | | | Service Charge | 5010 - Bank Fees | | 6.80 | 62,094.46 |
| Check | 06/01/2010 | 06011... | | Lee V. Emerson Revocable Trust | #0101-ACH 06 Jun 2010 distribution | 3030 - Distributions | | 4,188.84 | 57,905.62 |
| Check | 06/01/2010 | 06011... | | Valencia Family Trust | #0105-ACH09 June 2010 distribution | 3030 - Distributions | | 495.26 | 57,410.36 |
| Check | 06/01/2010 | 06011... | | Rahmatollah (Ray) Kashaf | #0106-ACH June 2010 distribution | 3030 - Distributions | | 1,320.01 | 56,090.35 |
| Check | 06/01/2010 | 06011... | | Amy Jo Fillin | #0108-ACH June 2010 distribution | 3030 - Distributions | | 277.46 | 55,812.89 |
| Check | 06/01/2010 | 06011... | | Mason Family Trust | #0110-ACH June 2010 distribution | 3030 - Distributions | | 706.36 | 55,106.53 |
| Check | 06/01/2010 | 06011... | | Korich Family Trust | #0111-ACH June 2010 distribution | 3030 - Distributions | | 1,222.15 | 53,884.38 |
| Check | 06/01/2010 | 06011... | | Korich Family Trust-112 | #0112-ACH June 2010 distribution | 3030 - Distributions | | 1,238.19 | 52,646.19 |
| Check | 06/01/2010 | 06011... | | Marilyn J. Anair | #0113-ACH June 2010 distribution | 3030 - Distributions | | 527.92 | 52,118.27 |
| Check | 06/01/2010 | 06011... | | M.J. LaGrone Revocable Trust | #0114-ACH June 2010 distribution | 3030 - Distributions | | 333.41 | 51,784.86 |
| Check | 06/01/2010 | 06011... | | Stephanie Dao | #0115-ACH June 2010 distribution | 3030 - Distributions | | 708.36 | 51,076.50 |
| Check | 06/01/2010 | 06011... | | Philip R. Terey Lung Trst, 12/15... | #0121-ACH June 2010 distribution | 3030 - Distributions | | 428.02 | 50,648.48 |
| Check | 06/01/2010 | 06011... | | Patapoff Family Trust | #0125-ACH June 2010 distribution | 3030 - Distributions | | 366.27 | 50,282.21 |
| Check | 06/01/2010 | 06011... | | Fadia Damon | #0127-ACH June 2010 distribution | 3030 - Distributions | | 366.27 | 49,915.94 |
| Check | 06/01/2010 | 06011... | | Bernard & Helen Rubin Trust | #0129-ACH June 2010 distribution | 3030 - Distributions | | 622.65 | 49,293.29 |
| Check | 06/01/2010 | 2024 | | Yeh Family Trust | #0104-CHK June 2010 distribution | 3030 - Distributions | | 354.77 | 48,938.52 |
| Check | 06/01/2010 | 2025 | | Julia M. Williams | #0107-CHK June 2010 distribution | 3030 - Distributions | | 319.69 | 48,618.83 |
| Check | 06/01/2010 | 2026 | | Yeh Family Trust - act 2 | #0109-CHK June 2010 distribution | 3030 - Distributions | | 354.77 | 48,264.06 |
| Check | 06/01/2010 | | | Farideh and Farzaneh Kashaf | #0116-CHK June 2010 distribution | 3030 - Distributions | | 411.89 | 47,852.17 |
| Check | 06/07/2010 | Bank ... | | Toney Pines Bank | ACH Correction Fee | 5010 - Bank Fees | | 2.00 | 47,850.17 |
| Check | 06/08/2010 | 0608102 | | Amy Jo Fillin | #0108-ACH Partial Redemption | 3040 - Withdrawals | | 5,000.00 | 42,850.17 |
| Check | 06/08/2010 | 0608103 | | Valencia Family Trust | #0105-ACH09 partial redemption | 3040 - Withdrawals | | 5,000.00 | 37,850.17 |
| Check | 06/08/2010 | 0608104 | | Lee V. Emerson Revocable Trust | #0101-ACH09 partial redemption | 3040 - Withdrawals | | 5,000.00 | 32,850.17 |
| Check | 06/08/2010 | 0608105 | | M.J. LaGrone Revocable Trust | #0114-ACH partial redemption | 3040 - Withdrawals | | 2,500.00 | 30,350.17 |
| Check | 06/08/2010 | 0608106 | | Marilyn J. Anair | #0113-ACH partial redemption | 3040 - Withdrawals | | 2,500.00 | 27,850.17 |
| Check | 06/08/2010 | 0608107 | | Rahmatollah (Ray) Kashaf | #0106-ACH partial redemption | 3040 - Withdrawals | | 5,000.00 | 22,850.17 |
| Check | 06/30/2010 | Bank ... | | Toney Pines Bank | Maintenance Service Charge | 5010 - Bank Fees | | 75.00 | 22,775.17 |
| Check | 06/30/2010 | Bank ... | | Toney Pines Bank | ACH File Charge | 5010 - Bank Fees | | 10.00 | 22,765.17 |
| Check | 06/30/2010 | | | | Service Charge | 5010 - Bank Fees | | 2.64 | 22,762.53 |
| Check | 07/01/2010 | 2028 | | Yeh Family Trust | Jul 10 Distrib | 3030 - Distributions | | 343.32 | 22,419.21 |
| Check | 07/01/2010 | 2029 | | Julia M. Williams | Jul 10 distrib | 3030 - Distributions | | 239.77 | 22,179.44 |
| Check | 07/01/2010 | 2030 | | Yeh Family Trust - act 2 | Jul 10 distrib | 3030 - Distributions | | 343.32 | 21,836.12 |
| Check | 07/01/2010 | 2031 | | Farideh and Farzaneh Kashaf | Jul 10 distrib | 3030 - Distributions | | 199.53 | 21,636.59 |
| Check | 07/01/2010 | 2032 | | Joe Segura | Jul 10 distrib | 3030 - Distributions | | 129.87 | 21,506.72 |
| Check | 07/01/2010 | 07011... | | Lee V. Emerson Revocable Trust | Jul 10 distrib | 3030 - Distributions | | 3,751.21 | 17,755.51 |
| Check | 07/01/2010 | 07011... | | Valencia Family Trust | Jul 10 distrib | 3030 - Distributions | | 368.22 | 17,387.29 |
| Check | 07/01/2010 | 07011... | | Rahmatollah (Ray) Kashaf | Jul 10 distrib | 3030 - Distributions | | 1,016.81 | 16,370.48 |
| Check | 07/01/2010 | 07011... | | Amy Jo Fillin | Jul 10 distrib | 3030 - Distributions | | 240.15 | 15,123.33 |
| Check | 07/01/2010 | 07011... | | Mason Family Trust | Jul 10 distrib | 3030 - Distributions | | 686.47 | 14,436.86 |
| Check | 07/01/2010 | 07011... | | Korich Family Trust | Jul 10 distrib | 3030 - Distributions | | 1,182.72 | 13,254.14 |
| Check | 07/01/2010 | 07011... | | Korich Family Trust-112 | Jul 10 distrib | 3030 - Distributions | | 1,198.25 | 12,055.89 |
| Check | 07/01/2010 | 07011... | | Marilyn J. Anair | Jul 10 distrib | 3030 - Distributions | | 372.31 | 11,683.58 |
| Check | 07/01/2010 | 07011... | | M.J. LaGrone Revocable Trust | Jul 10 distrib | 3030 - Distributions | | 158.93 | 11,524.65 |
| Check | 07/01/2010 | 07011... | | Stephanie Dao | Jul 10 distrib | 3030 - Distributions | | 686.47 | 10,838.18 |
| Check | 07/01/2010 | 07011... | | Philip R. Terey Lung Trst, 12/15... | Jul 10 distrib | 3030 - Distributions | | 414.22 | 10,423.96 |
| Check | 07/01/2010 | 07011... | | Patapoff Family Trust | Jul 10 distrib | 3030 - Distributions | | 354.45 | 10,069.51 |
| Check | 07/01/2010 | 07011... | | Fadia Damon | Jul 10 distrib | 3030 - Distributions | | 354.45 | 9,715.06 |
| Check | 07/01/2010 | 07011... | | Bernard & Helen Rubin Trust | Jul 10 distrib | 3030 - Distributions | | 628.56 | 9,086.50 |
| Check | 07/31/2010 | | | | Service Charge | 5010 - Bank Fees | | 75.01 | 9,011.49 |
| Check | 08/01/2010 | 2033 | | Yeh Family Trust | Aug 2010 Distrib | 3030 - Distributions | | 341.80 | 8,669.69 |
| Check | 08/01/2010 | 2034 | | Julia M. Williams | Aug 2010 Distrib | 3030 - Distributions | | 97.02 | 8,572.67 |
| Check | 08/01/2010 | 2035 | | Yeh Family Trust - act 2 | Aug 2010 Distrib | 3030 - Distributions | | 341.80 | 8,230.87 |
| Check | 08/01/2010 | 2036 | | Farideh and Farzaneh Kashaf | Aug 2010 Distrib | 3030 - Distributions | | 54.28 | 8,176.59 |
| Check | 08/01/2010 | 2037 | | Joe Segura | Aug 2010 Distrib | 3030 - Distributions | | 183.13 | 7,993.46 |
| Check | 08/01/2010 | 08011... | | Lee V. Emerson Revocable Trust | Aug 2010 distrib | 3030 - Distributions | | 3,546.21 | 4,447.25 |
| Check | 08/01/2010 | 08011... | | Valencia Family Trust | Aug 2010 distrib | 3030 - Distributions | | 120.78 | 4,326.47 |
| Check | 08/01/2010 | 08011... | | Amy Jo Fillin | Aug 2010 distrib | 3030 - Distributions | | 141.65 | 4,184.82 |
| Check | 08/01/2010 | 08011... | | Mason Family Trust | Aug 2010 distrib | 3030 - Distributions | | 605.72 | 3,579.10 |
| Check | 08/01/2010 | 08011... | | Korich Family Trust | Aug 2010 distrib | 3030 - Distributions | | 1,203.65 | 2,375.45 |
| Check | 08/01/2010 | 08011... | | Korich Family Trust-112 | Aug 2010 distrib | 3030 - Distributions | | 1,219.45 | 1,155.99 |
| Check | 08/01/2010 | 08011... | | Marilyn J. Anair | Aug 2010 distrib | 3030 - Distributions | | 102.60 | 1,053.39 |
| Check | 08/01/2010 | 08011... | | Philip R. Terey Lung Trst, 12/15... | Aug 2010 distrib | 3030 - Distributions | | 428.15 | 625.24 |
| Check | 08/01/2010 | 08011... | | Patapoff Family Trust | Aug 2010 Distrib | 3030 - Distributions | | 365.72 | 259.52 |
| Check | 08/01/2010 | 08011... | | Fadia Damon | Aug 2010 distrib | 3030 - Distributions | | 368.27 | 89.25 |
| Check | 08/01/2010 | 08011... | | Rahmatollah (Ray) Kashaf | Aug 2010 Distrib | 3030 - Distributions | | 515.42 | 373.83 |
| Check | 08/01/2010 | 08011... | | Stephanie Dao | Aug 2010 Distrib | 3030 - Distributions | | 703.48 | 222.35 |
| Check | 08/01/2010 | 08011... | | Bernard & Helen Rubin Trust | Aug 2010 Distrib | 3030 - Distributions | | 732.53 | 1,055.37 |
| Check | 08/01/2010 | 080110 | | Manigeh F. Nadimi | due to dupli 8/1/10 distrib & again ... | 3030 - Distributions | | 755.90 | 1,811.27 |
| Deposit | 08/04/2010 | | | | Deposit | 1060 - Interbank Transfers | 20,000.00 | | 18,188.73 |
| Check | 08/13/2010 | 2038 | | St. Clair 2003 Trust | #0136 Aug 2010 Distrib | 3030 - Distributions | | 212.67 | 17,976.06 |
| Check | 08/17/2010 | 2039 | | Manigeh F. Nadimi | Aug 2010 Distrib | 3040 - Withdrawals | | 755.90 | 17,220.16 |
| Check | 08/17/2010 | 2040 | | VOID | | 3030 - Distributions | 0.00 | | 17,220.16 |
| Check | 08/18/2010 | 2041 | | VOID | | 3030 - Distributions | 0.00 | | 17,220.16 |
| Check | 09/01/2010 | 90110-1 | | Lee V. Emerson Revocable Trust | #101-ACH09 Sep distrib | 3030 - Distributions | | 3,138.59 | 14,081.57 |
| Check | 09/01/2010 | 90110-2 | | Mason Family Trust | #0110 Sep 10 distrib | 3030 - Distributions | | 529.59 | 13,551.98 |
| Check | 09/01/2010 | 90110-3 | | Korich Family Trust | #0111 Sep 10 distrib | 3030 - Distributions | | 1,173.62 | 12,378.36 |
| Check | 09/01/2010 | 90110-4 | | Korich Family Trust-112 | #0112 Sep 10 distrib | 3030 - Distributions | | 1,188.03 | 11,189.33 |
| Check | 09/01/2010 | 90110-5 | | Stephanie Dao | #0115 Sep 10 distrib | 3030 - Distributions | | 694.91 | 10,494.42 |
| Check | 09/01/2010 | 90110-6 | | Philip R. Terey Lung Trst, 12/15... | #0121 Sep 10 distrib | 3030 - Distributions | | 429.43 | 10,064.99 |
| Check | 09/01/2010 | 90110-7 | | Patapoff Family Trust | #0125 Sep 10 distrib | 3030 - Distributions | | 660.95 | 9,204.04 |
| Check | 09/01/2010 | 90110-8 | | Fadia Damon | #0127 Sep 10 distrib | 3030 - Distributions | | 368.27 | 8,835.77 |
| Check | 09/01/2010 | 90110-9 | | Bernard & Helen Rubin Trust | #0129 Sep 10 distrib | 3030 - Distributions | | 734.89 | 8,100.88 |
| Check | 09/01/2010 | 90110-10 | | Manigeh F. Nadimi | #0131 Sep 10 distrib | 3030 - Distributions | | 741.91 | 7,358.97 |
| Check | 09/01/2010 | 90110-11 | | St. Clair 2003 Trust | #0133 Sep 10 distrib | 3030 - Distributions | | 368.82 | 6,990.15 |
| Check | 09/01/2010 | 2042 | | Yeh Family Trust | #0104 Sep 10 distrib | 3030 - Distributions | | 344.61 | 6,645.54 |
| Check | 09/01/2010 | 2043 | | Yeh Family Trust - act 2 | #0109 Sep 10 distrib | 3030 - Distributions | | 344.61 | 6,300.93 |
| Check | 09/01/2010 | 2044 | | Joe Segura | #0135 Sep 10 distrib | 3030 - Distributions | | 183.13 | 6,117.80 |
| Check | 09/01/2010 | 09011... | | Sotoudeh Family 2003 Trust | Sep 2010 distribution | 3030 - Distributions | | 398.45 | 5,719.35 |
| Check | 09/21/2010 | 2045 | | Tenant | Alfonso Aragon Cash for Keys | 8112 - Bay Rd REO - Tenant Relat... | | 1,000.00 | 4,719.35 |
| Check | 09/21/2010 | 2046 | | VOID | | 3030 - Distributions | 0.00 | | 4,719.35 |
| Check | 09/21/2010 | 2047 | | VOID | | 3030 - Distributions | 0.00 | | 4,719.35 |
| Check | 09/21/2010 | 2048 | | VOID | | 3030 - Distributions | 0.00 | | 4,719.35 |
| Check | 09/21/2010 | 2049 | | VOID | | 3030 - Distributions | 0.00 | | 4,719.35 |
| Check | 09/21/2010 | 2050 | | VOID | | 3030 - Distributions | 0.00 | | 4,719.35 |
| Deposit | 10/01/2010 | | | | Deposit | 1060 - Interbank Transfers | 20,000.00 | | 24,719.35 |
| Check | 10/01/2010 | 2051 | | Yeh Family Trust | #0104 Oct Distrib | 3030 - Distributions | | 352.25 | 24,367.10 |
| Check | 10/01/2010 | 2052 | | Yeh Family Trust - act 2 | #0109 Oct Distrib | 3030 - Distributions | | 352.25 | 24,014.85 |
| Check | 10/01/2010 | 2053 | | Joe Segura | #0135 Oct distrib | 3030 - Distributions | | 187.19 | 23,827.66 |
| Check | 10/01/2010 | 10011... | | Lee V. Emerson Revocable Trust | #0101-ACH09 Oct Distrib | 3030 - Distributions | | 2,863.15 | 20,964.51 |
| Check | 10/01/2010 | 10011... | | Mason Family Trust | #0110 Oct 10 distrib | 3030 - Distributions | | 448.53 | 20,515.98 |
| Check | 10/01/2010 | 10011... | | Korich Family Trust | #0111 Oct 10 distrib | 3030 - Distributions | | 1,199.63 | 19,316.35 |
| Check | 10/01/2010 | 10011... | | Korich Family Trust-112 | #0112 Oct 10 distrib | 3030 - Distributions | | 1,215.38 | 18,100.97 |
| Check | 10/01/2010 | 10011... | | Stephanie Dao | #0115 Oct distrib | 3030 - Distributions | | 710.31 | 17,390.66 |
| Check | 10/01/2010 | 10011... | | Philip R. Terey Lung Trst, 12/15... | #0121 Oct distrib | 3030 - Distributions | | 445.14 | 16,945.52 |
| Check | 10/01/2010 | 10011... | | Patapoff Family Trust | #0125 Oct distrib | 3030 - Distributions | | 1,121.57 | 15,823.95 |
| Check | 10/01/2010 | 10011... | | Sotoudeh Family 2003 Trust | #0126 Oct distrib | 3030 - Distributions | | 407.28 | 15,416.67 |
| Check | 10/01/2010 | 10011... | | Fadia Damon | #0127 Oct distrib | 3030 - Distributions | | 374.38 | 15,042.29 |
| Check | 10/01/2010 | 10011... | | Bernard & Helen Rubin Trust | #0129 Oct distrib | 3030 - Distributions | | 766.21 | 14,276.08 |

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08/18/16SBC Portfolio Fund, LLC
General Ledger

Accrual Bal

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|-----------|-----|------------------------------------|-----------------------------|----------------------------|-------|-----------|-----------|
| Check | 10/01/2010 | 10011... | | Margaret F. Nestlin | #0131 Oct debit | 3030 - Distributions | | 744.18 | 13,514.90 |
| Check | 10/01/2010 | 10011... | | St. Clair 2003 Trust | #0136 Oct Debit | 3030 - Distributions | | 574.35 | 13,140.52 |
| Check | 10/01/2010 | 10011... | | Lee V. Emerson Revocable Trust | Service Charge | 5010 - Bank Fees | | 374.35 | 12,766.17 |
| Check | 11/01/2010 | 11011... | | Mason Family Trust | #0101 ACH Oct Nov 10 Debit | 3030 - Distributions | | 1,007.70 | 11,758.47 |
| Check | 11/01/2010 | 11011... | | Kerchi Family Trust | #0111 Nov 10 debit | 3030 - Distributions | | 1,811.33 | 9,947.14 |
| Check | 11/01/2010 | 11011... | | Kerchi Family Trust-112 | #0111 Nov 10 debit | 3030 - Distributions | | 1,811.33 | 8,135.81 |
| Check | 11/01/2010 | 11011... | | Suzanne Duo | #0115 Nov 10 debit | 3030 - Distributions | | 1,316.62 | 6,819.19 |
| Check | 11/01/2010 | 11011... | | Philip R. Trey Lung Trst, 12/15... | #0121 Nov 10 debit | 3030 - Distributions | | 4,427.41 | 2,391.78 |
| Check | 11/01/2010 | 11011... | | Patricia Family Trust | #0125 Nov 10 debit | 3030 - Distributions | | 1,560.81 | 8,023.53 |
| Check | 11/01/2010 | 11011... | | Southern Family 2003 Trust | #0127 Nov 10 debit | 3030 - Distributions | | 1,462.99 | 6,560.54 |
| Check | 11/01/2010 | 11011... | | Fada Dannon | #0129 Nov 10 debit | 3030 - Distributions | | 4,414.86 | 2,145.68 |
| Check | 11/01/2010 | 11011... | | Bernard & Helen Rubin Trust | #0131 Nov 10 debit | 3030 - Distributions | | 5,247.85 | 3,102.83 |
| Check | 11/01/2010 | 11011... | | St. Clair 2003 Trust | #0135 Nov 10 debit | 3030 - Distributions | | 1,462.99 | 1,639.84 |
| Check | 11/01/2010 | 11011... | | Ver Family Trust | #0108 Nov 10 debit | 3030 - Distributions | | 2,944.12 | 1,305.72 |
| Check | 11/01/2010 | 11011... | | Ver Family Trust - act 2 | #0135 Nov 10 debit | 3030 - Distributions | | 3,821.17 | 2,512.80 |
| Check | 11/01/2010 | 11011... | | Joe Segura | Service Charge | 5010 - Bank Fees | | 2,021.09 | 50.71 |
| Deposit | 12/01/2010 | Box St... | | Troy Price Bank | Deposit | 1060 - Interbank Transfers | | 73.00 | 123.71 |
| Check | 12/01/2010 | 2057 | | Ver Family Trust | #0108 Dec 2010 debit | 3030 - Distributions | | 3,869.84 | 51,522.54 |
| Check | 12/01/2010 | 2058 | | Joe Segura | #0135 Dec 2010 debit | 3030 - Distributions | | 3,869.84 | 51,152.70 |
| Check | 12/01/2010 | 2059 | | Lee V. Emerson Revocable Trust | #0101 ACH 08 Dec 2010 debit | 3030 - Distributions | | 1,986.54 | 49,166.16 |
| Check | 12/01/2010 | 12011... | | Mason Family Trust | #0111 Dec 2010 debit | 3030 - Distributions | | 1,727.29 | 47,438.87 |
| Check | 12/01/2010 | 12011... | | Kerchi Family Trust | #0121 Dec 2010 debit | 3030 - Distributions | | 1,259.55 | 46,179.32 |
| Check | 12/01/2010 | 12011... | | Philip R. Trey Lung Trst, 12/15... | #0125 Dec 2010 debit | 3030 - Distributions | | 1,278.09 | 44,901.23 |
| Check | 12/01/2010 | 12011... | | Southern Family 2003 Trust | #0127 Dec 2010 Debit | 3030 - Distributions | | 4,711.70 | 40,189.53 |
| Check | 12/01/2010 | 12011... | | Bernard & Helen Rubin Trust | #0129 Dec 2010 Debit | 3030 - Distributions | | 1,865.75 | 38,323.78 |
| Check | 12/01/2010 | 12011... | | St. Clair 2003 Trust | #0131 Dec 2010 debit | 3030 - Distributions | | 3,931.35 | 34,392.43 |
| Check | 12/01/2010 | 12011... | | Ver Family Trust | #0135 Dec 2010 debit | 3030 - Distributions | | 863.47 | 33,528.96 |
| Check | 12/01/2010 | 12011... | | Ver Family Trust - act 2 | #0138 Dec 2010 debit | 3030 - Distributions | | 1,572.33 | 31,956.63 |
| Check | 12/01/2010 | 12011... | | Joe Segura | Service Charge | 5010 - Bank Fees | | 353.95 | 31,602.68 |
| Check | 12/01/2010 | 2061 | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 117.32 | 31,485.36 |
| Check | 12/01/2010 | 2062 | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 281.59 | 31,203.77 |
| Check | 12/01/2010 | 2063 | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 52.60 | 31,151.17 |
| Check | 12/01/2010 | 2064 | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 29,526.43 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 25,656.62 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 24,355.09 |
| Check | 12/01/2010 | 01011... | | Philip R. Trey Lung Trst, 12/15... | Jan 11 debit | 3030 - Distributions | | 1,316.62 | 23,038.47 |
| Check | 12/01/2010 | 01011... | | Patricia Family Trust | Jan 11 debit | 3030 - Distributions | | 582.07 | 22,456.40 |
| Check | 12/01/2010 | 01011... | | Southern Family 2003 Trust | Jan 11 debit | 3030 - Distributions | | 2,029.21 | 20,427.19 |
| Check | 12/01/2010 | 01011... | | Bernard & Helen Rubin Trust | Jan 11 debit | 3030 - Distributions | | 33,954.15 | 33,954.14 |
| Check | 12/01/2010 | 01011... | | St. Clair 2003 Trust | Jan 11 debit | 3030 - Distributions | | 4,402.41 | 29,551.73 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 3,931.35 | 25,620.38 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust - act 2 | Jan 11 debit | 3030 - Distributions | | 1,572.33 | 24,048.05 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 353.95 | 23,694.10 |
| Check | 12/01/2010 | 01011... | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 117.32 | 23,576.78 |
| Check | 12/01/2010 | 01011... | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 41,859.22 | 41,859.22 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 40,234.48 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 36,364.67 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 35,063.14 |
| Check | 12/01/2010 | 01011... | | Philip R. Trey Lung Trst, 12/15... | Jan 11 debit | 3030 - Distributions | | 1,316.62 | 33,746.52 |
| Check | 12/01/2010 | 01011... | | Patricia Family Trust | Jan 11 debit | 3030 - Distributions | | 582.07 | 33,164.45 |
| Check | 12/01/2010 | 01011... | | Southern Family 2003 Trust | Jan 11 debit | 3030 - Distributions | | 2,029.21 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | Bernard & Helen Rubin Trust | Jan 11 debit | 3030 - Distributions | | 33,954.15 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | St. Clair 2003 Trust | Jan 11 debit | 3030 - Distributions | | 4,402.41 | 26,732.83 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 3,931.35 | 22,801.48 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust - act 2 | Jan 11 debit | 3030 - Distributions | | 1,572.33 | 21,229.15 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 353.95 | 20,875.20 |
| Check | 12/01/2010 | 01011... | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 117.32 | 20,757.88 |
| Check | 12/01/2010 | 01011... | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 41,859.22 | 41,859.22 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 40,234.48 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 36,364.67 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 35,063.14 |
| Check | 12/01/2010 | 01011... | | Philip R. Trey Lung Trst, 12/15... | Jan 11 debit | 3030 - Distributions | | 1,316.62 | 33,746.52 |
| Check | 12/01/2010 | 01011... | | Patricia Family Trust | Jan 11 debit | 3030 - Distributions | | 582.07 | 33,164.45 |
| Check | 12/01/2010 | 01011... | | Southern Family 2003 Trust | Jan 11 debit | 3030 - Distributions | | 2,029.21 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | Bernard & Helen Rubin Trust | Jan 11 debit | 3030 - Distributions | | 33,954.15 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | St. Clair 2003 Trust | Jan 11 debit | 3030 - Distributions | | 4,402.41 | 26,732.83 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 3,931.35 | 22,801.48 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust - act 2 | Jan 11 debit | 3030 - Distributions | | 1,572.33 | 21,229.15 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 353.95 | 20,875.20 |
| Check | 12/01/2010 | 01011... | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 117.32 | 20,757.88 |
| Check | 12/01/2010 | 01011... | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 41,859.22 | 41,859.22 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 40,234.48 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 36,364.67 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 35,063.14 |
| Check | 12/01/2010 | 01011... | | Philip R. Trey Lung Trst, 12/15... | Jan 11 debit | 3030 - Distributions | | 1,316.62 | 33,746.52 |
| Check | 12/01/2010 | 01011... | | Patricia Family Trust | Jan 11 debit | 3030 - Distributions | | 582.07 | 33,164.45 |
| Check | 12/01/2010 | 01011... | | Southern Family 2003 Trust | Jan 11 debit | 3030 - Distributions | | 2,029.21 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | Bernard & Helen Rubin Trust | Jan 11 debit | 3030 - Distributions | | 33,954.15 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | St. Clair 2003 Trust | Jan 11 debit | 3030 - Distributions | | 4,402.41 | 26,732.83 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 3,931.35 | 22,801.48 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust - act 2 | Jan 11 debit | 3030 - Distributions | | 1,572.33 | 21,229.15 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 353.95 | 20,875.20 |
| Check | 12/01/2010 | 01011... | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 117.32 | 20,757.88 |
| Check | 12/01/2010 | 01011... | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 41,859.22 | 41,859.22 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 40,234.48 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 36,364.67 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 35,063.14 |
| Check | 12/01/2010 | 01011... | | Philip R. Trey Lung Trst, 12/15... | Jan 11 debit | 3030 - Distributions | | 1,316.62 | 33,746.52 |
| Check | 12/01/2010 | 01011... | | Patricia Family Trust | Jan 11 debit | 3030 - Distributions | | 582.07 | 33,164.45 |
| Check | 12/01/2010 | 01011... | | Southern Family 2003 Trust | Jan 11 debit | 3030 - Distributions | | 2,029.21 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | Bernard & Helen Rubin Trust | Jan 11 debit | 3030 - Distributions | | 33,954.15 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | St. Clair 2003 Trust | Jan 11 debit | 3030 - Distributions | | 4,402.41 | 26,732.83 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 3,931.35 | 22,801.48 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust - act 2 | Jan 11 debit | 3030 - Distributions | | 1,572.33 | 21,229.15 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 353.95 | 20,875.20 |
| Check | 12/01/2010 | 01011... | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 117.32 | 20,757.88 |
| Check | 12/01/2010 | 01011... | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 41,859.22 | 41,859.22 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 40,234.48 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 36,364.67 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 35,063.14 |
| Check | 12/01/2010 | 01011... | | Philip R. Trey Lung Trst, 12/15... | Jan 11 debit | 3030 - Distributions | | 1,316.62 | 33,746.52 |
| Check | 12/01/2010 | 01011... | | Patricia Family Trust | Jan 11 debit | 3030 - Distributions | | 582.07 | 33,164.45 |
| Check | 12/01/2010 | 01011... | | Southern Family 2003 Trust | Jan 11 debit | 3030 - Distributions | | 2,029.21 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | Bernard & Helen Rubin Trust | Jan 11 debit | 3030 - Distributions | | 33,954.15 | 31,135.24 |
| Check | 12/01/2010 | 01011... | | St. Clair 2003 Trust | Jan 11 debit | 3030 - Distributions | | 4,402.41 | 26,732.83 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust | Jan 11 debit | 3030 - Distributions | | 3,931.35 | 22,801.48 |
| Check | 12/01/2010 | 01011... | | Ver Family Trust - act 2 | Jan 11 debit | 3030 - Distributions | | 1,572.33 | 21,229.15 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 353.95 | 20,875.20 |
| Check | 12/01/2010 | 01011... | | Margaret F. Nestlin | Jan 11 debit | 3030 - Distributions | | 117.32 | 20,757.88 |
| Check | 12/01/2010 | 01011... | | Stacy M. Johnson Fam Trst | Jan 11 debit | 3030 - Distributions | | 41,859.22 | 41,859.22 |
| Check | 12/01/2010 | 01011... | | Joe Segura | Jan 11 debit | 3030 - Distributions | | 1,624.74 | 40,234.48 |
| Check | 12/01/2010 | 01011... | | Mason Family Trust | Jan 11 debit | 3030 - Distributions | | 3,869.81 | 36,364.67 |
| Check | 12/01/2010 | 01011... | | Kerchi Family Trust-112 | Jan 11 debit | 3030 - Distributions | | 1,301.53 | 35,063.14 |
| Check | 12/01/2010 | 01011... | | | | | | | |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|-------------------------------------|--------------------------------------|----------------------------------|-----------|-----------|------------|
| Check | 05/04/2011 | 05011... | | Sotoudeh Family 2003 Trust | VOID: MAY DISTR | 3030 - Distributions | | | |
| Check | 05/04/2011 | 05011... | | Feda Daron | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 05011... | | Bernard & Helen Rubin Trust | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 05011... | | Manigeh F. Nadimi | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 05011... | | St. Clair 2003 Trust | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 05011... | | Karl Jay Smith Trust | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 05011... | | Le Felix Ventures | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 05011... | | Le Felix Ventures | VOID: MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 2076 | | Joe Segura | MAY DISTR | 3030 - Distributions | 0.00 | | 16,700.87 |
| Check | 05/04/2011 | 2077 | | Stanley M. Johnson Fam Trst | MAY DISTR | 3030 - Distributions | 196.54 | | 16,504.43 |
| Check | 05/04/2011 | 2078 | | ACH SBC PF Investor Group | SBC Portfolio SBC-Portfo 1931335... | 3030 - Distributions | 397.01 | | 16,107.42 |
| Transfer | 05/31/2011 | 2181 | | Wells Fargo Bank | Loans#4064020762-18 | 3030 - Distributions | 13,653.39 | 2,254.03 | |
| Check | 05/31/2011 | | | Funds Transfer | -SPLIT- | | 2,957.53 | | -703.50 |
| Check | 06/01/2011 | 2079 | | Joe Segura | Service Charge | 1030 - Heritage - 3695 Operating | 25,000.00 | | 24,396.50 |
| Check | 06/01/2011 | 2080 | | Stanley M. Johnson Fam Trst | 5010 - Bank Fees | 3030 - Distributions | | 75.00 | 24,221.50 |
| Check | 06/02/2011 | 06011... | | ACH SBC PF Investor Group | 3030 - Distributions | 3030 - Distributions | 203.09 | | 24,018.41 |
| Transfer | 06/29/2011 | 2192 | | SBC Portfolio Fund LLC | ACH SBC PF Investor Group | 3030 - Distributions | 410.25 | | 23,608.16 |
| Check | 06/30/2011 | | | Funds Transfer | 1030 - Heritage - 3695 Operating | 20,000.00 | 13,443.57 | | 10,164.59 |
| Check | 07/01/2011 | 2082 | | Joe Segura | Service Charge | 5010 - Bank Fees | | 6.40 | 30,154.99 |
| Check | 07/01/2011 | 2083 | | Stanley M. Johnson Fam Trst | July Distribution | 3030 - Distributions | | 259.91 | 30,158.18 |
| Check | 07/06/2011 | 07011... | | ACH SBC PF Investor Group | July Distribution | 3030 - Distributions | | 397.01 | 29,886.28 |
| Check | 07/31/2011 | | | Service Charge | July Distribution - SBC PF | 3030 - Distributions | | 397.01 | 29,501.27 |
| Check | 08/02/2011 | 08011... | | ACH SBC PF Investor Group | 5010 - Bank Fees | 3030 - Distributions | 12,779.98 | | 16,721.29 |
| Check | 08/02/2011 | 2085 | | Joe Segura | -SPLIT- | | 61.70 | | 16,659.59 |
| Check | 08/02/2011 | 2085 | | Stanley M. Johnson Fam Trst | 3030 - Distributions | 3030 - Distributions | 16,237.22 | | 402.37 |
| Transfer | 08/03/2011 | 2198 | | Funds Transfer | 3030 - Distributions | 3030 - Distributions | 410.25 | | 117.23 |
| Check | 08/31/2011 | | | Service Charge | 1030 - Heritage - 3695 Operating | 15,000.00 | | | 14,706.88 |
| Transfer | 09/01/2011 | 2209 | | SBC Portfolio Fund LLC | 5010 - Bank Fees | 5010 - Bank Fees | 82.10 | | 14,624.88 |
| Check | 09/01/2011 | 2086 | | ACH SBC PF Investor Group | Funds Transfer | 1030 - Heritage - 3695 Operating | 25,000.00 | | 38,624.88 |
| Check | 09/01/2011 | 2087 | | Joe Segura | Sept 1 Distribution | 3030 - Distributions | | 16,354.80 | 23,270.08 |
| Check | 09/01/2011 | 2087 | | Stanley M. Johnson Fam Trst | Sept 1 Distribution | 3030 - Distributions | | 285.14 | 22,984.94 |
| Transfer | 09/30/2011 | | | Service Charge | Sept 1 Distribution | 3030 - Distributions | | 410.25 | 22,574.69 |
| Check | 10/04/2011 | 2215 | | PG&E | Funds Transfer | 5010 - Bank Fees | 102.10 | | 22,472.59 |
| Check | 10/04/2011 | 2088 | | Joe Segura | 1030 - Heritage - 3695 Operating | 40,000.00 | | | 62,472.59 |
| Check | 10/04/2011 | 2089 | | Stanley M. Johnson Fam Trst | 3030 - Distributions | 3030 - Distributions | | 275.94 | 62,196.65 |
| Check | 10/31/2011 | | | ACH SBC PF Investor Group | 3030 - Distributions | 3030 - Distributions | | 397.01 | 61,799.64 |
| Check | 11/01/2011 | 11011... | | ACH SBC PF Investor Group | Service Charge | -SPLIT- | 23,466.44 | | 38,333.20 |
| Check | 11/01/2011 | 2090 | | Joe Segura | November 2011 Distributions | 5010 - Bank Fees | 102.60 | | 38,230.60 |
| Check | 11/01/2011 | 2091 | | Stanley M. Johnson Fam Trst | Nov distr | 3030 - Distributions | 24,855.31 | | 13,375.29 |
| Check | 11/01/2011 | 2092 | | Phillip & Joyce Bach | Nov Distr | 3030 - Distributions | | 285.14 | 13,088.15 |
| Check | 11/01/2011 | 2093 | | Lipovac Family Trust | Nov Distr | 3030 - Distributions | | 410.25 | 12,677.90 |
| Check | 11/30/2011 | | | Service Charge | Nov Distr | 3030 - Distributions | | 110.06 | 12,567.84 |
| Check | 12/01/2011 | 12011... | | ACH SBC PF Investor Group | Service Charge | 3030 - Distributions | 235.84 | | 12,332.00 |
| Check | 12/01/2011 | 2094 | | Subodh & Shradha Toprani | 5010 - Bank Fees | 3030 - Distributions | 102.80 | | 12,229.20 |
| Check | 12/01/2011 | 2095 | | Joe Segura | Dec distribution | 3030 - Distributions | 25,573.21 | | -13,344.01 |
| Check | 12/01/2011 | 2096 | | Stanley M. Johnson Fam Trst | 3030 - Distributions | 3030 - Distributions | 3,108.87 | | -16,453.88 |
| Transfer | 12/05/2011 | 2227 | | SBC Portfolio Fund LLC | 3030 - Distributions | 3030 - Distributions | 275.94 | | -16,729.82 |
| Check | 01/01/2012 | 01012... | | ACH SBC PF Investor Group | Funds Transfer | 3030 - Distributions | 397.01 | | -17,126.83 |
| Check | 01/01/2012 | 2097 | | Subodh & Shradha Toprani | Service Charge | 1030 - Heritage - 3695 Operating | 25,000.00 | | 7,873.17 |
| Check | 01/01/2012 | 2098 | | Joe Segura | 5010 - Bank Fees | 5010 - Bank Fees | 100.00 | | 7,773.17 |
| Check | 01/01/2012 | 2099 | | Stanley M. Johnson Fam Trst | 3030 - Distributions | 3030 - Distributions | | 108.30 | 7,664.87 |
| Check | 01/01/2012 | 2100 | | IRA Services FBO Pete Petersen | Jan distribution | 3030 - Distributions | 27,128.31 | | -19,356.14 |
| Check | 01/01/2012 | 2101 | | Hsiu Feng Wang & Jimmy Yi-F... | Jan Distribution | 3030 - Distributions | 3,487.57 | | -22,843.71 |
| Check | 01/01/2012 | 2102 | | VA Compass-Felix Charitable ... | Jan 2012 Withdrawal | 3030 - Distributions | 285.14 | | -23,130.65 |
| Check | 01/31/2012 | 2237 | | Torey Pines Bank | Jan Distribution | 3040 - Withdrawals | 410.25 | | -23,541.10 |
| Check | 02/01/2012 | 2103 | | Subodh & Shradha Toprani | Jan Distribution | 3030 - Distributions | 2,500.00 | | -26,041.10 |
| Check | 02/01/2012 | 2104 | | Joe Segura | Jan Distribution | 3030 - Distributions | 1,703.36 | | -27,744.46 |
| Check | 02/01/2012 | 2105 | | Stanley M. Johnson Fam Trst | transfer - CHECK | 3030 - Distributions | 113.52 | | -27,857.98 |
| Check | 02/01/2012 | 2106 | | Geng Geng Wang | Service Charge | 1030 - Heritage - 3695 Operating | 40,000.00 | | 12,142.02 |
| Check | 02/01/2012 | 2107 | | Jon W. Stiel | 5010 - Bank Fees | 3030 - Distributions | | 108.30 | 12,033.72 |
| Check | 02/01/2012 | 2108 | | Hsiu Feng Wang & Jimmy Yi-F... | 3030 - Distributions | 3030 - Distributions | | 3,487.57 | 8,546.15 |
| Check | 02/01/2012 | 2109 | | VA Compass-Felix Charitable ... | 3030 - Distributions | 3030 - Distributions | | 285.14 | 8,261.01 |
| Check | 02/01/2012 | 2110 | | Marchman Trust B | 3030 - Distributions | 3030 - Distributions | | 410.25 | 7,850.76 |
| Check | 02/03/2012 | ACH | | SBC Portfolio Fund LLC | 3030 - Distributions | 3030 - Distributions | | 208.06 | 7,642.10 |
| Check | 02/23/2012 | ach | | ACH SBC PF Investor Group | 3030 - Distributions | 3030 - Distributions | | 408.55 | 7,233.55 |
| Check | 02/28/2012 | | | The Phillip Roger Tirey Living T... | 2,030.92 | 5,200.83 | | | 5,200.83 |
| Check | 03/01/2012 | 2111 | | Subodh & Shradha Toprani | 293.27 | 4,907.36 | | | 4,907.36 |
| Check | 03/01/2012 | 2112 | | Joe Segura | 8,568.55 | -3,659.19 | | | 48,340.81 |
| Check | 03/01/2012 | 2113 | | Stanley M. Johnson Fam Trst | 3030 - Distributions | 3030 - Distributions | | 28,362.15 | 17,978.66 |
| Check | 03/01/2012 | 2114 | | Geng Geng Wang | 3040 - Withdrawals | 3040 - Withdrawals | 3,000.00 | | 14,978.66 |
| Check | 03/01/2012 | 2115 | | Jon W. Stiel | 5010 - Bank Fees | 5010 - Bank Fees | 108.40 | | 14,870.26 |
| Check | 03/01/2012 | 2116 | | IRA Services FBO Judith Peter... | March 1st Distribution | 3030 - Distributions | 27,055.74 | | -12,155.48 |
| Check | 03/01/2012 | 2117 | | Hsiu Feng Wang & Jimmy Yi-F... | March 1st Distribution | 3030 - Distributions | 3,262.56 | | -15,418.04 |
| Check | 03/01/2012 | 2118 | | VA Compass-Felix Charitable ... | March 1st Distribution | 3030 - Distributions | 266.75 | | -15,714.79 |
| Check | 03/01/2012 | 2119 | | Marchman Trust B | March 1st Distribution | 3030 - Distributions | 393.78 | | -16,088.57 |
| Check | 03/05/2012 | 2252 | | Torey Pines Bank | March 1st Distribution | 3030 - Distributions | 196.14 | | -16,284.71 |
| Check | 03/28/2012 | | | The Phillip Roger Tirey Living T... | March 1st Distribution | 3030 - Distributions | 4,698.93 | | -20,983.64 |
| General Journal | 03/30/2012 | Transfer | | Torey Pines Bank | March 1st Distribution | 3030 - Distributions | 379.98 | | -21,373.62 |
| Check | 04/01/2012 | 2120 | | Subodh & Shradha Toprani | March 1st Distribution | 3030 - Distributions | 1,899.90 | | -23,273.52 |
| Check | 04/01/2012 | 2121 | | Joe Segura | Transfer from Heritage 3695 to TP... | 3030 - Distributions | 274.35 | | -23,547.87 |
| Check | 04/01/2012 | 2122 | | Stanley M. Johnson Fam Trst | Withdrawal | 3040 - Withdrawals | 8,185.50 | | -32,733.37 |
| Check | 04/01/2012 | 2123 | | Geng Geng Wang | Received from Heritage 3695 | 1030 - Heritage - 3695 Operating | 50,000.00 | | 17,256.63 |
| Check | 04/01/2012 | 2124 | | Jon W. Stiel | Service Charge | 5010 - Bank Fees | 40,000.00 | | 54,756.63 |
| Check | 04/01/2012 | 2125 | | IRA Services FBO Judith Peter... | 3030 - Distributions | 3030 - Distributions | | 198.40 | 54,848.23 |
| Check | 04/01/2012 | 2126 | | Hsiu Feng Wang & Jimmy Yi-F... | 3030 - Distributions | 3030 - Distributions | | 797.52 | 55,645.75 |
| Check | 04/01/2012 | 2127 | | VA Compass-Felix Charitable ... | 3030 - Distributions | 3030 - Distributions | | 285.14 | 55,360.61 |
| Check | 04/01/2012 | 2128 | | Marchman Trust B | 3030 - Distributions | 3030 - Distributions | | 410.25 | 55,150.36 |
| Check | 04/30/2012 | ACH | | ACH SBC PF Investor Group | 3030 - Distributions | 3030 - Distributions | | 209.66 | 55,360.02 |
| Transfer | 05/01/2012 | | | Funds Transfer | 3030 - Distributions | 3030 - Distributions | | 4,050.81 | 49,309.21 |
| General Journal | 05/01/2012 | ACH D... | | ACH SBC PF Investor Group | 3030 - Distributions | 3030 - Distributions | | 406.16 | 48,903.05 |
| Check | 05/01/2012 | 2129 | | Joe Segura | 3030 - Distributions | 3030 - Distributions | | 2,030.92 | 46,872.13 |
| Check | 05/01/2012 | 2130 | | Stanley M. Johnson Fam Trst | 3030 - Distributions | 3030 - Distributions | | 283.27 | 46,588.86 |
| Check | 05/01/2012 | 2131 | | Geng Geng Wang | 3030 - Distributions | 3030 - Distributions | | 9,828.68 | 36,760.18 |
| Check | 05/01/2012 | 2132 | | Jon W. Stiel | 5010 - Bank Fees | 5010 - Bank Fees | 27,021.08 | | 3,738.10 |
| Check | 05/01/2012 | 2133 | | Hsiu Feng Wang & Jimmy Yi-F... | 1030 - Heritage - 3695 Operating | 35,000.00 | | 103.20 | 3,634.90 |
| Check | 05/01/2012 | 2134 | | VA Compass-Felix Charitable ... | 3030 - Distributions | 3030 - Distributions | | 25,827.81 | 18,382.71 |
| Check | 05/01/2012 | 2135 | | Marchman Trust B | 3030 - Distributions | 3030 - Distributions | | 275.97 | 18,106.74 |
| Check | 05/18/2012 | 2137 | | IRA Services FBO Michael Bird | 3030 - Distributions | 3030 - Distributions | | 397.05 | 17,709.69 |
| Check | 05/23/2012 | | | Superior California Economic D... | 3030 - Distributions | 3030 - Distributions | | 202.82 | 17,506.87 |
| Check | 05/31/2012 | | | Torey Pines Bank | 3030 - Distributions | 3030 - Distributions | | 395.12 | 17,111.75 |
| Check | 06/01/2012 | 3000 | | Torey Pines Bank | 3030 - Distributions | 3030 - Distributions | | 1,965.62 | 15,146.13 |
| Check | 06/01/2012 | 2370 | | Jeanette F. and Martin D. Lavoie | 3030 - Distributions | 3030 - Distributions | | 283.84 | 14,862.29 |
| Check | 06/01/2012 | 2371 | | The Phillip Roger Tirey Living T... | 3030 - Distributions | 3030 - Distributions | | 9,515.58 | 5,346.71 |
| Check | 06/01/2012 | | | Transfer Funds | 5200 - Lender Participation | 5200 - Lender Participation | | 794.43 | 4,552.28 |
| Check | 06/01/2012 | | | Service Charge | 1030 - Heritage - 3695 Operating | 16,875.00 | | | -12,408.82 |
| Check | 06/01/2012 | | | Fund Transfer | 5010 - Bank Fees | 1030 - Heritage - 3695 Operating | | 103.10 | -12,511.92 |
| Check | 06/01/2012 | | | May Distribution | 3030 - Distributions | 3030 - Distributions | 80,000.00 | | 4,453.08 |
| Check | 06/01/2012 | | | VOID: May Distribution | 3030 - Distributions | 3030 - Distributions | | 1,577.41 | 84,463.08 |
| | | | | | | | 0.00 | | 82,885.67 |

SBC Portfolio Fund, LLC
General Ledger2:47 PM
08/19/16
Actual Bal.

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|----------------------------------|---------------------------------|------|-----|---------------------------------------|-----------------------|-----------------------------|------------|------------|------------|
| Check | 06/01/2012 | 2372 | | McDowell Trust dtd 06/27/00 | May Distribution | 3030 - Distributions | | 1,482.57 | 81,403.10 |
| Check | 06/01/2012 | 2373 | | The Phillip Roger Tirney Living Tr... | May Distribution | 3030 - Distributions | | 128.54 | 81,274.56 |
| Check | 06/01/2012 | 2374 | | Iraeas M. Dwing, Trustee | May Distribution | 3030 - Distributions | | 1,345.80 | 79,928.76 |
| Check | 06/01/2012 | 2375 | | Urban Family Trust | May Distribution | 3030 - Distributions | | 1,345.80 | 78,582.96 |
| Check | 06/01/2012 | 2376 | | Robert Harris | May Distribution | 3030 - Distributions | | 498.26 | 78,084.70 |
| Check | 06/01/2012 | 2377 | | Boyer H. Nello | May Distribution | 3030 - Distributions | | 484.53 | 77,599.17 |
| Check | 06/01/2012 | 2378 | | Joe Segura | May Distribution | 3030 - Distributions | | 1,704.03 | 75,895.14 |
| Check | 06/01/2012 | 2379 | | Sharon Family Trust | May Distribution | 3030 - Distributions | | 265.14 | 75,629.70 |
| Check | 06/01/2012 | 2381 | | Sharon M. Dwyer Family Trust | May Distribution | 3030 - Distributions | | 1,485.99 | 74,143.71 |
| Check | 06/01/2012 | 2382 | | The Pate & Judith Petersen Liv... | May Distribution | 3030 - Distributions | | 1,410.23 | 72,733.44 |
| Check | 06/01/2012 | 2383 | | Frederick Turner | May Distribution | 3030 - Distributions | | 72.43 | 72,661.01 |
| Check | 06/01/2012 | 2384 | | Andra Berleman & Bo Jiang | May Distribution | 3030 - Distributions | | 264.60 | 72,396.41 |
| Check | 06/01/2012 | 2385 | | Verdelle Gross | May Distribution | 3030 - Distributions | | 245.89 | 72,150.52 |
| Check | 06/01/2012 | 2386 | | Verdelle Gross | May Distribution | 3030 - Distributions | | 245.89 | 71,904.63 |
| Check | 06/01/2012 | 2387 | | Terence & Kathleen Lacey | May Distribution | 3030 - Distributions | | 602.80 | 71,301.83 |
| Check | 06/01/2012 | 2388 | | Rhona Leibof | May Distribution | 3030 - Distributions | | 678.77 | 70,623.06 |
| Check | 06/01/2012 | 2389 | | Allan Leibof | May Distribution | 3030 - Distributions | | 435.63 | 70,187.43 |
| Check | 06/01/2012 | 2390 | | Frederick Turner | May Distribution | 3030 - Distributions | | 533.54 | 69,653.89 |
| Check | 06/01/2012 | 2391 | | Eva Benamer Exempt. Trust, H... | May Distribution | 3030 - Distributions | | 68,881.70 | 69,653.89 |
| Check | 06/01/2012 | 2392 | | Charles Wu | May Distribution | 3030 - Distributions | | 217.24 | 69,436.65 |
| Check | 06/01/2012 | 2393 | | Andreas Maslo | May Distribution | 3030 - Distributions | | 68,881.70 | 69,436.65 |
| Check | 06/01/2012 | 2394 | | R. Peter Griffin Cust FBO Lear... | May Distribution | 3030 - Distributions | | 68,881.70 | 69,436.65 |
| Check | 06/01/2012 | 2395 | | R. Peter Griffin Cust FBO Lear... | May Distribution | 3030 - Distributions | | 68,881.70 | 69,436.65 |
| Check | 06/01/2012 | 2396 | | Mary Ching Wang | May Distribution | 3030 - Distributions | | 216.56 | 69,220.09 |
| Check | 06/01/2012 | 2397 | | Gang Gang Wang | May Distribution | 3030 - Distributions | | 209.68 | 68,910.41 |
| Check | 06/01/2012 | 2400 | | Peter M. Chumo | May Distribution | 3030 - Distributions | | 926.48 | 68,083.93 |
| Check | 06/01/2012 | 2401 | | Karl Jay Smith Trust | May Distribution | 3030 - Distributions | | 148.88 | 67,935.05 |
| Check | 06/01/2012 | 2402 | | Yi Peter Griffin Cust FBO Lear... | May Distribution | 3030 - Distributions | | 2,015.65 | 65,919.40 |
| Check | 06/01/2012 | 2403 | | Howard Gottlieb | May Distribution | 3030 - Distributions | | 215.04 | 65,704.36 |
| Check | 06/01/2012 | 2404 | | IRA Services FBO Zhou Ling | May Distribution | 3030 - Distributions | | 62,358.64 | 3,345.72 |
| Check | 06/01/2012 | 2405 | | Willard E. Fee | May Distribution | 3030 - Distributions | | 880.16 | 61,465.56 |
| Check | 06/01/2012 | 2406 | | IRA Services FBO Kuang, Barb... | May Distribution | 3030 - Distributions | | 214.93 | 61,250.63 |
| Check | 06/01/2012 | 2407 | | Philip & Joyce Lacey | May Distribution | 3030 - Distributions | | 680.16 | 60,570.47 |
| Check | 06/01/2012 | 2408 | | Joseph & Joyce Lacey | May Distribution | 3030 - Distributions | | 214.93 | 59,355.54 |
| Check | 06/01/2012 | 2410 | | IRA SERVICES fbo Catherine El... | May Distribution | 3030 - Distributions | | 2,689.12 | 56,666.42 |
| Check | 06/01/2012 | 2411 | | Christiana G Schanda Family T... | May Distribution | 3030 - Distributions | | 213.42 | 56,453.00 |
| Check | 06/01/2012 | 2412 | | Gold Petren & Judith Petren | May Distribution | 3030 - Distributions | | 127.78 | 56,325.22 |
| Check | 06/01/2012 | 2413 | | Gold Petren & Judith Petren | May Distribution | 3030 - Distributions | | 60,044.55 | 56,325.22 |
| Check | 06/01/2012 | 2414 | | Judith Abel | May Distribution | 3030 - Distributions | | 2,689.12 | 53,636.10 |
| Check | 06/01/2012 | 2415 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 213.42 | 53,422.68 |
| Check | 06/01/2012 | 2416 | | William C. Wakeman Sr. & Vct... | May Distribution | 3030 - Distributions | | 108.20 | 53,314.48 |
| Check | 06/01/2012 | 2417 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 317.15 | 53,000.33 |
| Check | 06/01/2012 | 2418 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 718.81 | 52,281.52 |
| Check | 06/01/2012 | 2419 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 473.51 | 51,808.01 |
| Check | 06/01/2012 | 2420 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 254.17 | 51,553.84 |
| Check | 06/01/2012 | 2421 | | Jon W. Stal | May Distribution | 3030 - Distributions | | 406.16 | 51,147.68 |
| Check | 06/01/2012 | 2422 | | Hsin-Shen Tai | May Distribution | 3030 - Distributions | | 903.78 | 50,243.90 |
| Check | 06/01/2012 | 2423 | | F. & A. Shing TTEES | May Distribution | 3030 - Distributions | | 211.31 | 49,932.19 |
| Check | 06/01/2012 | 2424 | | IRA SERVICES fbo Catherine El... | May Distribution | 3030 - Distributions | | 211.31 | 49,720.88 |
| Check | 06/01/2012 | 2425 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 41.75 | 49,679.13 |
| Check | 06/01/2012 | 2426 | | Hsu Fong Wang & Jerry YF... | May Distribution | 3030 - Distributions | | 421.67 | 49,257.46 |
| Check | 06/01/2012 | 2427 | | Harry & Freda Goldstein | May Distribution | 3030 - Distributions | | 2,030.92 | 47,226.54 |
| Check | 06/01/2012 | 2428 | | Anthony Gao | May Distribution | 3030 - Distributions | | 282.86 | 46,943.68 |
| Check | 06/01/2012 | 2429 | | Frank J. Napoli | May Distribution | 3030 - Distributions | | 38.06 | 46,905.62 |
| Check | 06/01/2012 | 2430 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 1,210.71 | 45,694.91 |
| Check | 06/01/2012 | 2431 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 1,210.71 | 44,484.20 |
| Check | 06/01/2012 | 2432 | | IRA Services FBO Feltz, Mich... | May Distribution | 3030 - Distributions | | 1,210.71 | 43,273.49 |
| Check | 06/01/2012 | 2433 | | VA Compass-Feltz Charitable ... | May Distribution | 3030 - Distributions | | 262.45 | 42,911.04 |
| Check | 06/01/2012 | 2434 | | Mardman Trust B | May Distribution | 3030 - Distributions | | 1,051.34 | 41,859.70 |
| Check | 06/01/2012 | 2435 | | IRA Services FBO Michael B... | May Distribution | 3030 - Distributions | | 283.27 | 41,576.43 |
| Check | 06/01/2012 | 2436 | | IRA Services FBO Michael B... | May Distribution | 3030 - Distributions | | 9,829.88 | 31,746.55 |
| Check | 06/01/2012 | 2437 | | IRA Services FBO Michael B... | May Distribution | 3030 - Distributions | | 36,695.42 | 3,051.13 |
| Check | 06/01/2012 | 2438 | | IRA Services FBO Michael B... | May Distribution | 3030 - Distributions | | 38,400.94 | 3,051.13 |
| Check | 06/01/2012 | 2439 | | IRA Services FBO Bo Jiang | May Distribution | 3030 - Distributions | | 812.37 | 2,238.76 |
| Check | 06/01/2012 | 2440 | | IRA Services FBO Bo Jiang | May Distribution | 3030 - Distributions | | 684.48 | 1,554.28 |
| Check | 06/01/2012 | 2441 | | IRA SERVICES fbo Jean C. Teng | May Distribution | 3030 - Distributions | | 34,927.00 | 3,051.13 |
| Check | 06/01/2012 | 2442 | | ACH SBC PF Investor Group | May Distribution | 3030 - Distributions | | 983.09 | 3,051.13 |
| Deposit | 06/12/2012 | | | | Deposit | 3030 - Distributions | 531.16 | 3,101.89 | 3,101.89 |
| Total | 1020 - Terry Phin - 2470 - Disb | | | | | | 737,637.86 | 735,823.84 | 1,714.02 |
| 1030 - Heritage - 3885 Operating | | | | | | | | | |
| General Journal | 12/31/2009 | | | | | | | | |
| Deposit | 01/04/2010 | 1010 | | Dragon Software | Deposit | 1010 - Wells Fargo - 8502 | 475,787.35 | 475,787.35 | 475,787.35 |
| Deposit | 01/04/2010 | 1010 | | Dragon Software | Deposit | 1010 - Wells Fargo - 8502 | 475,787.35 | 475,787.35 | 475,787.35 |
| Deposit | 01/05/2010 | | | Dragon Software | Deposit | 1010 - Wells Fargo - 8502 | 475,787.35 | 475,787.35 | 475,787.35 |
| Deposit | 01/05/2010 | | | Dragon Software | Deposit | 1010 - Wells Fargo - 8502 | 475,787.35 | 475,787.35 | 475,787.35 |
| Check | 01/05/2010 | 1012 | | SBC Portfolio Fund LLC | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 475,559.85 |
| Check | 01/05/2010 | 1014 | | Julia M. Williams | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 475,332.35 |
| Check | 01/05/2010 | 1016 | | Yeh Family Trust | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 475,104.85 |
| Check | 01/05/2010 | 1018 | | Yeh Family Trust | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 474,877.35 |
| Check | 01/05/2010 | 1013 | | VOID | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 474,649.85 |
| Check | 01/11/2010 | 1017 | | VOID | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 474,422.35 |
| Check | 01/11/2010 | 1024 | | SBC Portfolio Fund LLC | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 474,194.85 |
| Check | 01/11/2010 | 1018 | | Valencia Family Trust | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 473,967.35 |
| Check | 01/11/2010 | 1019 | | Amy Jo Fitts | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 473,739.85 |
| Check | 01/11/2010 | 1020 | | Wells Fargo Bank - 8502 | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 473,512.35 |
| Check | 01/11/2010 | 1021 | | Dragon Software | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 473,284.85 |
| Check | 01/11/2010 | 1023 | | VOID | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 473,057.35 |
| Check | 01/11/2010 | 1023 | | White Revocable Trust | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 472,829.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 472,602.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 472,374.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 472,147.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 471,919.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 471,692.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 471,464.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 471,237.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 471,009.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 470,782.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 470,554.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 470,327.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 470,100.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 469,873.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 469,645.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 469,418.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 469,190.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 468,963.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 468,735.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 468,508.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 468,280.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 468,053.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 467,825.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 467,598.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 467,370.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 467,143.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 466,915.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 466,688.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 466,460.85 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 466,233.35 |
| Check | 01/20/2010 | | | | Transfer to Ate #2470 | 4010 - Loan Interest Income | 227.50 | 227.50 | 466,005.85 |
| Check | 01/20/ | | | | | | | | |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|--------------------------------|---|-----------------------------------|------------|------------|------------|
| Deposit | 02/19/2010 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 329,238.20 |
| Deposit | 02/19/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,138.82 | | 330,377.02 |
| Deposit | 02/18/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 335,351.98 |
| Check | 02/28/2010 | 2001 | | SB Capital Corp. | 2010 syndication exp reimb- move... | 1220 - Due From Manager | | 25,000.00 | 310,351.98 |
| Check | 02/28/2010 | 2003 | | Heritage Bank | transfer loan from #0103 WHi... | 1060 - Interbank Transfers | | 1,263.78 | 309,088.20 |
| Check | 02/28/2010 | 2004 | | Valencia Family Trust | withdrawal act #0105-ACH109 | 3040 - Withdrawals | | 15,000.00 | 294,088.20 |
| Check | 02/28/2010 | 2005 | | SB Capital Corp. | syndication expense-moved to Due... | 1220 - Due From Manager | | 25,000.00 | 269,088.20 |
| Check | 02/26/2010 | 2008 | | Stein & Lubin | 12/31/09 inv#107140, file 08854-00... | 6101 - Legal | | 3,471.00 | 265,617.20 |
| Check | 03/01/2010 | 2002 | | Torey Pines Bank | transfer funds from Heritage #3695... | 1060 - Interbank Transfers | | 20,000.00 | 245,617.20 |
| Deposit | 03/09/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 249,283.87 |
| Deposit | 03/12/2010 | | | | Deposit | 4010 - Loan Interest Income | 848.90 | | 250,130.77 |
| Deposit | 03/12/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,222.22 | | 251,352.99 |
| Deposit | 03/12/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,311.25 | | 253,664.24 |
| Check | 03/12/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 258,639.20 |
| Check | 03/12/2010 | 2007 | | SBC Portfolio Fund LLC | Need to locate BU - King loan 50 L... | 1110 - Fund Loans | | 200,000.00 | 58,639.20 |
| Check | 03/12/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 68,639.20 |
| Check | 03/15/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 78,639.20 |
| Check | 03/18/2010 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 79,055.87 |
| Check | 03/18/2010 | | | | Deposit | -SPLIT- | 1,980.56 | | 81,036.43 |
| Check | 03/22/2010 | 2008 | | SB Capital Corp. | Prepaid legal exp pd to SB Cap on ... | 1410 - Legal Retainers | | 100,000.00 | -18,963.57 |
| Check | 03/23/2010 | | | | Deposit | 4010 - Loan Interest Income | 25,000.00 | | 6,036.43 |
| Check | 03/24/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 11,036.43 |
| Check | 03/24/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 61,036.43 |
| Check | 03/30/2010 | | | | Deposit | 3010 - Contributions | 100,000.00 | | 161,036.43 |
| Check | 04/02/2010 | 2009 | | Spiegel Accountancy Corp. | 3/31/10 inv#949 - progress billing thr... | 6103 - Audit & Tax | | 5,472.72 | 155,563.71 |
| Check | 04/02/2010 | | | Wyre Trf | withdrawal act#0108-ACH | 3040 - Withdrawals | | 10,000.00 | 145,563.71 |
| Check | 04/05/2010 | CASH | | CASH | Trf to Alta #2470 | 1060 - Interbank Transfers | | 30,000.00 | 115,563.71 |
| Check | 04/05/2010 | 2010 | | Linda S. Wilson | act#0116-CHK Close Account | 3040 - Withdrawals | | 21,433.68 | 94,130.03 |
| Check | 04/08/2010 | 2011 | | Marilyn J. Anair | Redemption of shares | 3040 - Withdrawals | | 33,000.00 | 61,130.03 |
| Check | 04/14/2010 | | | | Deposit-#64727 | -SPLIT- | 4,974.96 | | 66,104.99 |
| Check | 04/14/2010 | | | | Deposit #0100 | 4010 - Loan Interest Income | 2,311.25 | | 68,416.24 |
| Check | 04/14/2010 | | | | Deposit-loan #58 | 4010 - Loan Interest Income | 3,666.67 | | 72,082.91 |
| Check | 04/14/2010 | | | | Deposit-loan#64726 | 4010 - Loan Interest Income | 848.90 | | 72,931.81 |
| Check | 04/14/2010 | | | | Deposit-loan #82 | -SPLIT- | 416.67 | | 73,348.48 |
| Check | 04/20/2010 | | | | Deposit-loan #51-1 | -SPLIT- | 1,980.56 | | 75,327.04 |
| Check | 04/20/2010 | 2012 | | Franchise Tax Board | 2010 Form 3522 SOS#2007207102... | 9020 - LLC Fees & Franchise Ta... | | 800.00 | 74,527.04 |
| Check | 04/20/2010 | 2013 | | Franchise Tax Board | 2010 Form 3536 | 9020 - LLC Fees & Franchise Ta... | | 900.00 | 73,627.04 |
| Check | 04/29/2010 | 2015 | | Lee V. Emerson Revocable Trust | Withdrawal | 3040 - Withdrawals | | 5,000.00 | 68,627.04 |
| Check | 04/29/2010 | 2016 | | Valencia Family Trust | Withdrawal | 3040 - Withdrawals | | 5,000.00 | 63,627.04 |
| Check | 04/29/2010 | 2017 | | Rahmatollah (Ray) Kashif | Withdrawal | 3040 - Withdrawals | | 5,000.00 | 58,627.04 |
| Check | 04/29/2010 | 2018 | | Julia M. Williams | Withdrawal | 3040 - Withdrawals | | 5,000.00 | 53,627.04 |
| Check | 04/29/2010 | 2019 | | Farideh and Farzaneh Kashif | Withdrawal | 3040 - Withdrawals | | 5,000.00 | 48,627.04 |
| Check | 04/29/2010 | 2014 | | Torey Pines Bank | Transfer funds to Alta #2470 for Ma... | 1060 - Interbank Transfers | | 20,000.00 | 28,627.04 |
| Check | 04/30/2010 | | | | Deposit-#King #50 | 4010 - Loan Interest Income | 1,833.33 | | 30,460.37 |
| Check | 04/30/2010 | | | | Deposit | 3010 - Contributions | 2,000.00 | | 32,460.37 |
| Check | 04/30/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 37,460.37 |
| Check | 04/30/2010 | | | | Deposit | -SPLIT- | 1,980.56 | | 39,440.93 |
| Check | 05/06/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 43,107.60 |
| Check | 05/06/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 48,082.56 |
| General Journal | 05/10/2010 | Brk A... | | | In Branch Transfer from #3554 to #... | 1031 - Heritage - 3554 - MM | 39,000.00 | | 87,082.56 |
| Check | 05/11/2010 | 2020 | | Julia M. Williams | act#0107 Withdrawal of 50% of cur... | 3040 - Withdrawals | | 33,822.17 | 53,260.39 |
| Check | 05/11/2010 | 2021 | | SB Capital Brokers Trust Act | Reimb c#1071 fr SB BT a duplicat... | -SPLIT- | | 1,980.56 | 51,279.83 |
| Check | 05/19/2010 | 2022 | | SBC Portfolio Fund LLC | Funds Trf to #2470 | 1060 - Interbank Transfers | | 30,000.00 | 21,279.83 |
| Check | 05/21/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,311.25 | | 23,591.08 |
| Check | 05/21/2010 | | | | Deposit | 1410 - Legal Retainers | 75,000.00 | | 98,591.08 |
| Check | 05/21/2010 | | | | Deposit | 4010 - Loan Interest Income | 848.90 | | 99,439.98 |
| Check | 05/21/2010 | | | | Deposit | -SPLIT- | 416.67 | | 99,856.65 |
| Check | 05/21/2010 | | | | Deposit | -SPLIT- | 10,621.50 | | 110,478.15 |
| Check | 05/24/2010 | 2023 | | Lee V. Emerson Revocable Trust | #0101-ACH09 partial redemption | 3040 - Withdrawals | | 15,000.00 | 95,478.15 |
| Check | 05/24/2010 | 2024 | | Rahmatollah (Ray) Kashif | act#0106 partial redemption | 3040 - Withdrawals | | 10,000.00 | 85,478.15 |
| Check | 05/24/2010 | 2025 | | Marilyn J. Anair | act#0113 partial redemption | 3040 - Withdrawals | | 5,000.00 | 80,478.15 |
| Check | 05/24/2010 | 2026 | | M.J. LaGrone Revocable Trust | act#0114 partial redemption | 3040 - Withdrawals | | 10,000.00 | 70,478.15 |
| Check | 05/24/2010 | 2027 | | Farideh and Farzaneh Kashif | act#0119 partial redemption | 3040 - Withdrawals | | 10,000.00 | 60,478.15 |
| Check | 05/24/2010 | | | | Deposit | 3010 - Contributions | 45,000.00 | | 105,478.15 |
| Check | 05/25/2010 | | | | Deposit | 3010 - Contributions | 15,000.00 | | 120,478.15 |
| Check | 05/28/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 125,451.11 |
| Check | 06/04/2010 | 2028 | | M.J. LaGrone Revocable Trust | #0114 capital redemption | 3040 - Withdrawals | | 10,000.00 | 115,451.11 |
| Check | 06/04/2010 | 2029 | | Marilyn J. Anair | #0113 capital redemption | 3040 - Withdrawals | | 10,000.00 | 105,451.11 |
| Check | 06/04/2010 | 2030 | | Rahmatollah (Ray) Kashif | #0106 capital redemption | 3040 - Withdrawals | | 20,000.00 | 85,451.11 |
| Check | 06/04/2010 | 2031 | | Farideh and Farzaneh Kashif | act#0119 capital redemption | 3040 - Withdrawals | | 20,000.00 | 65,451.11 |
| Check | 06/04/2010 | 2032 | | Lee V. Emerson Revocable Trust | VOID: act#0101- capital redemption | 3040 - Withdrawals | 0.00 | | 65,451.11 |
| Check | 06/04/2010 | 2033 | | Valencia Family Trust | act#0105-09 capital redemption | 3040 - Withdrawals | | 10,000.00 | 55,451.11 |
| Check | 06/04/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 60,451.11 |
| Check | 06/04/2010 | 2034 | | Lee V. Emerson Revocable Trust | 3040 - Withdrawals | 3040 - Withdrawals | | 30,000.00 | 30,451.11 |
| Check | 06/08/2010 | 2035 | | Spiegel Accountancy Corp. | 6/1/10 #1511, client #7939 | 6103 - Audit & Tax | | 2,975.00 | 27,476.11 |
| Check | 06/10/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 32,476.11 |
| Check | 06/17/2010 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 57,476.11 |
| Check | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 61,142.78 |
| Check | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 61,559.45 |
| Check | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,500.00 | | 65,059.45 |
| Check | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 848.90 | | 65,908.35 |
| Check | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,833.33 | | 67,739.68 |
| Check | 06/17/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,980.56 | | 69,720.24 |
| Check | 06/22/2010 | | | | Deposit-#65-1 | 1110 - Fund Loans | 15,000.00 | | 84,720.24 |
| Check | 06/22/2010 | | | | Deposit-#65-1 | 1110 - Fund Loans | 15,000.00 | | 99,720.24 |
| Check | 06/24/2010 | | | | Deposit | 1110 - Fund Loans | 2,000.00 | | 101,720.24 |
| Check | 06/24/2010 | 2036 | | CASH | for PLM Cashiers cka-not used so d... | 1060 - Interbank Transfers | | 25,001.00 | 76,719.24 |
| Check | 06/25/2010 | 2037 | | Marilyn J. Anair | #0113 Capital Redemption | 3040 - Withdrawals | | 20,000.00 | 56,719.24 |
| Check | 06/25/2010 | 2038 | | M.J. LaGrone Revocable Trust | #0114 Redemption withdrawal | 3040 - Withdrawals | | 20,000.00 | 36,719.24 |
| Check | 06/25/2010 | 2039 | | Rahmatollah (Ray) Kashif | #0106 Redemption Withdrawal | 3040 - Withdrawals | | 30,000.00 | 6,719.24 |
| Check | 06/25/2010 | 2040 | | Valencia Family Trust | #0105 redemption withdrawal | 3040 - Withdrawals | | 10,000.00 | -3,280.76 |
| Check | 06/25/2010 | 2041 | | Farideh and Farzaneh Kashif | #0119 Redemption withdrawal | 3040 - Withdrawals | | 10,000.00 | -13,280.76 |
| Check | 06/30/2010 | | | | Deposit | 1110 - Fund Loans | 197,376.60 | | 184,096.84 |
| Check | 06/30/2010 | | | | Deposit | 1060 - Interbank Transfers | 25,001.00 | | 209,096.84 |
| Check | 07/01/2010 | 2042 | | VOID | 3030 - Distributions | 3030 - Distributions | 0.00 | | 209,096.84 |
| Check | 07/01/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 219,096.84 |
| Check | 07/06/2010 | 2043 | | Rahmatollah (Ray) Kashif | #106 Capital Redemption Withdrawal | 3040 - Withdrawals | | 20,000.00 | 199,096.84 |
| Check | 07/06/2010 | 2044 | | Farideh and Farzaneh Kashif | #119 Capital Redemption Withdrawal | 3040 - Withdrawals | | 10,000.00 | 189,096.84 |
| Check | 07/06/2010 | 2045 | | Marilyn J. Anair | #113 Capital Redemption Withdrawal | 3040 - Withdrawals | | 10,000.00 | 179,096.84 |
| Check | 07/06/2010 | 2046 | | M.J. LaGrone Revocable Trust | #114 Capital Redemption Withdrawal | 3040 - Withdrawals | | 10,000.00 | 169,096.84 |
| Check | 07/06/2010 | 2047 | | Julia M. Williams | #107 Capital Redemption Withdrawal | 3040 - Withdrawals | | 10,000.00 | 159,096.84 |
| Check | 07/06/2010 | 2048 | | Valencia Family Trust | #105-ACH D9 Capital Redemption ... | 3040 - Withdrawals | | 10,000.00 | 149,096.84 |
| Check | 07/06/2010 | 2049 | | Masan Family Trust | #1 Capital Redemption Withdrawal | 3040 - Withdrawals | | 15,000.00 | 134,096.84 |
| Check | 07/06/2010 | 2050 | | Lee V. Emerson Revocable Trust | #101-ACH09 Capital Redemption ... | 3040 - Withdrawals | | 15,000.00 | 119,096.84 |
| Check | 07/12/2010 | | | | Deposit | 4010 - Loan Interest Income | 1,405.56 | | 120,502.40 |
| Check | 07/12/2010 | | | | Deposit | 1060 - Interbank Transfers | 12,000.00 | | 132,502.40 |
| Check | 07/14/2010 | 2051 | | Valencia Family Trust | Capital Redemption Withdrawal | 3040 - Withdrawals | | 22,609.07 | 109,893.33 |
| Check | 07/14/2010 | 2052 | | Julia M. Williams | Capital Redemption Withdrawal | 3040 - Withdrawals | | 13,822.17 | 96,071.16 |
| Check | 07/14/2010 | 2053 | | Marilyn J. Anair | Capital Redemption Withdrawal | 3040 - Withdrawals | | 10,018.83 | 86,052.33 |
| Check | 07/14/2010 | 2054 | | Amy Jo Fillin | Capital Redemption Withdrawal | 3040 - Withdrawals | | 12,000.00 | 74,052.33 |
| Check | 07/14/2010 | 2055 | | Lee V. Emerson Revocable Trust | Capital Redemption Withdrawal | 3040 - Withdrawals | | 50,000.00 | 24,052.33 |
| Check | 07/14/2010 | | | | Deposit-#35 | 4010 - Loan Interest Income | 3,500.00 | | 21,552.33 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|--------|-----|---------------------------------|--|------------------------------------|------------|------------|------------|
| Deposit | 07/15/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 71,551.33 |
| Deposit | 07/20/2010 | | | | Deposit | -SPLIT- | 82,826.00 | | 154,377.33 |
| Check | 07/21/2010 | 2056 | | Rahmatollah (Ray) Kashef | Capital Redemption Withdrawal | 3040 - Withdrawals | | 50,000.00 | 104,377.33 |
| Check | 07/23/2010 | 2057 | | Valencia Family Trust | Final Capital Redemption | 3040 - Withdrawals | | 4,128.38 | 100,248.95 |
| Check | 07/23/2010 | 2058 | | Julia M. Williams | Final Capital Redemption | 3040 - Withdrawals | | 7,078.32 | 93,169.63 |
| Check | 07/23/2010 | 2059 | | Amey Jo Fillin | Final Capital Redemption | 3040 - Withdrawals | | 16,970.11 | 76,199.52 |
| Check | 07/23/2010 | 2060 | | Marilyn J. Ansair | Final capital redemption | 3040 - Withdrawals | | 6,272.34 | 70,027.18 |
| Check | 07/23/2010 | 2061 | | Farideh and Farzaneh Kashef | Final capital redemption | 3040 - Withdrawals | | 8,436.06 | 61,591.12 |
| Check | 07/23/2010 | 2062 | | Spiegel Accountancy Corp. | 06 SBC PF Audit/Tax Inv#1819, #... | 8103 - Audit & Tax | | 6,785.70 | 54,805.42 |
| Check | 07/23/2010 | 2063 | | Franchise Tax Board | 2009 Form 93586 SOS@20072071... | 2590 - Income Taxes Payable | | 900.00 | 53,905.42 |
| Check | 07/27/2010 | 2064 | | Rahmatollah (Ray) Kashef | Final Capital Redemption | 3040 - Withdrawals | | 39,052.25 | 14,853.17 |
| Deposit | 07/29/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 18,519.84 |
| Deposit | 07/29/2010 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 18,936.51 |
| Deposit | 07/29/2010 | | | | Deposit | -SPLIT- | 2,082.29 | | 21,018.80 |
| Deposit | 07/29/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 25,993.76 |
| Check | 08/03/2010 | 2065 | | Torey Pines Bank | Deposit-#35 | 4010 - Loan Interest Income | | 3,500.00 | 29,493.76 |
| Deposit | 08/04/2010 | | | | Trf fr #3685 to Alta#2470 for distrib | 1200 - Interbank Transfers | | 20,000.00 | 49,493.76 |
| Check | 08/04/2010 | 2066 | | PLM Lender Services | Deposit-#35 | 4010 - Loan Interest Income | 3,500.00 | | 12,993.76 |
| Deposit | 08/04/2010 | | | | Lipari Loan #81-1 inv#24000 collect... | 5050 - Net Loan Foreclosure Costs | | 1,613.55 | 11,380.21 |
| Deposit | 08/06/2010 | | | | Deposit | 1110 - Fund Loans | 623.40 | | 12,003.61 |
| Deposit | 08/09/2010 | | | | Deposit | 3010 - Contributions | 50,000.00 | | 62,003.61 |
| Deposit | 08/09/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 65,670.28 |
| Deposit | 08/09/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 70,645.24 |
| Check | 08/12/2010 | 2068 | | Mason Family Trust | Capital Redemption Withdrawal | 3040 - Withdrawals | | 500.00 | 71,145.24 |
| Deposit | 08/12/2010 | | | | Deposit | -SPLIT- | 100,000.00 | 10,000.00 | 61,145.24 |
| Deposit | 08/12/2010 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 171,145.24 |
| Deposit | 08/13/2010 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 171,561.91 |
| Check | 08/13/2010 | 2067 | | VOID | Deposit | 3030 - Distributions | 0.00 | | 171,561.91 |
| Check | 08/13/2010 | 2069 | | IRA Services FBO Devin G. Fe... | buyout of Lipari loan ownership due... | -SPLIT- | | 20,000.00 | 151,561.91 |
| Check | 08/13/2010 | 2070 | | IRA Services FBO Ryan M. Fe... | buyout of Lipari loan ownership due... | -SPLIT- | | 20,000.00 | 131,561.91 |
| Check | 08/18/2010 | 2072 | | Manigeh F. Nadmi | #131 Withdrawal of Feb-Jul Distrib | 3040 - Withdrawals | | 3,189.61 | 128,372.30 |
| Check | 08/18/2010 | 2073 | | Wells Fargo Bank | Loan#064020762-18 | -SPLIT- | | 8,872.59 | 119,498.71 |
| Check | 08/18/2010 | 2074 | | SB Capital Corp. | Doss Law part of inv#64083663771 | 6101 - Legal | | 512.50 | 118,986.21 |
| Check | 08/18/2010 | 2071 | | Investors Prime Fund, LLC | Lin loan owned by IPF-int rovd by ... | 4010 - Loan Interest Income | | 6,833.75 | 112,052.46 |
| Deposit | 08/20/2010 | | | | Deposit | 5050 - Net Loan Foreclosure Costs | 79.00 | | 112,132.46 |
| Check | 08/24/2010 | | | | Deposit-#35 | 4010 - Loan Interest Income | 3,500.00 | | 115,632.46 |
| Check | 08/24/2010 | 2075 | | Lee V. Emerson Revocable Trust | #101-ACH09 withdrawal | 3040 - Withdrawals | | 25,000.00 | 90,632.46 |
| Check | 08/27/2010 | 2076 | | Nielsen Architects | Deposit on 731 Bay Road project | 8110 - Bay Rd REO - Remodel | | 3,500.00 | 87,132.46 |
| Deposit | 08/31/2010 | | | | Deposit | 3010 - Contributions | 5,000.00 | | 92,132.46 |
| Deposit | 09/01/2010 | | | | Deposit | 1220 - Due From Manager | 2,500.00 | | 94,632.46 |
| Deposit | 09/02/2010 | | | | Deposit | -SPLIT- | 4,974.96 | | 99,607.42 |
| Deposit | 09/08/2010 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 100,024.09 |
| Deposit | 09/08/2010 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 103,690.76 |
| Deposit | 09/14/2010 | | | | Deposit | 3010 - Contributions | 1,470.00 | | 105,160.76 |
| Deposit | 09/14/2010 | | | | Deposit | 3010 - Contributions | 1,377.00 | | 106,537.76 |
| Check | 09/15/2010 | 2077 | | Tenant | Robert Poindexter #8 - Cash for Keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 105,537.76 |
| Check | 09/15/2010 | 2078 | | Tenant | Ronal VanAlphen #4 - Cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 104,537.76 |
| Check | 09/15/2010 | 2079 | | Lee V. Emerson Revocable Trust | #101-ACH09 partial withdrawal | 3040 - Withdrawals | | 50,000.00 | 54,537.76 |
| Deposit | 09/16/2010 | | | | Deposit | 3010 - Contributions | 88,683.54 | | 143,221.30 |
| Check | 09/20/2010 | 2080 | | Tenant | Akenese Taufa #12- cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,400.00 | 141,821.30 |
| Check | 09/20/2010 | 2081 | | Tenant | Thomas Perkins - cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 140,821.30 |
| Check | 09/20/2010 | 2082 | | Tenant | Ernest Price - cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 139,821.30 |
| Deposit | 09/20/2010 | | | | Deposit | 3010 - Contributions | 755.10 | | 140,576.40 |
| Check | 09/21/2010 | 2083 | | Wells Fargo Bank | Loan#064020762-18 | 1220 - Due From Manager | 1,263.64 | | 141,840.04 |
| Check | 09/21/2010 | 2084 | | Mason Family Trust | #110 partial withdrawal | -SPLIT- | | 3,253.27 | 138,586.77 |
| Check | 09/21/2010 | 2085 | | Tenant | Ron Weyland #3 - cash for keys | 3040 - Withdrawals | | 25,000.00 | 113,586.77 |
| Check | 09/21/2010 | 2086 | | Tenant | Robert Poindexter - Cash for Keys ... | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 112,586.77 |
| Check | 09/21/2010 | 2087 | | Tenant | Ron Van Alphen cash for keys-roni ... | -SPLIT- | | 1,159.68 | 111,427.09 |
| Check | 09/21/2010 | 2088 | | Tenant | Kevin Browning - cash for keys | -SPLIT- | | 1,152.42 | 109,274.67 |
| Check | 09/21/2010 | 2089 | | Tenant | Guillermo Moliz #7-cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 108,274.67 |
| Check | 09/21/2010 | 2090 | | VOID | | 3030 - Distributions | 0.00 | | 108,274.67 |
| Deposit | 09/21/2010 | | | | Deposit | 3010 - Contributions | 0.80 | | 108,275.47 |
| Deposit | 09/21/2010 | | | | Deposit | 4010 - Loan Interest Income | 2,082.29 | | 110,357.76 |
| Deposit | 09/22/2010 | | | | Deposit | 7020 - REO Rental Income | 4,825.00 | | 115,182.76 |
| Check | 09/23/2010 | 2091 | | Ian Carney | Bay Road Structural Inspections | 8104 - Bay Rd REO - Licenses & ... | | 4,150.00 | 111,032.76 |
| Check | 09/27/2010 | 2092 | | Tenant | Richard Way #3-cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 110,032.76 |
| Check | 09/27/2010 | 2093 | | Tenant | Ron Weyland #9-cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 109,032.76 |
| Check | 09/27/2010 | 2094 | | Allied Insurance Brokers | 731 Bay Road Insurance Binder Fee | 8102 - Bay Rd REO - Insurance | | 1,200.00 | 107,832.76 |
| Deposit | 09/29/2010 | | | | Deposit | -SPLIT- | 6,634.05 | | 114,466.81 |
| Check | 10/01/2010 | 2095 | | REO Tenant | Ernest G. Price - Cash for Keys | 4010 - Loan Interest Income | 3,665.87 | | 118,132.68 |
| Check | 10/01/2010 | 2097 | | Torey Pines Bank | Trf Funds fr #3685 to Alta #2470 fo... | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 117,132.68 |
| Check | 10/01/2010 | 2098 | | PLM Lender Services | Patel loan#64726 | 1080 - Interbank Transfers | | 20,000.00 | 97,132.68 |
| Check | 10/01/2010 | 2099 | | REO Tenant | Tom Perkins-Cash for keys | 5050 - Net Loan Foreclosure Costs | | 637.18 | 96,495.50 |
| Deposit | 10/04/2010 | | | | Deposit | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 95,495.50 |
| Deposit | 10/05/2010 | | | | Transfer Funds | 3010 - Contributions | 228.12 | | 95,724.62 |
| Check | 10/05/2010 | | | | Deposit | -SPLIT- | 517,405.15 | | 613,130.77 |
| Check | 10/06/2010 | 2099 | | Heritage Bank | Lipari partial settlement | 3010 - Contributions | 100,000.00 | | 713,130.77 |
| Check | 10/06/2010 | 2100 | | Lee V. Emerson Revocable Trust | #101-ACH09 Redemption | 2510 - Misc. Other Liabilities | | 60,000.00 | 653,130.77 |
| Check | 10/06/2010 | 2101 | | Mason Family Trust | #101-ACH09 Redemption | 3040 - Withdrawals | | 300,000.00 | 353,130.77 |
| Check | 10/06/2010 | 2102 | | PLM Lender Services | H Square Foreclosure Trustee fee | 3040 - Withdrawals | | 25,000.00 | 328,130.77 |
| Check | 10/06/2010 | 2103 | | Silicon Valley Law Group | H Square Legal Fee | -SPLIT- | | 2,516.70 | 325,614.07 |
| Check | 10/06/2010 | 2104 | | Mike Counsil Plumbing, Inc. | Bay Road plumbing repair | 5050 - Net Loan Foreclosure Costs | | 16,000.85 | 309,613.12 |
| Deposit | 10/07/2010 | | | | Deposit | 8111 - Bay Rd REO- Repairs & ... | | 299.00 | 309,314.12 |
| Check | 10/12/2010 | 2105 | | REO Tenant | Alfonso Reason - Cash for keys | 3010 - Contributions | 100,000.00 | | 409,314.12 |
| Check | 10/12/2010 | 2106 | | Wells Fargo Bank | Loan#064020762-18 | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 408,314.12 |
| Deposit | 10/13/2010 | | | | Deposit | -SPLIT- | | 3,253.27 | 405,060.85 |
| Check | 10/13/2010 | 2107 | | Ian Carney | 10/13/10 #0001-SB Bay Road Dev... | 4010 - Loan Interest Income | 416.67 | | 405,477.52 |
| Check | 10/18/2010 | 2108 | | Wells Fargo Bank | Loan#064020762-18 - dupl pmt so... | 8110 - Bay Rd REO - Remodel | | 11,470.00 | 394,007.52 |
| Check | 10/18/2010 | 2109 | | REO Tenant | VOID: Richard Way - Cash for Keys | -SPLIT- | | 2,957.53 | 391,050.00 |
| Check | 10/18/2010 | 2110 | | Investors Prime Fund, LLC | Trf fr SBC PF#0115 to IPF#0173 | 8112 - Bay Rd REO - Tenant Rela... | | 0.00 | 391,050.00 |
| Check | 10/18/2010 | 2111 | | SB Capital Corp. | Managers Compensation | 3040 - Withdrawals | | 94,864.22 | 296,185.78 |
| Deposit | 10/26/2010 | | | | Deposit-#35 | 1220 - Due From Manager | | 50,000.00 | 246,185.78 |
| Deposit | 10/26/2010 | | | | Deposit-#35 | 4010 - Loan Interest Income | 3,500.00 | | 250,235.77 |
| Deposit | 10/26/2010 | | | | Deposit-#35 | -SPLIT- | 3,500.00 | | 253,735.77 |
| Deposit | 10/26/2010 | | | | Deposit-#354726 | 4010 - Loan Interest Income | 846.90 | | 254,582.67 |
| Deposit | 11/03/2010 | | | | Deposit-#51-1 | 2,082.29 | | | 256,664.96 |
| Check | 11/04/2010 | 2114 | | Sterling Harwood, Esq. | Final bal due on Lipari Settlement | 3010 - Contributions | 5,000.00 | | 261,664.96 |
| Check | 11/04/2010 | 2113 | | Ian Carney | | 2510 - Misc. Other Liabilities | | 50,000.00 | 211,664.96 |
| Check | 11/04/2010 | 2115 | | Heritage Bank | | 8110 - Bay Rd REO - Remodel | | 1,050.00 | 200,614.96 |
| Check | 11/04/2010 | 2116 | | VOID | Richard Way - Cash for keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 199,614.96 |
| Check | 11/04/2010 | 110410 | | Chicago Title | | 3030 - Distributions | 0.00 | | 198,614.96 |
| Deposit | 11/05/2010 | | | | Natoma REO escrow | -SPLIT- | | 28,193.67 | 170,421.29 |
| Check | 11/05/2010 | 2112 | | PG&E | Deposit | 3010 - Contributions | 200,000.00 | | 370,421.29 |
| Check | 11/08/2010 | 2117 | | investors Prime Fund, LLC | Bay Road act#6849150591-1 | 8103 - Bay Rd REO - Utilities | | 821.89 | 369,599.40 |
| Check | 11/08/2010 | 2118 | | investors Prime Fund, LLC | Purchase of Luna loan from IPF | 1110 - Fund Loans | | 124,000.00 | 245,599.40 |
| Deposit | 11/09/2010 | | | | Deposit | 1110 - Fund Loans | | 162,500.00 | 39,099.40 |
| Deposit | 11/09/2010 | | | | Deposit | 3010 - Contributions | 200,000.00 | | 283,099.40 |
| Deposit | 11/09/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 6,613.87 | | 289,713.27 |
| Deposit | 11/09/2010 | | | | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 293,379.94 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|--------------------------------|---|-------------------------------------|--------------|------------|--------------|
| Check | 11/09/2010 | 2119 | | CASH | Cash for keys - G. Molina | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 282,378.94 |
| Check | 11/10/2010 | 2120 | | Lee V. Emerson Revocable Trust | #0101-ACH09 Final Withdrawal | 3040 - Withdrawals | | 80,715.68 | 231,664.26 |
| Check | 11/12/2010 | Transfer | | SB Capital Corp. | Managers Profit Participation - dep... | 1220 - Due From Manager | | 50,000.00 | 181,664.26 |
| Check | 11/15/2010 | 2121 | | REO Tenant | Cash for Keys | 8112 - Bay Rd REO - Tenant Rela... | | 1,000.00 | 180,664.26 |
| Check | 11/15/2010 | 2122 | | PLM Lender Services | | 1153 - Fund Loan Exp Advance ... | | 1,400.00 | 179,264.26 |
| Deposit | 11/18/2010 | | | | Deposit | 1150 - Fund Loans Interest Recei... | 848.80 | | 180,111.16 |
| Deposit | 11/18/2010 | | | | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 180,527.83 |
| Check | 11/24/2010 | 2123 | | Wells Fargo Bank | Loan#4064020762-18 | -SPLIT- | | 2,857.53 | 177,570.30 |
| Check | 11/24/2010 | 2124 | | Ian Carney | Site Development Fee-Bay Road | 8110 - Bay Rd REO - Remodel | | 6,300.00 | 171,270.30 |
| Check | 11/24/2010 | 2125 | | San Mateo County Tax Collector | Bay Road - 3062-064-140 Delinque... | 8101 - Bay Rd REO - Taxes | | 5,052.48 | 166,217.82 |
| Check | 11/24/2010 | 2126 | | Allied Insurance Brokers | #2389 Natoma condo coverage | 8002 - Natoma REO - Insurance | | 335.29 | 165,882.53 |
| Check | 11/30/2010 | 2127 | | Torey Pines Bank | Transfer to Alta #2470 for Dec Dist... | 1080 - Interbank Transfers | | 50,000.00 | 115,882.53 |
| Deposit | 11/30/2010 | | | | Deposit | 3010 - Contributions | 225,000.00 | | 340,882.53 |
| Deposit | 11/30/2010 | | | | Deposit | -SPLIT- | 133.47 | | 340,518.00 |
| Check | 12/02/2010 | 2128 | | Yeh Family Trust - act 2 | #0108 Yeh partial withdrawal | 3040 - Withdrawals | | 17,000.00 | 323,518.00 |
| Check | 12/02/2010 | 2129 | | Wells Fargo Bank | Loan#4064020762-18 principal pay... | 2210 - NIP - Bay Road REO | | 100,000.00 | 223,518.00 |
| Check | 12/02/2010 | 2130 | | Doss Law | Matter #0003 | -SPLIT- | | 1,750.00 | 221,768.00 |
| Deposit | 12/08/2010 | | | | Deposit-#0139 | 3010 - Contributions | 147,889.62 | | 369,755.62 |
| Check | 12/09/2010 | 2131 | | Investors Prime Fund, LLC | Trf SBC PF #0104 to IPF#0920 | 3040 - Withdrawals | | 47,043.67 | 322,711.95 |
| Check | 12/09/2010 | Wire ... | | Old Republic Title | Funding of Bragato loan #121 | 1110 - Fund Loans | | 54,500.00 | 268,211.95 |
| Check | 12/10/2010 | 2132 | | San Mateo County Tax Collector | Bay Road 2010-2011 1st Instalme... | -SPLIT- | | 4,588.40 | 263,623.55 |
| Deposit | 12/14/2010 | | | | Deposit-#0139 | 3010 - Contributions | 10,000.68 | | 273,624.23 |
| Deposit | 12/14/2010 | | | | Deposit-#113 | -SPLIT- | 1,245.83 | | 274,870.06 |
| Deposit | 12/14/2010 | | | | Deposit-#82 | -SPLIT- | 416.67 | | 275,286.73 |
| Deposit | 12/14/2010 | | | | Deposit-#864727 | 1155 - Servicing Trust Balance | 6,813.87 | | 281,002.60 |
| Deposit | 12/14/2010 | | | | Deposit-#86 | 1155 - Servicing Trust Balance | 3,666.67 | | 285,569.27 |
| Deposit | 12/14/2010 | | | | Deposit-#0120 | 3010 - Contributions | 15,000.00 | | 300,569.27 |
| Check | 12/14/2010 | Transfer | | SB Capital Corp. | Transfer to SB Capital Heritage #3... | 1220 - Due From Manager | | 25,000.00 | 300,569.27 |
| Check | 12/17/2010 | 2133 | | Doss Law | 12/31/10 #65676 Matter 2695-0003 | 6101 - Legal | | 446.55 | 275,122.72 |
| Check | 12/17/2010 | 2134 | | Price Law Firm | 10/29/10 #20101023 - Lipari/Bay R... | 8120 - Bay Rd REO - Legal | | 3,108.00 | 272,014.72 |
| Check | 12/17/2010 | 2135 | | Wells Fargo Bank | Loan#4064020762-18 | -SPLIT- | | 2,957.53 | 269,057.19 |
| Check | 12/21/2010 | | | | Deposit-#864726 | 1150 - Fund Loans Interest Recei... | 848.80 | | 269,904.09 |
| Check | 12/24/2010 | 2136 | | PG&E | Bay Road #68481 50591-1 | 8103 - Bay Rd REO - Utilities | | 98.31 | 269,904.09 |
| Deposit | 12/27/2010 | | | | Deposit-#0140 | 3010 - Contributions | 25,000.00 | | 294,907.78 |
| Deposit | 12/28/2010 | | | | Deposit-#0139 | 3010 - Contributions | 20,000.38 | | 314,808.16 |
| Check | 12/29/2010 | 2137 | | SB Capital Corp. | Reimb #118/07 SB Cap Corp pmt o... | 9020 - LLC Fees & Franchise Ta... | | 800.00 | 314,008.16 |
| Check | 12/29/2010 | 122910 | | Old Republic Title | Sellers net closing costs | 6510 - Lender Loan Fees | | 5,637.48 | 308,370.68 |
| Check | 12/29/2010 | 122910 | | SB Capital Corp. | Bay Road loan fee | 5040 - Loan Fees | | 50,000.00 | 258,370.68 |
| Deposit | 12/29/2010 | | | | Deposit-#0141 | 3010 - Contributions | 50,000.00 | | 308,370.68 |
| Deposit | 12/30/2010 | | | | Deposit-#0129 | 3010 - Contributions | 5,000.00 | | 313,370.68 |
| Deposit | 12/30/2010 | | | | Deposit-Dodge | 1220 - Due From Manager | 10,000.00 | | 323,370.68 |
| Deposit | 12/30/2010 | | | | Deposit-#0140 | 3010 - Contributions | 250.00 | | 323,620.68 |
| Deposit | 12/30/2010 | | | | Deposit-#0141 | 3010 - Contributions | 500.00 | | 324,120.68 |
| Check | 12/30/2010 | 2138 | | VOID | Deposit-#0141 | 3030 - Distributions | 0.00 | | 324,120.68 |
| Check | 12/30/2010 | 2139 | | 349 First Street, LLC | Developer Fee - Bay Road (Ian Car... | -SPLIT- | | 48,252.39 | 275,868.29 |
| Check | 12/30/2010 | 2140 | | Doss Law | Matter 0003 Inv #65226 11/5/10 | -SPLIT- | | 602.89 | 275,265.40 |
| Check | 12/30/2010 | 2141 | | PG&E | Natoma #6856430383-7 to 12/9/10... | 8103 - Bay Rd REO - Utilities | | 62.48 | 275,202.94 |
| Deposit | 12/31/2010 | | | | Deposit-#103 | -SPLIT- | 871.45 | | 276,074.39 |
| Deposit | 12/31/2010 | | | | Deposit-#121 | -SPLIT- | 54,674.10 | | 330,748.49 |
| Check | 12/31/2010 | 2142 | | Jeff Stainton | Bay Road Lot 3 Appraisal 12/22/10... | 8104 - Bay Rd REO - Licenses & ... | | 275.00 | 330,473.49 |
| Check | 12/31/2010 | 2143 | | Jeff Stainton | Bay Road appraisal - Lot 2 12/22/1... | 8104 - Bay Rd REO - Licenses & ... | | 275.00 | 330,198.49 |
| Deposit | 12/31/2010 | | | | Interest | 4030 - Bank & Investment Interest | 0.01 | | 330,198.50 |
| Check | 01/08/2011 | 2144 | | Yeh Family Trust - act 2 | #0108 Yeh Fam redemption request | 3040 - Withdrawals | | 27,891.49 | 302,307.01 |
| Deposit | 01/10/2011 | | | | Deposit-#86 | 1155 - Servicing Trust Balance | 3,666.67 | | 306,173.68 |
| Deposit | 01/10/2011 | | | | Deposit-#864727 | -SPLIT- | 6,781.63 | | 312,955.31 |
| Check | 01/10/2011 | 2145 | | PG&E | Deposit-#0133 | 3010 - Contributions | 50,000.00 | | 362,955.31 |
| Check | 01/10/2011 | | | | Bay Road Svc 11/23-12/23/10 | 1153 - Fund Loan Exp Advance ... | | 120.14 | 362,835.17 |
| Deposit | 01/12/2011 | | | | Deposit-dep error | 1080 - Interbank Transfers | 1,980,000.00 | | 2,342,835.17 |
| Check | 01/12/2011 | 011211 | | Small Business Capital LLC | Deposit-#0133 | 3010 - Contributions | 250.00 | | 2,343,085.17 |
| Check | 01/13/2011 | 2147 | | Spiegel Accountancy Corp. | Van Anstede payoff dep error to #3... | 1080 - Interbank Transfers | 1,980,000.00 | | 353,085.17 |
| Check | 01/13/2011 | 2148 | | Wells Fargo Bank - 8602 | progress billing 12/31/10 year end | -SPLIT- | | 3,860.00 | 356,945.17 |
| Deposit | 01/20/2011 | | | | VOID: ck lost in mail - replaced 1/2... | 8190 - Bay Rd REO - Interest on ... | 0.00 | | 356,945.17 |
| Deposit | 01/20/2011 | | | | Deposit-#113 | 4010 - Loan Interest Income | 1,625.00 | | 360,830.17 |
| Deposit | 01/20/2011 | | | | Deposit-#82 | 4010 - Loan Interest Income | 416.67 | | 361,246.84 |
| Check | 01/20/2011 | 2149 | | Price Law Firm | Deposit-#864726 | 4010 - Loan Interest Income | 848.80 | | 362,095.74 |
| Deposit | 01/24/2011 | | | | 9/25/10 #20100930 | 2510 - Misc. Other Liabilities | | 6,145.27 | 368,241.01 |
| Deposit | 01/24/2011 | | | | Deposit-Bay Road escr | 8190 - Bay Rd REO - Interest on ... | 70.48 | | 368,311.49 |
| Check | 01/24/2011 | 2150 | | SB Capital Corp. | Deposit-Le Felix | 3010 - Contributions | 52,040.04 | | 408,058.99 |
| Check | 01/25/2011 | 2151 | | Old Republic Title | Withdrawal to Trf from SBC PF#01... | 3040 - Withdrawals | | 52,040.04 | 356,018.95 |
| Check | 01/27/2011 | 2152 | | City of Menlo Park | Bragato reconveyance fee | 5080 - Lender Services Costs | | 45.00 | 355,973.95 |
| Check | 01/27/2011 | 2153 | | City of Menlo Park | Bay Rd loan to be increased by this... | 1153 - Fund Loan Exp Advance ... | | 8,900.00 | 347,073.95 |
| Check | 01/27/2011 | 2154 | | VOID | Bay Rd loan to be increased by this... | 1153 - Fund Loan Exp Advance ... | | 5,900.00 | 341,173.95 |
| Deposit | 01/28/2011 | | | | Deposit-#0133 | 3030 - Distributions | 0.00 | | 341,173.95 |
| Check | 01/28/2011 | 2155 | | Wells Fargo Bank | Deposit-#0133 | 3010 - Contributions | 250.00 | | 341,423.95 |
| Deposit | 01/31/2011 | | | | Loan#4064020762-18 | -SPLIT- | | 2,957.53 | 338,466.42 |
| Deposit | 01/31/2011 | | | | Deposit-#0140 | 3010 - Contributions | 10,000.00 | | 348,466.42 |
| Deposit | 01/31/2011 | | | | Deposit-#0140 | 3010 - Contributions | 100.00 | | 348,566.42 |
| Deposit | 02/01/2011 | | | | Deposit-#0125 | 3010 - Contributions | 25,000.00 | | 373,566.42 |
| Check | 02/01/2011 | 020111 | | Torey Pines Bank | Trf of cash to Heritage #2470 for d... | 1080 - Interbank Transfers | | 25,000.00 | 348,566.42 |
| Deposit | 02/02/2011 | | | | Deposit-#0125 | 3010 - Contributions | 250.00 | | 348,816.42 |
| Deposit | 02/08/2011 | | | | Deposit-#86 | 1155 - Servicing Trust Balance | 3,666.67 | | 352,483.09 |
| Deposit | 02/08/2011 | | | | Deposit-#103 | 1155 - Servicing Trust Balance | 1,136.67 | | 353,619.76 |
| Check | 02/08/2011 | Wire ... | | Old Republic Title | new loan princ for Zelaya Escrow | 1110 - Fund Loans | | 100,000.00 | 253,619.76 |
| Deposit | 02/10/2011 | | | | Deposit | 4020 - Loan Fee Income | 5,000.00 | | 258,619.76 |
| Deposit | 02/15/2011 | | | | Deposit-#82 | 4010 - Loan Interest Income | 416.67 | | 259,036.43 |
| Deposit | 02/15/2011 | | | | Deposit-#113 | 4010 - Loan Interest Income | 1,625.00 | | 260,661.43 |
| Deposit | 02/15/2011 | | | | Deposit-#103 | 4010 - Loan Interest Income | 1,136.67 | | 261,798.10 |
| Check | 02/15/2011 | 2156 | | PLM Lender Services | #51-1 Cabello foreclosure fees | 5050 - Net Loan Foreclosure Costs | | 1,400.00 | 260,398.10 |
| Check | 02/17/2011 | 2157 | | VOID | | 3030 - Distributions | 0.00 | | 260,398.10 |
| Check | 02/17/2011 | 2158 | | Spiegel Accountancy Corp. | 2/1/11 #2349 12/31/10 Y/E Audit pr... | 6103 - Audit & Tax | | 3,690.00 | 256,708.10 |
| Check | 02/17/2011 | 2159 | | Wells Fargo Bank | VOID: Loan#4064020762-18 replac... | -SPLIT- | | 0.00 | 256,708.10 |
| Check | 02/18/2011 | 2160 | | Gregory Lewis | Bay Rd Landscape Plan | 1153 - Fund Loan Exp Advance ... | | 1,000.00 | 255,708.10 |
| Check | 02/18/2011 | 2161 | | Nielsen Architects | 1/7/11 #2011-0003 Design & projec... | 1153 - Fund Loan Exp Advance ... | | 4,237.50 | 251,470.60 |
| Check | 02/18/2011 | 2162 | | Nielsen Architects | 1/31/11 #2011-30 Complete design | 1153 - Fund Loan Exp Advance ... | | 1,007.40 | 250,463.20 |
| Check | 02/18/2011 | 2163 | | SMP Engineers | 1/6/11 #3534 Tentative Map compl... | 1153 - Fund Loan Exp Advance ... | | 1,500.00 | 248,963.20 |
| Check | 03/07/2011 | 2164 | | Wells Fargo Bank | Loan#4064020762-18 | -SPLIT- | | 51,707.34 | 197,255.86 |
| Deposit | 03/10/2011 | | | | Deposit-#864726 | 1155 - Servicing Trust Balance | 1,096.90 | | 198,352.76 |
| Deposit | 03/10/2011 | | | | Deposit-#103 | -SPLIT- | 125,136.67 | | 323,489.43 |
| Check | 03/11/2011 | 2165 | | 1350 Natoma St. HOA | Dec 2010 - March 2011 Natoma R... | 8250 - Natoma REO - Homeown... | | 1,400.00 | 322,089.43 |
| Check | 03/11/2011 | 2166 | | Spiegel Accountancy Corp. | 2/18/11 inv#2441 Progress billing 1... | 6103 - Audit & Tax | | 7,985.00 | 314,104.43 |
| Check | 03/11/2011 | 2167 | | Spiegel Accountancy Corp. | 3/4/11 inv#2540 12/31/10 Tax Return | 6103 - Audit & Tax | | 2,845.00 | 311,259.43 |
| Deposit | 03/14/2011 | | | | Deposit | 4020 - Loan Fee Income | 4,000.00 | | 315,259.43 |
| Check | 03/14/2011 | 2168 | | Franchise Tax Board | 2011 Form 3522 CA LLC annual ta... | 9020 - LLC Fees & Franchise Ta... | | 800.00 | 314,459.43 |
| Check | 03/14/2011 | 2169 | | Franchise Tax Board | 2011 Form 3536 annual fee due 06... | 9020 - LLC Fees & Franchise Ta... | | 900.00 | 313,559.43 |
| Deposit | 03/18/2011 | | | | Deposit-#130 | 4010 - Loan Interest Income | 722.22 | | 314,281.65 |
| Deposit | 03/18/2011 | | | | Deposit-#82 | 4010 - Loan Interest Income | 416.67 | | 314,698.32 |
| Deposit | 03/18/2011 | | | | Deposit-#113 | 4010 - Loan Interest Income | 1,625.00 | | 316,323.32 |
| Check | 03/18/2011 | 2170 | | Wells Fargo Bank | Loan#4064020762-18 Bay Road | -SPLIT- | | 2,957.53 | 313,365.79 |
| Check | 03/25/2011 | | | | Deposit | 3010 - Contributions | 10,000.00 | | 323,365.79 |
| Deposit | 03/25/2011 | | | | Deposit | 4020 - Loan Fee Income | 6,000.00 | | 329,365.79 |
| Deposit | 03/29/2011 | | | | Deposit | 4010 - Loan Interest Income | 846.90 | | 330,212.69 |
| Deposit | 03/29/2011 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 333,879.36 |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|----------|------------|----------|-----|--------------------------------------|---|--------------------------------------|------------|--------------|--------------|
| Deposit | 03/29/2011 | | | | Deposit | -SPLIT- | 225,678.12 | | 559,557.48 |
| Check | 03/29/2011 | | | Old Republic Title | WIRE FOR BOSCO | 1110 - Fund Loans | | 200,000.00 | 359,557.48 |
| Check | 03/29/2011 | | | Old Republic Title | ADDITIONAL WIRE FOR BOSCO | 1110 - Fund Loans | | 30,000.00 | 329,557.48 |
| Deposit | 03/31/2011 | 2171 | | Phillip R. Tiley Lving Trst, 1215... | Deposit | 3010 - Contributions | 58.97 | | 329,616.45 |
| Check | 04/05/2011 | | | | PARTIAL WITHDRAWAL | 3040 - Withdrawals | 14,000.00 | 7,851.00 | 321,665.45 |
| Deposit | 04/05/2011 | 2172 | | SBC Portfolio Fund LLC | TRANSFER FROM 3695 TO TOR... | 3010 - Contributions | | 10,000.00 | 335,965.45 |
| Deposit | 04/08/2011 | | | | Deposit | 1020 - Torrey Pines - 2470 - Distrib | | | 325,965.45 |
| Deposit | 04/08/2011 | | | | Deposit | 3010 - Contributions | 50.00 | | 326,015.45 |
| Transfer | 04/08/2011 | | | | Funds Transfer | 3010 - Contributions | 100.00 | | 326,115.45 |
| Deposit | 04/11/2011 | | | | Deposit | 1031 - Heritage - 3554 - MM | 202.55 | | 326,318.00 |
| Check | 04/11/2011 | | | | Deposit | 4020 - Loan Fee Income | 900.00 | | 327,218.00 |
| Check | 04/11/2011 | 2173 | | San Mateo County Tax Collector | Deposit | 4020 - Loan Fee Income | 250.00 | | 327,468.00 |
| Check | 04/11/2011 | | | | loan advance to 348 First Street LLC... | 1153 - Fund Loan Exp Advance ... | | 4,586.40 | 322,881.60 |
| Check | 04/11/2011 | | | | Deposit | 3010 - Contributions | 70,000.00 | | 392,881.60 |
| Check | 04/12/2011 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 417,881.60 |
| Check | 04/12/2011 | | | | Deposit | 3010 - Contributions | 700.00 | | 418,581.60 |
| Check | 04/12/2011 | | | | Deposit | 3010 - Contributions | 250.00 | | 418,831.60 |
| Check | 04/12/2011 | | | | Deposit | 3010 - Contributions | 15,000.00 | | 433,831.60 |
| Check | 04/18/2011 | | | | Deposit | 1150 - Fund Loans Interest Recei... | 3,666.67 | | 437,498.27 |
| Check | 04/18/2011 | | | | Deposit | -SPLIT- | 231,778.06 | | 668,776.33 |
| Check | 04/18/2011 | | | | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 669,193.00 |
| Check | 04/18/2011 | | | | Deposit | 1150 - Fund Loans Interest Recei... | 1,825.00 | | 670,918.00 |
| Check | 04/18/2011 | | | | Deposit | 1150 - Fund Loans Interest Recei... | 1,011.11 | | 671,829.11 |
| Check | 04/19/2011 | | | | Deposit | 3010 - Contributions | 150.00 | | 671,979.11 |
| Check | 04/19/2011 | 2175 | | Wells Fargo Bank | Deposit | 3010 - Contributions | 140.00 | | 672,119.11 |
| Check | 04/19/2011 | 2174 | | Spiegel Accountancy Corp. | Loan#4064020762-18 | -SPLIT- | | 2,957.53 | 669,161.58 |
| Check | 04/21/2011 | | | | Deposit | 6103 - Audit & Tax | | 510.00 | 668,651.58 |
| Check | 04/21/2011 | 2176 | | Cavallino Fund | Deposit | 4020 - Loan Fee Income | 2.01 | | 668,653.59 |
| Check | 04/28/2011 | 2177 | | PLM Lender Services | Cabello 2120 Broadway, Oakland - ... | 6510 - Lender Loan Fees | | 2,569.50 | 666,084.09 |
| Transfer | 05/01/2011 | 2178 | | | Funds Transfer | 5050 - Net Loan Foreclosure Costs | 167.85 | | 665,916.24 |
| Check | 05/03/2011 | | | | Deposit | 1020 - Torrey Pines - 2470 - Distrib | 15,000.00 | | 650,916.24 |
| Check | 05/04/2011 | 2179 | | Doss Law | INVOICE 10411 | 3010 - Contributions | 5,000.00 | | 655,916.24 |
| Check | 05/04/2011 | | | | Deposit | 6101 - Legal | | 282.59 | 655,633.65 |
| Check | 05/05/2011 | wire | | Old Republic Title | fund MLK loan | 3010 - Contributions | 50,000.00 | | 705,633.65 |
| Check | 05/06/2011 | | | | Deposit | 1110 - Fund Loans | | 275,000.00 | 430,633.65 |
| Check | 05/10/2011 | | | | Deposit | 3010 - Contributions | 50.00 | | 430,683.65 |
| Check | 05/11/2011 | | | | Deposit | 3010 - Contributions | 9,899.38 | | 440,583.01 |
| Check | 05/11/2011 | | | | Deposit | 3010 - Contributions | 88.89 | | 440,671.90 |
| Check | 05/11/2011 | | | | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 444,338.57 |
| Check | 05/11/2011 | | | | Deposit | 4020 - Loan Fee Income | 5,500.00 | | 449,838.57 |
| Check | 05/12/2011 | | | | Deposit | 4020 - Loan Fee Income | 500.00 | | 450,338.57 |
| Check | 05/12/2011 | 2180 | | Cavallino Fund | Deposit | -SPLIT- | 3,161.11 | | 453,500.78 |
| Transfer | 05/31/2011 | 2181 | | | 1350 Natoma Street, #4 - Loan pay... | 6500 - Interest Expense | | 2,902.08 | 450,607.70 |
| Check | 06/01/2011 | | | | Funds Transfer | 1020 - Torrey Pines - 2470 - Distrib | | 25,000.00 | 425,607.70 |
| Check | 06/02/2011 | 2182 | | Le Felix Ventures | Deposit | 3010 - Contributions | 50,000.00 | | 475,607.70 |
| Check | 06/02/2011 | | | | PARTIAL WITHDRAWAL | 3040 - Withdrawals | 15,000.00 | | 460,607.70 |
| Check | 06/02/2011 | 2183 | | Paul D. Miller & Associates, Inc. | Deposit | 4020 - Loan Fee Income | 4,000.00 | | 456,607.70 |
| Check | 06/07/2011 | | | Joe Segura | CHECK | 1153 - Fund Loan Exp Advance ... | | 2,750.00 | 453,857.70 |
| Check | 06/14/2011 | | | SB Capital Brokers Trust | Deposit | 3010 - Contributions | 10,000.00 | | 463,857.70 |
| Check | 06/14/2011 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recei... | 3,666.67 | | 475,524.37 |
| Check | 06/14/2011 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 475,941.04 |
| Check | 06/14/2011 | 2184 | | investors Prime Fund, LLC | Deposit | 1150 - Fund Loans Interest Recei... | 1,625.00 | | 477,566.04 |
| Check | 06/14/2011 | 2185 | | Wells Fargo Bank | Purchase Loan #20000 - Valley Pro... | 1110 - Fund Loans | | 179,442.70 | 298,123.34 |
| Check | 06/14/2011 | 2186 | | Jamie Fougner | Loan#4064020762-18 | -SPLIT- | | 2,957.53 | 295,165.81 |
| Check | 06/14/2011 | 2187 | | Price Law Firm | reimbursement for window repair at... | 8299 - Natoma REO - Misc Exp | | 100.00 | 295,065.81 |
| Check | 06/14/2011 | 2188 | | City and County of San Francisco | Invoice FC4264 | 8120 - Bay Rd REO - Legal | | 283.45 | 294,782.36 |
| Check | 06/14/2011 | | | SB Capital Corp. | property taxes on Natoma Street | 8201 - Natoma REO - Taxes | | 2,857.58 | 291,924.78 |
| Check | 06/14/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 100.00 | | 292,024.78 |
| Check | 06/14/2011 | | | SB Capital Brokers Trust | Deposit | 1110 - Fund Loans | 103,266.66 | | 395,291.44 |
| Check | 06/16/2011 | | | Michael & Catherine Connors | Deposit | 3010 - Contributions | 25,000.00 | | 420,291.44 |
| Check | 06/16/2011 | | | Frederick Turner | Deposit | 3010 - Contributions | 40,000.00 | | 460,291.44 |
| Check | 06/21/2011 | 2189 | | Contra Costa County Clerk - Re... | CHECK | 6030 - Business Licenses & Fees | | 20.00 | 460,261.44 |
| Check | 06/22/2011 | | | Anders Bjorkman & Bo Jiang | Deposit | 3010 - Contributions | 30,000.00 | | 490,261.44 |
| Check | 06/22/2011 | | | Nashville Gross | Deposit | 3010 - Contributions | 25,000.00 | | 515,261.44 |
| Check | 06/22/2011 | | | Van Blarigan Family Trust | Deposit | 3010 - Contributions | 100,000.00 | | 615,261.44 |
| Check | 06/23/2011 | | | Heritage Bank | DELLUXE BUS SYS. BUS PRODS ... | 5010 - Bank Fees | | 33.93 | 615,227.71 |
| Check | 06/24/2011 | | | Vivek Agarwal | Deposit | 3010 - Contributions | 25,000.00 | | 640,227.71 |
| Check | 06/27/2011 | | | Sarwan Wason | Deposit | 3010 - Contributions | 50,000.00 | | 690,227.71 |
| Check | 06/27/2011 | | | Orlando Escobar | Deposit | 3010 - Contributions | 25,000.00 | | 715,227.71 |
| Check | 06/27/2011 | | | W. Ronald Reacker | Deposit | 3010 - Contributions | 50,000.00 | | 765,227.71 |
| Check | 06/28/2011 | | | Pingyu Liu | Deposit | 3010 - Contributions | 10,000.00 | | 775,227.71 |
| Check | 06/28/2011 | | | Slocum Family Trust | Deposit | 3010 - Contributions | 25,000.00 | | 800,227.71 |
| Check | 06/28/2011 | 2190 | | Cavallino Fund | 1350 Natoma Street, #4 - Loan pay... | 6500 - Interest Expense | | 2,902.08 | 797,325.63 |
| Check | 06/28/2011 | 2191 | | Wells Fargo Bank | Loan#4064020762-18 | 2210 - NIP - Bay Road REO | | 127,857.53 | 1,065,388.10 |
| Transfer | 06/29/2011 | 2192 | | SBC Portfolio Fund LLC | Funds Transfer | 1020 - Torrey Pines - 2470 - Distrib | | 20,000.00 | 1,045,388.10 |
| Check | 06/30/2011 | | | Terence & Kathleen Lacey | Deposit | 3010 - Contributions | 60,000.00 | | 1,105,388.10 |
| Check | 06/30/2011 | | | 695 Oak Grove Associates | Deposit | 1150 - Fund Loans Interest Recei... | 3,666.67 | | 1,113,054.77 |
| Check | 06/30/2011 | | | MLK Commercial | Deposit | -SPLIT- | 175,776.41 | | 1,288,811.18 |
| Check | 06/30/2011 | | | Manoj Patel - Palo Alto Lodge | Deposit | 4020 - Loan Fee Income | 250.00 | | 1,289,061.18 |
| Check | 06/30/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 1,137.50 | | 1,290,198.68 |
| Check | 06/30/2011 | | | Jamie Fougner | Deposit | -SPLIT- | 4,000.00 | | 1,294,198.68 |
| Check | 06/30/2011 | 2193 | | SB Capital Corp. | CREDIT | -SPLIT- | 26,457.34 | | 1,320,656.02 |
| Check | 07/05/2011 | | | Jamie Fougner | reimburse for maintenance on Nat... | 8299 - Natoma REO - Misc Exp | | 287.31 | 1,320,368.71 |
| Check | 07/07/2011 | | | Elizabeth Cehovic | Deposit | 3010 - Contributions | 100,000.00 | | 1,420,368.71 |
| Check | 07/07/2011 | | | Le Felix Ventures | Deposit | 3010 - Contributions | 7,901.01 | | 1,428,269.72 |
| Check | 07/07/2011 | | | Chris T. Mabanta | Deposit | 3010 - Contributions | 50,000.00 | | 1,478,269.72 |
| Check | 07/07/2011 | | | Rhona Leibof | Deposit | 3010 - Contributions | 10,000.00 | | 1,488,269.72 |
| Check | 07/07/2011 | | | Rhona Leibof | Deposit | 3010 - Contributions | 25,000.00 | | 1,513,269.72 |
| Check | 07/07/2011 | | | Allan Leibof | Deposit | 3010 - Contributions | 25,000.00 | | 1,538,269.72 |
| Check | 07/08/2011 | | | Chris T. Mabanta | Deposit | 3010 - Contributions | 25,000.00 | | 1,563,269.72 |
| Check | 07/11/2011 | | | The Sung Living Trust | Deposit | -SPLIT- | 25,000.00 | | 1,588,269.72 |
| Check | 07/11/2011 | 2194 | | SB Capital Corp. | Deposit | 3010 - Contributions | 3,137.14 | | 1,591,406.86 |
| Check | 07/11/2011 | | | Secretary of State | filing fees - SOS filing | 6030 - Business Licenses & Fees | | 28.00 | 1,591,378.86 |
| Check | 07/13/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 2,000.00 | | 1,593,378.86 |
| Check | 07/15/2011 | | | Manoj Patel - Palo Alto Lodge | Deposit | 1150 - Fund Loans Interest Recei... | 846.90 | | 1,594,225.76 |
| Check | 07/15/2011 | | | Manoj Patel - Palo Alto Lodge | Deposit | 1150 - Fund Loans Interest Recei... | 846.90 | | 1,595,072.66 |
| Check | 07/15/2011 | | | James E. Finnegan | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 1,595,489.33 |
| Check | 07/15/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 1,625.00 | | 1,597,114.33 |
| Check | 07/15/2011 | | | Valley Produce | Deposit | -SPLIT- | 2,323.91 | | 1,599,438.24 |
| Check | 07/15/2011 | | | The Rainier Living Trust | Deposit | 3010 - Contributions | 100,000.00 | | 1,699,438.24 |
| Check | 07/15/2011 | | | Stephan Hengstler | Deposit | 3010 - Contributions | 25,000.00 | | 1,724,438.24 |
| Check | 07/15/2011 | | | First American Title Company | Fund loan for Aung San, LLC - San ... | 1110 - Fund Loans | | 1,287,500.00 | 436,938.24 |
| Check | 07/15/2011 | | | Aung San, LLC | Deposit | 5040 - Loan Fees | 57,187.50 | | 494,125.74 |
| Check | 07/15/2011 | | | Small Business Lending | WIRE/OUT-201119600182;BNF S... | 1150 - Fund Loans Interest Recei... | 846.90 | 22,875.00 | 471,250.74 |
| Check | 07/18/2011 | 2198 | | Cavallino Fund | 1350 Natoma Street, #4 - Loan pay... | 6500 - Interest Expense | | 2,902.08 | 468,348.66 |
| Check | 07/18/2011 | 2197 | | Colson Services Corporation | CHECK | 2510 - Misc. Other Liabilities | | 11,437.50 | 457,558.08 |
| Check | 07/19/2011 | 2195 | | PG&E | 1153 - Fund Loan Exp Advance ... | -SPLIT- | | 16.83 | 457,730.23 |
| Check | 07/19/2011 | | | Wells Fargo Bank | Loan#4064020762-18 | -SPLIT- | | 110,962.93 | 346,767.30 |
| Check | 07/19/2011 | | | Aung San, LLC | Deposit | 4020 - Loan Fee Income | 1,000.00 | | 347,775.30 |
| Check | 07/21/2011 | 07211... | | David Sherwin | Sherwin Loan #2 - 601 N. Idaho St... | 1110 - Fund Loans | | 60,000.00 | 287,775.30 |
| Check | 07/21/2011 | | | David Sherwin | Deposit | 4020 - Loan Fee Income | 300.00 | | 288,075.30 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|----------|------------|------|-----|-------------------------------------|--|--------------------------------------|------------|--------------|--------------|
| Deposit | 07/21/2011 | | | David Sherwin | Deposit | 4020 - Loan Fee Income | 1,200.00 | | 289,275.30 |
| Deposit | 07/28/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 1,173.61 | | 290,448.91 |
| Deposit | 08/02/2011 | | | Jamie Fougner | Deposit | -SPLIT- | 2,000.00 | | 292,448.91 |
| Transfer | 08/03/2011 | 2198 | | | Funds Transfer | 1020 - Torrey Pines - 2470 - Distrib | | 15,000.00 | 277,448.91 |
| Check | 08/08/2011 | 2199 | | PG&E | Deposit | 1153 - Fund Loan Exp Advance ... | 3,759.91 | 22.49 | 277,426.42 |
| Deposit | 08/10/2011 | | | Frederick Turner | Deposit | 3010 - Contributions | 50,000.00 | | 277,426.42 |
| Deposit | 08/16/2011 | | | First West Capital Corporation ... | Deposit | 3010 - Contributions | 400,000.00 | | 277,426.42 |
| Check | 08/22/2011 | 2200 | | Cavalino Fund | note on Natoma Street REO | 6500 - Interest Expense | | 2,902.08 | 724,524.34 |
| Deposit | 08/22/2011 | | | Naghemeh transfer from IPF ac... | Deposit | 3010 - Contributions | 25,000.00 | | 749,524.34 |
| Deposit | 08/22/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 749,941.01 |
| Deposit | 08/22/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 3,759.91 | | 753,700.92 |
| Deposit | 08/22/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 3,666.67 | | 757,407.59 |
| Deposit | 08/22/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 846.90 | | 758,254.49 |
| Deposit | 08/22/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,000.00 | | 761,254.49 |
| Deposit | 08/22/2011 | | | Edward Minasian | Deposit | 3010 - Contributions | 25,000.00 | | 786,254.49 |
| Deposit | 08/22/2011 | | | SB Capital Corp. | Deposit | 1110 - Fund Loans | 4,000.00 | | 790,254.49 |
| Check | 08/22/2011 | 2201 | | Investors Prime Fund, LLC | purchase 106 shenwin note from IPF | | | 82,271.12 | 707,983.37 |
| Check | 08/22/2011 | 2202 | | Investors Prime Fund, LLC | #106 Mardock Note - purchase not... | 1110 - Fund Loans | | 395,290.15 | 312,703.22 |
| Deposit | 08/22/2011 | | | McDowell Trust, dtd 06/27/00 | Deposit | 3010 - Contributions | 35,000.00 | | 347,703.22 |
| Check | 08/23/2011 | 2203 | | Clerk - Records Ofc of Santa ... | Recording Assignment of Deed of ... | 6030 - Business Licenses & Fees | | 21.00 | 347,682.22 |
| Check | 08/23/2011 | 2204 | | San Mateo County Recorder | Recording Assignment of Deed of ... | 6030 - Business Licenses & Fees | | 18.00 | 347,664.22 |
| Deposit | 08/23/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 846.90 | | 348,511.12 |
| Deposit | 08/23/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 600.00 | | 349,111.12 |
| Check | 08/24/2011 | | | First American Title Company | 47300 KATO LLC - WIREOUT-20... | 1110 - Fund Loans | 250,000.00 | | 99,111.12 |
| Deposit | 08/28/2011 | | | 47300 KATO, LLC | CREDIT | 4020 - Loan Fee Income | 19,125.00 | | 118,236.12 |
| Deposit | 08/28/2011 | | | 47300 KATO, LLC | CREDIT | 4020 - Loan Fee Income | 1,000.00 | | 119,236.12 |
| Deposit | 08/28/2011 | | | 47300 KATO, LLC | CREDIT | 1060 - Interbank Transfers | 2,762.50 | | 121,998.62 |
| Deposit | 08/28/2011 | | | 47300 KATO, LLC | Deposit | 4010 - Loan Interest Income | 6,562.50 | | 131,561.12 |
| Deposit | 08/29/2011 | | | 47300 KATO, LLC | CREDIT | 1490 - Misc. Prepaids | 600.00 | | 132,161.12 |
| Deposit | 08/30/2011 | | | Small Business Capital LLC | from 4172 for loan origination fees | 4020 - Loan Fee Income | 9,562.50 | | 141,723.62 |
| Check | 08/30/2011 | 2205 | | The Mortgage Capital Develop... | SBA loan participation fee | 5025 - Fund Syndication Expenses | | 9,562.50 | 132,161.12 |
| Deposit | 08/31/2011 | | | Eva Baner Exempt. Trust, H... | Deposit | 3010 - Contributions | 50,000.00 | | 182,161.12 |
| Deposit | 08/31/2011 | | | Charlotte WU | Deposit | 3010 - Contributions | 25,000.00 | | 207,161.12 |
| Deposit | 08/31/2011 | | | R. Peter Griffith Cust FBO Laur... | VOID: Deposit | 3010 - Contributions | 26,000.00 | | 233,161.12 |
| Deposit | 08/31/2011 | | | R. Peter Griffith Cust FBO Lin... | Deposit | 3010 - Contributions | 40,000.00 | | 273,161.12 |
| Deposit | 08/31/2011 | | | Andreas Mosko | Deposit | 3010 - Contributions | 50,000.00 | | 323,161.12 |
| Deposit | 08/31/2011 | | | Mary Chien Sung | Deposit | 3010 - Contributions | 25,000.00 | | 348,161.12 |
| Check | 08/31/2011 | 2206 | | James K. Barron | reimburse SB Capital corp for enviro... | 3010 - Contributions | 25,000.00 | | 373,161.12 |
| Deposit | 08/31/2011 | | | SB Capital Corp. | Deposit | 1490 - Misc. Prepaids | 600.00 | | 373,761.12 |
| Check | 08/31/2011 | 2207 | | Mercantile Capital | Deposit | -SPLIT- | 732,500.00 | | 1,105,061.12 |
| Check | 08/31/2011 | 2208 | | SB Capital Brokers Trust Act | DEBIT | 1060 - Interbank Transfers | | 2,762.50 | 1,102,298.62 |
| Transfer | 08/31/2011 | | | PG&E | CHECK | 1153 - Fund Loan Exp Advance ... | | 21.17 | 1,102,277.45 |
| Check | 09/01/2011 | 2209 | | SBC Portfolio Fund LLC | Funds Transfer | 1020 - Torrey Pines - 2470 - Distrib | | 25,000.00 | 1,077,277.45 |
| Check | 09/01/2011 | na | | R. Peter Griffith Cust FBO Laur... | check refused by Fidelity | 3010 - Contributions | | 28,000.00 | 1,049,277.45 |
| Deposit | 09/02/2011 | | | Geng Geng Wang | Deposit | 3010 - Contributions | 25,000.00 | | 1,074,277.45 |
| Deposit | 09/02/2011 | | | Nathalie Gross | Deposit | 3010 - Contributions | 25,000.00 | | 1,101,277.45 |
| Deposit | 09/02/2011 | | | R. Peter Griffith Cust FBO Laur... | Deposit | 3010 - Contributions | 26,000.00 | | 1,127,277.45 |
| Check | 09/02/2011 | 2210 | | MLK Commercial | rebate of excess loan fees - | 4020 - Loan Fee Income | | 2,060.00 | 1,125,217.45 |
| Check | 09/02/2011 | 2211 | | Blue Horse Finance | referral fee for 47300 Kato LLC / L... | 5040 - Loan Fees | 19,125.00 | | 1,096,092.45 |
| Check | 09/02/2011 | ach | | Horizon West Partners, LLC | referral fee for 47300 Kato LLC / L... | 5040 - Loan Fees | 19,125.00 | | 1,096,967.45 |
| Deposit | 09/02/2011 | | | Peter M. Chumo | Deposit | 3010 - Contributions | 50,000.00 | | 1,136,967.45 |
| Deposit | 09/02/2011 | | | Jamie Fougner | Deposit | 7020 - REO Rental Income | 2,000.00 | | 1,138,967.45 |
| Deposit | 09/05/2011 | | | SB Capital Brokers Trust Act | Deposit | -SPLIT- | 1,137.50 | | 1,140,104.95 |
| Deposit | 09/05/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 55.99 | | 1,140,160.94 |
| Deposit | 09/06/2011 | | | David Sherwin | Deposit | -SPLIT- | 650.00 | | 1,140,810.94 |
| Deposit | 09/06/2011 | | | Wells Fargo Bank | Loan#4064020762-16 | 6190 - Bay Rd REO - Interest on ... | 246.35 | | 1,141,057.29 |
| Deposit | 09/07/2011 | | | Robert & Diane Green | Deposit | 3010 - Contributions | 50,000.00 | | 1,191,057.29 |
| Deposit | 09/12/2011 | | | Orlando Escobar | VOID: Deposit | 3010 - Contributions | 25,000.00 | | 1,216,057.29 |
| Deposit | 09/12/2011 | | | R. Peter Griffith, DDS Profit Sh... | Deposit | 3010 - Contributions | 100,000.00 | | 1,316,057.29 |
| Deposit | 09/12/2011 | | | Karl Jay Smith Trust | Deposit | 3010 - Contributions | 17,000.00 | | 1,333,057.29 |
| Deposit | 09/12/2011 | | | Allan Leibof | Deposit | 3010 - Contributions | 25,000.00 | | 1,358,057.29 |
| Deposit | 09/12/2011 | | | Andrew Kin Lui & Donna Fang ... | CREDIT | 3010 - Contributions | 25,000.00 | | 1,383,057.29 |
| Deposit | 09/13/2011 | | | R. Peter Griffith, DDS Profit Sh... | Deposit | 3010 - Contributions | 32,987.75 | | 1,416,045.04 |
| Deposit | 09/18/2011 | | | First West Capital Corporation ... | Deposit | 3010 - Contributions | 400,000.00 | | 1,816,045.04 |
| Deposit | 09/18/2011 | | | Nicola Pennes | Deposit | 3010 - Contributions | 40,000.00 | | 1,856,045.04 |
| Deposit | 09/19/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 1,856,461.71 |
| Deposit | 09/19/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 361.11 | | 1,856,822.82 |
| Deposit | 09/19/2011 | | | SB Capital Brokers Trust Act | Deposit | 1150 - Fund Loans Interest Recei... | 6,738.28 | | 1,863,561.10 |
| Deposit | 09/19/2011 | | | Orlando Escobar | Deposit | 3010 - Contributions | 25,000.00 | | 1,888,561.10 |
| Check | 09/19/2011 | | | Orlando Escobar | reverse for NSF | 3010 - Contributions | | 25,000.00 | 1,863,561.10 |
| Deposit | 09/19/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 1,000.00 | | 1,864,561.10 |
| Deposit | 09/19/2011 | | | SB Capital Brokers Trust Act | Deposit | -SPLIT- | 2,099.42 | | 1,866,660.52 |
| Deposit | 09/21/2011 | | | Boyer H. Naito | CREDIT | 3010 - Contributions | 45,000.00 | | 1,911,660.52 |
| Check | 09/23/2011 | | | Investors Prime Fund, LLC | buy The Four Brothers Note from L... | 1110 - Fund Loans | | 1,215,500.00 | 696,160.52 |
| Deposit | 09/23/2011 | | | Yi Qun Zhou | Deposit | 3010 - Contributions | 25,000.00 | | 721,160.52 |
| Deposit | 09/23/2011 | | | Howard Gottlieb | Deposit | 3010 - Contributions | 25,000.00 | | 746,160.52 |
| Deposit | 09/23/2011 | | | IRA Services FBO Ling, Zhou | Deposit | 3010 - Contributions | 48,000.00 | | 792,160.52 |
| Check | 09/23/2011 | 2212 | | 695 Oak Grove Associates | Deposit | 4020 - Loan Fee Income | 500.00 | | 792,660.52 |
| Check | 09/23/2011 | 2213 | | Cavalino Fund | CHECK | 8190 - Bay Rd REO - Interest on ... | | 2,902.08 | 789,758.44 |
| Check | 09/25/2011 | | | Allied Insurance Brokers | DEBIT | 8202 - Natoma REO - Insurance | | 2,017.42 | 787,741.02 |
| Deposit | 09/28/2011 | | | Willard E. Fee | Deposit | 3010 - Contributions | 100,000.00 | | 887,741.02 |
| Deposit | 09/28/2011 | | | IRA Services FBO Kuang, Barb... | Deposit | 3010 - Contributions | 25,000.00 | | 912,741.02 |
| Deposit | 09/28/2011 | | | Palo Alto Lodge | Deposit | 4020 - Loan Fee Income | 250.00 | | 912,991.02 |
| Deposit | 09/28/2011 | | | R. Peter Griffith, DDS Profit Sh... | Deposit | 3010 - Contributions | 15,757.00 | | 928,748.02 |
| Deposit | 09/28/2011 | | | SB Capital Brokers Trust Act | Deposit | -SPLIT- | 13,374.04 | | 942,122.06 |
| Deposit | 09/29/2011 | | | SB Capital Brokers Trust Act | Deposit | -SPLIT- | 1,137.50 | | 943,259.56 |
| Check | 09/29/2011 | | | SB Capital Brokers Trust Act | Deposit | -SPLIT- | 3,150.00 | | 946,409.56 |
| Check | 10/04/2011 | 2214 | | PG&E | CHECK | 1153 - Fund Loan Exp Advance ... | | 20.53 | 946,389.03 |
| Deposit | 10/04/2011 | | | SB Capital Brokers Trust Act | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 950,055.70 |
| Deposit | 10/04/2011 | | | SB Capital Brokers Trust | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 953,722.37 |
| Transfer | 10/04/2011 | 2215 | | PG&E | Funds Transfer | 1020 - Torrey Pines - 2470 - Distrib | | 40,000.00 | 913,722.37 |
| Deposit | 10/05/2011 | | | Jamie Fougner | Deposit | 7020 - REO Rental Income | 2,000.00 | | 915,722.37 |
| Deposit | 10/05/2011 | | | Edward Minasian | Deposit | 3010 - Contributions | 30,000.00 | | 945,722.37 |
| Deposit | 10/05/2011 | | | Phillip & Joyce Bach | Deposit | 3010 - Contributions | 15,000.00 | | 960,722.37 |
| Deposit | 10/06/2011 | | | Investors Prime Fund, LLC | Springhill loan - sell portion to IPF..... | 1110 - Fund Loans | 450,000.00 | | 1,410,722.37 |
| Check | 10/06/2011 | wire | | Old Republic Tide | WIRE/OUT-201127900237;BNF O... | 1110 - Fund Loans | | 1,200,000.00 | 220,722.37 |
| Check | 10/06/2011 | 2217 | | Lipshaw Family Trust | CREDIT | 3010 - Contributions | 25,000.00 | | 235,722.37 |
| Check | 10/06/2011 | | | Odio Environmental | phase 1 fee - Laurel Street condo's ... | 1153 - Fund Loan Exp Advance ... | | 1,900.00 | 237,622.37 |
| Deposit | 10/11/2011 | | | Bernard & Helen Rubin Trust | CREDIT | 3010 - Contributions | 20,000.00 | | 257,622.37 |
| Deposit | 10/12/2011 | | | Airport Blvd Realty LLC / Sprin... | Deposit | 4020 - Loan Fee Income | 2,500.00 | | 256,322.37 |
| Deposit | 10/12/2011 | | | Airport Blvd Realty LLC / Sprin... | Deposit | 4020 - Loan Fee Income | 60,000.00 | | 316,322.37 |
| Check | 10/12/2011 | 2219 | | EDF Resource Capital | Airport Blvd Realty, LLC - SBA Lea... | 5040 - Loan Fees | 40,000.00 | 40,000.00 | 316,322.37 |
| Check | 10/12/2011 | 2218 | | Small Business Capital LLC | reimburse SBC LLC for commissio... | 5040 - Loan Fees | | 1,750.00 | 314,572.37 |
| Deposit | 10/13/2011 | | | PETE PETERSEN | CREDIT | 3010 - Contributions | 10,000.00 | | 324,572.37 |
| Deposit | 10/13/2011 | | | Gregory F & Ann C. Fisher | Deposit | 3010 - Contributions | 35,000.00 | | 359,572.37 |
| Deposit | 10/19/2011 | | | Rajinder Gupta / Gupta Oil | Deposit | -SPLIT- | 170,544.48 | | 530,116.85 |
| Deposit | 10/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 2,459.62 | | 532,576.47 |
| Deposit | 10/21/2011 | | | SB Capital Brokers Trust | Deposit | 1155 - Servicing Trust Balance | 846.90 | | 533,423.37 |
| Deposit | 10/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 978.56 | | 534,401.93 |
| Deposit | 10/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 1,706.81 | | 536,108.74 |
| Deposit | 10/21/2011 | | | SB Capital Brokers Trust | Deposit | 1155 - Servicing Trust Balance | 984.52 | | 537,113.26 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|---|--|--------------------------------------|------------|------------|--------------|
| Deposit | 10/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,000.00 | | 540,131.26 |
| Deposit | 10/21/2011 | | | IRA Services FBO Pete Petersen | Deposit | 3010 - Contributions | 300,065.00 | | 840,196.26 |
| Deposit | 10/21/2011 | | | IRA Services FBO Elizabeth C... | 618122 HERITAGE DIRECT ONLI... | 3010 - Contributions | 210,039.88 | | 1,050,236.14 |
| Check | 10/21/2011 | 2220 | | Hutchinson Trust | Deposit | -SPLIT- | | 49,123.24 | 1,001,112.90 |
| Deposit | 10/21/2011 | | | R. Peter Griffith, DDS Profit Sh... | Deposit | 3010 - Contributions | 10,000.00 | | 1,011,112.90 |
| Deposit | 10/24/2011 | | | Lipovac Family Trust | Deposit | 3010 - Contributions | 25,000.00 | | 1,036,112.90 |
| Deposit | 10/24/2011 | | | Christiana G Schanda Family T... | Deposit | 3010 - Contributions | 25,000.00 | | 1,061,112.90 |
| Check | 10/26/2011 | | | Aung San, LLC | 226887 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 194,375.00 | | 1,070,381.28 |
| Check | 10/26/2011 | | | Mancill Capital | Interest expense on note sale to m... | 1153 - Fund Loan Exp Advance ... | | 9,114.58 | 1,255,641.70 |
| Check | 10/27/2011 | ash | | IRA Services FBO Pete Petersen | withdrawal | 3040 - Withdrawals | | 5,910.00 | 1,250,631.70 |
| Check | 10/31/2011 | | | Investors Prime Fund, LLC | purchase San Leandro Note149494... | 1110 - Fund Loans | | 900,000.00 | 350,631.70 |
| Check | 10/31/2011 | | | SB Capital Brokers Trust | purchase balance of Kato note1496... | 1110 - Fund Loans | | 36,823.00 | 313,808.70 |
| Check | 10/31/2011 | 2222 | | Blue Horse Finance | CREDIT | -SPLIT- | 2,482.45 | | 316,291.15 |
| Check | 11/02/2011 | | | Lipovac Family Trust | referral fee for 47300 Kato LLC / L... | 5040 - Loan Fees | | 19,125.00 | 297,166.15 |
| Check | 11/02/2011 | | | Todd Petersen & Judith Petersen | CREDIT | 3010 - Contributions | 50,000.00 | | 347,166.15 |
| Check | 11/03/2011 | | | James E. Finnegan | CREDIT | 4020 - Loan Fee Income | 250.00 | | 395,166.15 |
| Check | 11/03/2011 | | | Hanson Family Trust | CREDIT | 3010 - Contributions | 50,000.00 | | 445,353.15 |
| Check | 11/04/2011 | | | R. Peter Griffith, DDS Profit Sh... | CREDIT | 3010 - Contributions | 3,419.54 | | 448,772.69 |
| Check | 11/04/2011 | | | Van Blanden Family Trust | 659585 HERITAGE DIRECT ONLI... | 3010 - Contributions | 25,000.00 | | 473,772.69 |
| Check | 11/04/2011 | | | Hanson Family Trust | Deposit | 3010 - Contributions | 50,000.00 | | 523,772.69 |
| Check | 11/04/2011 | | | Van Blanden Family Trust | Deposit | 3010 - Contributions | 245,223.70 | | 768,996.39 |
| Check | 11/07/2011 | | | Lo Felix Ventures | Deposit | 3010 - Contributions | 10,000.00 | | 778,996.39 |
| Check | 11/07/2011 | | | Subodh & Shradha Toprani | Deposit | 3010 - Contributions | 200,000.00 | | 978,996.39 |
| Check | 11/09/2011 | | | Jamie Fougner | Deposit | 7020 - REO Rental Income | 2,000.00 | | 980,996.39 |
| Check | 11/09/2011 | | | SB Capital Corp. | Deposit | 3010 - Contributions | 2,000.00 | | 982,996.39 |
| Check | 11/09/2011 | | | Sarwan Wason | Deposit | 3010 - Contributions | 50,000.00 | | 1,032,996.39 |
| Check | 11/09/2011 | | | Michael & Catherine Connors | Deposit | 3010 - Contributions | 25,000.00 | | 1,057,996.39 |
| Check | 11/09/2011 | | | Gidwani Living Trust | Deposit | 3010 - Contributions | 12,500.00 | | 1,070,496.39 |
| Check | 11/09/2011 | 2223 | | San Mateo County Tax Collector | VOID: SUPPLEMENTAL TAXES F... | 8101 - Bay Rd REO - Taxes | 0.00 | | 1,070,496.39 |
| Check | 11/14/2011 | | | Judith Abel | Deposit | 3010 - Contributions | 25,000.00 | | 1,095,496.39 |
| Check | 11/14/2011 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 1,095,913.06 |
| Check | 11/14/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,463.25 | | 1,099,376.31 |
| Check | 11/14/2011 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recei... | 3,385.42 | | 1,102,761.73 |
| Check | 11/14/2011 | 2224 | | SB Capital Brokers Trust | Deposit | 1155 - Servicing Trust Balance | 620.00 | | 1,103,381.73 |
| Check | 11/14/2011 | | | 1350 Natoma St. HOA | 8 months of HOA dues for unit #4 ... | 8250 - Natoma REO - Homeown... | | 2,800.00 | 1,100,581.73 |
| Check | 11/14/2011 | | | Investors Prime Fund, LLC | purchase Whiskey Junction Loan - ... | 1110 - Fund Loans | | 659,150.00 | 441,431.73 |
| Check | 11/14/2011 | | | SB Capital Brokers Trust | purchase Whiskey Junction note ad... | 1110 - Fund Loans | | 363,939.23 | 77,492.50 |
| Check | 11/14/2011 | | | SBC Senior Commercial Mortg... | Sell Mardock Note - 121619 HERIT... | 1110 - Fund Loans | 395,280.15 | | 472,772.65 |
| Check | 11/15/2011 | | | 695 Oak Grove Associates | loan extension fees | 4020 - Loan Fee Income | 12,000.00 | | 484,772.65 |
| Check | 11/17/2011 | 11171... | | Alliance Underwriting, LLC | Retainer for Commercial Credit An... | 1430 - Prepaid Underwriting - Ret... | | 8,000.00 | 476,772.65 |
| Check | 11/17/2011 | | | SBC Portfolio Fund LLC | Deposit | 3010 - Contributions | 84,377.98 | | 561,150.63 |
| Check | 11/17/2011 | | | IRA Services FBO Felix, Micha... | Deposit | 3010 - Contributions | 10,000.00 | | 571,150.63 |
| Check | 11/21/2011 | | | R. Peter Griffith, DDS Profit Sh... | Deposit | 3010 - Contributions | 65.23 | | 571,215.86 |
| Check | 11/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 10,798.90 | | 582,014.76 |
| Check | 11/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,000.00 | | 585,014.76 |
| Check | 11/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 2,706.04 | | 587,720.80 |
| Check | 11/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 2,542.76 | | 590,263.56 |
| Check | 11/23/2011 | | | Shyam Subodh Toprani | Deposit | 3010 - Contributions | 50,000.00 | | 640,263.56 |
| Check | 11/23/2011 | | | Monique Bjorndal | Deposit | 3010 - Contributions | 50,000.00 | | 690,263.56 |
| Check | 11/23/2011 | | | IRA Services FBO Prevedello, ... | Deposit | 3010 - Contributions | 55,873.66 | | 746,137.42 |
| Check | 11/23/2011 | | | Katherine Lau | Deposit | 3010 - Contributions | 50,000.00 | | 796,137.42 |
| Check | 11/28/2011 | | | Hsin-Shen Tai | 150586 HERITAGE DIRECT ONLI... | 3010 - Contributions | 100,000.00 | | 896,137.42 |
| Check | 11/29/2011 | 11171... | | Stewart Title | CHECK | -SPLIT- | | 7,213.95 | 888,923.47 |
| Check | 11/30/2011 | | | IRA Services FBO Felix, Marie A. | Deposit | 3010 - Contributions | 30,000.00 | | 918,923.47 |
| Check | 11/30/2011 | | | Jon W. Stoll | Deposit | 3010 - Contributions | 50,000.00 | | 968,923.47 |
| Check | 11/30/2011 | 2226 | | Investors Prime Fund, LLC | move Monique Bjorndal funds to IPF | 3010 - Contributions | | 50,000.00 | 918,923.47 |
| Check | 11/30/2011 | | | SB Capital Brokers Trust | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 922,590.14 |
| Check | 11/30/2011 | | | SB Capital Brokers Trust | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 926,256.81 |
| Check | 12/01/2011 | | | Phillip R. Tirley Living Trst, 12/15... | WIRE/OUT-201133500185BNF P... | 3040 - Withdrawals | | 17,000.00 | 909,256.81 |
| Check | 12/01/2011 | | | SB Capital Corp. | 0202 Shyam Toprani - manager ref... | 3010 - Contributions | 500.00 | | 909,756.81 |
| Check | 12/02/2011 | | | IRA Services FBO Elizabeth C... | CREDIT | 3010 - Contributions | 106,805.61 | | 1,016,562.42 |
| Check | 12/02/2011 | | | IRA Services FBO Elizabeth C... | #0207 IRA Services FBO Elizabeth... | 3010 - Contributions | 36,940.00 | | 1,053,502.42 |
| Check | 12/05/2011 | | | Jamie Fougner | Funds Transfer | -SPLIT- | 2,000.00 | | 1,055,502.42 |
| Check | 12/05/2011 | 2227 | | R. Peter Griffith Cust FBO Laur... | 1020 - Tarrey Pines - 2470 - Distrib | 3010 - Contributions | 844.04 | 25,000.00 | 1,031,346.46 |
| Check | 12/05/2011 | | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith - added con... | 3010 - Contributions | 10,000.00 | | 1,041,346.46 |
| Check | 12/05/2011 | | | Frances M. Sheng Trust | Deposit | 3010 - Contributions | 25,000.00 | | 1,066,346.46 |
| Check | 12/05/2011 | | | Stewart Title | Acct 6849150591-0 | 8299 - Natoma REO - Misc Exp | 687.43 | | 1,067,033.89 |
| Check | 12/06/2011 | 2228 | | PG&E | 1153 - Fund Loan Exp Advance ... | 1110 - Fund Loans | | 19.89 | 1,067,014.00 |
| Check | 12/06/2011 | | | Slocum Family Trust | buy into White Walls note - 243788... | 3010 - Contributions | 70,000.00 | | 1,137,014.00 |
| Check | 12/06/2011 | | | Investors Prime Fund, LLC | Fund White Walls - 1st DOT WIRE... | 1110 - Fund Loans | | 712,500.00 | 724,514.00 |
| Check | 12/06/2011 | | | Old Republic Title | Fund White Walls - 2nd DOT WIRE... | 1110 - Fund Loans | | 570,000.00 | 154,514.00 |
| Check | 12/06/2011 | | | White Walls / Justin Giarla | CREDIT | -SPLIT- | 8,200.00 | | 160,714.00 |
| Check | 12/08/2011 | | | Charlotte Wu | CREDIT | 3010 - Contributions | 15,000.00 | | 175,714.00 |
| Check | 12/08/2011 | | | Rhona Leibof | #0158 Rhona Leibof - added contri... | 3010 - Contributions | 25,000.00 | | 215,564.00 |
| Check | 12/08/2011 | 1464 | | Hsiu Feng Wang & Jimmy Y-F... | #0209 Hsiu Feng Wang & Jimmy Y... | 3010 - Contributions | 250,000.00 | | 465,564.00 |
| Check | 12/09/2011 | | | IRA Services FBO Sh, Peter | #0208 IRA Services FBO Sh, Peter... | 3010 - Contributions | 50,000.00 | | 515,564.00 |
| Check | 12/09/2011 | 2229 | | San Mateo County Tax Collector | CHECK | 1153 - Fund Loan Exp Advance ... | | 1,459.12 | 514,104.88 |
| Check | 12/09/2011 | 2230 | | San Mateo County Tax Collector | CHECK | 1153 - Fund Loan Exp Advance ... | | 6,212.23 | 507,892.65 |
| Check | 12/09/2011 | 2231 | | San Mateo County Tax Collector | CHECK | 1153 - Fund Loan Exp Advance ... | | 1,147.25 | 506,745.40 |
| Check | 12/09/2011 | 2232 | | San Mateo County Tax Collector | CHECK | 1153 - Fund Loan Exp Advance ... | | 240.22 | 506,505.18 |
| Check | 12/09/2011 | | | David Sherwin | increased advance to David Sherw... | 1110 - Fund Loans | | 50,000.00 | 456,505.18 |
| Check | 12/09/2011 | | | SB Capital Brokers Trust | excess 3rd party fees applied as cr... | 4020 - Loan Fee Income | 400.00 | | 456,905.18 |
| Check | 12/13/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,000.00 | | 459,905.18 |
| Check | 12/13/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 4,987.60 | | 464,892.78 |
| Check | 12/13/2011 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recei... | 416.67 | | 465,309.45 |
| Check | 12/13/2011 | | | Anthony Gee | #0211 Anthony Gee - initial contrib... | 3010 - Contributions | 10,000.00 | | 475,309.45 |
| Check | 12/13/2011 | | | Frank J. Napoli | #0212 Frank J. Napoli - initial contrib... | 3010 - Contributions | 25,000.00 | | 500,309.45 |
| Check | 12/13/2011 | | | Goldstein Family Trust - Har... | 0210 Goldstein Family Trust - Har... | 3010 - Contributions | 25,000.00 | | 525,309.45 |
| Check | 12/14/2011 | | | Andrew Kin Lui & Donna Fang ... | #0178 Andrew Kin Lui & Donna Fa... | 3010 - Contributions | 25,000.00 | | 550,309.45 |
| Check | 12/14/2011 | 2233 | | Franchise Tax Board | CHECK | 9020 - LLC Fees & Franchise Ta... | | 75.85 | 550,233.60 |
| Check | 12/16/2011 | | | Kao Family 1990 Trust | #0213 Kao Family 1990 Trust - tran... | 3010 - Contributions | 116,162.78 | | 666,396.38 |
| Check | 12/16/2011 | | | Kao Family 1990 Trust | #0213 Kao Family 1990 Trust - add... | 3010 - Contributions | 33,637.22 | | 700,033.60 |
| Check | 12/16/2011 | 2234 | | Mark Fasthens | loan fees to Mark's Sole prop for J... | 5040 - Loan Fees | | 10,687.50 | 689,346.10 |
| Check | 12/16/2011 | 2235 | | Colson Services Corporation | SBA loan participation fee on Whit... | 5025 - Fund Syndication Expenses | | 3,502.50 | 685,843.60 |
| Check | 12/18/2011 | | | Heritage Bank | DELUXE BUS SYS. BUS PRODS ... | 5010 - Bank Fees | | 56.91 | 685,786.69 |
| Check | 12/18/2011 | | | IRA Services FBO Mukherj, Su... | #0214 IRA Services FBO Mukherj... | 3010 - Contributions | 30,000.00 | | 715,786.69 |
| Check | 12/18/2011 | | | R. Peter Griffith Cust FBO Lin... | #0189 R. Peter Griffith FBO Linnea... | 3010 - Contributions | 1,317.69 | | 717,244.38 |
| Check | 12/18/2011 | | | SB Capital Brokers Trust | #0170 R. Peter Griffith FBO Laura ... | 3010 - Contributions | 2,161.88 | | 719,406.36 |
| Check | 12/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 5,432.81 | | 724,839.17 |
| Check | 12/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 6,011.85 | | 730,851.02 |
| Check | 12/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 2,481.90 | | 733,332.92 |
| Check | 12/21/2011 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 1,210.00 | | 734,542.92 |
| Check | 12/21/2011 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recei... | 3,148.52 | | 737,691.44 |
| Check | 12/21/2011 | | | David Sherwin | Deposit | 4020 - Loan Fee Income | 300.00 | | 737,991.44 |
| Check | 12/21/2011 | | | IRA Services FBO Urman, Jeff... | Deposit | 3010 - Contributions | 125,000.00 | | 862,991.44 |
| Check | 12/21/2011 | | | SB Capital Corp. | Deposit | -SPLIT- | 13,559.88 | | 876,551.32 |
| Check | 12/21/2011 | | | Chris T. Mabant | Deposit | 3010 - Contributions | 50,000.00 | | 926,551.32 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------|------------|----------|-----|------------------------------------|---------------------------------------|--------------------------------------|--------------|--------------|--------------|
| Deposit | 12/21/2011 | | | IRA Services FBO Felix, Micha... | Deposit | 3010 - Contributions | 27,616.48 | | 854,167.78 |
| Deposit | 12/21/2011 | | | VA Compass-Felix Charitable ... | Deposit | 3010 - Contributions | 36,100.00 | | 890,267.78 |
| Deposit | 12/22/2011 | | | SB Capital Brokers Trust | Interest payment from SB Capital f... | 1150 - Fund Loans Interest Recel... | 11,194.77 | | 1,001,462.55 |
| Deposit | 12/22/2011 | | | James Van Starigan | Interest payment from SB Capital f... | 1150 - Fund Loans Interest Recel... | 9,767.85 | | 1,011,230.20 |
| Deposit | 12/22/2011 | | | Henry & Joan Teng Rev. Trust | CREDIT | 3010 - Contributions | 100,000.00 | | 1,111,230.20 |
| Deposit | 12/22/2011 | | | Vivek Agarwal | WIRE/IN-201135600030;ORG H T... | 3010 - Contributions | 50,000.00 | | 1,161,230.20 |
| Check | 12/27/2011 | | | Fidelity National Title | CREDIT | 3010 - Contributions | 4,750.00 | | 1,165,980.20 |
| Check | 12/28/2011 | ach | | Investors Prime Fund, LLC | WIRE/OUT-201136200287;BNF FL... | 1110 - Fund Loans | | 650,000.00 | 515,980.20 |
| Check | 12/28/2011 | 2236 | | Old Republic Title | CHECK | 3010 - Contributions | 313,006.26 | | 828,986.48 |
| Deposit | 12/30/2011 | | | Investors Prime Fund, LLC | Sell Whiskey Junction - 650919 HE... | 1110 - Fund Loans | 1,023,089.23 | 734.75 | 1,851,340.94 |
| Deposit | 12/30/2011 | | | Investors Prime Fund, LLC | Sell San Leandro Loan #65 - 64548... | 1110 - Fund Loans | 900,000.00 | | 2,751,340.94 |
| Deposit | 12/30/2011 | | | Investors Prime Fund, LLC | Sell Subordinate portion of Branno... | 1110 - Fund Loans | 215,500.00 | | 2,966,840.94 |
| Check | 12/31/2011 | 2238 | | United States Treasury | VOID: #0177 R. Peter Griffith with... | 3040 - Withdrawals | 0.00 | | 2,966,840.94 |
| Check | 12/31/2011 | 2239 | | Lilia Mendoza | VOID: #0177 R. Peter Griffith with... | 3040 - Withdrawals | 0.00 | | 2,966,840.94 |
| Deposit | 01/03/2012 | | | Fidelity National Title | documentation fees | 4020 - Loan Fee Income | 500.00 | | 2,967,340.94 |
| Check | 01/03/2012 | ach | | Southcoast Cabinets | WIRE/OUT-201200300158;BNF S... | 1110 - Fund Loans | | 100,000.00 | 2,867,340.94 |
| Deposit | 01/03/2012 | | | Erico Auto Sales | Deposit | 1155 - Servicing Trust Balance | 102,122.22 | | 2,969,463.16 |
| Deposit | 01/05/2012 | | | SB Capital Brokers Trust | CREDIT | 1155 - Servicing Trust Balance | 651.00 | | 2,970,114.16 |
| Deposit | 01/05/2012 | | | Marchman Trust B | Deposit | 1155 - Servicing Trust Balance | 3,666.67 | | 2,973,780.83 |
| Check | 01/08/2012 | 2237 | | Torrey Pines Bank | transfer - CHECK | 1020 - Torrey Pines - 2470 - Distrib | 1,210,737.71 | 40,000.00 | 4,164,518.54 |
| Deposit | 01/08/2012 | | | Chris T. Mabanta | CREDIT | 3010 - Contributions | 25,000.00 | | 4,189,518.54 |
| Deposit | 01/12/2012 | | | Orlando Escobar | CREDIT | 3010 - Contributions | 20,000.00 | | 4,189,518.54 |
| Deposit | 01/17/2012 | | | SB Capital Corp. | Deposit | 7020 - REO Rental Income | 2,000.00 | | 4,191,518.54 |
| Deposit | 01/17/2012 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recel... | 846.90 | | 4,192,365.44 |
| Deposit | 01/17/2012 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recel... | 846.90 | | 4,193,212.34 |
| Deposit | 01/17/2012 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recel... | 846.90 | | 4,194,059.24 |
| Deposit | 01/17/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 574,686.67 | | 4,768,745.91 |
| Deposit | 01/17/2012 | | | SB Capital Brokers Trust | Deposit | 1150 - Fund Loans Interest Recel... | 416.67 | | 4,769,162.58 |
| Deposit | 01/17/2012 | | | Raymond Trembath | CREDIT | 3010 - Contributions | 51,000.00 | | 4,820,162.58 |
| Deposit | 01/17/2012 | | | Larry Minter | CREDIT | 3010 - Contributions | 25,000.00 | | 4,845,162.58 |
| Deposit | 01/17/2012 | | | W. Ronald Raack | CREDIT | 3010 - Contributions | 40,000.00 | | 4,885,162.58 |
| Check | 01/17/2012 | 2240 | | SB Capital Brokers Trust | 349 First Street Payment Transf... | 1110 - Fund Loans | | 152,825.98 | 4,732,336.60 |
| Check | 01/18/2012 | 2241 | | PG&E | Acct # 68491505910-Bay Road | 1153 - Fund Loan Exp Advance ... | | 18.94 | 4,732,317.66 |
| Deposit | 01/18/2012 | | | Investors Prime Fund, LLC | 372855 HERITAGE DIRECT ONLI... | 3010 - Contributions | 25,000.00 | | 4,757,317.66 |
| Deposit | 01/19/2012 | | | IRA Services FBO Michael Bird | Deposit | 3010 - Contributions | 9,100.00 | | 4,766,417.66 |
| Deposit | 01/19/2012 | | | SB Capital Brokers Trust | CREDIT | -SPLIT- | 152,825.98 | | 4,919,243.64 |
| Deposit | 01/20/2012 | | | Investors Prime Fund, LLC | 019233 HERITAGE DIRECT ONLI... | 3010 - Contributions | 90,900.00 | | 5,010,143.64 |
| Deposit | 01/25/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 2,542.19 | | 5,012,685.83 |
| Deposit | 01/25/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 14,664.28 | | 5,027,350.11 |
| Deposit | 01/25/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 6,140.99 | | 5,033,491.10 |
| Deposit | 01/25/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,150.00 | | 5,036,641.10 |
| Deposit | 01/25/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 5,613.91 | | 5,042,255.01 |
| Deposit | 01/27/2012 | | | R. Peter Griffith Cust FBO Laur... | Deposit | 3010 - Contributions | 50,000.00 | | 5,092,255.01 |
| Check | 01/30/2012 | 2242 | | John W. Steil | #0204 John W. Steil Withdrawal | 3040 - Withdrawals | | 459.02 | 5,091,795.99 |
| Deposit | 01/30/2012 | | | Interest | Deposit | 3010 - Contributions | 25,000.00 | | 5,116,795.99 |
| Check | 01/31/2012 | 2243 | | SBC Portfolio Fund LLC | CHECK | 4030 - Bank & Investment Interest | 0.80 | | 5,116,796.79 |
| Deposit | 02/07/2012 | | | IRA Services FBO Morris, Robert | Deposit | 1020 - Torrey Pines - 2470 - Distrib | 60,000.00 | 50,000.00 | 5,146,796.79 |
| Check | 02/07/2012 | 2244 | | Marchman Trust B | CHECK | 3040 - Withdrawals | | 737.71 | 5,146,059.08 |
| Deposit | 02/07/2012 | | | Jamie Fougner | CREDIT | 7020 - REO Rental Income | 2,000.00 | | 5,148,059.08 |
| Deposit | 02/09/2012 | | | IRA Services FBO Jiang, BO | CREDIT | 7020 - REO Rental Income | 56,694.04 | | 5,204,753.12 |
| Check | 02/14/2012 | ACH | | Caliber Escrow | WIRE/OUT-201204500323;BNF C... | 1110 - Fund Loans | | 1,460,000.00 | 3,744,753.12 |
| Deposit | 02/15/2012 | | | IRA Services FBO Cabell, Nich... | Deposit | 3010 - Contributions | 60,000.00 | | 3,804,753.12 |
| Deposit | 02/15/2012 | | | SB Capital Brokers Trust | Deposit | 3010 - Contributions | 1,689.93 | | 3,806,443.05 |
| Deposit | 02/16/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 846.90 | | 3,807,289.95 |
| Deposit | 02/16/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 309,686.61 | | 4,116,976.56 |
| Deposit | 02/16/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 416.67 | | 4,117,393.23 |
| Deposit | 02/16/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 3,500.00 | | 4,120,893.23 |
| Deposit | 02/16/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 1,320.34 | | 4,122,213.57 |
| Deposit | 02/16/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 1,003.12 | | 4,123,216.69 |
| Check | 02/16/2012 | ACH | | Fidelity National Title | 605459 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 747,759.26 | 4,250,000.00 | 4,870,505.95 |
| Check | 02/16/2012 | | | Investors Prime Fund, LLC | WIRE/OUT-201204700346;BNF FL... | 1110 - Fund Loans | | | 620,505.95 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 653869 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 284,885.67 | | 905,491.62 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 654015 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 94,995.22 | | 1,000,486.84 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 653869 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 114,209.43 | | 1,114,696.27 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 653769 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 342,828.28 | | 1,457,524.55 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 653516 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 249,263.09 | | 1,706,587.64 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 601278 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 27,423.71 | | 1,734,011.35 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 801449 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 82,271.12 | | 1,816,282.47 |
| Deposit | 02/17/2012 | | | Investors Prime Fund, LLC | 801459 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 106,732.14 | | 1,923,014.61 |
| Check | 02/17/2012 | ACH | | SB Capital Corp. | 801529 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 35,577.38 | | 1,958,591.99 |
| Check | 02/17/2012 | ACH | | SB Capital Corp. | 554419 HERITAGE DIRECT ONLI... | 5020 - Fund Management Fees | | 95,000.00 | 1,863,591.99 |
| Deposit | 02/17/2012 | | | Bernard & Helen Rubin Trust | 805465 HERITAGE DIRECT ONLI... | 5020 - Fund Management Fees | | 60,000.00 | 1,803,591.99 |
| Check | 02/21/2012 | ACH | | Trenam, Kemper, Scharf etc. | CREDIT | 3010 - Contributions | 5,000.00 | | 1,808,591.99 |
| Deposit | 02/21/2012 | | | SB Capital Brokers Trust | NCR OUTGOING DOMESTIC WI... | 1110 - Fund Loans | | 1,178,500.00 | 630,091.99 |
| Deposit | 02/23/2012 | | | Caliber Escrow | 806689 HERITAGE DIRECT ONLI... | -SPLIT- | 757,785.82 | | 1,387,877.81 |
| Deposit | 02/24/2012 | | | Larry Minter | CREDIT | -SPLIT- | 8,860.00 | | 1,396,737.81 |
| Deposit | 02/24/2012 | | | Trenam, Kemper, Scharf etc. | CREDIT | 3010 - Contributions | 10,000.00 | | 1,406,737.81 |
| Check | 02/27/2012 | 2247 | | SB Capital Brokers Trust | CREDIT | -SPLIT- | 30,367.00 | | 1,437,114.81 |
| Deposit | 02/28/2012 | | | SB Capital Brokers Trust | Edge Partners, LLC/Flamingo Envi... | 1490 - Misc. Prepaids | | 1,650.00 | 1,438,764.81 |
| Deposit | 02/28/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 112,089.62 | | 1,550,854.43 |
| Deposit | 02/28/2012 | | | SB Capital Brokers Trust | Deposit | 4020 - Loan Fee Income | 6,640.00 | | 1,557,494.43 |
| Deposit | 02/28/2012 | | | SB Capital Brokers Trust | Deposit | -SPLIT- | 33,500.00 | | 1,587,994.43 |
| Deposit | 02/28/2012 | | | SB Capital Brokers Trust | Deposit | 4060 - Lender Participation Fee | 3,980.00 | | 1,591,974.43 |
| Deposit | 02/28/2012 | | | IRA Services FBO Cabell, Nich... | Deposit | 3010 - Contributions | 25,000.00 | | 1,616,974.43 |
| Deposit | 02/28/2012 | | | IRA SERVICES FBO Teng, Joa... | Deposit | 3010 - Contributions | 60,000.00 | | 1,676,974.43 |
| Check | 02/29/2012 | 2249 | | Celton Services Corporation | CREDIT | 3010 - Contributions | 142,701.98 | | 1,819,676.41 |
| Check | 02/29/2012 | 2248 | | Celton Services Corporation | For Auto Spa Express | 5200 - Lender Participation | | 3,980.00 | 1,815,696.41 |
| Check | 02/29/2012 | 2251 | | Trinity Finance Group | Participation Fee for SouthCoast C... | 5200 - Lender Participation | | 16,250.00 | 1,799,446.41 |
| Check | 02/29/2012 | 2250 | | Aaron Hodgkins | 1pt Referral Fee on Edge Partner/F... | 5040 - Loan Fees | | 11,785.00 | 1,787,661.41 |
| Check | 03/02/2012 | 2253 | | Golden State Business Capital | 1pt for Edge Partner/Flamingo | 5040 - Loan Fees | | 7,950.00 | 1,779,711.41 |
| Deposit | 03/02/2012 | | | SB Capital Brokers Trust | 1 pt Commission on Auto Spa | 5040 - Loan Fees | | | 1,761,761.41 |
| Check | 03/02/2012 | 2252 | | Torrey Pines Bank | CREDIT | 2510 - Misc. Other Liabilities | 7,960.00 | | 1,753,801.41 |
| Deposit | 03/06/2012 | | | First Energy | CHECK | 1020 - Torrey Pines - 2470 - Distrib | | 50,000.00 | 1,723,801.41 |
| Check | 03/06/2012 | 2254 | | Spiegel Accountancy Corp. | CREDIT | 3010 - Contributions | 844.04 | | 1,724,645.45 |
| Deposit | 03/06/2012 | | | Jamie Fougner | CREDIT | 6103 - Audit & Tax | 65.25 | 4,890.00 | 1,724,710.70 |
| Check | 03/07/2012 | 30072... | | Investors Prime Fund, LLC | CREDIT | -SPLIT- | 2,000.00 | | 1,722,710.70 |
| Deposit | 03/07/2012 | | | Stocum Family Trust | 495008 HERITAGE DIRECT ONLI... | 3040 - Withdrawals | | 300,289.00 | 1,422,421.70 |
| Check | 03/07/2012 | 1676 | | Jamie Fougner | CREDIT | 3010 - Contributions | 15,000.00 | | 1,437,421.70 |
| Check | 03/08/2012 | 03092... | | Investors Prime Fund, LLC | CHECK | 2510 - Misc. Other Liabilities | | 429,308.03 | 1,008,113.67 |
| Deposit | 03/09/2012 | | | Golden State Business Capital | 802127 HERITAGE DIRECT ONLI... | 3040 - Withdrawals | | | 1,008,113.67 |
| Deposit | 03/12/2012 | | | Investors Prime Fund, LLC | 131782 HERITAGE DIRECT ONLI... | 2510 - Misc. Other Liabilities | 5,000.00 | | 1,003,113.67 |
| Deposit | 03/12/2012 | | | SB Capital Corp. | 131802 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 1,178,500.00 | | 2,181,613.67 |
| Deposit | 03/12/2012 | | | Investors Prime Fund, LLC | 132016 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 46,669.00 | 48,669.00 | 2,228,282.67 |
| Deposit | 03/12/2012 | | | Investors Prime Fund, LLC | 132575 HERITAGE DIRECT ONLI... | 5020 - Fund Management Fees | 796,000.00 | | 2,184,282.67 |
| Check | 03/12/2012 | TRAN... | | SB Capital Corp. | 132781 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 25,968.00 | | 2,158,314.67 |
| Check | 03/12/2012 | 1678 | | Jamie Fougner | 132944 HERITAGE DIRECT ONLI... | 5020 - Fund Management Fees | | 25,969.00 | 2,184,283.67 |
| Check | 03/12/2012 | | | | CHECK | 2510 - Misc. Other Liabilities | 2,445.30 | | 2,181,838.37 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|------------------------------------|---|--------------------------------------|--------------|--------------|--------------|
| Deposit | 03/13/2012 | | | | Deposit | 3010 - Contributions | 25,000.00 | | 3,002,983.47 |
| Deposit | 03/14/2012 | | | | CREDIT | 3010 - Contributions | 80,000.00 | | 3,082,983.47 |
| Check | 03/14/2012 | 03072... | | Peter M. Chumo | Investors Prime Fund, LLC | 3040 - Withdrawals | | 300,000.00 | 2,782,983.47 |
| Check | 03/19/2012 | 2255 | | Spiegel Accountancy Corp. | Invlt 3943 Progress Billing for 2011... | 6103 - Audit & Tax | | 4,585.00 | 2,758,398.47 |
| Check | 03/19/2012 | 2256 | | Florida UCC, Inc. | UCC Filings for Edge Partners, Inc. | 5100 - Filing Fees | | 4.00 | 2,758,394.47 |
| Deposit | 03/20/2012 | | | Harry & Freda Goldstein | CREDIT | 3010 - Contributions | 10,000.00 | | 2,768,394.47 |
| Check | 03/21/2012 | 3212012 | | First American Title Company | NCR OUTGOING DOMESTIC WI... | 1110 - Fund Loans | | 1,542,500.00 | 1,225,894.47 |
| Check | 03/21/2012 | 3212013 | | SB Capital Brokers Trust | Cordand Fees | 5060 - Lender Services Costs | | 4,800.00 | 1,221,094.47 |
| Deposit | 03/22/2012 | | | Manoj Patel - Palo Alto Lodge | CREDIT | 4010 - Loan Interest Income | 848.90 | | 1,221,943.37 |
| Deposit | 03/22/2012 | | | SB Capital Brokers Trust | -SPLIT- | 3040 - Withdrawals | 5,862.89 | | 1,227,806.26 |
| Deposit | 03/22/2012 | | | SB Capital Brokers Trust | CREDIT | 4010 - Loan Interest Income | 771.84 | | 1,228,578.10 |
| Deposit | 03/22/2012 | | | | Deposit | 4010 - Loan Interest Income | 1,847.37 | | 1,230,425.47 |
| Deposit | 03/22/2012 | | | | Deposit | 4010 - Loan Interest Income | 1,065.45 | | 1,231,490.92 |
| Deposit | 03/22/2012 | | | | Deposit | -SPLIT- | 3,150.00 | | 1,234,640.92 |
| Deposit | 03/22/2012 | | | | Deposit | 4010 - Loan Interest Income | 418.67 | | 1,235,059.59 |
| Deposit | 03/22/2012 | | | | Deposit | -SPLIT- | 6,135.73 | | 1,228,923.86 |
| Deposit | 03/22/2012 | | | | Deposit | -SPLIT- | 14,121.62 | | 1,255,512.94 |
| Deposit | 03/22/2012 | | | | Deposit | 4010 - Loan Interest Income | 655.31 | | 1,256,168.25 |
| Deposit | 03/22/2012 | | | | Deposit | 4010 - Loan Interest Income | 820.88 | | 1,256,989.13 |
| Check | 03/28/2012 | ACH | | Investors Prime Fund, LLC | IRA Services FBO Judith Petersen | 4010 - Loan Interest Income | 338.22 | | 1,257,327.35 |
| Check | 03/28/2012 | | | Kern County Recorder | Auto Spa Express Wash-Assignme... | 5100 - Filing Fees | | 618,314.21 | 639,013.14 |
| Check | 03/28/2012 | | | Ken Burke | Edge Partners, LLC- Assignment of... | 5100 - Filing Fees | | 19.50 | 638,993.64 |
| Deposit | 03/29/2012 | | | R. Peter Griffith Cust FBO Laur... | Deposit | 3010 - Contributions | 100,000.00 | | 738,993.64 |
| Check | 03/30/2012 | 3262012 | | Pingyu Liu | Transferred to IPF | 3040 - Withdrawals | | 437,419.14 | 301,550.50 |
| Deposit | 03/30/2012 | | | Investors Prime Fund, LLC | 225759 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 123,825.00 | | 425,375.50 |
| Deposit | 03/30/2012 | | | Investors Prime Fund, LLC | 225284 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 3,250,000.00 | | 428,625.50 |
| Check | 03/30/2012 | | | SB Capital Corp. | 231383 HERITAGE DIRECT ONLI... | 5020 - Fund Management Fees | | 123,825.00 | 3,551,550.50 |
| Deposit | 03/30/2012 | | | First American Title Company | WIRE-IN-201209000232.ORG FIR... | -SPLIT- | 32,050.00 | | 3,583,600.50 |
| Check | 03/30/2012 | ACH | | SB Capital Brokers Trust | DEBIT | 1490 - Misc. Prepaids | | 200.00 | 3,583,400.50 |
| General Journal | 03/30/2012 | Transfer | | Torrey Pines Bank | Transfer to TP 2470 | 1020 - Torrey Pines - 2470 - Distrib | | 40,000.00 | 3,543,400.50 |
| Check | 03/31/2012 | 2261 | | Small Business Lending | 1st Referral Fee on Solvang Gard... | 5040 - Loan Fees | | 15,425.00 | 3,527,975.50 |
| Check | 04/02/2012 | ACH | | Investors Prime Fund, LLC | Pingyu & Carolyn Liu Withdrawal | 3040 - Withdrawals | | 3,854.95 | 3,524,120.55 |
| Deposit | 04/02/2012 | | | | Deposit | 1110 - Fund Loans | 1,000,000.00 | | 4,524,120.55 |
| Deposit | 04/05/2012 | | | Small Business Capital LLC | Deposit | 4020 - Loan Fee Income | 600.00 | | 4,525,480.55 |
| Deposit | 04/05/2012 | | | Jamie Feagor | Deposit | -SPLIT- | 2,000.00 | | 4,527,480.55 |
| Check | 04/05/2012 | 2264 | | Superior California Economic D... | Participation Fee for Aung Solvang... | 5220 - Lender Participation | | 7,712.50 | 4,519,768.05 |
| Check | 04/05/2012 | 2265 | | San Mateo County Tax Collector | Supp. Tax Parcel # 062-064-140 | 1153 - Fund Loan Exp Advance ... | | 1,147.25 | 4,518,620.80 |
| Check | 04/05/2012 | 2266 | | San Mateo County Tax Collector | Property Tax-Parcel 062-064-140 | 1153 - Fund Loan Exp Advance ... | | 6,212.23 | 4,512,408.57 |
| Check | 04/05/2012 | 2267 | | San Mateo County Tax Collector | Supp. Tax Parcel 3062-064-130 | 1153 - Fund Loan Exp Advance ... | | 240.22 | 4,512,168.35 |
| Check | 04/05/2012 | 2268 | | San Mateo County Tax Collector | CHECK | 1153 - Fund Loan Exp Advance ... | | 1,459.12 | 4,510,709.23 |
| Check | 04/05/2012 | 2269 | | City and County of San Francisco | Acct# 354801140 Property Tax on ... | 8201 - Natoma REO - Taxes | | 2,593.04 | 4,508,116.19 |
| Check | 04/10/2012 | 2245 | | Peter & Judith Petersen | 3040 - Withdrawals | 3040 - Withdrawals | | 34,200.00 | 4,473,916.19 |
| Check | 04/11/2012 | ACH | | SB Capital Corp. | 559575 HERITAGE DIRECT ONLI... | 5020 - Fund Management Fees | | 81,444.00 | 4,473,916.19 |
| Deposit | 04/11/2012 | | | Investors Prime Fund, LLC | 455850 HERITAGE DIRECT ONLI... | 4050 - Gain on Sale- Premium | 81,444.00 | | 4,473,916.19 |
| Deposit | 04/11/2012 | | | Investors Prime Fund, LLC | 455782 HERITAGE DIRECT ONLI... | 1110 - Fund Loans | 231,375.00 | | 4,705,291.19 |
| Check | 04/12/2012 | 2270 | | Indian Muslim Relief & Charities | CHECK | 3040 - Withdrawals | | 50,000.00 | 4,655,291.19 |
| Check | 04/12/2012 | 2271 | | IRA Services FBO Michael Bird | Deposit | 3030 - Distributions | | 1,951.18 | 4,653,340.01 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 4,657,006.68 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 846.80 | | 4,657,853.58 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 23,291.67 | | 4,681,145.25 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 5,533.33 | | 4,686,678.58 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 3,731.25 | | 4,690,409.83 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 4,694,076.50 |
| Deposit | 04/13/2012 | | | SB Capital Brokers Trust | Deposit | 4010 - Loan Interest Income | 416.67 | | 4,694,493.17 |
| Check | 04/13/2012 | 2246 | | Franchise Tax Board | 9020 - LLC Fees & Franchise Ta... | | | 2,502.07 | 4,691,991.10 |
| Deposit | 04/16/2012 | | | R. Peter Griffith Cust FBO Laur... | Deposit | 3010 - Contributions | 14,570.67 | | 4,706,561.77 |
| Check | 04/16/2012 | ACH | | SB Capital Brokers Trust | Deposit | 1110 - Fund Loans | | 7,000.00 | 4,699,561.77 |
| Check | 04/19/2012 | | | 695 Oak Grove Associates | Deposit | 4020 - Loan Fee Income | 8,000.00 | | 4,707,561.77 |
| Check | 04/19/2012 | | | 695 Oak Grove Associates | CREDIT | 3,666.67 | | | 4,711,228.44 |
| Check | 04/19/2012 | | | 695 Oak Grove Associates | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 4,714,895.11 |
| Check | 04/19/2012 | | | 695 Oak Grove Associates | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 4,718,561.78 |
| Deposit | 04/19/2012 | | | Senese - Southcoast Cabinets | CREDIT | -SPLIT- | 1,011,083.33 | | 5,729,645.11 |
| Deposit | 04/19/2012 | | | | Deposit | -SPLIT- | 665,813.89 | | 6,395,459.00 |
| Deposit | 04/19/2012 | | | | Deposit | -SPLIT- | 1,225.44 | | 6,396,684.44 |
| Deposit | 04/19/2012 | | | Paul R. Griffith | CREDIT | 3010 - Contributions | 4,667.50 | | 6,401,351.94 |
| Check | 04/19/2012 | 2272 | | SB Capital Brokers Trust | 4010 - Loan Interest Income | | | 7,333.34 | 6,393,818.60 |
| Transfer | 05/01/2012 | | | Funds Transfer | 1020 - Torrey Pines - 2470 - Distrib | | | 35,000.00 | 6,358,818.60 |
| Check | 05/01/2012 | ACH | | Investors Prime Fund, LLC | -SPLIT- | | | 1,583,796.66 | 4,775,021.94 |
| Check | 05/02/2012 | | | Valley Produce | Deposit | -SPLIT- | 2,000.00 | | 4,777,021.94 |
| Check | 05/02/2012 | ACH | | SBC Senior Commercial Mortg... | Deposit | -SPLIT- | 2,000.00 | | 4,779,021.94 |
| Check | 05/04/2012 | 2274 | | Van Blarigan Family Trust | 1110 - Fund Loans | | 500,000.00 | | 4,279,021.94 |
| Check | 05/04/2012 | 2276 | | Brent Walters | 3040 - Withdrawals | | 150,000.00 | | 4,129,021.94 |
| Check | 05/04/2012 | 2277 | | Napa County Recorder-County ... | 5040 - Loan Fees | | 33,640.00 | | 4,095,381.94 |
| Check | 05/04/2012 | 2278 | | Indian Muslim Relief & Charities | 5100 - Filing Fees | | 22.00 | | 4,095,359.94 |
| Deposit | 05/09/2012 | | | SB Capital Brokers Trust | 3040 - Withdrawals | | 55,000.00 | | 4,040,359.94 |
| Deposit | 05/10/2012 | | | | -SPLIT- | | 121,015.00 | | 4,161,374.94 |
| Check | 05/10/2012 | 2275 | | The Pete & Judith Petersen Livi... | 3010 - Contributions | | 25,000.00 | | 4,186,374.94 |
| Check | 05/11/2012 | | | 695 Oak Grove Associates | 3040 - Withdrawals | | | 34,548.27 | 4,151,826.67 |
| Deposit | 05/11/2012 | | | | Deposit | 4010 - Loan Interest Income | 3,666.67 | | 4,155,493.34 |
| Deposit | 05/11/2012 | | | | Deposit | 4010 - Loan Interest Income | 6,116.47 | | 4,161,609.81 |
| Deposit | 05/11/2012 | | | | Deposit | 4010 - Loan Interest Income | 5,314.79 | | 4,166,924.60 |
| Deposit | 05/11/2012 | | | | Deposit | 4010 - Loan Interest Income | 416.67 | | 4,167,341.27 |
| Deposit | 05/16/2012 | | | SB Capital Brokers Trust | Deposit | 3010 - Contributions | 10,000.00 | | 4,177,341.27 |
| Check | 05/16/2012 | ACH | | SBC Senior Commercial Mortg... | 4020 - Loan Fee Income | | 5,600.00 | | 4,182,941.27 |
| Check | 05/18/2012 | ACH | | Vestin Realty Mortgage | 1110 - Fund Loans | | 289,262.93 | | 3,893,678.34 |
| Check | 05/17/2012 | wire | | SB Capital Brokers Trust | 1110 - Fund Loans | | 810,373.84 | | 4,094,052.18 |
| Check | 05/17/2012 | 5162012 | | Anthony Gee | 1110 - Fund Loans | | 3,500.00 | | 4,090,552.18 |
| Check | 05/18/2012 | | | | 1490 - Misc. Prepaids | | | 10,374.97 | 3,594,527.21 |
| Deposit | 05/18/2012 | | | | 3040 - Withdrawals | | 1,088,150.00 | | 4,682,677.21 |
| Check | 05/18/2012 | ACH | | SB Capital Corp. | 4050 - Gain on Sale- Premium | | 500,000.00 | | 5,182,677.21 |
| Check | 05/18/2012 | | | | 5020 - Fund Management Fees | | | 315,000.00 | 4,867,677.21 |
| Check | 05/18/2012 | | | | 1215 - Int. Receivable on Mgr Du... | | 33,468.12 | | 4,901,145.33 |
| Check | 05/18/2012 | | | | -SPLIT- | | 15,850.35 | | 4,917,095.68 |
| Check | 05/18/2012 | 3326 | | Franchise Tax Board | 1110 - Fund Loans | | 7,000.00 | | 4,924,095.68 |
| Check | 05/21/2012 | ACH | | North American Title | 1491 - Prepaid Income Taxes | | | 2,500.00 | 4,922,595.68 |
| Check | 05/23/2012 | | | Torrey Pines Bank | 1110 - Fund Loans | | 3,395,000.00 | | 1,527,493.98 |
| Check | 05/23/2012 | | | | 1020 - Torrey Pines - 2470 - Distrib | | 16,975.00 | | 1,510,518.68 |
| Deposit | 05/23/2012 | | | | 1110 - Fund Loans | | 3,395,000.00 | | 4,905,518.68 |
| Deposit | 05/23/2012 | ACH | | SB Capital Corp. | 4050 - Gain on Sale- Premium | | 169,750.00 | | 5,075,268.68 |
| Check | 05/23/2012 | ach | | DLS I, LLC | 5020 - Fund Management Fees | | | 175,000.00 | 4,899,268.68 |
| Check | 05/23/2012 | 2281 | | Cordand | 5040 - Loan Fees | | | 125,000.00 | 4,774,268.68 |
| Check | 05/23/2012 | | | SB Capital Brokers Trust | 5060 - Lender Services Costs | | | 413.18 | 4,774,881.86 |
| Check | 05/25/2012 | | | SBC Senior Commercial Mortg... | 4010 - Loan Interest Income | | 846.90 | | 4,775,728.76 |
| Check | 05/25/2012 | | | SBC Senior Commercial Mortg... | 783309 HERITAGE DIRECT ONLI... | 1060 - Interbank Transfers | | 55,715.03 | 4,719,987.37 |
| Check | 05/31/2012 | ach | | Old Republic Title | CREDIT | 1060 - Interbank Transfers | | | 4,715,702.40 |
| Check | 05/31/2012 | ACH | | Old Republic Title | NCR OUTGOING DOMESTIC WI... | 1110 - Fund Loans | | 7,184.61 | 4,768,517.79 |
| Check | 06/01/2012 | 3000 | | Torrey Pines Bank | NCR OUTGOING DOMESTIC WI... | 1110 - Fund Loans | | 5,243.77 | 4,763,274.02 |
| Check | 06/05/2012 | 3001 | | Spiegel Accountancy Corp. | CHECK | 1020 - Torrey Pines - 2470 - Distrib | 80,000.00 | | 4,683,274.02 |
| | | | | | CHECK | 6103 - Audit & Tax | | 11,920.00 | 4,671,354.02 |

| Type | Date | Num | Adj | Memo | Debit | Credit | Balance |
|-------|------------|------|-----|--------------------|----------|--------|------------|
| Check | 06/06/2012 | 3003 | | Old Republic Title | 3,106.35 | | 3,106.35 |
| Check | 06/06/2012 | 3002 | | Old Republic Title | 2,793.27 | | 5,903.62 |
| Check | 06/06/2012 | 3001 | | Old Republic Title | 2,793.27 | | 8,696.89 |
| Check | 06/06/2012 | 3000 | | Old Republic Title | 2,793.27 | | 11,490.16 |
| Check | 06/06/2012 | 2999 | | Old Republic Title | 2,793.27 | | 14,283.43 |
| Check | 06/06/2012 | 2998 | | Old Republic Title | 2,793.27 | | 17,076.70 |
| Check | 06/06/2012 | 2997 | | Old Republic Title | 2,793.27 | | 19,869.97 |
| Check | 06/06/2012 | 2996 | | Old Republic Title | 2,793.27 | | 22,663.24 |
| Check | 06/06/2012 | 2995 | | Old Republic Title | 2,793.27 | | 25,456.51 |
| Check | 06/06/2012 | 2994 | | Old Republic Title | 2,793.27 | | 28,249.78 |
| Check | 06/06/2012 | 2993 | | Old Republic Title | 2,793.27 | | 31,043.05 |
| Check | 06/06/2012 | 2992 | | Old Republic Title | 2,793.27 | | 33,836.32 |
| Check | 06/06/2012 | 2991 | | Old Republic Title | 2,793.27 | | 36,629.59 |
| Check | 06/06/2012 | 2990 | | Old Republic Title | 2,793.27 | | 39,422.86 |
| Check | 06/06/2012 | 2989 | | Old Republic Title | 2,793.27 | | 42,216.13 |
| Check | 06/06/2012 | 2988 | | Old Republic Title | 2,793.27 | | 45,009.40 |
| Check | 06/06/2012 | 2987 | | Old Republic Title | 2,793.27 | | 47,802.67 |
| Check | 06/06/2012 | 2986 | | Old Republic Title | 2,793.27 | | 50,595.94 |
| Check | 06/06/2012 | 2985 | | Old Republic Title | 2,793.27 | | 53,389.21 |
| Check | 06/06/2012 | 2984 | | Old Republic Title | 2,793.27 | | 56,182.48 |
| Check | 06/06/2012 | 2983 | | Old Republic Title | 2,793.27 | | 58,975.75 |
| Check | 06/06/2012 | 2982 | | Old Republic Title | 2,793.27 | | 61,769.02 |
| Check | 06/06/2012 | 2981 | | Old Republic Title | 2,793.27 | | 64,562.29 |
| Check | 06/06/2012 | 2980 | | Old Republic Title | 2,793.27 | | 67,355.56 |
| Check | 06/06/2012 | 2979 | | Old Republic Title | 2,793.27 | | 70,148.83 |
| Check | 06/06/2012 | 2978 | | Old Republic Title | 2,793.27 | | 72,942.10 |
| Check | 06/06/2012 | 2977 | | Old Republic Title | 2,793.27 | | 75,735.37 |
| Check | 06/06/2012 | 2976 | | Old Republic Title | 2,793.27 | | 78,528.64 |
| Check | 06/06/2012 | 2975 | | Old Republic Title | 2,793.27 | | 81,321.91 |
| Check | 06/06/2012 | 2974 | | Old Republic Title | 2,793.27 | | 84,115.18 |
| Check | 06/06/2012 | 2973 | | Old Republic Title | 2,793.27 | | 86,908.45 |
| Check | 06/06/2012 | 2972 | | Old Republic Title | 2,793.27 | | 89,701.72 |
| Check | 06/06/2012 | 2971 | | Old Republic Title | 2,793.27 | | 92,494.99 |
| Check | 06/06/2012 | 2970 | | Old Republic Title | 2,793.27 | | 95,288.26 |
| Check | 06/06/2012 | 2969 | | Old Republic Title | 2,793.27 | | 98,081.53 |
| Check | 06/06/2012 | 2968 | | Old Republic Title | 2,793.27 | | 100,874.80 |
| Check | 06/06/2012 | 2967 | | Old Republic Title | 2,793.27 | | 103,668.07 |
| Check | 06/06/2012 | 2966 | | Old Republic Title | 2,793.27 | | 106,461.34 |
| Check | 06/06/2012 | 2965 | | Old Republic Title | 2,793.27 | | 109,254.61 |
| Check | 06/06/2012 | 2964 | | Old Republic Title | 2,793.27 | | 112,047.88 |
| Check | 06/06/2012 | 2963 | | Old Republic Title | 2,793.27 | | 114,841.15 |
| Check | 06/06/2012 | 2962 | | Old Republic Title | 2,793.27 | | 117,634.42 |
| Check | 06/06/2012 | 2961 | | Old Republic Title | 2,793.27 | | 120,427.69 |
| Check | 06/06/2012 | 2960 | | Old Republic Title | 2,793.27 | | 123,220.96 |
| Check | 06/06/2012 | 2959 | | Old Republic Title | 2,793.27 | | 126,014.23 |
| Check | 06/06/2012 | 2958 | | Old Republic Title | 2,793.27 | | 128,807.50 |
| Check | 06/06/2012 | 2957 | | Old Republic Title | 2,793.27 | | 131,600.77 |
| Check | 06/06/2012 | 2956 | | Old Republic Title | 2,793.27 | | 134,394.04 |
| Check | 06/06/2012 | 2955 | | Old Republic Title | 2,793.27 | | 137,187.31 |
| Check | 06/06/2012 | 2954 | | Old Republic Title | 2,793.27 | | 140,000.58 |
| Check | 06/06/2012 | 2953 | | Old Republic Title | 2,793.27 | | 1 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|------------|-----|---------------------------------|--|-------------------------------------|------------|------------|------------|
| Deposit | 08/22/2011 | 1394 | | SB Capital Brokers Trust Act | 20000 Valley produce - August inte... | 1030 - Heritage - 3695 Operating | | 1,520.21 | 59,974.13 |
| Deposit | 08/23/2011 | 1403 | | SB Capital Brokers Trust Act | 864728 Manoj Patel Interest receiv... | 1030 - Heritage - 3695 Operating | | 848.90 | 59,127.23 |
| Deposit | 08/23/2011 | 1404 | | SB Capital Brokers Trust Act | #151 sherwin interest on 2nd | 1030 - Heritage - 3695 Operating | | 600.00 | 58,527.23 |
| General Journal | 08/31/2011 | svc trst | | | SERVICING TRUST BALANCE TO... | 1155 - Servicing Trust Balance | | 1,703.33 | 56,823.90 |
| General Journal | 09/02/2011 | revacc... | | | ADJUST Aug 2011 FUND INTERE... | -SPLIT- | | | 56,823.90 |
| Deposit | 09/06/2011 | 1407 | | SB Capital Brokers Trust Act | reverse SERVING TRUST BALA... | 1155 - Servicing Trust Balance | 32,173.38 | | 88,997.29 |
| Deposit | 09/06/2011 | 1410 | | SB Capital Brokers Trust Act | #130 Erica Auto Sales - interest pa... | 1030 - Heritage - 3695 Operating | 1,703.33 | | 90,700.62 |
| Deposit | 09/06/2011 | 1409 | | SB Capital Brokers Trust Act | #108interest fees paid - Mardock | 1030 - Heritage - 3695 Operating | | 1,083.33 | 90,617.29 |
| Deposit | 09/19/2011 | 1416 | | SB Capital Brokers Trust Act | #151 Sherwin interest payment | 1030 - Heritage - 3695 Operating | | 55.99 | 89,561.30 |
| Deposit | 09/19/2011 | 1415 | | SB Capital Brokers Trust Act | #82 Finnegan 1998 Trust - Sept pa... | 1030 - Heritage - 3695 Operating | | 620.00 | 88,941.30 |
| Deposit | 09/19/2011 | 1413 | | SB Capital Brokers Trust Act | #155 47300 Kato LLC - Lighthouse ... | 1030 - Heritage - 3695 Operating | | 418.67 | 88,524.63 |
| Deposit | 09/19/2011 | 1420 | | SB Capital Brokers Trust Act | #149 Aung San LLC - San Luis Lod... | 1030 - Heritage - 3695 Operating | | 361.11 | 88,163.52 |
| Deposit | 09/28/2011 | 1423 | | SB Capital Brokers Trust Act | #106 David Sherwin interest payment | 1030 - Heritage - 3695 Operating | | 8,738.28 | 96,901.80 |
| Deposit | 09/29/2011 | 1422 | | SB Capital Brokers Trust Act | 130 zelaya interest receivable | 1030 - Heritage - 3695 Operating | | 190.25 | 81,234.99 |
| General Journal | 09/30/2011 | svc trst | | | 20000 valley produce - interest | 1030 - Heritage - 3695 Operating | | 1,119.44 | 80,115.55 |
| General Journal | 09/30/2011 | svc trst | | | SERVICING TRUST BALANCE TO... | 1155 - Servicing Trust Balance | | 1,507.57 | 78,607.98 |
| Deposit | 10/18/2011 | 1402... | | Rajinder Gupta / Gupta Oil | ADJUST Sept 2011 FUND INTER... | -SPLIT- | 27,331.90 | 9,581.43 | 69,026.55 |
| Deposit | 10/21/2011 | 1444 | | SB Capital Brokers Trust Act | #113 Rajinder Gupta / Gupta Oil & ... | 1030 - Heritage - 3695 Operating | | 90,382.45 | 80,454.28 |
| Deposit | 10/21/2011 | 1442 | | SB Capital Brokers Trust Act | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 5,904.17 | 86,358.45 |
| Deposit | 10/21/2011 | 1441 | | SB Capital Brokers Trust Act | #149 Aung San - interest due | 1030 - Heritage - 3695 Operating | | 1,739.44 | 84,619.01 |
| Deposit | 10/21/2011 | 1439 | | SB Capital Brokers Trust Act | #155 47300 Kato - interest | 1030 - Heritage - 3695 Operating | | 2,799.48 | 81,818.53 |
| Deposit | 10/31/2011 | 1448 | | SB Capital Brokers Trust Act | #20000 Valley produce - interest | 1030 - Heritage - 3695 Operating | | 1,354.17 | 80,464.36 |
| General Journal | 10/31/2011 | amort | | | Sherwin interest on 504 note | 1030 - Heritage - 3695 Operating | | 1,494.82 | 81,959.18 |
| General Journal | 10/31/2011 | amort | | | servicing trust balance as of 10-31-... | 1155 - Servicing Trust Balance | | 634.17 | 82,593.35 |
| General Journal | 10/31/2011 | amort | | | ADJUST Oct 2011 FUND INTERE... | -SPLIT- | 34,537.57 | 620.00 | 81,973.35 |
| Deposit | 11/14/2011 | 1455 | | SB Capital Brokers Trust Act | #82 Finnegan interest receivable | 1030 - Heritage - 3695 Operating | | 418.67 | 116,349.77 |
| Deposit | 11/21/2011 | 1462 | | SB Capital Brokers Trust | #151 Airport Blvd - interest | 1030 - Heritage - 3695 Operating | | 418.67 | 115,931.10 |
| Deposit | 11/21/2011 | 1463 | | SB Capital Brokers Trust | #149 Aung San - loan interest | 1030 - Heritage - 3695 Operating | | 3,385.42 | 112,547.88 |
| Deposit | 11/21/2011 | 1461 | | SB Capital Brokers Trust | Valley produce interest receivable | 1030 - Heritage - 3695 Operating | | 833.66 | 111,714.02 |
| Deposit | 11/21/2011 | 1459 | | SB Capital Brokers Trust | #47300 Kato - interest receivable | 1030 - Heritage - 3695 Operating | | 1,481.96 | 110,232.06 |
| General Journal | 11/30/2011 | amort | | | #106 Sherwin 504 note - interest in... | 1030 - Heritage - 3695 Operating | | 1,403.98 | 108,828.08 |
| Deposit | 12/13/2011 | 1482 | | SB Capital Brokers Trust | ADJUST Nov 2011 FUND INTERE... | -SPLIT- | 27,769.38 | 678.45 | 108,151.63 |
| Deposit | 12/13/2011 | 1480 | | SB Capital Brokers Trust | #20000 valley produce interest | 1030 - Heritage - 3695 Operating | | 1,469.00 | 135,921.01 |
| Deposit | 12/13/2011 | 1478 | | SB Capital Brokers Trust | #151 Airport Blvd interest receivable | 1030 - Heritage - 3695 Operating | | 4,687.50 | 140,608.51 |
| Deposit | 12/21/2011 | 1485 | | SB Capital Brokers Trust | #82 Finnegan interest | 1030 - Heritage - 3695 Operating | | 416.57 | 129,347.84 |
| Deposit | 12/21/2011 | 1486 | | SB Capital Brokers Trust | Aung San interest receivable | 1030 - Heritage - 3695 Operating | | 1,787.11 | 127,560.73 |
| Deposit | 12/21/2011 | 1487 | | SB Capital Brokers Trust | Kato interest receivable | 1030 - Heritage - 3695 Operating | | 1,549.79 | 126,010.94 |
| Deposit | 12/21/2011 | 1488 | | SB Capital Brokers Trust | Assisted medical transport - interes... | 1030 - Heritage - 3695 Operating | | 634.17 | 125,376.77 |
| Deposit | 12/21/2011 | 1490 | | SB Capital Brokers Trust | eric auto sales - zelaya interest re... | 1030 - Heritage - 3695 Operating | | 1,101.66 | 124,275.11 |
| Deposit | 12/22/2011 | 1496 | | SB Capital Brokers Trust | mardock interest receivable | 1030 - Heritage - 3695 Operating | | 3,148.52 | 121,126.59 |
| Deposit | 12/22/2011 | 1494 | | SB Capital Brokers Trust | interest payment from SB Capital f... | 1030 - Heritage - 3695 Operating | | 11,194.77 | 109,931.82 |
| General Journal | 12/30/2011 | amort | | | interest payment from SB Capital f... | 1030 - Heritage - 3695 Operating | | 9,767.65 | 100,164.17 |
| General Journal | 12/30/2011 | svctrst | | | ADJUST Dec 2011 FUND INTERE... | -SPLIT- | 48,301.24 | 148,465.41 | 148,465.41 |
| Deposit | 01/17/2012 | 1518 | | SB Capital Brokers Trust | servicing trust balance as of 12-31-... | 1155 - Servicing Trust Balance | | 8,288.88 | 142,176.52 |
| Deposit | 01/17/2012 | 1517 | | SB Capital Brokers Trust | #864728 Manoj Patel Interest Pay... | 1030 - Heritage - 3695 Operating | | 848.90 | 141,327.62 |
| Deposit | 01/17/2012 | 1516 | | SB Capital Brokers Trust | #864728 Manoj Patel Interest Rece... | 1030 - Heritage - 3695 Operating | | 848.90 | 140,478.72 |
| Deposit | 01/17/2012 | 1513 | | SB Capital Brokers Trust | #864728 Manoj Patel Interest Pay... | 1030 - Heritage - 3695 Operating | | 848.90 | 139,629.82 |
| Deposit | 01/25/2012 | 1528 | | SB Capital Brokers Trust | #82 Finnegan 1998 Trust-January... | 1030 - Heritage - 3695 Operating | | 416.67 | 139,213.15 |
| Deposit | 01/25/2012 | 1529 | | SB Capital Brokers Trust | 349 First Street, LLC-interest income | 1030 - Heritage - 3695 Operating | | 105,125.08 | 34,084.09 |
| Deposit | 01/25/2012 | 1527 | | SB Capital Brokers Trust | #106 David Sherwin - January Pay... | 1030 - Heritage - 3695 Operating | | 655.31 | 33,428.78 |
| Deposit | 01/25/2012 | 1525 | | SB Capital Brokers Trust | #156 Airport Blvd. Realty LLC- Inte... | 1030 - Heritage - 3695 Operating | | 3,253.91 | 30,184.87 |
| Deposit | 01/25/2012 | 1526 | | SB Capital Brokers Trust | #155 47300 Kato, LLC- January Pa... | 1030 - Heritage - 3695 Operating | | 1,589.16 | 28,595.71 |
| General Journal | 01/31/2012 | 1526 | | SB Capital Brokers Trust | #200000 Valley Produce-January P... | 1030 - Heritage - 3695 Operating | | 1,455.92 | 27,139.79 |
| General Journal | 02/28/2012 | True up | | | #149 Aung San, LLC-January Pay... | 1030 - Heritage - 3695 Operating | | 1,848.68 | 25,291.11 |
| General Journal | 03/31/2012 | True up | | | ADJUST JAN 2012 FUND INTER... | -SPLIT- | 25,212.92 | | 50,498.03 |
| General Journal | 04/30/2012 | True up | | | True up Servicing Trust Balance 02... | 1110 - Fund Loans | 16,743.36 | | 67,239.39 |
| General Journal | 05/31/2012 | True up | | | True up Servicing Trust Balance 03... | 1110 - Fund Loans | 38,775.73 | | 106,015.12 |
| General Journal | 05/31/2012 | True up | | | True up Servicing Trust Balance 04... | 1110 - Fund Loans | | 55,471.10 | 50,544.02 |
| General Journal | 05/31/2012 | int | | 349 First Street, LLC- LOAN 127 | True up Servicing Trust Balance 05... | 1110 - Fund Loans | 27,978.55 | | 78,522.57 |
| | | | | | pay off 349 First Street LLC - Bay ... | 1110 - Fund Loans | | 47,416.51 | 31,106.06 |
| Total 1150 - Fund Loans Interest Receivable | | | | | | | 498,431.18 | 487,325.12 | 31,106.06 |
| 1151 - Late Charges Receivable | | | | | | | | | |
| General Journal | 09/30/2010 | LC Rec | | | Late Charges Receivable @ 9/30/10 | 4110 - Late Fee Income | 1,114.27 | | 1,114.27 |
| General Journal | 10/31/2010 | LC Rec | | | Aje to Late Chg Rec @ 10/31/10 | 4110 - Late Fee Income | | 206.05 | 908.22 |
| General Journal | 11/30/2010 | LC Rec | | | Late Charges Rec @ 11/30/10 | 4110 - Late Fee Income | | 539.06 | 369.16 |
| General Journal | 12/31/2010 | LC Rec | | | Late Chgs Rec @ 12/31/10 | 4110 - Late Fee Income | 146.46 | | 515.62 |
| General Journal | 01/31/2011 | LC Rec | | | Aj to reflect Late Chgs receivable ... | 4110 - Late Fee Income | 89.63 | | 605.25 |
| General Journal | 02/28/2011 | LC Rec | | | Adjust to LC rec per Mortgage Pool... | 4110 - Late Fee Income | 148.48 | | 753.71 |
| Deposit | 03/29/2011 | 1325 | | SB Capital Brokers Trust | #51-1 CABELLO LATE FEE INCO... | 1030 - Heritage - 3695 Operating | | 582.31 | 133.40 |
| General Journal | 04/30/2011 | Late fe... | | | adjust late fees receivable to April ... | 4010 - Loan Interest Income | 42.35 | | 211.75 |
| General Journal | 05/31/2011 | adv ex... | | | adjust late fees receivable to May 2... | 4010 - Loan Interest Income | 42.35 | | 254.10 |
| Deposit | 06/30/2011 | 1371 | | SB Capital Brokers Trust | #130 Erica Auto Sales - Zelaya Re... | 1030 - Heritage - 3695 Operating | | 54.17 | 199.93 |
| General Journal | 06/30/2011 | INTREC | | | ADJUST JUNE 2011 LATE FEE R... | 1150 - Fund Loans Interest Recei... | | 9.70 | 190.23 |
| General Journal | 07/31/2011 | int rec | | Erica Auto Sales | late charges on Erica's Auto Sales | 1030 - Heritage - 3695 Operating | | 54.17 | 136.06 |
| General Journal | 08/31/2011 | svc trst | | | ADJUST JULY 2011 LATE FEE R... | 1150 - Fund Loans Interest Recei... | 96.52 | | 232.58 |
| General Journal | 08/31/2011 | intrec | | | SERVICING TRUST BALANCE TO... | 1155 - Servicing Trust Balance | | 140.16 | 92.42 |
| General Journal | 09/02/2011 | revacc... | | | ADJUST Aug 2011 FUND LATE C... | 1150 - Fund Loans Interest Recei... | 283.95 | | 376.37 |
| Deposit | 09/06/2011 | 1407 | | SB Capital Brokers Trust Act | reverse SERVING TRUST BALA... | 1155 - Servicing Trust Balance | 140.16 | | 516.53 |
| Deposit | 09/06/2011 | 1409 | | SB Capital Brokers Trust Act | #130 Erica Auto Sales - late fees p... | 1030 - Heritage - 3695 Operating | | 54.17 | 462.36 |
| Deposit | 09/29/2011 | 1423 | | SB Capital Brokers Trust Act | #151 Sherwin late | 1030 - Heritage - 3695 Operating | | 30.00 | 432.36 |
| Deposit | 09/29/2011 | 1422 | | SB Capital Brokers Trust Act | 130 zelaya late charges receivable | 1030 - Heritage - 3695 Operating | | 18.06 | 414.30 |
| General Journal | 09/30/2011 | svc trst | | | 20000 valley produce late fee | 1030 - Heritage - 3695 Operating | | 150.00 | 264.30 |
| Deposit | 10/21/2011 | 1444 | | SB Capital Brokers Trust Act | ADJUST Sept 2011 late charges R... | 1150 - Fund Loans Interest Recei... | 1,037.75 | | 1,302.05 |
| General Journal | 10/31/2011 | amort | | | -MULTIPLE- | 1155 - Servicing Trust Balance | | 343.51 | 858.54 |
| Deposit | 11/14/2011 | 1456 | | SB Capital Brokers Trust Act | ADJUST Oct 2011 late fee RECEI... | 1150 - Fund Loans Interest Recei... | 690.54 | | 1,549.05 |
| General Journal | 11/30/2011 | amort | | | mardock principal | 1030 - Heritage - 3695 Operating | | 418.30 | 1,230.75 |
| Deposit | 12/21/2011 | 1488 | | SB Capital Brokers Trust | ADJUST Oct 2011 late fee RECEI... | 1150 - Fund Loans Interest Recei... | 349.22 | | 1,580.00 |
| General Journal | 12/30/2011 | amort | | | eric auto sales - zelaya late fees | 1030 - Heritage - 3695 Operating | | 108.34 | 1,471.66 |
| General Journal | 12/30/2011 | svctrst | | | ADJUST Dec 2011 late fee RECEI... | 1150 - Fund Loans Interest Recei... | 301.66 | | 1,773.32 |
| General Journal | 01/31/2012 | True up | | | servicing trust balance as of 12-31-... | 1155 - Servicing Trust Balance | | 131.00 | 1,904.32 |
| General Journal | 02/29/2012 | True up | | | ADJUST JAN 2012 late fee RECEI... | 1150 - Fund Loans Interest Recei... | 305.68 | | 1,950.00 |
| General Journal | 03/31/2012 | True up | | | True up Servicing Trust Balance 02... | 1110 - Fund Loans | 366.52 | | 2,316.52 |
| Deposit | 04/19/2012 | 1769 | | Valley Produce | True up Servicing Trust Balance 03... | 1110 - Fund Loans | 250.68 | | 2,567.20 |
| General Journal | 04/30/2012 | True up | | | #200000 Valley Produce | 1030 - Heritage - 3695 Operating | | 58.35 | 2,508.85 |
| General Journal | 05/31/2012 | True up | | | True up Servicing Trust Balance 04... | 1110 - Fund Loans | 309.04 | | 2,817.89 |
| General Journal | 05/31/2012 | True up | | | True up Servicing Trust Balance 05... | 1110 - Fund Loans | 250.68 | | 3,068.57 |
| Total 1151 - Late Charges Receivable | | | | | | | 5,963.92 | 2,895.35 | 3,068.57 |

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Accrual Basis

SBC Portfolio Fund, LLC
General Ledger

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-------------|---------|------------------------------|--|------------------------------------|---------------|---------------|--------------|
| 1190 - Fund Loans Loss Reserve | | | | | | | | | 0.00 |
| Total 1190 - Fund Loans Loss Reserve | | | | | | | | | |
| 1195 - Loan Costs | | | | | | | | | |
| 1196 - Loan Costs - Accumulated Amortiz | | | | | | | | | |
| General Journal | 05/31/2012 | | True up | | Amort of Loan Costs 05/31/2012 | 1110 - Fund Loans | | 3,319.48 | -3,319.48 |
| | | | | | | | 0.00 | 3,319.48 | -3,319.48 |
| Total 1196 - Loan Costs - Accumulated Amortiz | | | | | | | | | |
| 1195 - Loan Costs - Other | | | | | | | | | |
| Check | 05/01/2012 | ACH | | investors Prime Fund, LLC | Premium Paid on Purchase of Airp... | 1030 - Heritage - 3695 Operating | 390,841.52 | | 390,841.52 |
| | | | | | | | | 0.00 | 390,841.52 |
| Total 1195 - Loan Costs - Other | | | | | | | 390,841.52 | 3,319.48 | 387,522.04 |
| Total 1195 - Loan Costs | | | | | | | | | |
| 1100 - Fund Loans Receivable - Other | | | | | | | | | 0.00 |
| Total 1100 - Fund Loans Receivable - Other | | | | | | | | | |
| Total 1100 - Fund Loans Receivable | | | | | | | 36,153,085.45 | 29,389,155.93 | 6,763,929.52 |
| 1200 - Other Receivables | | | | | | | | | |
| 1210 - Due Fr SBC Cap Brokers Trust Ac | | | | | | | | | |
| General Journal | 01/29/2010 | 01/10 ... | | | VOID: Loan Act# 864727 Campbell... | -SPLIT- | 0.00 | | 0.00 |
| General Journal | 02/01/2010 | 02/10 ... | | | VOID: Loan Act#35 1350 Natoma p... | 4010 - Loan Interest Income | 0.00 | | 0.00 |
| General Journal | 02/02/2010 | 02/10 ... | | | VOID: Loan #65-1 Lipari pmt | -SPLIT- | 0.00 | | 0.00 |
| Total 1210 - Due Fr SBC Cap Brokers Trust Ac | | | | | | | 0.00 | 0.00 | 0.00 |
| 1215 - Int. Receivable on Mgr Due Fro | | | | | | | | | |
| General Journal | 03/31/2011 | Mgr In... | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 13,083.30 | | 13,083.30 |
| General Journal | 04/30/2011 | MGR L... | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,361.10 | | 17,444.40 |
| General Journal | 05/31/2011 | amort | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,506.47 | | 21,950.87 |
| Deposit | 06/30/2011 | | | SB Capital Corp. | CREDIT | 1030 - Heritage - 3695 Operating | | 21,950.87 | 0.00 |
| General Journal | 07/31/2011 | int rec | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,361.10 | | 4,361.10 |
| General Journal | 08/31/2011 | svc trst | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,506.57 | | 8,867.67 |
| Deposit | 08/29/2011 | 3383 | | SB Capital Corp. | Interest receivable on due from | 1030 - Heritage - 3695 Operating | | 8,867.67 | 0.00 |
| General Journal | 10/31/2011 | amort | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,569.09 | | 4,569.09 |
| General Journal | 11/30/2011 | amort | | | Interest due on Manager Note Rec... | 4105 - Interest Income on Manag... | 4,421.70 | | 8,990.79 |
| Deposit | 12/21/2011 | 3610 | | SB Capital Corp. | Interest payment on manager due f... | 4105 - Interest Income on Manag... | | 8,990.79 | 0.00 |
| General Journal | 12/31/2011 | Interest | | | 2.5% shared during the year on du... | 4105 - Interest Income on Manag... | 17,686.59 | | 17,686.59 |
| General Journal | 01/31/2012 | int. Mgr... | | | Interest Due on Mgr Due From Note | 4105 - Interest Income on Manag... | 5,896.00 | | 23,582.59 |
| General Journal | 02/29/2012 | int. Mgr... | | | Interest Due on Mgr Due From Note | 4105 - Interest Income on Manag... | 5,414.36 | | 28,996.95 |
| General Journal | 03/31/2012 | True up | | | March Interest from SB Capital on ... | 4105 - Interest Income on Manag... | 4,469.17 | | 33,466.12 |
| General Journal | 04/30/2012 | True up | | | April Interest from SB Capital on M... | 4105 - Interest Income on Manag... | 4,421.70 | | 37,887.82 |
| Deposit | 05/10/2012 | | | SB Capital Corp. | Interest thru 03-31-2012 | 4105 - Interest Income on Manag... | | 33,466.12 | 4,421.70 |
| General Journal | 05/31/2012 | int | | | Interest from SB Capital on Manag... | 4105 - Interest Income on Manag... | 3,782.56 | | 8,214.26 |
| General Journal | 05/31/2012 | int | | | pay down interest receivable on du... | 5020 - Fund Management Fees | | 8,214.26 | 0.00 |
| Total 1215 - Int. Receivable on Mgr Due Fro | | | | | | | 81,489.71 | 81,489.71 | 0.00 |
| 1220 - Due From Manager | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 534,736.00 | | 534,736.00 |
| General Journal | 01/01/2010 | Rev 0... | | | Reverse Duplicate 09 K-1 Adj | -SPLIT- | 8,923.00 | | 541,659.00 |
| Check | 02/28/2010 | 2001 | | SB Capital Corp. | 2010 syndication exp reimb- move... | 1030 - Heritage - 3695 Operating | 25,000.00 | | 568,659.00 |
| Check | 02/28/2010 | 2005 | | SB Capital Corp. | syndication expense-moved to Due... | 1030 - Heritage - 3695 Operating | 25,000.00 | | 591,659.00 |
| General Journal | 08/01/2010 | Neg A... | | White Revocable Trust | Aug 10 Mgr Contrib-offset negative... | 3010 - Contributions | 10,895.37 | | 602,554.37 |
| Deposit | 09/01/2010 | 103 | | M.J. LaGrone Revocable Trust | M.J. LaGrone reimbursement of wit... | 1030 - Heritage - 3695 Operating | | 2,500.00 | 605,054.37 |
| Deposit | 09/20/2010 | 10335... | | investors Prime Fund, LLC | Reimb Dodge repairs rcvd fr The H... | 1030 - Heritage - 3695 Operating | | 1,263.64 | 598,790.73 |
| Check | 10/19/2010 | 2111 | | SB Capital Corp. | Managers Compensation | 1030 - Heritage - 3695 Operating | 50,000.00 | | 648,790.73 |
| Check | 11/12/2010 | Transfer | | SB Capital Corp. | Managers Profit Participation - dep... | 1030 - Heritage - 3695 Operating | 50,000.00 | | 698,790.73 |
| Check | 12/14/2010 | Transfer | | SB Capital Corp. | Transfer to SB Capital Heritage #3... | 1030 - Heritage - 3695 Operating | 25,000.00 | | 723,790.73 |
| Deposit | 12/30/2010 | 1002 | | Mark Feathers | M Feathers purchase of Dodge Truck | 1030 - Heritage - 3695 Operating | | 10,000.00 | 733,790.73 |
| General Journal | 12/31/2010 | AUD J... | | | Distribute excess funds to Manager | 3030 - Distributions | | 8,327.30 | 742,118.03 |
| General Journal | 12/31/2011 | GAAP... | | | Management Fee at the end of 201... | 1117 - Deferred Expense(Reven... | 25,747.79 | | 767,865.82 |
| General Journal | 01/31/2012 | GAAP... | | | Below Preferred Rate Earnings | 5020 - Fund Management Fees | | 225,115.34 | 993,000.00 |
| General Journal | 02/29/2012 | mgmt ... | | | Excess Earnings-February | 5020 - Fund Management Fees | | 38,504.63 | 1,031,504.63 |
| General Journal | 05/31/2012 | int | | | pay down interest receivable on du... | 5020 - Fund Management Fees | | | 1,070,009.27 |
| Total 1220 - Due From Manager | | | | | | | 753,302.16 | 300,305.89 | 452,996.27 |
| 1200 - Other Receivables - Other | | | | | | | | | 0.00 |
| Total 1200 - Other Receivables - Other | | | | | | | | | |
| Total 1200 - Other Receivables | | | | | | | 834,791.87 | 381,795.60 | 452,996.27 |
| 1400 - Prepaids | | | | | | | | | |
| 1410 - Legal Retainers | | | | | | | | | |
| Check | 03/18/2010 | 2008 | | SB Capital Corp. | Refunded back on 3/25 & 5/20/2010 | 1030 - Heritage - 3695 Operating | 100,000.00 | | 100,000.00 |
| Deposit | 03/22/2010 | 1043 | | SB Capital Brokers Trust | \$25K payk of \$100K SBC PF adv... | 1030 - Heritage - 3695 Operating | | 25,000.00 | 75,000.00 |
| Deposit | 05/21/2010 | 1067 | | SB Capital Brokers Trust | return prepaid legal expenses from ... | 1030 - Heritage - 3695 Operating | | 75,000.00 | 0.00 |
| Total 1410 - Legal Retainers | | | | | | | 100,000.00 | 100,000.00 | 0.00 |
| 1420 - Commissions Pre-paid | | | | | | | | | 0.00 |
| Total 1420 - Commissions Pre-paid | | | | | | | | | |
| 1425 - Accum Amortization - commission | | | | | | | | | 0.00 |
| Total 1425 - Accum Amortization - commission | | | | | | | | | |
| 1430 - Prepaid Underwriting - Retainer | | | | | | | | | |
| Check | 11/17/2011 | 11171... | | Alliance Underwriting, LLC | Retainer for Commercial Credit An... | 1030 - Heritage - 3695 Operating | 8,000.00 | | 8,000.00 |
| Total 1430 - Prepaid Underwriting - Retainer | | | | | | | 8,000.00 | 0.00 | 8,000.00 |

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SBC Portfolio Fund, LLC
General Ledger

08/18/16

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-----------|-----|------------------------------|---|-------------------------------------|------------|------------|------------|
| 1490 - Misc. Prepays | | | | | | | | | |
| Check | 11/04/2010 | 110410 | | Chicago Title | Prepaid Interest 11/04/10 - 05/04/11... | 1030 - Heritage - 3695 Operating | 17,030.66 | | 17,030.66 |
| General Journal | 11/23/2010 | Natomo... | | | Int pmt due 12/1/10 made fr Int Re... | 6500 - Interest Expense | | 2,520.44 | 14,510.42 |
| General Journal | 01/31/2011 | Natomo... | | | Int applied from Reserve - on 1/12/... | 6500 - Interest Expense | | 2,902.08 | 11,608.34 |
| General Journal | 02/14/2011 | Natomo... | | | Natoma NIP fr Int Reserve applied ... | 6500 - Interest Expense | | 2,902.08 | 8,706.26 |
| General Journal | 04/01/2011 | Natomo... | | | Natoma NIP fr Int Reserve applied ... | 6500 - Interest Expense | | 2,902.08 | 5,804.18 |
| General Journal | 04/05/2011 | Natomo... | | | Natoma NIP fr Int Reserve applied ... | 6500 - Interest Expense | | 2,902.08 | 2,902.10 |
| General Journal | 04/25/2011 | Natoma | | | Natoma NIP fr Int Reserve applied ... | 6500 - Interest Expense | | 2,902.08 | 0.02 |
| Deposit | 08/29/2011 | 16871... | | 47300 KATO, LLC | reassignment of environmental ins... | 1030 - Heritage - 3695 Operating | 600.00 | 600.00 | -599.88 |
| Check | 08/31/2011 | 2208 | | 47300 KATO, LLC | reimburse SB Capital corp for envir... | 1030 - Heritage - 3695 Operating | 39,800.00 | | 0.02 |
| Check | 11/29/2011 | 11171... | | Stewart Title | 12 months prepaid interest | 1030 - Heritage - 3695 Operating | | 5,804.18 | 39,800.02 |
| General Journal | 01/31/2012 | Natomo... | | | January interest on Natoma | 6250 - Natoma St REO - Interest ... | | 1,650.00 | 33,995.84 |
| Deposit | 02/24/2012 | 8059 | | Trenam, Kemper, Scharf etc. | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 1,650.00 | 32,345.84 |
| Check | 02/27/2012 | 2247 | | SB Capital Brokers Trust | Edge Partners, LLC/Fleming Envi... | 1030 - Heritage - 3695 Operating | 1,650.00 | | 33,995.84 |
| Deposit | 03/30/2012 | Wire | | First American Title Company | Aung Salvage- Third Party Fee | 1030 - Heritage - 3695 Operating | | 200.00 | 33,795.84 |
| Check | 03/30/2012 | ACH | | SB Capital Brokers Trust | Aung Salvage- Third Party Fee mo... | 1030 - Heritage - 3695 Operating | 200.00 | | 33,995.84 |
| General Journal | 03/31/2012 | True up | | | Interest Expense on Natoma Prop... | 6500 - Interest Expense | | 4,145.85 | 29,849.99 |
| Deposit | 05/17/2012 | wire | | Small Business Capital LLC | Due to SB Capital Broker Trust | 1030 - Heritage - 3695 Operating | | 3,500.00 | 26,349.99 |
| Check | 05/17/2012 | wire | | SB Capital Brokers Trust | Park Blvd-Rampur payment moved... | 1030 - Heritage - 3695 Operating | 3,500.00 | | 29,849.99 |
| Deposit | 06/06/2012 | 24003... | | Old Republic Title | Prepaid Interest Paid to SBC Porto... | 1030 - Heritage - 3695 Operating | | 1,768.50 | 28,081.49 |
| Check | 06/08/2012 | 3003 | | SB Capital Brokers Trust | Prepaid Interest for Steve Hge tran... | 1030 - Heritage - 3695 Operating | 1,768.50 | | 26,312.99 |
| Deposit | 06/11/2012 | 12120... | | Fidelity National Title | Clover Hotel Partners- | 1030 - Heritage - 3695 Operating | | 100.00 | 26,412.99 |
| Check | 06/18/2012 | 3007 | | SB Capital Brokers Trust | Prepaid for Clover Hotels | 1030 - Heritage - 3695 Operating | 100.00 | | 26,312.99 |
| General Journal | 06/28/2012 | True up | | | Interest Expense on Natoma Prop... | 6500 - Interest Expense | | 9,850.99 | 16,462.00 |
| Total 1490 - Misc. Prepays | | | | | | | 64,849.36 | 44,750.36 | 19,899.00 |
| 1491 - Prepaid Income Taxes | | | | | | | | | |
| Check | 05/19/2012 | 3328 | | Franchise Tax Board | Estimated Tax Payment | 1030 - Heritage - 3695 Operating | 2,500.00 | | 2,500.00 |
| Total 1491 - Prepaid Income Taxes | | | | | | | 2,500.00 | 0.00 | 2,500.00 |
| 1400 - Prepays - Other | | | | | | | | | |
| Total 1400 - Prepays - Other | | | | | | | | | 0.00 |
| Total 1400 - Prepays | | | | | | | 175,149.36 | 144,750.36 | 30,399.00 |
| 1500 - Fixed Assets | | | | | | | | | |
| 1510 - Vehicles | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 0.00 | | 0.00 |
| Total 1510 - Vehicles | | | | | | | 0.00 | 0.00 | 0.00 |
| 1590 - Accumulated Depreciation | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 0.00 | | 0.00 |
| Total 1590 - Accumulated Depreciation | | | | | | | 0.00 | 0.00 | 0.00 |
| 1500 - Fixed Assets - Other | | | | | | | | | |
| Total 1500 - Fixed Assets - Other | | | | | | | | | 0.00 |
| Total 1500 - Fixed Assets | | | | | | | 0.00 | 0.00 | 0.00 |
| 1600 - Other Assets | | | | | | | | | |
| 1603 - Syndication Costs | | | | | | | | | |
| Total 1603 - Syndication Costs | | | | | | | | | 0.00 |
| 1610 - Capitalization of Contract | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 50,000.00 | | 50,000.00 |
| Total 1610 - Capitalization of Contract | | | | | | | 50,000.00 | 0.00 | 50,000.00 |
| 1653 - Accum Amort - Synd Costs | | | | | | | | | |
| Total 1653 - Accum Amort - Synd Costs | | | | | | | | | 0.00 |
| 1690 - Accum. Amortization - Contract | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | | 10,833.00 | -10,833.00 |
| General Journal | 03/31/2010 | M/E A... | | | Mar 10 Amort- Contract Costs | 8020 - Amortization Expense | | 833.33 | -11,666.33 |
| General Journal | 04/30/2010 | M/E A... | | | Apr 10 Amort- Contract Costs | 8020 - Amortization Expense | | 833.33 | -12,499.66 |
| General Journal | 05/31/2010 | M/E A... | | | May 10 Amort of Contract Costs | 8020 - Amortization Expense | | 833.33 | -13,332.99 |
| General Journal | 06/30/2010 | M/E A... | | | Jun 10 Amort of Contract Costs | 8020 - Amortization Expense | | 833.33 | -14,166.32 |
| General Journal | 07/31/2010 | Amort | | | Jul 10 Amort of contract costs | 8020 - Amortization Expense | | 833.33 | -14,999.65 |
| General Journal | 08/31/2010 | Amort | | | Sep 10 Amort of contract costs | 8020 - Amortization Expense | | 833.33 | -15,832.98 |
| General Journal | 09/30/2010 | Amort | | | Oct 10 Amort of contract costs | 8020 - Amortization Expense | | 833.33 | -16,666.31 |
| General Journal | 10/31/2010 | Amort... | | | Nov 10 Amort of Contract Costs | 8020 - Amortization Expense | | 833.33 | -17,499.64 |
| General Journal | 11/30/2010 | Amort... | | | Dec 10 Amort of Contract Costs | 8020 - Amortization Expense | | 833.33 | -18,332.97 |
| General Journal | 12/31/2010 | Amort... | | | Adj to auditors YTD Amort | 8020 - Amortization Expense | | 1,666.70 | -19,999.67 |
| General Journal | 01/31/2011 | Amort... | | | Jan 2011 Amort of Contract | 8020 - Amortization Expense | | 833.33 | -21,666.33 |
| General Journal | 02/28/2011 | Amort | | | Feb 2011 Amort of Contract Costs | 8020 - Amortization Expense | | 833.33 | -22,499.66 |
| General Journal | 04/01/2011 | amort | | | march amortization of contracts | 8020 - Amortization Expense | | 833.33 | -23,332.99 |
| General Journal | 04/30/2011 | amort | | | april amortization of contracts | 8020 - Amortization Expense | | 833.33 | -24,166.32 |
| General Journal | 05/31/2011 | amort | | | may amortization of contracts | 8020 - Amortization Expense | | 833.33 | -24,999.65 |
| General Journal | 06/30/2011 | amort | | | june amortization of contracts | 8020 - Amortization Expense | | 833.33 | -25,832.98 |
| General Journal | 07/31/2011 | amort | | | july amortization of contracts | 8020 - Amortization Expense | | 833.33 | -26,666.31 |
| General Journal | 08/31/2011 | amort | | | aug amortization of contracts | 8020 - Amortization Expense | | 833.33 | -27,499.64 |
| General Journal | 09/30/2011 | amort | | | oct amortization of contracts | 8020 - Amortization Expense | | 833.33 | -28,332.97 |
| General Journal | 10/31/2011 | amort | | | nov amortization of contracts | 8020 - Amortization Expense | | 833.33 | -29,166.30 |
| General Journal | 11/30/2011 | amort | | | dec amortization of contracts | 8020 - Amortization Expense | | 1,666.66 | -30,832.96 |
| General Journal | 12/30/2011 | amort | | | dec amortization of contracts | 8020 - Amortization Expense | | 833.33 | -31,666.29 |
| General Journal | 01/31/2012 | amort | | | feb amortization of contracts | 8020 - Amortization Expense | | 833.33 | -32,499.62 |
| General Journal | 02/28/2012 | amort | | | mar amortization of contracts | 8020 - Amortization Expense | | 833.33 | -33,332.95 |
| General Journal | 03/31/2012 | amort | | | apr amortization of contracts | 8020 - Amortization Expense | | 833.33 | -34,166.28 |
| General Journal | 04/30/2012 | amort | | | may amortization of contracts | 8020 - Amortization Expense | | 833.33 | -35,000.00 |
| Total 1690 - Accum. Amortization - Contract | | | | | | | 0.00 | 34,166.28 | -34,166.28 |
| 1600 - Other Assets - Other | | | | | | | | | |
| Total 1600 - Other Assets - Other | | | | | | | | | 0.00 |
| Total 1600 - Other Assets | | | | | | | 50,000.00 | 34,166.28 | 15,833.72 |
| 1800 - REO Assets | | | | | | | | | |
| 1810 - REO-Bay Road | | | | | | | | | |
| General Journal | 08/02/2010 | REO... | | | Foreclosure on Ujari Loan | -SPLIT- | 647,183.70 | | 647,183.70 |
| General Journal | 12/30/2010 | Bay R... | | | Bay Road Sale - REO Asset Cost | 1110 - Fund Loans | | 647,183.70 | 0.00 |
| Total 1810 - REO-Bay Road | | | | | | | 647,183.70 | 647,183.70 | 0.00 |
| 1811 - REO - Natoma | | | | | | | | | |
| General Journal | 11/04/2010 | Natomo... | | | Foreclosure on 1350 Natoma loan | -SPLIT- | 700,000.00 | | 700,000.00 |
| Total 1811 - REO - Natoma | | | | | | | 700,000.00 | 0.00 | 700,000.00 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|------------|-----|---------------------------------|---|--------------------------------------|--------------|--------------|---------------|
| 1800 - REO Assets - Other | | | | | | | | | |
| Total 1800 - REO Assets - Other | | | | | | | | | 0.00 |
| Total 1800 - REO Assets | | | | | | | 1,347,183.70 | 647,183.70 | 700,000.00 |
| 1999 - Suspense Account - Asset | | | | | | | | | |
| Total 1999 - Suspense Account - Asset | | | | | | | | | 0.00 |
| 2000 - Accounts Payable | | | | | | | | | |
| 2010 - Distributions Payable | | | | | | | | | |
| General Journal | 04/30/2011 | Distr P... | | | adjust for May 2011 Distributions P... | 3030 - Distributions | | 26,313.69 | -26,313.69 |
| General Journal | 05/01/2011 | revDis... | | | reverse distributions payable for May | 3030 - Distributions | 26,313.69 | | 0.00 |
| General Journal | 05/01/2011 | distr p... | | | adjust for JUN 2011 Distributions P... | 3030 - Distributions | | 28,020.21 | -28,020.21 |
| General Journal | 06/02/2011 | rev dis... | | | reverse JUN 2011 Distributions Pa... | 3030 - Distributions | 28,020.21 | | 0.00 |
| General Journal | 06/30/2011 | DISTP... | | | GROSS UP FOR DISTRIBUTIONS... | 3030 - Distributions | | 28,920.14 | -28,920.14 |
| General Journal | 07/01/2011 | REVDis... | | | REV GROSS UP FOR DISTRIBUTUT... | 3030 - Distributions | 28,920.14 | | 0.00 |
| General Journal | 07/31/2011 | distr p... | | | GROSS UP FOR DISTRIBUTIONS... | 3030 - Distributions | 38,332.85 | | 38,332.85 |
| General Journal | 08/01/2011 | revdist... | | | rev GROSS UP FOR DISTRIBUTUT... | 3030 - Distributions | | 38,332.85 | 0.00 |
| Total 2010 - Distributions Payable | | | | | | | 121,586.89 | 121,586.89 | 0.00 |
| 2020 - Due to Borrowers | | | | | | | | | |
| Total 2020 - Due to Borrowers | | | | | | | | | 0.00 |
| 2090 - Due to SB Capital | | | | | | | | | |
| Total 2090 - Due to SB Capital | | | | | | | | | 0.00 |
| 2000 - Accounts Payable - Other | | | | | | | | | |
| Total 2000 - Accounts Payable - Other | | | | | | | | | 0.00 |
| Total 2000 - Accounts Payable | | | | | | | 121,586.89 | 121,586.89 | 0.00 |
| 2018 - Participated Interest In Loans | | | | | | | | | |
| General Journal | 12/31/2011 | GAAP... | | | -MULTIPLE- | 1117 - Deferred Expense(Reven... | | 4,029,581.33 | -4,029,581.33 |
| General Journal | 02/28/2012 | GAAP... | | | Payoff on David Sherwin, Aung Sa... | 1112 - Fund Loans>100 bps | 4,029,581.33 | | 0.00 |
| Total 2018 - Participated Interest In Loans | | | | | | | 4,029,581.33 | 4,029,581.33 | 0.00 |
| 2100 - Accrued Expenses | | | | | | | | | |
| Total 2100 - Accrued Expenses | | | | | | | | | 0.00 |
| 2200 - REO - Notes Payable/Deposits | | | | | | | | | |
| 2210 - N/P - Bay Road REO | | | | | | | | | |
| General Journal | 08/02/2010 | REO... | | | Foreclosure on Lipari Loan | 1810 - REO-Bay Road | | 403,638.70 | -403,638.70 |
| Check | 08/18/2010 | 2073 | | Wells Fargo Bank | Prine | 1030 - Heritage - 3695 Operating | 1,820.69 | | -401,798.01 |
| Check | 09/21/2010 | 2083 | | Wells Fargo Bank | -MULTIPLE- | 1030 - Heritage - 3695 Operating | 924.89 | | -400,784.12 |
| Check | 10/12/2010 | 2108 | | Wells Fargo Bank | Loan#4064020762-18 | 1030 - Heritage - 3695 Operating | 1,004.50 | | -399,779.62 |
| Check | 10/18/2010 | 2108 | | Wells Fargo Bank | Loan#4064020762-18 - dupl pmt so... | 1030 - Heritage - 3695 Operating | 2,857.53 | | -396,922.09 |
| Check | 11/24/2010 | 2123 | | Wells Fargo Bank | Loan#4064020762-18 | 1030 - Heritage - 3695 Operating | 657.84 | | -396,164.25 |
| Check | 12/02/2010 | 2129 | | Wells Fargo Bank | Loan#4064020762-18 principal pay... | 1030 - Heritage - 3695 Operating | 100,000.00 | | -296,164.25 |
| Check | 12/17/2010 | 2135 | | Wells Fargo Bank | Loan#4064020762-18 | 1030 - Heritage - 3695 Operating | 734.87 | | -295,429.28 |
| Check | 01/28/2011 | 2155 | | Wells Fargo Bank | Loan#4064020762-18 | 1030 - Heritage - 3695 Operating | 1,413.13 | | -294,016.15 |
| Check | 02/17/2011 | 2159 | | Wells Fargo Bank | principal | 1030 - Heritage - 3695 Operating | 0.00 | | -294,016.15 |
| Check | 03/07/2011 | 2164 | | Wells Fargo Bank | Loan#4064020762-18 | 1030 - Heritage - 3695 Operating | 50,000.00 | | -244,016.15 |
| Check | 03/18/2011 | 2170 | | Wells Fargo Bank | Bay Road princ | 1030 - Heritage - 3695 Operating | 1,521.34 | | -242,494.81 |
| Check | 04/19/2011 | 2175 | | Wells Fargo Bank | Principal paydown on Bay Road | 1030 - Heritage - 3695 Operating | 1,552.21 | | -240,942.60 |
| Check | 05/17/2011 | 2078 | | Wells Fargo Bank | principal payment on Wells note | 1020 - Torrey Pines - 2470 - Distrib | 1,805.66 | | -239,136.94 |
| Check | 06/14/2011 | 2185 | | Wells Fargo Bank | principal portion of Wells Fargo loa... | 1030 - Heritage - 3695 Operating | 1,567.80 | | -237,569.14 |
| Check | 06/29/2011 | 2191 | | Wells Fargo Bank | principal reduction on Bay Road No... | 1030 - Heritage - 3695 Operating | 127,957.53 | | -109,811.61 |
| Check | 07/19/2011 | | | Wells Fargo Bank | final principal payment on Bay Roa... | 1030 - Heritage - 3695 Operating | 109,811.61 | | 0.00 |
| Total 2210 - N/P - Bay Road REO | | | | | | | 403,638.70 | 403,638.70 | 0.00 |
| 2211 - N/P - Natoma REO | | | | | | | | | |
| General Journal | 11/04/2010 | Natom... | | | Foreclosure on 1350 Natoma loan | 1811 - REO - Natoma | | 350,000.00 | -350,000.00 |
| Check | 11/28/2011 | 11171... | | Stewart Title | increase note principal to Bianco | 1030 - Heritage - 3695 Operating | 50,000.00 | | -400,000.00 |
| Total 2211 - N/P - Natoma REO | | | | | | | 0.00 | 400,000.00 | -400,000.00 |
| 2222 - Security Deposit on Natoma REO | | | | | | | | | |
| Deposit | 06/30/2011 | 1239 | | Jamie Fougner | deposit on 1350 Natoma Street #4 | 1030 - Heritage - 3695 Operating | | 2,000.00 | -2,000.00 |
| Total 2222 - Security Deposit on Natoma REO | | | | | | | 0.00 | 2,000.00 | -2,000.00 |
| 2200 - REO - Notes Payable/Deposits - Other | | | | | | | | | |
| Total 2200 - REO - Notes Payable/Deposits - Other | | | | | | | | | 0.00 |
| Total 2200 - REO - Notes Payable/Deposits | | | | | | | 403,638.70 | 805,638.70 | -402,000.00 |
| 24000 - Payroll Liabilities | | | | | | | | | |
| Total 24000 - Payroll Liabilities | | | | | | | | | 0.00 |
| 2500 - Other Liabilities | | | | | | | | | |
| 2510 - Misc. Other Liabilities | | | | | | | | | |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | | 135.31 | -135.31 |
| General Journal | 01/01/2010 | Other ... | | | Reverse unknown bal @ 12/31/09 | 6900 - Misc. Expense | 135.31 | | 0.00 |
| General Journal | 06/30/2010 | Doss L... | | | Doss Law Matter#0003 #64169 | 8101 - Legal | | 1,500.00 | -1,500.00 |
| General Journal | 08/02/2010 | REO... | | | -MULTIPLE- | 1810 - REO-Bay Road | | 120,000.00 | -121,500.00 |
| Check | 08/13/2010 | 2070 | | IRA Services FBO Ryan M. Fe... | profit on buy in | 1030 - Heritage - 3695 Operating | 5,000.00 | | -116,500.00 |
| Check | 08/13/2010 | 2069 | | IRA Services FBO Devin G. Fe... | profit amt | 1030 - Heritage - 3695 Operating | 5,000.00 | | -111,500.00 |
| General Journal | 09/30/2010 | Doss L... | | | -MULTIPLE- | 8101 - Legal | | 260.00 | -111,760.00 |
| General Journal | 09/30/2010 | Prine ... | | | 8050 - REO Expenses:8085 - REO ... | 8120 - Bay Rd REO - Legal | | 8,145.27 | -117,905.27 |
| General Journal | 09/30/2010 | H Squ... | | | H Square PLM Fees due | 1153 - Fund Loan Exp Advance ... | | 2,368.70 | -120,271.87 |
| Check | 10/06/2010 | 2089 | | Heritage Bank | Lipari partial settlement | 1030 - Heritage - 3695 Operating | 60,000.00 | | -60,271.87 |
| Check | 10/06/2010 | 2102 | | PLM Lender Services | H Square PLM Fees accrued in Sep | 1030 - Heritage - 3695 Operating | 2,368.70 | | -57,905.27 |
| Check | 11/04/2010 | 2114 | | Sterling Harwood, Esq. | Final bal due on Lipari Settlement | 1030 - Heritage - 3695 Operating | 50,000.00 | | -7,905.27 |
| Check | 12/02/2010 | 2130 | | Doss Law | -MULTIPLE- | 1030 - Heritage - 3695 Operating | 1,760.00 | | -6,145.27 |
| Check | 12/30/2010 | 2140 | | Doss Law | Matter 0003 Inv #65226 11/5/10 | 1030 - Heritage - 3695 Operating | 0.00 | | -6,145.27 |
| Check | 01/20/2011 | 2149 | | Price Law Firm | Accrued in 2010 | 1030 - Heritage - 3695 Operating | 6,145.27 | | 0.00 |
| Deposit | 07/15/2011 | wire | | Aung San, LLC | SBA 3rd Party Fee due | 1030 - Heritage - 3695 Operating | | 11,437.50 | -11,437.50 |
| Check | 07/18/2011 | 2197 | | Aung San, LLC | pass thru payment of SBA 3rd part... | 1030 - Heritage - 3695 Operating | 11,437.50 | | 0.00 |
| Deposit | 03/02/2012 | | | SB Capital Brokers Trust | Loan Fee on Auto Spa | 1030 - Heritage - 3695 Operating | | 7,960.00 | -7,960.00 |
| Check | 03/07/2012 | 1676 | | Jamie Fougner | CHECK | 1030 - Heritage - 3695 Operating | 7,960.00 | | 0.00 |
| Deposit | 03/09/2012 | | | Golden State Business Capital | CREDIT | 1030 - Heritage - 3695 Operating | | 5,000.00 | -5,000.00 |
| Check | 03/12/2012 | 1678 | | Jamie Fougner | CHECK | 1030 - Heritage - 3695 Operating | 2,445.30 | | -2,554.70 |
| Total 2510 - Misc. Other Liabilities | | | | | | | 152,250.08 | 154,804.78 | -2,554.70 |
| 2520 - Unearned Prepaid Interest | | | | | | | | | |
| Total 2520 - Unearned Prepaid Interest | | | | | | | | | 0.00 |

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SBC Portfolio Fund, LLC
General Ledger

08/18/16

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|----------|-----|--|---|--------------------------------|-----------|------------|---------------|
| Deposit | 07/11/2011 | 3145 | | SB Capital Corp. | #0143 for #1139 Pete Petersen Co... | 1030 Heritage - 3695 Operating | | 3,137.14 | -1,534,525.51 |
| Deposit | 07/13/2011 | 3188 | | SB Capital Corp. | #0156 Elizabeth Cehovic - manage... | 1030 Heritage - 3695 Operating | | 2,000.00 | -1,536,525.51 |
| Deposit | 07/15/2011 | 1028 | | The Rainier Living Trust | #0161 The Rainier Living Trust - ini... | 1030 Heritage - 3695 Operating | | 100,000.00 | -1,636,525.51 |
| Deposit | 07/15/2011 | ach | | Investors Prime Fund, LLC | #162 Stephan Hengstler - initial co... | 1030 Heritage - 3695 Operating | | 25,000.00 | -1,661,525.51 |
| General Journal | 08/08/2011 | inrvn... | | Philip R. Tirey Living Trust, 12/15... | Withdrawal/transfer Tirey trust #012... | 3040 Withdrawals | | 10,000.00 | -1,671,525.51 |
| Deposit | 08/10/2011 | 483 | | Frederick Turner | #0146 Frederick Turner - added co... | 1030 Heritage - 3695 Operating | | 50,000.00 | -1,721,525.51 |
| Deposit | 08/16/2011 | 6041 | | First West Capital Corporation ... | #0163 First West Capital Corporati... | 1030 Heritage - 3695 Operating | | 400,000.00 | -2,121,525.51 |
| Deposit | 08/22/2011 | wire | | Investors Prime Fund, LLC | #0146 Nagemeh transfer from acco... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,146,525.51 |
| Deposit | 08/22/2011 | 1006 | | Edward Minasian | #0165 Edward Minasian - contribut... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,171,525.51 |
| Deposit | 08/22/2011 | 3257 | | SB Capital Corp. | #0163 First West Capital Corp of N... | 1030 Heritage - 3695 Operating | | 4,000.00 | -2,175,525.51 |
| Deposit | 08/22/2011 | wire | | Investors Prime Fund, LLC | #0120 McDowell Trust - transfer 35... | 1030 Heritage - 3695 Operating | | 35,000.00 | -2,210,525.51 |
| Deposit | 08/31/2011 | 11506... | | Eva Bannier Exempt. Trust, H... | #0166 Eva Bannier Exemption Tru... | 1030 Heritage - 3695 Operating | | 50,000.00 | -2,260,525.51 |
| Deposit | 08/31/2011 | 2779 | | Charlotte Wu | #0167 Charlotte Wu - initial contrib... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,285,525.51 |
| Deposit | 08/31/2011 | 1037 | | R. Peter Griffith Cust FBO Laur... | #0170 R. Peter Griffith FBO Laura G... | 1030 Heritage - 3695 Operating | | 26,000.00 | -2,311,525.51 |
| Deposit | 08/31/2011 | 1018 | | R. Peter Griffith Cust FBO Linn... | #0169 R. Peter Griffith Cust FBO L... | 1030 Heritage - 3695 Operating | | 40,000.00 | -2,351,525.51 |
| Deposit | 08/31/2011 | 7963 | | Andrew Maske | #0168 Andrew Maske - initial contr... | 1030 Heritage - 3695 Operating | | 50,000.00 | -2,401,525.51 |
| Deposit | 08/31/2011 | 124 | | Mary Chien Sung | #0171 Mary Chien Sung - initial co... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,426,525.51 |
| Deposit | 08/31/2011 | 102 | | James K. Barron | #0172 James K. Barron - initial con... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,451,525.51 |
| Check | 09/01/2011 | nsf | | R. Peter Griffith Cust FBO Laur... | check refused by Fidelity | 1030 Heritage - 3695 Operating | 26,000.00 | | -2,425,525.51 |
| Deposit | 09/02/2011 | 148 | | Geng Geng Wang | #0173 Geng Geng Wang - initial co... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,450,525.51 |
| Deposit | 09/02/2011 | 189 | | Nathalie Gross | #0149 Nathalie F. Gross - added con... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,475,525.51 |
| Deposit | 09/02/2011 | 1038 | | R. Peter Griffith Cust FBO Laur... | #0170 R. Peter Griffith FBO Laura... | 1030 Heritage - 3695 Operating | | 26,000.00 | -2,501,525.51 |
| Deposit | 09/02/2011 | 7011 | | Peter M. Chumo | #0174 Peter M. Chumo - initial contr... | 1030 Heritage - 3695 Operating | | 50,000.00 | -2,551,525.51 |
| Deposit | 09/07/2011 | 5000 | | Robert & Diane Green | #0175 Robert & Diane Green - initi... | 1030 Heritage - 3695 Operating | | 50,000.00 | -2,601,525.51 |
| Deposit | 09/12/2011 | 354 | | Orlando Escobar | 0152 Orlando Escobar - contributi... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,626,525.51 |
| Deposit | 09/12/2011 | 1394558 | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith, DDS Profit ... | 1030 Heritage - 3695 Operating | | 100,000.00 | -2,726,525.51 |
| Deposit | 09/12/2011 | 1216 | | Karl Jay Smith Trust | #0178 Karl J. Smith - initial contrib... | 1030 Heritage - 3695 Operating | | 17,000.00 | -2,743,525.51 |
| Deposit | 09/12/2011 | 3011 | | Allan Leibof | #0158 Allan & Rhona Leibof - contri... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,768,525.51 |
| Deposit | 09/12/2011 | 1043 | | Andrew Kin Lui & Donna Fang ... | #0179 Andrew Kin Lui & Donna Fa... | 1030 Heritage - 3695 Operating | | 25,000.00 | -2,793,525.51 |
| Deposit | 09/13/2011 | 8931 | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith DDS Profit ... | 1030 Heritage - 3695 Operating | | 32,887.75 | -2,826,513.26 |
| Deposit | 09/16/2011 | 103 | | First West Capital Corporation ... | #0163 First West Capital Corp of N... | 1030 Heritage - 3695 Operating | | 400,000.00 | -3,226,513.26 |
| Deposit | 09/19/2011 | 345 | | Nadia Perrone | #0176 Nadia Perrone - initial contrib... | 1030 Heritage - 3695 Operating | | 40,000.00 | -3,266,513.26 |
| Deposit | 09/19/2011 | 556 | | Orlando Escobar | #0152 Orlando Escobar - added co... | 1030 Heritage - 3695 Operating | | 25,000.00 | -3,291,513.26 |
| Check | 09/19/2011 | | | Orlando Escobar | reverse for NSF | 1030 Heritage - 3695 Operating | 25,000.00 | | -3,266,513.26 |
| Deposit | 09/19/2011 | 3339 | | SB Capital Corp. | #0163 First West Capital Corp of N... | 1030 Heritage - 3695 Operating | | 1,000.00 | -3,267,513.26 |
| Deposit | 09/21/2011 | 1500 | | Boyer H. Natio | #0134 Boyer Natio added contribut... | 1030 Heritage - 3695 Operating | | 45,000.00 | -3,312,513.26 |
| Deposit | 09/23/2011 | 349 | | Yi Qun Zhou | #0160 Yi Qun Zhou - initial contrib... | 1030 Heritage - 3695 Operating | | 25,000.00 | -3,337,513.26 |
| Deposit | 09/23/2011 | 2634 | | Howard Gottlieb | #0181 Howard Gottlieb - initial con... | 1030 Heritage - 3695 Operating | | 25,000.00 | -3,362,513.26 |
| Deposit | 09/23/2011 | 51608 | | IRA Services FBO Ling, Zhou | #0182 IRA Services FBO Ling, Zho... | 1030 Heritage - 3695 Operating | | 46,000.00 | -3,408,513.26 |
| Deposit | 09/25/2011 | 395 | | Willard E. Fee | #0183 Willard E. Fee Jr. MD - initia... | 1030 Heritage - 3695 Operating | | 100,000.00 | -3,508,513.26 |
| Deposit | 09/29/2011 | 51960 | | IRA Services FBO Kuang, Barb... | #0184 IRA Services FBO Kuang, B... | 1030 Heritage - 3695 Operating | | 25,000.00 | -3,533,513.26 |
| Deposit | 09/29/2011 | 1130 | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith DDS Profit... | 1030 Heritage - 3695 Operating | | 15,757.00 | -3,549,270.26 |
| Deposit | 09/29/2011 | 850 | | Edward Minasian | #0165 Edward Minasian - initial con... | 1030 Heritage - 3695 Operating | | 30,000.00 | -3,579,270.26 |
| Deposit | 10/05/2011 | 384 | | Phillip & Joyce Bach | #00186 Phillip & Joyce Bach - initia... | 1030 Heritage - 3695 Operating | | 15,000.00 | -3,594,270.26 |
| Deposit | 10/06/2011 | 111 | | Lipovac Family Trust | #0187 Lipovac Family Trust - initia... | 1030 Heritage - 3695 Operating | | 25,000.00 | -3,619,270.26 |
| Deposit | 10/11/2011 | 1352 | | Bernard & Helen Rubin Trust | #0128 Bernard Rubin - added contr... | 1030 Heritage - 3695 Operating | | 20,000.00 | -3,639,270.26 |
| Deposit | 10/13/2011 | 1225 | | PETE PETERSEN | #0143 Pete Petersen - added contr... | 1030 Heritage - 3695 Operating | | 10,000.00 | -3,649,270.26 |
| Deposit | 10/13/2011 | 104 | | Gregory F. & Ann C. Fisher | #0188 Gregory F. & Ann C. Fisher ... | 1030 Heritage - 3695 Operating | | 35,000.00 | -3,684,270.26 |
| Deposit | 10/21/2011 | 52330 | | IRA Services FBO Pete Petersen | #0190 IRA Services FBO Pete Pet... | 1030 Heritage - 3695 Operating | | 300,065.00 | -3,984,335.26 |
| Deposit | 10/21/2011 | ach | | IRA Services FBO Elizabeth C... | #0191 IRA Services FBO Elizabeth... | 1030 Heritage - 3695 Operating | | 210,026.68 | -4,194,361.94 |
| Check | 10/21/2011 | 2220 | | SCOTT HUTCHINSON | SCOTT HUTCHINSON CONTRIB... | 1030 Heritage - 3695 Operating | | 48,123.25 | -4,242,485.19 |
| Deposit | 10/24/2011 | 8934 | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith DDS profit ... | 1030 Heritage - 3695 Operating | | 10,000.00 | -4,252,485.19 |
| Deposit | 10/24/2011 | 4466 | | Lipovac Family Trust | #0187 Lipovac Family Trust - add... | 1030 Heritage - 3695 Operating | | 9,268.38 | -4,261,753.57 |
| Deposit | 10/24/2011 | 112 | | Christiana G Schanda Family T... | #0182 Christiana G Schanda Famil... | 1030 Heritage - 3695 Operating | | 25,000.00 | -4,286,753.57 |
| Deposit | 10/26/2011 | 470 | | Lipovac Family Trust | #0187 Lipovac Family Trust - add... | 1030 Heritage - 3695 Operating | | 25,000.00 | -4,311,753.57 |
| Deposit | 11/02/2011 | 113 | | Lipovac Family Trust | #0187 Lipovac Family Trust - add... | 1030 Heritage - 3695 Operating | | 50,000.00 | -4,361,753.57 |
| Deposit | 11/02/2011 | ipfcheck | | Todd Petersen & Judith Petersen | #0184 Todd Petersen & Judith Pet... | 1030 Heritage - 3695 Operating | | 47,937.00 | -4,409,690.57 |
| Deposit | 11/03/2011 | 3907 | | Hanson Family Trust | #0193 Hanson Family Trust, Donal... | 1030 Heritage - 3695 Operating | | 50,000.00 | -4,460,077.57 |
| Deposit | 11/04/2011 | 4468 | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith DDS - profit... | 1030 Heritage - 3695 Operating | | 3,418.54 | -4,463,496.11 |
| Deposit | 11/04/2011 | ach/nrpf | | Van Blarigan Family Trust | #0148 Van Blarigan Family trust - a... | 1030 Heritage - 3695 Operating | | 25,000.00 | -4,488,496.11 |
| Deposit | 11/04/2011 | ach/nrpf | | Hanson Family Trust | #0193 Hanson Family Trust - add... | 1030 Heritage - 3695 Operating | | 50,000.00 | -4,538,496.11 |
| Deposit | 11/04/2011 | ach | | Van Blarigan Family Trust | #0148 Van Blarigan Family Trust - ... | 1030 Heritage - 3695 Operating | | 245,223.70 | -4,783,719.81 |
| General Journal | 11/07/2011 | amort | | Philip R. Tirey Living Trust, 12/15... | transfer \$10K FROM TIREY 0121 T... | 3040 Withdrawals | | 10,000.00 | -4,793,719.81 |
| Deposit | 11/07/2011 | 759 | | Le Felix Ventures | #0138 Mike Felix - Le Felix Ventur... | 1030 Heritage - 3695 Operating | | 10,000.00 | -4,803,719.81 |
| Deposit | 11/07/2011 | 3957 | | Subodh & Shradha Toprani | #0201 Subodh & Shradha Toprani... | 1030 Heritage - 3695 Operating | | 200,000.00 | -5,003,719.81 |
| Deposit | 11/09/2011 | 3468 | | SB Capital Corp. | #0133 Toprani - Manager referral b... | 1030 Heritage - 3695 Operating | | 2,000.00 | -5,005,719.81 |
| Deposit | 11/09/2011 | 2087 | | Sarwan Wason | #0151 Sarwan Wason - added contr... | 1030 Heritage - 3695 Operating | | 50,000.00 | -5,055,719.81 |
| Deposit | 11/09/2011 | 300 | | Michael & Catherine Connors | #0145 Michael & Catherine Conner... | 1030 Heritage - 3695 Operating | | 25,000.00 | -5,080,719.81 |
| Deposit | 11/09/2011 | unk | | Gidwani Living Trust | #0185 Gidwani Living Trust - initial ... | 1030 Heritage - 3695 Operating | | 12,500.00 | -5,093,219.81 |
| Deposit | 11/14/2011 | wire | | Investors Prime Fund, LLC | #0196 Judith Abel - transfer from I... | 1030 Heritage - 3695 Operating | | 25,000.00 | -5,118,219.81 |
| Deposit | 11/17/2011 | wire | | SBC Portfolio Fund LLC | #0198 Waskewicz - transfer funds... | 1030 Heritage - 3695 Operating | | 51,377.66 | -5,169,597.47 |
| Deposit | 11/21/2011 | 53584 | | IRA Services FBO Felix, Micha... | #0197 IRA Services FBO Felix, Mi... | 1030 Heritage - 3695 Operating | | 10,000.00 | -5,213,224.98 |
| Deposit | 11/21/2011 | 76043 | | R. Peter Griffith, DDS Profit Sh... | R. Peter Griffith profit sharing plan ... | 1030 Heritage - 3695 Operating | | 65.23 | -5,213,290.22 |
| Deposit | 11/23/2011 | 2276 | | Shyam Subodh Toprani | #0202 | 1030 Heritage - 3695 Operating | | 50,000.00 | -5,263,290.22 |
| Deposit | 11/23/2011 | 1062 | | Monique Bjorndal | #0201 Monique Bjorndal | 1030 Heritage - 3695 Operating | | 50,000.00 | -5,313,290.22 |
| Deposit | 11/23/2011 | 54371 | | IRA Services FBO Prevadele, ... | #0200 IRA Services FBO Prevadele... | 1030 Heritage - 3695 Operating | | 55,873.86 | -5,369,164.08 |
| Deposit | 11/23/2011 | 549 | | Katherine Lau | #0199 Katherine Lau - initial contrib... | 1030 Heritage - 3695 Operating | | 50,000.00 | -5,419,164.08 |
| Deposit | 11/28/2011 | wire | | Hsin-Shen Tai | #0205 Hsin-Shen Tai - initial contr... | 1030 Heritage - 3695 Operating | | 100,000.00 | -5,519,164.08 |
| Deposit | 11/30/2011 | 54415 | | IRA Services FBO Felix, Marie A. | #0203 IRA Services FBO Felix, Ma... | 1030 Heritage - 3695 Operating | | 30,000.00 | -5,549,164.08 |
| Deposit | 11/30/2011 | 1308 | | Jon W. Stiel | #0204 Jon W. Stiel - initial contrib... | 1030 Heritage - 3695 Operating | | 50,000.00 | -5,599,164.08 |
| Check | 11/30/2011 | 2220 | | Investors Prime Fund, LLC | move Monique Bjorndal funds to IPF | 1030 Heritage - 3695 Operating | 50,000.00 | | -5,549,164.08 |
| Deposit | 12/01/2011 | 3551 | | SB Capital Corp. | #0202m Shyam Toprani - manager f... | 1030 Heritage - 3695 Operating | | 500.00 | -5,549,664.08 |
| Deposit | 12/02/2011 | 54748 | | IRA Services FBO Elizabeth C... | 0191 IRA Services FBO Elizabeth... | 1030 Heritage - 3695 Operating | | 106,805.61 | -5,656,469.69 |
| Deposit | 12/02/2011 | 54777 | | IRA Services FBO Elizabeth C... | #0207 IRA Services FBO Elizabeth... | 1030 Heritage - 3695 Operating | | 36,840.00 | -5,693,310.69 |
| Deposit | 12/05/2011 | 40102... | | R. Peter Griffith Cust FBO Laur... | #0170 R. Peter Griffith - custodian ... | 1030 Heritage - 3695 Operating | | 844.04 | -5,694,154.73 |
| Deposit | 12/05/2011 | ach | | R. Peter Griffith, DDS Profit Sh... | #0177 R. Peter Griffith - added con... | 1030 Heritage - 3695 Operating | | 10,000.00 | -5,704,154.73 |
| Deposit | 12/05/2011 | 1011 | | Frances M. Shang Trust | #0206 Frances M Shang Trust - init... | 1030 Heritage - 3695 Operating | | 25,000.00 | -5,729,154.73 |
| Deposit | 12/06/2011 | 598 | | Stoum Family Trust | #0140 Stoum Family Trust - add... | 1030 Heritage - 3695 Operating | | 70,000.00 | -5,799,154.73 |
| Deposit | 12/09/2011 | 2784 | | Charlotte Wu | #0167 Charlotte Wu - added contrib... | 1030 Heritage - 3695 Operating | | 15,000.00 | -5,814,154.73 |
| Deposit | 12/09/2011 | 201 | | Rhona Leibof | #0159 Rhona Leibof - added contr... | 1030 Heritage - 3695 Operating | | 25,000.00 | -5,839,154.73 |
| Deposit | 12/09/2011 | 1484 | | Hsu Feng Wang & Jimmy Yf... | #0209 Hsu Feng Wang & Jimmy Y... | 1030 Heritage - 3695 Operating | | 250,000.00 | -6,089,154.73 |
| Deposit | 12/09/2011 | 55531 | | IRA Services FBO Sih, Peter | #0208 IRA Services FBO Sih, Pete... | 1030 Heritage - 3695 Operating | | 50,000.00 | -6,139,154.73 |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---------------------------------------|------------|------------|-----|---|--|--------------------------------------|---------------|---------------|---------------|
| Deposit | 01/12/2012 | 1027 | | Orlando Escobar | #0152 Orlando Escobar-Additional ... | 1030 - Heritage - 3695 Operating | 20,000.00 | | -1,255,737.71 |
| Deposit | 01/17/2012 | 0709 | | Raymond Trembath | #0220 Raymond H. Trembath- Initia... | 1030 - Heritage - 3695 Operating | 51,000.00 | | -1,306,737.71 |
| Deposit | 01/17/2012 | 1378 | | Larry Minter | #0219 Larry Minter-Initial capital co... | 1030 - Heritage - 3695 Operating | 25,000.00 | | -1,331,737.71 |
| Deposit | 01/17/2012 | 52335... | | W. Ronald Raecker | #0153 W. Ronald Raecker-Addition... | 1030 - Heritage - 3695 Operating | 40,000.00 | | -1,371,737.71 |
| Deposit | 01/18/2012 | AC4 | | Investors Prime Fund, LLC | #0153 W. Ronald Raecker-addition... | 1030 - Heritage - 3695 Operating | 25,000.00 | | -1,396,737.71 |
| Deposit | 01/18/2012 | 59079 | | IRA Services FBO Michael Bird | #0221 Michael Bird-Initial Contribu... | 1030 - Heritage - 3695 Operating | 9,100.00 | | -1,405,837.71 |
| Deposit | 01/20/2012 | 1192012 | | IRA Services FBO Michael Bird | IRA Services FBO Michael Bird-ad... | 1030 - Heritage - 3695 Operating | 90,800.00 | | -1,496,737.71 |
| Deposit | 01/27/2012 | 1607199 | | R. Peter Griffith Cust FBO Laur... | #0177 R Peter Griffith, DDS Profit ... | 1030 - Heritage - 3695 Operating | 50,000.00 | | -1,546,737.71 |
| Deposit | 01/30/2012 | WIRE | | Investors Prime Fund, LLC | #0182 Stephan Hengstler-Additiona... | 1030 - Heritage - 3695 Operating | 25,000.00 | | -1,571,737.71 |
| General Journal | 02/01/2012 | | | | #0118 The Phillip Roger Tiley Livin... | 3040 - Withdrawals | 20,000.00 | | -1,591,737.71 |
| Deposit | 02/02/2012 | 59814 | | IRA Services FBO Morris, Robert | #0222 IRA Services FBO Morris, R... | 1030 - Heritage - 3695 Operating | 60,000.00 | | -1,651,737.71 |
| Deposit | 02/08/2012 | 59876 | | IRA Services FBO Jiang, BO | IRA Services FBO Jiang, Bo- Initia... | 1030 - Heritage - 3695 Operating | 56,894.04 | | -1,728,431.75 |
| Deposit | 02/15/2012 | 60177 | | IRA Services FBO Cabell, Nich... | #0224 IRA Services FBO Cabell, N... | 1030 - Heritage - 3695 Operating | 60,000.00 | | -1,788,431.75 |
| Deposit | 02/15/2012 | | | investors Prime Fund, LLC | #0190 IRA Services FBO Petersen... | 1030 - Heritage - 3695 Operating | 1,689.93 | | -1,790,121.68 |
| Deposit | 02/17/2012 | Wire | | Bernard & Helen Rubin Trust | Bernard & Helen Rubin Trust | 1030 - Heritage - 3695 Operating | 5,000.00 | | -1,795,121.68 |
| Deposit | 02/28/2012 | 1389 | | Larry Minter | #0219 Larry Minter-Additional Contri... | 1030 - Heritage - 3695 Operating | 10,000.00 | | -1,805,121.68 |
| Deposit | 02/28/2012 | WIRE | | investors Prime Fund, LLC | #1099 Rhona Leibof-Contribution I... | 1030 - Heritage - 3695 Operating | 25,000.00 | | -1,830,121.68 |
| Deposit | 02/28/2012 | 60555 | | IRA Services FBO Cabell, Nich... | #0224 IRA Services FBO Nicholas ... | 1030 - Heritage - 3695 Operating | 60,000.00 | | -1,890,121.68 |
| Deposit | 02/29/2012 | 60709 | | IRA SERVICES FBO Teng, Joa... | # 0225 IRA SERVICES FBO Teng, Joa... | 1030 - Heritage - 3695 Operating | 142,701.98 | | -2,032,823.66 |
| Deposit | 03/06/2012 | 40108... | | First Energy | #0170 Peter Griffith- Additional Co... | 1030 - Heritage - 3695 Operating | 844.04 | | -2,033,667.70 |
| Deposit | 03/06/2012 | 78648... | | First Energy | #0177 Peter Griffith DDS Profit Sh... | 1030 - Heritage - 3695 Operating | 65.25 | | -2,033,732.95 |
| Deposit | 03/07/2012 | 606 | | Slooom Family Trust | Slooom Family Trust-Additional Co... | 1030 - Heritage - 3695 Operating | 15,000.00 | | -2,048,732.95 |
| Deposit | 03/13/2012 | Transfer | | Naghemeh transfer from IPF ac... | #0164 Naghemeh transfer from IPF... | 1030 - Heritage - 3695 Operating | 25,000.00 | | -2,073,732.95 |
| Deposit | 03/14/2012 | 7155 | | Peter M. Chumo | 0174 Peter Chumo- Additional Con... | 1030 - Heritage - 3695 Operating | 60,000.00 | | -2,133,732.95 |
| Deposit | 03/20/2012 | 328 | | Harry & Freda Goldstein | #0210 Harry & Freda Goldstein | 1030 - Heritage - 3695 Operating | 10,000.00 | | -2,143,732.95 |
| Deposit | 03/28/2012 | 10895 | | R. Peter Griffith Cust FBO Laur... | #0177 Griffith R. Peter DDS Profit ... | 1030 - Heritage - 3695 Operating | 100,000.00 | | -2,243,732.95 |
| Deposit | 04/16/2012 | 60709 | | R. Peter Griffith Cust FBO Laur... | #0177 R. Peter Griffith Cust FBO L... | 1030 - Heritage - 3695 Operating | 14,570.67 | | -2,258,303.62 |
| Deposit | 04/18/2012 | 4480 | | Paul R. Griffith | Paul R. Griffith | 1030 - Heritage - 3695 Operating | 4,687.50 | | -2,262,991.12 |
| Deposit | 05/10/2012 | 1880 | | Terence & Kathleen Lacey | #0155 Terence & Kathleen Lacey | 1030 - Heritage - 3695 Operating | 25,000.00 | | -2,287,971.12 |
| Deposit | 05/11/2012 | 11421... | | Hsin-Shen Tai | #0205 Hsin-Shen Tai-Additional Co... | 1030 - Heritage - 3695 Operating | 10,000.00 | | -2,297,971.12 |
| Total 2010 - Contributions | | | | | | | 10,655,690.71 | 12,953,681.63 | -2,297,971.12 |
| 2015 - Reinvested Distributions | | | | | | | | | |
| General Journal | 01/01/2010 | Reinv ... | | Jan 10 Distrib to Growth investors | 3030 - Distributions | | 8,041.94 | -8,041.94 | |
| General Journal | 02/01/2010 | Reinv ... | | Feb 10 Distrib to Growth investors | 3030 - Distributions | | 8,107.75 | -16,149.69 | |
| General Journal | 03/01/2010 | Reinv ... | | Mar 10 Distrib to Growth investors | 3030 - Distributions | | 4,754.82 | -20,904.51 | |
| General Journal | 04/01/2010 | Reinv ... | | Apr 10 Distrib to Growth investors | 3030 - Distributions | | 6,156.89 | -27,061.50 | |
| General Journal | 05/01/2010 | Reinv ... | | May 10 Distrib to Growth investors | 3030 - Distributions | | 6,926.35 | -33,987.85 | |
| General Journal | 06/01/2010 | Reinv ... | | Jun 10 Distrib to Growth investors | 3030 - Distributions | | 7,340.20 | -41,328.05 | |
| General Journal | 07/01/2010 | Reinv ... | | Jul 10 Distrib to Growth investors | 3030 - Distributions | | 7,487.24 | -48,825.29 | |
| General Journal | 08/01/2010 | Reinv ... | | Aug 10 Distrib to Growth investors | 3030 - Distributions | | 7,024.03 | -55,849.32 | |
| General Journal | 09/01/2010 | Reinv ... | | Sep 10 Distrib to Growth investors | 3030 - Distributions | | 7,013.29 | -62,862.61 | |
| General Journal | 10/01/2010 | Reinv ... | | Oct 10 Distrib to Growth investors | 3030 - Distributions | | 7,679.86 | -70,542.47 | |
| General Journal | 11/01/2010 | Reinv ... | | Nov 10 Distrib to Growth investors | 3030 - Distributions | | 8,713.44 | -79,255.91 | |
| General Journal | 12/01/2010 | Reinv ... | | Dec 2010 Growth investor income | 3030 - Distributions | | 11,173.80 | -90,429.71 | |
| General Journal | 01/01/2011 | Jan 11 ... | | Jan 11 Growth investor distributions | 3030 - Distributions | | 11,656.56 | -102,086.27 | |
| General Journal | 01/01/2011 | PVT R ... | | Close 2010 Reinv Distributions into ... | 3699 - Retained Members Equity | 90,429.71 | | -1,166.56 | |
| General Journal | 02/01/2011 | Grow... | | Feb 2011 Growth Investor Distrib... | 3030 - Distributions | | 12,385.15 | -24,051.71 | |
| General Journal | 03/01/2011 | Grow... | | Mar 2011 Growth Investors distrib... | 3030 - Distributions | | 11,856.70 | -35,908.41 | |
| General Journal | 04/01/2011 | APRIL... | | Apr 2011 Growth Investors distrib... | 3030 - Distributions | | 12,632.38 | -48,560.79 | |
| General Journal | 05/01/2011 | MAY ... | | MAY 2011 Growth Investors distrib... | 3030 - Distributions | | 12,866.75 | -61,427.54 | |
| General Journal | 06/01/2011 | Jun distr | | JUN 2011 Growth Investors distrib... | 3030 - Distributions | | 13,963.30 | -75,390.84 | |
| General Journal | 07/01/2011 | Jul Re... | | JULY 2011 Growth Investors distrib... | 3030 - Distributions | | 15,493.24 | -90,884.08 | |
| General Journal | 08/01/2011 | reinv... | | August 2011 Growth Investors distr... | 3030 - Distributions | | 22,223.09 | -113,097.17 | |
| General Journal | 09/01/2011 | reinv... | | Sept 2011 Growth Investors distrib... | 3030 - Distributions | | 24,887.30 | -137,984.47 | |
| General Journal | 10/01/2011 | reinv... | | Oct 2011 Growth Investors distrib... | 3030 - Distributions | | 31,589.86 | -169,574.33 | |
| General Journal | 11/01/2011 | reinv... | | November reinvested distributions f... | 3030 - Distributions | | 31,291.71 | -200,866.04 | |
| General Journal | 12/01/2011 | reinv... | | December reinvested distributions f... | 3030 - Distributions | | 35,994.03 | -236,860.07 | |
| General Journal | 12/31/2011 | reinv... | | Reinvested Distributions | 3030 - Distributions | 8.07 | | -236,868.14 | |
| General Journal | 01/01/2012 | reinv... | | January reinvested distributions for... | 3030 - Distributions | | 44,335.98 | -281,167.98 | |
| General Journal | 01/01/2012 | C/O 2 ... | | Close out 2011 Equity | 3010 - Contributions | 236,832.00 | | -44,335.98 | |
| General Journal | 02/01/2012 | reinv... | | Feb reinvested distributions for SB... | 3030 - Distributions | | 49,356.72 | -93,692.70 | |
| General Journal | 03/01/2012 | reinvest | | Reinvested amount March 1st | 3030 - Distributions | | 44,288.04 | -137,981.74 | |
| General Journal | 04/01/2012 | reinvest | | Reinvested amount April 1st | 3030 - Distributions | | 48,282.48 | -186,264.22 | |
| General Journal | 05/01/2012 | reinvest | | Reinvested amount May 1st | 3030 - Distributions | | 42,925.83 | -229,190.05 | |
| Total 2015 - Reinvested Distributions | | | | | | | 327,269.78 | 556,459.83 | -229,190.05 |
| 2020 - Earnings | | | | | | | | | |
| Total 2020 - Earnings | | | | | | | | | 0.00 |
| 2030 - Distributions | | | | | | | | | |
| General Journal | 12/31/2009 | Open... | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | 773,106.59 | | 773,106.59 | |
| General Journal | 12/31/2009 | Close ... | | Close out 2009 Distributions to RE | 3899 - Retained Members Equity | | 773,106.59 | 0.00 | |
| General Journal | 01/01/2010 | Reinv ... | | Jan 10 Distrib to Growth investors | 3015 - Reinvested Distributions | 8,041.94 | | 8,041.94 | |
| Check | 01/08/2010 | 1014 | | Julia M. Williams | Ac#0107 Jan 2010 Distribution | 1030 - Heritage - 3695 Operating | 568.77 | | 8,610.71 |
| Check | 01/08/2010 | 1015 | | Yeh Family Trust | Act #0104 Jan 2010 Distribution | 1030 - Heritage - 3695 Operating | 379.18 | | 8,989.89 |
| Check | 01/08/2010 | 1016 | | Fedra Daman | Act #0127 Jan 2010 Distribution | 1030 - Heritage - 3695 Operating | 59.25 | | 9,049.14 |
| Check | 01/08/2010 | 01681... | | Lee V. Emerson Revocable Trust | 0101-ACH-09 Jan 09 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 4,550.14 | | 13,599.28 |
| Check | 01/08/2010 | 01081... | | White Revocable Trust | 0103-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,900.76 | | 15,499.02 |
| Check | 01/08/2010 | 01081... | | Valencia Family Trust | 0105-ACH-09 Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,023.78 | | 16,522.80 |
| Check | 01/08/2010 | 01081... | | Rahmatollah (Ray) Kasher | 0106-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,472.73 | | 18,000.53 |
| Check | 01/08/2010 | 01081... | | Amy Jo Fillin | 0108-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 603.89 | | 18,604.42 |
| Check | 01/08/2010 | 01081... | | Mason Family Trust | 0110-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 758.36 | | 19,362.78 |
| Check | 01/08/2010 | 01081... | | Korich Family Trust | 0111-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,306.26 | | 20,669.04 |
| Check | 01/08/2010 | 01081... | | Korich Family Trust-112 | 0112-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,323.41 | | 21,992.45 |
| Check | 01/08/2010 | 01081... | | Marilyn J. Anar | 0113-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 834.19 | | 22,826.64 |
| Check | 01/08/2010 | 01081... | | M.J. LaGrone Revocable Trust | 0114-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 379.18 | | 23,205.82 |
| Check | 01/08/2010 | 01081... | | Stephanie Dao | 0115-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 758.36 | | 23,964.18 |
| Check | 01/08/2010 | 01081... | | Phillip R. Tiley Living Trst, 12/15... | 0121-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 379.18 | | 24,343.36 |
| Check | 01/08/2010 | 01081... | | Putapoff Family Trust | 0125-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 379.18 | | 24,722.54 |
| Check | 01/08/2010 | 01081... | | Sotoudeh Family 2003 Trust | 0126-ACH Jan 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 379.80 | | 25,102.34 |
| Check | 01/08/2010 | 1013 | | Yeh Family Trust | 0104-ACH Jan distrib | 1030 - Heritage - 3695 Operating | 379.18 | | 25,481.52 |
| Check | 01/15/2010 | 1017 | | VOID | VOID | 1030 - Heritage - 3695 Operating | 0.00 | | 25,481.52 |
| Check | 01/19/2010 | 1022 | | VOID | VOID | 1030 - Heritage - 3695 Operating | 0.00 | | 25,481.52 |
| Check | 02/01/2010 | 2000 | | Yeh Family Trust | Feb 2010 distribution #0104 | 1020 - Torrey Pines - 2470 - Distrib | 354.77 | | 25,836.29 |
| Check | 02/01/2010 | 2001 | | Julia M. Williams | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 532.14 | | 26,368.43 |
| Check | 02/01/2010 | 2002 | | Yeh Family Trust - act 2 | Feb 2010 Distribution #0109 | 1020 - Torrey Pines - 2470 - Distrib | 354.77 | | 26,723.20 |
| Check | 02/01/2010 | 2003 | | Faridieh and Farzaneh Kasher | VOID: Feb 2010 Distrib for \$466.46... | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 26,723.20 |
| Check | 02/01/2010 | 02011... | | Lee V. Emerson Revocable Trust | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 4,257.17 | | 30,980.37 |
| Check | 02/01/2010 | 02011... | | White Revocable Trust | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 57.94 | | 31,038.31 |
| Check | 02/01/2010 | 02011... | | Valencia Family Trust | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 804.91 | | 31,843.22 |
| Check | 02/01/2010 | 02011... | | Rahmatollah (Ray) Kasher | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,377.90 | | 33,221.12 |
| Check | 02/01/2010 | 02011... | | Amy Jo Fillin | Feb 2010 Distribution | 1020 - Torrey Pines - 2470 - Distrib | 438.67 | | 33,659.79 |
| Check | 02/01/2010 | 02011... | | Mason Family Trust | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 709.36 | | 34,369.15 |
| Check | 02/01/2010 | 02011... | | Korich Family Trust | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,222.15 | | 35,591.30 |
| Check | 02/01/2010 | 02011... | | Korich Family Trust-112 | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,239.19 | | 36,830.49 |
| Check | 02/01/2010 | 02011... | | Marilyn J. Anar | Feb 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 780.29 | | 37,610.78 |
| Check | 02/01/2010 | 02011... | | M.J. LaGrone Revocable Trust | Feb 2010 Distribution | 1020 - Torrey Pines - 2470 - Distrib | 354.68 | | 37,965.46 |
| Check | | | | | | | | | |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Hum | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|---------------------------------------|--|--------------------------------------|----------|--------|------------|
| Check | 02/01/2010 | 02011... | | Sotoudeh Family 2003 Trust | Feb 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 366.86 | | 38,048.84 |
| Check | 02/01/2010 | 02011... | | Fadia Damon | Feb 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 366.27 | | 38,415.21 |
| General Journal | 02/01/2010 | Reinv ... | | | Feb 10 Distrib to Growth investors | 3015 · Reinvested Distributions | 8,107.75 | | 46,522.96 |
| Check | 03/01/2010 | 03011... | | Lee V. Emerson Revocable Trust | Mar 10 distribution | 1020 · Torrey Pines - 2470 - Distrib | 3,858.87 | | 50,481.83 |
| Check | 03/01/2010 | 03011... | | Valencia Family Trust | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 582.20 | | 51,064.03 |
| Check | 03/01/2010 | 03011... | | Rahmatollah (Ray) Kashaf | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,281.35 | | 52,345.38 |
| Check | 03/01/2010 | 03011... | | Amy Jo Fillin | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 326.14 | | 52,671.52 |
| Check | 03/01/2010 | 03011... | | Mason Family Trust | Mar 2010 Distribution | 1020 · Torrey Pines - 2470 - Distrib | 659.65 | | 53,331.17 |
| Check | 03/01/2010 | 03011... | | Korich Family Trust | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,136.51 | | 54,467.68 |
| Check | 03/01/2010 | 03011... | | Korich Family Trust-112 | Mar 2010 Distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,151.43 | | 55,619.11 |
| Check | 03/01/2010 | 03011... | | Marilyn J. Anair | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 725.82 | | 56,344.73 |
| Check | 03/01/2010 | 03011... | | M.J. LaGrone Revocable Trust | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 329.83 | | 56,674.56 |
| Check | 03/01/2010 | 03011... | | Stephanie Dao | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 659.65 | | 57,334.21 |
| Check | 03/01/2010 | 03011... | | Phillip R. Tirey Lving Trst, 12/15... | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 329.81 | | 57,664.12 |
| Check | 03/01/2010 | 03011... | | Patapoff Family Trust | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 340.60 | | 58,004.72 |
| Check | 03/01/2010 | 03011... | | Sotoudeh Family 2003 Trust | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 342.74 | | 58,347.46 |
| Check | 03/01/2010 | 03011... | | Fadia Damon | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 340.60 | | 58,688.06 |
| Check | 03/01/2010 | 03011... | | Bernard & Helen Rubin Trust | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 510.90 | | 59,198.96 |
| Check | 03/01/2010 | 2004 | | Yeh Family Trust | Mar 2010 distribution #0104 | 1020 · Torrey Pines - 2470 - Distrib | 329.81 | | 59,528.87 |
| Check | 03/01/2010 | 2005 | | Julia M. Williams | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 494.86 | | 60,023.73 |
| Check | 03/01/2010 | 2006 | | Yeh Family Trust - act 2 | VOID: Mar 2010 distrib - replaced b... | 1020 · Torrey Pines - 2470 - Distrib | 0.00 | | 60,023.73 |
| Check | 03/01/2010 | 2007 | | Farideh and Farzaneh Kashaf | Mar 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 436.96 | | 60,460.69 |
| General Journal | 03/01/2010 | Reinv ... | | | Mar 10 Distrib to Growth investors | 3015 · Reinvested Distributions | 4,754.82 | | 65,215.81 |
| Check | 03/08/2010 | 2008 | | Yeh Family Trust - act 2 | #0103 | 1020 · Torrey Pines - 2470 - Distrib | 329.81 | | 65,545.52 |
| Check | 03/08/2010 | 2010 | | Bernard & Helen Rubin Trust | Feb 2010 distribution replacement ck | 1020 · Torrey Pines - 2470 - Distrib | 141.78 | | 65,687.30 |
| Check | 03/08/2010 | 2009 | | VOID | | 1020 · Torrey Pines - 2470 - Distrib | 0.00 | | 65,687.30 |
| Check | 04/01/2010 | 2011 | | Yeh Family Trust | VOID: Apr 2010 distrib - replaced b... | 1020 · Torrey Pines - 2470 - Distrib | 0.00 | | 65,687.30 |
| Check | 04/01/2010 | 2013 | | Julia M. Williams | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 532.14 | | 66,219.44 |
| Check | 04/01/2010 | 2014 | | Yeh Family Trust - act 2 | Apr 2010 distribution #0103 | 1020 · Torrey Pines - 2470 - Distrib | 355.23 | | 66,574.67 |
| Check | 04/01/2010 | 2015 | | Linda S. Wilson | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 157.01 | | 66,731.68 |
| Check | 04/01/2010 | 2016 | | Farideh and Farzaneh Kashaf | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 469.88 | | 67,201.56 |
| Check | 04/01/2010 | 04011... | | Lee V. Emerson Revocable Trust | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 4,257.17 | | 71,458.73 |
| Check | 04/01/2010 | 04011... | | Valencia Family Trust | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 531.89 | | 71,990.62 |
| Check | 04/01/2010 | 04011... | | Rahmatollah (Ray) Kashaf | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,377.90 | | 73,368.52 |
| Check | 04/01/2010 | 04011... | | Amy Jo Fillin | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 350.71 | | 73,719.23 |
| Check | 04/01/2010 | 04011... | | Mason Family Trust | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 709.36 | | 74,428.59 |
| Check | 04/01/2010 | 04011... | | Korich Family Trust | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,222.15 | | 75,650.74 |
| Check | 04/01/2010 | 04011... | | Korich Family Trust-112 | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,238.19 | | 76,888.93 |
| Check | 04/01/2010 | 04011... | | M.J. LaGrone Revocable Trust | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 354.68 | | 77,243.61 |
| Check | 04/01/2010 | 04011... | | Stephanie Dao | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 709.36 | | 77,952.97 |
| Check | 04/01/2010 | 04011... | | Phillip R. Tirey Lving Trst, 12/15... | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 357.30 | | 78,350.27 |
| Check | 04/01/2010 | 04011... | | Patapoff Family Trust | Apr 2010 distributio | 1020 · Torrey Pines - 2470 - Distrib | 366.27 | | 78,716.54 |
| Check | 04/01/2010 | 04011... | | Fadia Damon | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 366.27 | | 79,082.81 |
| Check | 04/01/2010 | 04011... | | Bernard & Helen Rubin Trust | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 561.42 | | 79,644.23 |
| Check | 04/01/2010 | 04011... | | Marilyn J. Anair | Apr 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 780.29 | | 80,424.52 |
| General Journal | 04/01/2010 | Reinv ... | | | Apr 10 Distrib to Growth investors | 3015 · Reinvested Distributions | 6,156.89 | | 86,581.41 |
| Check | 04/28/2010 | 2017 | | Yeh Family Trust | #0104 Apr 2010 distribution-replac... | 1020 · Torrey Pines - 2470 - Distrib | 354.77 | | 86,936.18 |
| Check | 05/01/2010 | 2018 | | Yeh Family Trust | May 2010 distrib #0104 | 1020 · Torrey Pines - 2470 - Distrib | 343.32 | | 87,279.50 |
| Check | 05/01/2010 | 2019 | | Julia M. Williams | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 511.43 | | 87,790.93 |
| Check | 05/01/2010 | 2020 | | Yeh Family Trust - act 2 | May 2010 distribution #0108 | 1020 · Torrey Pines - 2470 - Distrib | 343.32 | | 88,134.25 |
| Check | 05/01/2010 | 2021 | | Linda S. Wilson | May distribution | 1020 · Torrey Pines - 2470 - Distrib | 15.19 | | 88,149.44 |
| Check | 05/01/2010 | 2022 | | Farideh and Farzaneh Kashaf | May distribution | 1020 · Torrey Pines - 2470 - Distrib | 451.18 | | 88,600.62 |
| Check | 05/01/2010 | 05011... | | Lee V. Emerson Revocable Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 4,117.47 | | 92,718.09 |
| Check | 05/01/2010 | 05011... | | Valencia Family Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 511.19 | | 93,229.28 |
| Check | 05/01/2010 | 05011... | | Rahmatollah (Ray) Kashaf | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,329.91 | | 94,559.19 |
| Check | 05/01/2010 | 05011... | | Amy Jo Fillin | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 268.51 | | 94,827.70 |
| Check | 05/01/2010 | 05011... | | Mason Family Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 686.47 | | 95,514.17 |
| Check | 05/01/2010 | 05011... | | Korich Family Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,182.72 | | 96,696.89 |
| Check | 05/01/2010 | 05011... | | Korich Family Trust-112 | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,188.25 | | 97,885.14 |
| Check | 05/01/2010 | 05011... | | Marilyn J. Anair | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 567.97 | | 98,453.11 |
| Check | 05/01/2010 | 05011... | | M.J. LaGrone Revocable Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 343.24 | | 98,806.35 |
| Check | 05/01/2010 | 05011... | | Stephanie Dao | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 686.47 | | 99,492.82 |
| Check | 05/01/2010 | 05011... | | Phillip R. Tirey Lving Trst, 12/15... | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 414.21 | | 99,907.03 |
| Check | 05/01/2010 | 05011... | | Patapoff Family Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 354.45 | | 100,261.48 |
| Check | 05/01/2010 | 05011... | | Fadia Damon | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 354.45 | | 100,615.93 |
| Check | 05/01/2010 | 05011... | | Bernard & Helen Rubin Trust | May 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 568.49 | | 101,184.42 |
| General Journal | 05/01/2010 | Reinv ... | | | May 10 Distrib to Growth investors | 3015 · Reinvested Distributions | 6,928.35 | | 108,111.77 |
| Check | 06/01/2010 | 06011... | | Lee V. Emerson Revocable Trust | #0101-ACH 09 Jun 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 4,188.64 | | 112,300.41 |
| Check | 06/01/2010 | 06011... | | Valencia Family Trust | #0105-ACH09 June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 495.26 | | 112,795.67 |
| Check | 06/01/2010 | 06011... | | Rahmatollah (Ray) Kashaf | #0108-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,320.01 | | 114,115.68 |
| Check | 06/01/2010 | 06011... | | Amy Jo Fillin | #0108-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 277.46 | | 114,393.14 |
| Check | 06/01/2010 | 06011... | | Mason Family Trust | #0110-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 706.36 | | 115,099.50 |
| Check | 06/01/2010 | 06011... | | Korich Family Trust | #0111-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,222.15 | | 116,321.65 |
| Check | 06/01/2010 | 06011... | | Korich Family Trust-112 | #0112-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 1,238.19 | | 117,562.84 |
| Check | 06/01/2010 | 06011... | | Marilyn J. Anair | #0113-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 527.92 | | 118,090.76 |
| Check | 06/01/2010 | 06011... | | M.J. LaGrone Revocable Trust | #0114-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 333.41 | | 118,424.17 |
| Check | 06/01/2010 | 06011... | | Stephanie Dao | #0115-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 709.36 | | 119,133.53 |
| Check | 06/01/2010 | 06011... | | Phillip R. Tirey Lving Trst, 12/15... | #0121-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 428.02 | | 119,561.55 |
| Check | 06/01/2010 | 06011... | | Patapoff Family Trust | #0125-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 366.27 | | 119,927.82 |
| Check | 06/01/2010 | 06011... | | Fadia Damon | #0127-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 366.27 | | 120,294.09 |
| Check | 06/01/2010 | 06011... | | Bernard & Helen Rubin Trust | #0129-ACH June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 622.65 | | 120,916.74 |
| Check | 06/01/2010 | 2024 | | Yeh Family Trust | #0104-CHK June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 354.77 | | 121,271.51 |
| Check | 06/01/2010 | 2025 | | Julia M. Williams | #0107-CHK June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 319.69 | | 121,591.20 |
| Check | 06/01/2010 | 2026 | | Yeh Family Trust - act 2 | #0109-CHK June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 354.77 | | 121,945.97 |
| Check | 06/01/2010 | 2027 | | Farideh and Farzaneh Kashaf | #0119-CHK June 2010 distribution | 1020 · Torrey Pines - 2470 - Distrib | 411.99 | | 122,357.96 |
| General Journal | 06/30/2010 | Reinv ... | | | Jun 10 Distrib to Growth investors | 3015 · Reinvested Distributions | 7,340.20 | | 129,698.16 |
| Check | 07/01/2010 | 2042 | | VOID | | 1020 · Torrey Pines - 2470 - Distrib | 0.00 | | 129,698.16 |
| Check | 07/01/2010 | 2028 | | Yeh Family Trust | Jul 10 Distrib | 1020 · Torrey Pines - 2470 - Distrib | 343.32 | | 130,041.48 |
| Check | 07/01/2010 | 2029 | | Julia M. Williams | Jul 10 distrib | 1020 · Torrey Pines - 2470 - Distrib | 239.77 | | 130,281.25 |
| Check | 07/01/2010 | 2030 | | Yeh Family Trust - act 2 | Jul 10 distrib | 1020 · Torrey Pines - 2470 - Distrib | 343.32 | | 130,624.57 |
| Check | 07/01/2010 | 2031 | | Farideh and Farzaneh Kashaf | Jul 10 distrib | 1020 · Torrey Pines - 2470 - Distrib | 199.53 | | 130,824.10 |
| Check | 07/01/2010 | 2032 | | Joe Segura | Jul 10 distrib | 1020 · Torrey Pines - 2470 - Distrib | 129.87 | | 130,954.07 |
| Check | 07/01/2010 | 07011... | | Lee V. Emerson Revocable Trust | Jul 10 distrib | 1020 · Torrey Pines - 2470 - Distrib | 3,751.21 | | 134,705.28 |
| Check | 07/01/201 | | | | | | | | |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-----------|-----|---------------------------------------|------------------------------------|--------------------------------------|-----------|----------|------------|
| Check | 08/01/2010 | 08011... | | Lee V. Emerson Revocable Trust | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 3,546.21 | | 154,426.76 |
| Check | 08/01/2010 | 08011... | | Valencia Family Trust | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 120.78 | | 154,548.54 |
| Check | 08/01/2010 | 08011... | | Amy Jo Fittin | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 141.65 | | 154,690.19 |
| Check | 08/01/2010 | 08011... | | Mason Family Trust | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 865.72 | | 155,555.91 |
| Check | 08/01/2010 | 08011... | | Korich Family Trust | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,203.65 | | 156,759.56 |
| Check | 08/01/2010 | 08011... | | Korich Family Trust-112 | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,219.45 | | 157,979.01 |
| Check | 08/01/2010 | 08011... | | Marilyn J. Anair | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 102.80 | | 158,081.81 |
| Check | 08/01/2010 | 08011... | | Phillip R. Tirey Lving Trst, 12/15... | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 428.15 | | 158,510.96 |
| Check | 08/01/2010 | 08011... | | Patapoff Family Trust | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 365.72 | | 158,876.68 |
| Check | 08/01/2010 | 08011... | | Fadia Damon | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 366.27 | | 159,242.95 |
| Check | 08/01/2010 | 08011... | | Rahmatollah (Ray) Kashaf | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 515.42 | | 159,758.37 |
| Check | 08/01/2010 | 08011... | | Stephanie Dao | Aug 2010 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 703.49 | | 160,461.86 |
| Check | 08/01/2010 | 08011... | | Bernard & Helen Rubin Trust | Aug 2010 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 732.53 | | 161,194.39 |
| Check | 08/01/2010 | 080110 | | Manigeh F. Nadimi | Aug 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 755.90 | | 161,950.29 |
| General Journal | 08/01/2010 | Reinv ... | | | Aug 10 Distrib to Growth investors | 3015 - Reinvested Distributions | 7,024.03 | | 168,974.32 |
| Check | 08/13/2010 | 2038 | | VOID | #0136 Aug 2010 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 212.87 | | 169,187.19 |
| Check | 08/17/2010 | 2040 | | VOID | | 1030 - Heritage - 3695 Operating | 0.00 | | 169,187.19 |
| Check | 08/17/2010 | 2040 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 169,187.19 |
| Check | 08/17/2010 | 2041 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 169,187.19 |
| Check | 08/01/2010 | 90110-1 | | Lee V. Emerson Revocable Trust | #0101-ACH09 Sep distrib | 1020 - Torrey Pines - 2470 - Distrib | 3,138.59 | | 172,325.78 |
| Check | 09/01/2010 | 90110-2 | | Mason Family Trust | #0110 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 529.59 | | 172,855.37 |
| Check | 09/01/2010 | 90110-3 | | Korich Family Trust | #0111 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,173.62 | | 174,029.00 |
| Check | 09/01/2010 | 90110-4 | | Korich Family Trust-112 | #0112 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,189.03 | | 175,218.03 |
| Check | 09/01/2010 | 90110-5 | | Stephanie Dao | #0115 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 694.91 | | 175,912.94 |
| Check | 09/01/2010 | 90110-6 | | Phillip R. Tirey Lving Trst, 12/15... | #0121 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 429.43 | | 176,342.37 |
| Check | 09/01/2010 | 90110-7 | | Patapoff Family Trust | #0125 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 860.95 | | 177,199.31 |
| Check | 09/01/2010 | 90110-8 | | Fadia Damon | #0127 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 366.27 | | 177,565.58 |
| Check | 09/01/2010 | 90110-9 | | Bernard & Helen Rubin Trust | #0129 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 734.89 | | 178,300.47 |
| Check | 09/01/2010 | 90110... | | Manigeh F. Nadimi | #0131 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 741.91 | | 179,042.38 |
| Check | 09/01/2010 | 90110... | | St. Clair 2003 Trust | #0136 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 366.82 | | 179,409.20 |
| Check | 08/01/2010 | 2042 | | Yeh Family Trust | #0104 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 344.81 | | 179,754.01 |
| Check | 08/01/2010 | 2043 | | Yeh Family Trust - act 2 | #0109 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 344.81 | | 180,098.82 |
| Check | 08/01/2010 | 2044 | | Joe Segura | #0135 Sep 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 183.13 | | 180,281.95 |
| Check | 08/01/2010 | 09011... | | Sotoudeh Family 2003 Trust | Sep 2010 distribution | 1020 - Torrey Pines - 2470 - Distrib | 368.45 | | 180,650.40 |
| General Journal | 08/01/2010 | Reinv ... | | | Sep 10 Distrib to Growth investors | 3015 - Reinvested Distributions | 7,013.29 | | 187,663.69 |
| Check | 08/21/2010 | 2090 | | VOID | | 1030 - Heritage - 3695 Operating | 0.00 | | 187,663.69 |
| Check | 08/21/2010 | 2046 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 187,663.69 |
| Check | 08/21/2010 | 2047 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 187,663.69 |
| Check | 08/21/2010 | 2048 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 187,663.69 |
| Check | 08/21/2010 | 2049 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 187,663.69 |
| Check | 08/21/2010 | 2050 | | VOID | | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 187,663.69 |
| Check | 10/01/2010 | 2051 | | Yeh Family Trust | #0104 Oct Distrib | 1020 - Torrey Pines - 2470 - Distrib | 352.25 | | 187,796.34 |
| Check | 10/01/2010 | 2052 | | Yeh Family Trust - act 2 | #0109 Oct Distrib | 1020 - Torrey Pines - 2470 - Distrib | 352.25 | | 188,148.59 |
| Check | 10/01/2010 | 2053 | | Joe Segura | #0135 Oct Distrib | 1020 - Torrey Pines - 2470 - Distrib | 187.19 | | 188,335.78 |
| Check | 10/01/2010 | 10011... | | Lee V. Emerson Revocable Trust | #0101-ACH09 Oct Distrib | 1020 - Torrey Pines - 2470 - Distrib | 2,863.15 | | 191,198.93 |
| Check | 10/01/2010 | 10011... | | Mason Family Trust | #0110 Oct 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 448.53 | | 191,647.46 |
| Check | 10/01/2010 | 10011... | | Korich Family Trust | #0111 Oct 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,199.63 | | 192,847.09 |
| Check | 10/01/2010 | 10011... | | Korich Family Trust-112 | #0112 Oct 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,215.38 | | 194,062.47 |
| Check | 10/01/2010 | 10011... | | Stephanie Dao | #0115 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 710.31 | | 194,772.78 |
| Check | 10/01/2010 | 10011... | | Phillip R. Tirey Lving Trst, 12/15... | #0121 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 445.14 | | 195,217.92 |
| Check | 10/01/2010 | 10011... | | Patapoff Family Trust | #0125 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,121.57 | | 196,339.49 |
| Check | 10/01/2010 | 10011... | | Sotoudeh Family 2003 Trust | #0126 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 407.28 | | 196,746.77 |
| Check | 10/01/2010 | 10011... | | Fadia Damon | #0127 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 374.38 | | 197,121.15 |
| Check | 10/01/2010 | 10011... | | Bernard & Helen Rubin Trust | #0129 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 768.21 | | 197,889.36 |
| Check | 10/01/2010 | 10011... | | Manigeh F. Nadimi | #0131 Oct distrib | 1020 - Torrey Pines - 2470 - Distrib | 745.16 | | 198,634.52 |
| Check | 10/01/2010 | 10011... | | St. Clair 2003 Trust | #0136 Oct Distrib | 1020 - Torrey Pines - 2470 - Distrib | 374.38 | | 199,008.90 |
| General Journal | 10/01/2010 | Reinv ... | | | Oct 10 Distrib to Growth investors | 3015 - Reinvested Distributions | 7,679.86 | | 206,688.76 |
| General Journal | 11/01/2010 | Reinv ... | | | Nov 10 Distrib to Growth investors | 3015 - Reinvested Distributions | 8,713.44 | | 215,402.20 |
| Check | 11/01/2010 | 11011... | | Lee V. Emerson Revocable Trust | #0101-ACH09 Nov 10 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 807.70 | | 216,209.92 |
| Check | 11/01/2010 | 11011... | | Mason Family Trust | #0110 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 181.13 | | 216,391.05 |
| Check | 11/01/2010 | 11011... | | Korich Family Trust | #0111 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,301.53 | | 217,692.58 |
| Check | 11/01/2010 | 11011... | | Korich Family Trust-112 | #0112 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,316.62 | | 219,009.20 |
| Check | 11/01/2010 | 11011... | | Stephanie Dao | #0115 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 422.61 | | 219,431.81 |
| Check | 11/01/2010 | 11011... | | Phillip R. Tirey Lving Trst, 12/15... | #0121 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 487.42 | | 219,919.23 |
| Check | 11/01/2010 | 11011... | | Patapoff Family Trust | #0125 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,121.57 | | 221,040.80 |
| Check | 11/01/2010 | 11011... | | Sotoudeh Family 2003 Trust | #0126 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 411.68 | | 221,452.48 |
| Check | 11/01/2010 | 11011... | | Fadia Damon | #0127 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 406.18 | | 221,858.66 |
| Check | 11/01/2010 | 11011... | | Bernard & Helen Rubin Trust | #0129 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 852.89 | | 222,711.55 |
| Check | 11/01/2010 | 11011... | | Manigeh F. Nadimi | #0131 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,493.71 | | 224,205.26 |
| Check | 11/01/2010 | 11011... | | St. Clair 2003 Trust | #0136 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 406.18 | | 224,611.44 |
| Check | 11/01/2010 | 2054 | | Yeh Family Trust | #0104 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 382.17 | | 224,993.61 |
| Check | 11/01/2010 | 2055 | | Yeh Family Trust - act 2 | #0109 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 382.17 | | 225,375.78 |
| Check | 11/01/2010 | 2056 | | Joe Segura | #0135 Nov 10 distrib | 1020 - Torrey Pines - 2470 - Distrib | 203.09 | | 225,578.87 |
| Check | 11/04/2010 | 2116 | | VOID | | 1030 - Heritage - 3695 Operating | 0.00 | | 225,578.87 |
| Check | 12/01/2010 | 2057 | | Yeh Family Trust | #0104 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 369.84 | | 225,948.71 |
| Check | 12/01/2010 | 2058 | | Yeh Family Trust - act 2 | #0109 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 369.84 | | 226,318.55 |
| Check | 12/01/2010 | 2059 | | Joe Segura | #0135 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 196.54 | | 226,515.09 |
| Check | 12/01/2010 | 12011... | | Lee V. Emerson Revocable Trust | #0101-ACH 09 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 127.29 | | 226,642.38 |
| Check | 12/01/2010 | 12011... | | Mason Family Trust | #0110 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 149.82 | | 226,792.20 |
| Check | 12/01/2010 | 12011... | | Korich Family Trust | #0111 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,259.55 | | 228,051.75 |
| Check | 12/01/2010 | 12011... | | Korich Family Trust-112 | #0112 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,276.08 | | 229,327.83 |
| Check | 12/01/2010 | 12011... | | Phillip R. Tirey Lving Trst, 12/15... | #0121 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 471.70 | | 230,000.53 |
| Check | 12/01/2010 | 12011... | | Patapoff Family Trust | #0125 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,121.57 | | 231,122.10 |
| Check | 12/01/2010 | 12011... | | Sotoudeh Family 2003 Trust | #0126 Dec 2010 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 427.62 | | 231,549.72 |
| Check | 12/01/2010 | 12011... | | Fadia Damon | #0127 Dec 2010 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 393.08 | | 231,942.80 |
| Check | 12/01/2010 | 12011... | | Bernard & Helen Rubin Trust | #0129 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 863.47 | | 232,806.27 |
| Check | 12/01/2010 | 12011... | | Manigeh F. Nadimi | #0131 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,572.33 | | 234,378.60 |
| Check | 12/01/2010 | 12011... | | St. Clair 2003 Trust | #0136 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 393.08 | | 234,771.68 |
| Check | 12/01/2010 | 12011... | | Karl Jay Smith Trust | #0138 Dec 2010 distrib | 1020 - Torrey Pines - 2470 - Distrib | 117.92 | | 234,889.60 |
| General Journal | 12/01/2010 | Reinv ... | | | Dec 2010 Growth investor income | 3015 - Reinvested Distributions | 11,173.80 | | 246,063.40 |
| Check | 12/30/2010 | 2138 | | VOID | | 1030 - Heritage - 3695 Operating | 0.00 | | 246,063.40 |
| General Journal | 12/31/2010 | AUD J... | | | Distribute excess funds to Manager | 1220 - Due From Manager | 6,327.30 | | 252,390.70 |
| General Journal | 12/31/2010 | AUD J... | | | Re-class diff between ABS & QB ... | 3040 - Withdrawals | | 1,167.00 | 253,557.70 |
| Check | 01/01/2011 | 2060 | | Yeh Family Trust | Jan 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 49.31 | | 253,603 |

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2:47 PM

SBC Portfolio Fund, LLC
General Ledger

08/18/16

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|------------|-----|---------------------------------------|--|--------------------------------------|-----------|-----------|------------|
| Check | 01/27/2011 | 2154 | | VOID | | 1030 - Heritage - 3695 Operating | 0.00 | | 24,845.89 |
| Check | 02/01/2011 | 2065 | | Yeh Family Trust - act 2 | #0109 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 48.13 | | 24,894.02 |
| Check | 02/01/2011 | 2066 | | Joe Segura | #0135 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 203.09 | | 25,097.11 |
| Check | 02/01/2011 | 2067 | | Stanley M. Johnson Fam Trst | #0141 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 25,507.36 |
| Check | 02/01/2011 | 02011... | | Mason Family Trust | #0110 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 154.82 | | 25,662.18 |
| Check | 02/01/2011 | 02011... | | Korich Family Trust | #0111 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,301.53 | | 26,963.81 |
| Check | 02/01/2011 | 02011... | | Korich Family Trust-112 | #0112 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,318.62 | | 28,282.43 |
| Check | 02/01/2011 | 02011... | | Phillip Tirey | #0118 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 582.07 | | 28,864.50 |
| Check | 02/01/2011 | 02011... | | Phillip R. Tirey Lving Trst, 12/15... | #0121 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 487.42 | | 29,351.92 |
| Check | 02/01/2011 | 02011... | | Patapoff Family Trust | #0125 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 2,029.21 | | 31,381.13 |
| Check | 02/01/2011 | 02011... | | Sotoudeh Family 2003 Trust | #0126 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 441.88 | | 31,823.01 |
| Check | 02/01/2011 | 02011... | | Fadia Damon | #0127 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 406.18 | | 32,229.19 |
| Check | 02/01/2011 | 02011... | | Bernard & Helen Rubin Trust | #0129 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 934.23 | | 33,163.42 |
| Check | 02/01/2011 | 02011... | | Manigeh F. Nadimi | #0131 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,624.74 | | 34,788.16 |
| Check | 02/01/2011 | 02011... | | St. Clair 2003 Trust | #0136 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 406.18 | | 35,194.34 |
| Check | 02/01/2011 | 02011... | | Karl Jay Smith Trust | #0138 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,827.83 | | 37,022.17 |
| Check | 02/01/2011 | 02011... | | Le Felix Ventures | #0139 Feb 11 distrib | 1020 - Torrey Pines - 2470 - Distrib | 1,323.29 | | 38,345.46 |
| General Journal | 02/01/2011 | Growk... | | VOID | Feb 2011 Growth Investor Distributi... | 3015 - Reinvested Distributions | 12,385.15 | | 50,740.61 |
| Check | 02/17/2011 | 2157 | | VOID | | 1030 - Heritage - 3695 Operating | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-1 | | Mason Family Trust | VOID: #110 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-2 | | Korich Family Trust | VOID: #0111 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-4 | | Phillip Tirey | VOID: #0118 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-5 | | Korich Family Trust-112 | VOID: #112 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-5 | | Phillip R. Tirey Lving Trst, 12/15... | VOID: #0121 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-6 | | Patapoff Family Trust | VOID: #0125 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-7 | | Sotoudeh Family 2003 Trust | VOID: #0126 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-8 | | Fadia Damon | VOID: #0127 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111-8 | | Bernard & Helen Rubin Trust | VOID: #0129 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111... | | Manigeh F. Nadimi | VOID: #0131 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111... | | St. Clair 2003 Trust | VOID: #0136 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111... | | Karl Jay Smith Trust | VOID: #0138 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111... | | Le Felix Ventures | VOID: #0139 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 30111... | | Le Felix Ventures -0142 | VOID: #0142 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 50,740.61 |
| Check | 03/01/2011 | 2068 | | Yeh Family Trust - act 2 | #0109 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 18.04 | | 50,758.65 |
| Check | 03/01/2011 | 2069 | | Joe Segura | #0135 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 191.78 | | 50,950.43 |
| Check | 03/01/2011 | 2070 | | Stanley M. Johnson Fam Trst | #0141 Mar 2011 distrib | 1020 - Torrey Pines - 2470 - Distrib | 387.40 | | 51,337.83 |
| General Journal | 03/01/2011 | Growk... | | ACH SBC PF Investor Group | Mar 2011 Growth Investors distrib... | 3015 - Reinvested Distributions | 11,856.70 | | 63,194.53 |
| Check | 03/07/2011 | 04010/2011 | | ACH SBC PF Investor Group | SBC Portfolio SBC-Portfo 1931335... | 1020 - Torrey Pines - 2470 - Distrib | 12,432.67 | | 75,627.20 |
| General Journal | 04/01/2011 | APRIL... | | Yeh Family Trust | Apr 2011 Growth Investors distrib... | 3015 - Reinvested Distributions | 12,652.38 | | 88,279.58 |
| Check | 04/05/2011 | 2071 | | ACH SBC PF Investor Group | #0109 yeh disbursement - april | 1020 - Torrey Pines - 2470 - Distrib | 17.88 | | 88,297.46 |
| Check | 04/11/2011 | 2074 | | Stanley M. Johnson Fam Trst | ACH to group from 040111070-040... | 1020 - Torrey Pines - 2470 - Distrib | 13,160.91 | | 101,458.37 |
| Check | 04/11/2011 | 2075 | | Joe Segura | 0141 STANLEY JOHNSON FAMILI... | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 101,868.62 |
| General Journal | 04/30/2011 | Dist P... | | Dist P... | 0135 segura april disbursement | 1020 - Torrey Pines - 2470 - Distrib | 203.09 | | 102,071.71 |
| General Journal | 05/01/2011 | May ... | | revDis... | adjust for May 2011 Distributions P... | 2010 - Distributions Payable | 26,313.69 | 26,313.69 | 125,758.02 |
| General Journal | 05/02/2011 | MAY ... | | revDis... | reverse distributions payable for May | 2010 - Distributions Payable | 12,866.75 | | 102,711.27 |
| Check | 05/04/2011 | 05011... | | Korich Family Trust | MAY 2011 Growth Investors distrib... | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Korich Family Trust-112 | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Phillip R. Tirey Lving Trst, 12/15... | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Phillip R. Tirey Lving Trst, 12/15... | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Patapoff Family Trust | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Sotoudeh Family 2003 Trust | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Fadia Damon | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Bernard & Helen Rubin Trust | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Manigeh F. Nadimi | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | St. Clair 2003 Trust | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Karl Jay Smith Trust | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Le Felix Ventures | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 05011... | | Le Felix Ventures | VOID: MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 114,938.46 |
| Check | 05/04/2011 | 2076 | | Joe Segura | MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 186.54 | | 115,125.00 |
| Check | 05/04/2011 | 2077 | | Stanley M. Johnson Fam Trst | MAY DISTR | 1020 - Torrey Pines - 2470 - Distrib | 397.01 | | 115,522.01 |
| Check | 05/04/2011 | 2078 | | ACH SBC PF Investor Group | SBC Portfolio SBC-Portfo 1931335... | 1020 - Torrey Pines - 2470 - Distrib | 13,853.39 | | 129,375.40 |
| General Journal | 05/31/2011 | dist p... | | dist p... | adjust for JUN 2011 Distributions P... | 2010 - Distributions Payable | 28,020.21 | | 157,405.61 |
| General Journal | 06/01/2011 | 2079 | | Joe Segura | Reclass from Withdrawal to Distrib... | 3040 - Withdrawals | 203.09 | 1,000.00 | 156,405.81 |
| Check | 06/01/2011 | 2080 | | Stanley M. Johnson Fam Trst | | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 156,816.06 |
| General Journal | 06/02/2011 | rev dis... | | rev dis... | reverse JUN 2011 Distributions Pa... | 2010 - Distributions Payable | 11,963.30 | 28,020.21 | 128,988.74 |
| General Journal | 06/02/2011 | JUN distr | | JUN distr | JUN 2011 Growth Investors distrib... | 3015 - Reinvested Distributions | 13,963.30 | | 142,952.04 |
| Check | 06/02/2011 | 06011... | | ACH SBC PF Investor Group | ACH SBC PF Investor Group | 1020 - Torrey Pines - 2470 - Distrib | 13,443.57 | | 156,405.81 |
| General Journal | 06/02/2011 | DISTR P... | | DISTR P... | GROSS UP FOR DISTRIBUTIONS... | 2010 - Distributions Payable | 28,920.14 | | 185,325.75 |
| General Journal | 07/01/2011 | REVDis... | | REVDis... | REV GROSS UP FOR DISTRIBUTUT... | 2010 - Distributions Payable | 15,858.61 | 28,920.14 | 171,888.85 |
| General Journal | 07/01/2011 | JUL Rel... | | JUL Rel... | JULY 2011 Growth Investors distrib... | 3015 - Reinvested Distributions | 15,483.24 | | 171,858.85 |
| Check | 07/01/2011 | 2082 | | Joe Segura | July Distribution | 1020 - Torrey Pines - 2470 - Distrib | 259.91 | | 172,148.76 |
| Check | 07/01/2011 | 2083 | | Stanley M. Johnson Fam Trst | July Distribution | 1020 - Torrey Pines - 2470 - Distrib | 397.01 | | 172,545.77 |
| Check | 07/08/2011 | 07011... | | ACH SBC PF Investor Group | ACH SBC PF Investor Group - July... | 1020 - Torrey Pines - 2470 - Distrib | 12,779.98 | | 185,325.75 |
| General Journal | 07/13/2011 | dist p... | | dist p... | GROSS UP FOR DISTRIBUTIONS... | 2010 - Distributions Payable | 38,332.85 | 38,332.85 | 223,658.60 |
| General Journal | 08/01/2011 | revdis... | | revdis... | rev GROSS UP FOR DISTRIBUTUT... | 2010 - Distributions Payable | 22,223.09 | | 245,881.69 |
| General Journal | 08/02/2011 | reinv... | | reinv... | August 2011 Growth Investors distr... | 3015 - Reinvested Distributions | 15,414.37 | | 261,295.84 |
| Check | 08/02/2011 | 08011... | | ACH SBC PF Investor Group | | 1020 - Torrey Pines - 2470 - Distrib | 285.14 | | 261,581.00 |
| Check | 08/02/2011 | 2084 | | Joe Segura | Sept 1 Distribution | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 261,991.25 |
| Check | 08/02/2011 | 2085 | | Stanley M. Johnson Fam Trst | Sept 1 Distribution | 1020 - Torrey Pines - 2470 - Distrib | 16,354.80 | | 278,346.05 |
| Check | 09/01/2011 | ach | | ACH SBC PF Investor Group | Sept 1 Distribution | 1020 - Torrey Pines - 2470 - Distrib | 285.14 | | 278,631.19 |
| Check | 09/01/2011 | 2086 | | Joe Segura | Sept 1 Distribution | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 279,041.44 |
| Check | 09/01/2011 | 2087 | | Stanley M. Johnson Fam Trst | Sept 2011 Growth Investors distrib... | 3015 - Reinvested Distributions | 24,857.30 | | 303,898.74 |
| General Journal | 09/02/2011 | reinv... | | reinv... | Oct 2011 Growth Investors distrib... | 3015 - Reinvested Distributions | 31,596.86 | | 335,495.60 |
| General Journal | 10/04/2011 | 2088 | | Joe Segura | | 1020 - Torrey Pines - 2470 - Distrib | 275.84 | | 335,771.44 |
| Check | 10/04/2011 | 2089 | | Stanley M. Johnson Fam Trst | Jan distribution | 1020 - Torrey Pines - 2470 - Distrib | 387.01 | | 336,158.45 |
| Check | 10/04/2011 | ach | | ACH SBC PF Investor Group | standard Distributions - ACH group | 1020 - Torrey Pines - 2470 - Distrib | 16,611.27 | | 352,769.72 |
| General Journal | 11/01/2011 | reinv... | | reinv... | November reinvested distributions f... | 3015 - Reinvested Distributions | 31,291.71 | | 384,061.43 |
| Check | 11/01/2011 | 11011... | | ACH SBC PF Investor Group | November 2011 Distributions - AC... | 1020 - Torrey Pines - 2470 - Distrib | 24,855.31 | | 408,916.74 |
| Check | 11/01/2011 | 2090 | | Joe Segura | Nov distr | 1020 - Torrey Pines - 2470 - Distrib | 285.14 | | 409,201.88 |
| Check | 11/01/2011 | 2091 | | Stanley M. Johnson Fam Trst | Nov Distr | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 409,612.13 |
| Check | 11/01/2011 | 2092 | | Phillip & Joyce Bach | Nov Distr | 1020 - Torrey Pines - 2470 - Distrib | 110.06 | | 409,722.19 |
| Check | 11/01/2011 | 2093 | | Lipovac Family Trust | Nov Distr | 1020 - Torrey Pines - 2470 - Distrib | 238.84 | | 409,961.03 |
| Check | 12/01/2011 | 12011... | | ACH SBC PF Investor Group | distributions paid on ACH list | 1020 - Torrey Pines - 2470 - Distrib | 25,573.21 | | 435,534.24 |
| Check | 12/01/2011 | 2094 | | Subodh & Shradha Toprani | Dec distribution | 1020 - Torrey Pines - 2470 - Distrib | 3,108.87 | | 438,643.11 |
| Check | 12/01/2011 | 2095</ | | | | | | | |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|-------------|-----|-------------------------------------|--|--------------------------------------|-----------|--------|------------|
| Check | 02/01/2012 | 2106 | | Geng Geng Wang | Geng Geng Wang | 1020 - Torrey Pines - 2470 - Distrib | 209.66 | | 81,854.75 |
| Check | 02/01/2012 | 2107 | | Jon W. Stiel | Jon W. Stiel | 1020 - Torrey Pines - 2470 - Distrib | 409.55 | | 82,264.30 |
| Check | 02/01/2012 | 2108 | | Hsu Feng Wang & Jimmy YH... | Hsu Feng Wang & Jimmy YH... | 1020 - Torrey Pines - 2470 - Distrib | 2,030.82 | | 84,295.22 |
| Check | 02/01/2012 | 2109 | | VA Compass-Felix Charitable ... | VA Compass-Felix Charitable ... | 1020 - Torrey Pines - 2470 - Distrib | 293.27 | | 84,588.49 |
| Check | 02/01/2012 | 2110 | | Marchman Trust B | Marchman Trust B | 1020 - Torrey Pines - 2470 - Distrib | 8,566.55 | | 93,155.04 |
| General Journal | 02/01/2012 | reinvest... | | | Feb reinvested distributions for SB... | 3015 - Reinvested Distributions | 49,356.72 | | 142,511.76 |
| Check | 02/03/2012 | ACH | | ACH SBC PF Investor Group | February Distribution | 1020 - Torrey Pines - 2470 - Distrib | 28,382.15 | | 170,873.91 |
| Check | 03/01/2012 | ach | | ACH SBC PF Investor Group | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 27,055.74 | | 197,928.65 |
| Check | 03/01/2012 | 2111 | | Subodh & Shradha Toprani | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 3,262.56 | | 201,192.21 |
| Check | 03/01/2012 | 2112 | | Joe Segura | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 266.75 | | 201,458.96 |
| Check | 03/01/2012 | 2113 | | Stanley M. Johnson Fam Trst | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 383.78 | | 201,842.74 |
| Check | 03/01/2012 | 2114 | | Geng Geng Wang | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 198.14 | | 202,038.88 |
| Check | 03/01/2012 | 2115 | | IRA Services FBO Judith Peter... | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 4,688.93 | | 206,737.81 |
| Check | 03/01/2012 | 2116 | | Jon W. Stiel | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 379.96 | | 207,117.79 |
| Check | 03/01/2012 | 2117 | | Hsu Feng Wang & Jimmy YH... | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,899.90 | | 209,017.69 |
| Check | 03/01/2012 | 2118 | | VA Compass-Felix Charitable ... | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 274.35 | | 209,292.04 |
| Check | 03/01/2012 | 2119 | | Marchman Trust B | March 1st Distribution | 1020 - Torrey Pines - 2470 - Distrib | 9,185.50 | | 218,487.54 |
| General Journal | 03/01/2012 | reinvest | | | Reinvested amount March 1st | 3015 - Reinvested Distributions | 44,289.04 | | 262,776.58 |
| Check | 04/01/2012 | 2120 | | Subodh & Shradha Toprani | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 787.52 | | 263,564.10 |
| Check | 04/01/2012 | 2121 | | Joe Segura | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 285.14 | | 263,849.24 |
| Check | 04/01/2012 | 2122 | | Stanley M. Johnson Fam Trst | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 264,259.49 |
| Check | 04/01/2012 | 2123 | | Geng Geng Wang | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 209.66 | | 264,469.15 |
| Check | 04/01/2012 | 2124 | | IRA Services FBO Judith Peter... | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 4,050.81 | | 268,518.96 |
| Check | 04/01/2012 | 2125 | | Jon W. Stiel | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 406.18 | | 268,925.14 |
| Check | 04/01/2012 | 2126 | | Hsu Feng Wang & Jimmy YH... | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 2,030.92 | | 270,957.06 |
| Check | 04/01/2012 | 2127 | | VA Compass-Felix Charitable ... | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 293.27 | | 271,250.33 |
| Check | 04/01/2012 | 2128 | | Marchman Trust B | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 9,638.68 | | 280,889.01 |
| Check | 04/01/2012 | ACH | | ACH SBC PF Investor Group | March Distribution | 1020 - Torrey Pines - 2470 - Distrib | 27,021.08 | | 308,101.09 |
| General Journal | 04/01/2012 | reinvest | | | Reinvested amount April 1st | 3015 - Reinvested Distributions | 48,282.48 | | 356,383.57 |
| Check | 04/12/2012 | 2271 | | IRA Services FBO Michael Bird | Withdrawal of Distribution | 1030 - Heritage - 3695 Operating | 1,951.18 | | 358,334.75 |
| General Journal | 05/01/2012 | ACH D... | | ACH SBC PF Investor Group | ACH Distribution for May 2012 | 1020 - Torrey Pines - 2470 - Distrib | 28,827.81 | | 387,162.56 |
| Check | 05/01/2012 | 2129 | | Joe Segura | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 275.87 | | 384,438.53 |
| Check | 05/01/2012 | 2129 | | Stanley M. Johnson Fam Trst | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 397.05 | | 384,835.58 |
| Check | 05/01/2012 | 2131 | | Geng Geng Wang | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 202.82 | | 385,038.50 |
| Check | 05/01/2012 | 2132 | | Jon W. Stiel | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 393.12 | | 385,431.62 |
| Check | 05/01/2012 | 2133 | | Hsu Feng Wang & Jimmy YH... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,955.82 | | 387,387.44 |
| Check | 05/01/2012 | 2134 | | VA Compass-Felix Charitable ... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 283.84 | | 387,681.08 |
| Check | 05/01/2012 | 2135 | | Marchman Trust B | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 9,513.58 | | 397,194.66 |
| Check | 05/01/2012 | 2136 | | IRA Services FBO Michael Bird | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 794.43 | | 397,989.09 |
| General Journal | 05/01/2012 | reinvest | | | Reinvested amount May 1st | 3015 - Reinvested Distributions | 42,825.83 | | 440,814.92 |
| Check | 06/01/2012 | 2370 | | Jeanette F. and Martin D. Lavoie | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,577.41 | | 442,492.33 |
| Check | 06/01/2012 | 2371 | | The Phillip Roger Tiley Living T... | VOID: May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 0.00 | | 442,492.33 |
| Check | 06/01/2012 | 2372 | | McDowell Trust dtd 06/27/00 | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,482.57 | | 443,974.90 |
| Check | 06/01/2012 | 2373 | | The Phillip Roger Tiley Living T... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 428.54 | | 444,403.44 |
| Check | 06/01/2012 | 2374 | | Teresa M. Dowling, Trustee | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,395.80 | | 445,799.24 |
| Check | 06/01/2012 | 2375 | | Indian Muslim Relief & Charities | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,013.08 | | 446,812.32 |
| Check | 06/01/2012 | 2376 | | Urban Family Trust | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 499.28 | | 447,311.60 |
| Check | 06/01/2012 | 2377 | | Robert Morris | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 484.53 | | 447,796.13 |
| Check | 06/01/2012 | 2378 | | Boyer M. Natio | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,704.03 | | 449,500.16 |
| Check | 06/01/2012 | 2379 | | Joe Segura | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 785.14 | | 449,495.30 |
| Check | 06/01/2012 | 2380 | | Stocum Family Trust | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,396.71 | | 450,892.01 |
| Check | 06/01/2012 | 2381 | | Stanley M. Johnson Fam Trst | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 410.25 | | 451,302.26 |
| Check | 06/01/2012 | 2382 | | The Pete & Judith Petersen Liv... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 72.43 | | 451,374.69 |
| Check | 06/01/2012 | 2383 | | Frederick Turner | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 764.43 | | 452,139.12 |
| Check | 06/01/2012 | 2384 | | Anders Bjorkman & Bo Jiang | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 284.90 | | 452,424.02 |
| Check | 06/01/2012 | 2385 | | Nathalie Gross | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 336.89 | | 452,760.91 |
| Check | 06/01/2012 | 2386 | | Vivek Agarwal | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 280.27 | | 453,041.18 |
| Check | 06/01/2012 | 2387 | | Orlando Escobar | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 602.90 | | 453,644.08 |
| Check | 06/01/2012 | 2388 | | Terence & Kathleen Lacey | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 678.77 | | 454,322.85 |
| Check | 06/01/2012 | 2389 | | Allan Leibof | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 435.63 | | 454,758.48 |
| Check | 06/01/2012 | 2390 | | Rhona Leibof | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 638.54 | | 455,397.02 |
| Check | 06/01/2012 | 2391 | | The Sung Living Trust | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 219.58 | | 455,616.60 |
| Check | 06/01/2012 | 2392 | | Edward Minasian | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 217.24 | | 455,833.84 |
| Check | 06/01/2012 | 2393 | | Eva Bananer Exempt. Trust, H... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 433.58 | | 456,267.42 |
| Check | 06/01/2012 | 2394 | | Charlotte Wu | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 343.40 | | 456,610.82 |
| Check | 06/01/2012 | 2395 | | Andreas Mosko | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 433.90 | | 456,954.72 |
| Check | 06/01/2012 | 2396 | | R. Peter Griffith Cust FBO Laur... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 357.68 | | 457,312.40 |
| Check | 06/01/2012 | 2397 | | R. Peter Griffith Cust FBO Laur... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 257.33 | | 457,569.73 |
| Check | 06/01/2012 | 2398 | | Mary Chien Sung | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 216.58 | | 457,786.31 |
| Check | 06/01/2012 | 2399 | | Geng Geng Wang | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 209.66 | | 458,005.97 |
| Check | 06/01/2012 | 2400 | | Peter M. Chum | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 926.48 | | 458,932.45 |
| Check | 06/01/2012 | 2401 | | Karl Jay Smith Trust | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 146.88 | | 459,079.33 |
| Check | 06/01/2012 | 2402 | | R. Peter Griffith Cust FBO Laur... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 2,856.46 | | 461,935.79 |
| Check | 06/01/2012 | 2403 | | Yi Qun Zhou | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 215.27 | | 462,151.06 |
| Check | 06/01/2012 | 2404 | | Howard Gottlieb | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 215.04 | | 462,366.10 |
| Check | 06/01/2012 | 2405 | | IRA Services FBO Zhou Ling | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 385.68 | | 462,751.78 |
| Check | 06/01/2012 | 2406 | | Willard E. Fee | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 860.16 | | 463,611.94 |
| Check | 06/01/2012 | 2407 | | IRA Services FBO Kuang, Barb... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 214.63 | | 463,826.57 |
| Check | 06/01/2012 | 2408 | | Edward Minasian | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 257.44 | | 464,084.01 |
| Check | 06/01/2012 | 2409 | | Phillip & Joyce Lacey | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 127.78 | | 464,211.79 |
| Check | 06/01/2012 | 2410 | | Joseph & Joyce Lacey | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 851.78 | | 465,063.57 |
| Check | 06/01/2012 | 2411 | | IRA SERVICES fbo Cehovec El... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 2,699.12 | | 467,762.69 |
| Check | 06/01/2012 | 2412 | | Christiana G Schanda Family T... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 213.42 | | 467,976.11 |
| Check | 06/01/2012 | 2413 | | Todd Petersen & Judith Petersen | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 408.37 | | 468,384.48 |
| Check | 06/01/2012 | 2414 | | Gulab & Nimmi Gidwani | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 106.29 | | 468,490.77 |
| Check | 06/01/2012 | 2415 | | Judith Abel | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 212.31 | | 468,703.08 |
| Check | 06/01/2012 | 2416 | | IRA Services FBO Felix, Micha... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 317.15 | | 469,020.23 |
| Check | 06/01/2012 | 2417 | | William C. Wakeman Sr. & Vict... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 718.81 | | 470,009.04 |
| Check | 06/01/2012 | 2418 | | IRA Services FBO prevedello, R... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 473.51 | | 470,482.55 |
| Check | 06/01/2012 | 2419 | | Shyam Subodh Toprani | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 427.96 | | 470,910.51 |
| Check | 06/01/2012 | 2420 | | IRA Services FBO Felix, Marie A. | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 254.17 | | 471,164.68 |
| Check | 06/01/2012 | 2421 | | Jon W. Stiel | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 406.18 | | 471,570.86 |
| Check | 06/01/2012 | 2422 | | Hsin-Shen Tai | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 903.78 | | 472,474.64 |
| Check | 06/01/2012 | 2423 | | F. & A. Sheng TTEES | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 211.31 | | 472,686.03 |
| Check | 06/01/2012 | 2424 | | IRA SERVICES fbo Cehovec El... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 312.23 | | 472,998.26 |
| Check | 06/01/2012 | 2425 | | IRA Services FBO Sih, Peter | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 421.97 | | 473,420.23 |
| Check | 06/01/2012 | 2426 | | Hsu Feng Wang & Jimmy YH... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 2,030.82 | | 475,451.05 |
| Check | 06/01/2012 | 2427 | | Harry & Frieda Goldstein | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 282.86 | | 475,733.91 |
| Check | 06/01/2012 | 2428 | | Anthony Gee | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 36.06 | | 475,770.07 |
| Check | 06/01/2012 | 2429 | | Frank J. Napoli | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 210.71 | | 475,980.78 |
| Check | 06/01/2012 | 2430 | | Kao Family 1980 Trust | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,263.26 | | 477,244.04 |
| Check | 06/01/2012 | 2431 | | IRA Services FBO Mukherji, Su... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 252.45 | | 477,506.29 |
| Check | 06/01/2012 | 2432 | | IRA Services FBO Uman, Jeff... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 1,051.34 | | 478,557.63 |
| Check | 06/01/2012 | 2433 | | VA Compass-Felix Charitable ... | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 293.27 | | 478,850.90 |
| Check | 06/01/2012 | 2434 | | Marchman Trust B | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 8,826.68 | | 487,677.58 |
| Check | 06/01/2012 | 2435 | | Larry Minter | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 291.48 | | 487,969.06 |
| Check | 06/01/2012 | 2436 | | IRA Services FBO Michael Bird | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 812.37 | | 488,781.43 |
| Check | 06/01/2012 | 2437 | | IRA Services FBO Robert Morris | May Distribution | 1020 - Torrey Pines - 2470 - Distrib | 654.48 | | 489,435.9 |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|----------------------------|------------|----------|-----|--|---|--------------------------------------|--------------|--------------|--------------|
| Deposit | 06/12/2012 | ACH | | Sih's Revocable Trust | Sih Revocable Trust-Return of AC... | 1020 - Torrey Pines - 2470 - Distrib | | 531.16 | 523,663.98 |
| Check | 06/12/2012 | 3005 | | Sih's Revocable Trust | Replacement of initial ACH sent ou... | 1030 - Heritage - 3695 Operating | 531.16 | | 524,195.14 |
| Total 3030 - Distributions | | | | | | | 2,111,312.63 | 1,587,117.49 | 524,195.14 |
| 3040 - Withdrawals | | | | | | | | | |
| Check | 01/18/2010 | 1018 | | Valencia Family Trust | Act #0105-ACH09 Capital Withdra... | 1030 - Heritage - 3695 Operating | 43,150.74 | | 43,150.74 |
| Check | 01/18/2010 | 1019 | | Amy Jo Fillin | Act # 0108-ACH Capital Withdrawal | 1030 - Heritage - 3695 Operating | 23,581.08 | | 66,731.82 |
| Check | 01/19/2010 | 1023 | | White Revocable Trust | Act # 0103-ACH Act Close Withdra... | 1030 - Heritage - 3695 Operating | 18,859.91 | | 85,591.73 |
| Check | 02/02/2010 | 2000 | | Indian Muslim Relief & Charities | 0124 Fund Withdrawal | 1030 - Heritage - 3695 Operating | 464,118.97 | | 549,710.67 |
| Check | 02/26/2010 | 2004 | | Valencia Family Trust | withdrawal act #0105-ACH09 | 1030 - Heritage - 3695 Operating | 15,000.00 | | 564,710.67 |
| Check | 04/02/2010 | Wire Trf | | Amy Jo Fillin | withdrawal act#0108-ACH | 1030 - Heritage - 3695 Operating | 10,000.00 | | 574,710.67 |
| Check | 04/03/2010 | 2010 | | Linda S. Wilson | Act#0116-CHK Close Account | 1030 - Heritage - 3695 Operating | 21,433.88 | | 596,144.35 |
| Check | 04/03/2010 | 2011 | | Marilyn J. Anair | Redemption of shares | 1030 - Heritage - 3695 Operating | 33,000.00 | | 629,144.35 |
| Check | 04/29/2010 | 2015 | | Lee V. Emerson Revocable Trust | Withdrawal | 1030 - Heritage - 3695 Operating | 5,000.00 | | 634,144.35 |
| Check | 04/29/2010 | 2016 | | Valencia Family Trust | Withdrawal | 1030 - Heritage - 3695 Operating | 5,000.00 | | 639,144.35 |
| Check | 04/29/2010 | 2017 | | Rahmatollah (Ray) Kashaf | Withdrawal | 1030 - Heritage - 3695 Operating | 5,000.00 | | 644,144.35 |
| Check | 04/29/2010 | 2018 | | Julia M. Williams | Withdrawal | 1030 - Heritage - 3695 Operating | 5,000.00 | | 649,144.35 |
| Check | 04/29/2010 | 2019 | | Farideh and Farzaneh Kashaf | Withdrawal | 1030 - Heritage - 3695 Operating | 5,000.00 | | 654,144.35 |
| Check | 04/29/2010 | 2017 | | Yeh Family Trust | #0104 Apr 2010 distribution-replac... | 1020 - Torrey Pines - 2470 - Distrib | 0.46 | | 654,144.81 |
| Check | 05/11/2010 | 2020 | | Julia M. Williams | Act#0107 Withdrawal of 50% of cur... | 1030 - Heritage - 3695 Operating | 33,822.17 | | 687,966.98 |
| Check | 05/24/2010 | 2023 | | Lee V. Emerson Revocable Trust | #0101-ACH09 partial redemption | 1030 - Heritage - 3695 Operating | 15,000.00 | | 702,966.98 |
| Check | 05/24/2010 | 2024 | | Rahmatollah (Ray) Kashaf | Act#0106 partial redemption | 1030 - Heritage - 3695 Operating | 10,000.00 | | 712,966.98 |
| Check | 05/24/2010 | 2025 | | Marilyn J. Anair | act#0113 partial redemption | 1030 - Heritage - 3695 Operating | 5,000.00 | | 717,966.98 |
| Check | 05/24/2010 | 2026 | | M.J. LaGrone Revocable Trust | ACT#0114 partial redemption | 1030 - Heritage - 3695 Operating | 10,000.00 | | 727,966.98 |
| Check | 05/24/2010 | 2027 | | Farideh and Farzaneh Kashaf | act#0119 partial redemption | 1030 - Heritage - 3695 Operating | 10,000.00 | | 737,966.98 |
| Check | 06/04/2010 | 2028 | | M.J. LaGrone Revocable Trust | #0114 capital redemption | 1030 - Heritage - 3695 Operating | 10,000.00 | | 747,966.98 |
| Check | 06/04/2010 | 2029 | | Marilyn J. Anair | #0113 capital redemption | 1030 - Heritage - 3695 Operating | 10,000.00 | | 757,966.98 |
| Check | 06/04/2010 | 2030 | | Rahmatollah (Ray) Kashaf | #0106 capital redemption | 1030 - Heritage - 3695 Operating | 20,000.00 | | 777,966.98 |
| Check | 06/04/2010 | 2031 | | Farideh and Farzaneh Kashaf | Act#0119 capital redemption | 1030 - Heritage - 3695 Operating | 20,000.00 | | 797,966.98 |
| Check | 06/04/2010 | 2032 | | Lee V. Emerson Revocable Trust | VOID: Act#0101- capital redemption | 1030 - Heritage - 3695 Operating | 0.00 | | 797,966.98 |
| Check | 06/04/2010 | 2033 | | Valencia Family Trust | Act#0105-09 capital redemption | 1030 - Heritage - 3695 Operating | 10,000.00 | | 807,966.98 |
| Check | 06/04/2010 | 2034 | | Lee V. Emerson Revocable Trust | 1030 - Heritage - 3695 Operating | 1030 - Heritage - 3695 Operating | 30,000.00 | | 837,966.98 |
| Check | 06/08/2010 | 0608102 | | Amy Jo Fillin | #0108-ACH Partial Redemption | 1020 - Torrey Pines - 2470 - Distrib | 5,000.00 | | 842,966.98 |
| Check | 06/08/2010 | 0608103 | | Valencia Family Trust | #0105-ACH09 partial redemption | 1020 - Torrey Pines - 2470 - Distrib | 5,000.00 | | 847,966.98 |
| Check | 06/08/2010 | 0608104 | | Lee V. Emerson Revocable Trust | #0101-ACH09 partial redemption | 1020 - Torrey Pines - 2470 - Distrib | 5,000.00 | | 852,966.98 |
| Check | 06/08/2010 | 0608105 | | M.J. LaGrone Revocable Trust | #0114-ACH partial redemption | 1020 - Torrey Pines - 2470 - Distrib | 2,500.00 | | 857,966.98 |
| Check | 06/08/2010 | 0608106 | | Marilyn J. Anair | #0113-ACH partial redemption | 1020 - Torrey Pines - 2470 - Distrib | 2,500.00 | | 862,966.98 |
| Check | 06/08/2010 | 0608107 | | Rahmatollah (Ray) Kashaf | #0106-ACH partial redemption | 1020 - Torrey Pines - 2470 - Distrib | 5,000.00 | | 867,966.98 |
| Check | 06/25/2010 | 2037 | | Marilyn J. Anair | #0113 Capital Redemption | 1030 - Heritage - 3695 Operating | 20,000.00 | | 887,966.98 |
| Check | 06/25/2010 | 2038 | | M.J. LaGrone Revocable Trust | #0114 Redemption withdrawal | 1030 - Heritage - 3695 Operating | 20,000.00 | | 907,966.98 |
| Check | 06/25/2010 | 2039 | | Rahmatollah (Ray) Kashaf | #106 Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 30,000.00 | | 937,966.98 |
| Check | 06/25/2010 | 2040 | | Valencia Family Trust | #0105 redemption withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 947,966.98 |
| Check | 06/25/2010 | 2041 | | Farideh and Farzaneh Kashaf | #0119 Redemption withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 957,966.98 |
| Check | 07/08/2010 | 2043 | | Rahmatollah (Ray) Kashaf | #108 Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 20,000.00 | | 977,966.98 |
| Check | 07/08/2010 | 2044 | | Farideh and Farzaneh Kashaf | #119 Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 987,966.98 |
| Check | 07/08/2010 | 2045 | | Marilyn J. Anair | #113 Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 997,966.98 |
| Check | 07/08/2010 | 2046 | | M.J. LaGrone Revocable Trust | #114 Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 1,007,966.98 |
| Check | 07/08/2010 | 2047 | | Julia M. Williams | #107 Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 1,017,966.98 |
| Check | 07/08/2010 | 2048 | | Valencia Family Trust | #105-ACH 09 Capital Redemption ... | 1030 - Heritage - 3695 Operating | 10,000.00 | | 1,027,966.98 |
| Check | 07/08/2010 | 2049 | | Mason Family Trust | #110 Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 15,000.00 | | 1,037,966.98 |
| Check | 07/08/2010 | 2050 | | Lee V. Emerson Revocable Trust | #101-ACH09 Capital Redemption ... | 1030 - Heritage - 3695 Operating | 15,000.00 | | 1,052,966.98 |
| Check | 07/14/2010 | 2051 | | Valencia Family Trust | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 22,809.07 | | 1,075,776.05 |
| Check | 07/14/2010 | 2052 | | Julia M. Williams | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 13,822.17 | | 1,089,598.22 |
| Check | 07/14/2010 | 2053 | | Marilyn J. Anair | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 16,019.83 | | 1,105,618.05 |
| Check | 07/14/2010 | 2054 | | Amy Jo Fillin | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 12,000.00 | | 1,117,618.05 |
| Check | 07/14/2010 | 2055 | | Lee V. Emerson Revocable Trust | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 50,000.00 | | 1,167,618.05 |
| Check | 07/21/2010 | 2056 | | Rahmatollah (Ray) Kashaf | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 50,000.00 | | 1,217,618.05 |
| Check | 07/23/2010 | 2057 | | Valencia Family Trust | Final Capital Redemption | 1030 - Heritage - 3695 Operating | 4,128.38 | | 1,221,546.43 |
| Check | 07/23/2010 | 2058 | | Julia M. Williams | Final capital redemption | 1030 - Heritage - 3695 Operating | 7,079.32 | | 1,228,625.75 |
| Check | 07/23/2010 | 2059 | | Amy Jo Fillin | Final Capital Redemption | 1030 - Heritage - 3695 Operating | 16,870.11 | | 1,245,495.86 |
| Check | 07/23/2010 | 2060 | | Marilyn J. Anair | Final capital redemption | 1030 - Heritage - 3695 Operating | 6,272.34 | | 1,251,768.20 |
| Check | 07/23/2010 | 2061 | | Farideh and Farzaneh Kashaf | Final capital redemption | 1030 - Heritage - 3695 Operating | 8,436.08 | | 1,260,204.28 |
| Check | 07/27/2010 | 2064 | | Rahmatollah (Ray) Kashaf | Final Capital Redemption | 1030 - Heritage - 3695 Operating | 39,052.25 | | 1,299,256.53 |
| Check | 08/12/2010 | 2068 | | Mason Family Trust | Capital Redemption Withdrawal | 1030 - Heritage - 3695 Operating | 10,000.00 | | 1,309,256.53 |
| Check | 08/17/2010 | 2069 | | Manigeh F. Nadimi | Aug 2010 Distrib | 1020 - Torrey Pines - 2470 - Distrib | 755.90 | | 1,310,012.41 |
| Check | 08/18/2010 | 2072 | | Lee V. Emerson Revocable Trust | #131 Withdrawal of Feb-Jul Distrib | 1030 - Heritage - 3695 Operating | 3,188.61 | | 1,313,201.02 |
| Check | 08/24/2010 | 2075 | | Lee V. Emerson Revocable Trust | #0101-ACH09 withdrawal | 1030 - Heritage - 3695 Operating | 25,000.00 | | 1,338,201.02 |
| Check | 09/15/2010 | 2079 | | Lee V. Emerson Revocable Trust | #0101-ACH09 partial withdrawal | 1030 - Heritage - 3695 Operating | 50,000.00 | | 1,388,201.02 |
| Check | 09/21/2010 | 2084 | | Mason Family Trust | #110 partial withdrawal | 1030 - Heritage - 3695 Operating | 25,000.00 | | 1,413,201.02 |
| Check | 10/08/2010 | 2100 | | Lee V. Emerson Revocable Trust | #0101-ACH09 Redemption | 1030 - Heritage - 3695 Operating | 300,000.00 | | 1,713,201.02 |
| Check | 10/08/2010 | 2101 | | Mason Family Trust | #0110-ACH Redemption | 1030 - Heritage - 3695 Operating | 25,000.00 | | 1,738,201.02 |
| Check | 10/18/2010 | 2110 | | Investors Prime Fund, LLC | Trf fr SBC PF#0115 to IPF#0173 | 1030 - Heritage - 3695 Operating | 84,804.22 | | 1,823,005.24 |
| Check | 11/10/2010 | 2120 | | Lee V. Emerson Revocable Trust | #0101-ACH09 Final Withdrawal | 1030 - Heritage - 3695 Operating | 60,715.88 | | 1,883,721.12 |
| Check | 12/02/2010 | 2128 | | Yeh Family Trust - act 2 | #0109 Yeh partial withdrawal | 1030 - Heritage - 3695 Operating | 17,000.00 | | 1,900,721.12 |
| Check | 12/08/2010 | 2131 | | Investors Prime Fund, LLC | Trf SBC PF #0104 to IPF#0820 | 1030 - Heritage - 3695 Operating | 47,043.67 | | 1,947,764.79 |
| General Journal | 12/31/2010 | AUD J... | | | Re-class diff between ABS & QB e... | -SPLIT- | 3,653.00 | | 1,951,417.79 |
| General Journal | 01/01/2011 | P/V W... | | | Close 2010 Withdrawals into Retal... | 3998 - Retained Members Equity | | 1,961,478.59 | 0.00 |
| Check | 01/06/2011 | 2144 | | Yeh Family Trust - act 2 | #0109 Yeh Fam redemption request | 1030 - Heritage - 3695 Operating | 27,691.49 | | 27,691.49 |
| Check | 01/24/2011 | 2150 | | SB Capital Corp. | Withdrawal to Trf from SBC PF#01... | 1030 - Heritage - 3695 Operating | 52,040.04 | | 79,731.53 |
| Check | 02/03/2011 | 02011... | | Le Felix Ventures -0142 | #0142 Withdrawal of Feb distrib | 1020 - Torrey Pines - 2470 - Distrib | 122.74 | | 79,854.27 |
| Check | 03/31/2011 | 2171 | | Phillip R. Tirey Living Trst, 12/15... | PARTIAL WITHDRAWAL - TIREY ... | 1030 - Heritage - 3695 Operating | 7,651.00 | | 87,505.27 |
| Check | 04/01/2011 | 2071 | | Yeh Family Trust | final withdrawal | 1020 - Torrey Pines - 2470 - Distrib | 2,352.18 | | 89,857.45 |
| Check | 04/01/2011 | ACH | | Mason Family Trust | 0110 Mason final withdrawal | 1020 - Torrey Pines - 2470 - Distrib | 19,070.27 | | 108,927.67 |
| General Journal | 05/31/2011 | | | | Redress from Withdrawal to Distrib... | 3030 - Distributions | 1,000.00 | | 109,927.67 |
| Check | 06/02/2011 | 2182 | | Le Felix Ventures | PARTIAL WITHDRAWAL - WIRE ... | 1030 - Heritage - 3695 Operating | 15,000.00 | | 124,927.67 |
| Check | 08/02/2011 | 08011... | | ACH SBC PF Investor Group | | 1020 - Torrey Pines - 2470 - Distrib | 822.85 | | 125,750.52 |
| General Journal | 08/09/2011 | reinv... | | Phillip R. Tirey Living Trst, 12/15... | withdrawal/transfer Tirey trust #012... | 3010 - Contributions | 10,000.00 | | 135,750.52 |
| Check | 10/04/2011 | ach | | -MULTIPLE- | | 1020 - Torrey Pines - 2470 - Distrib | 6,057.17 | | 142,607.69 |
| Check | 10/21/2011 | 2220 | | HUTCHINSON TRUST, C HUT... | -MULTIPLE- | 1030 - Heritage - 3695 Operating | 98,246.49 | | 240,854.18 |
| Check | 10/27/2011 | ach | | IRA Services FBO Pete Peterson | #0190 Pete Peterson withdrawal | 1030 - Heritage - 3695 Operating | 5,010.00 | | 245,864.18 |
| General Journal | 11/07/2011 | smort | | Phillip Tirey | transfer \$10k FROM TIREY 0121 T... | 3010 - Contributions | 10,000.00 | | 255,864.18 |
| Check | 12/01/2011 | | | Phillip R. Tirey Living Trst, 12/15... | WIRE/OUT-20113350185BNF P... | 1030 - Heritage - 3695 Operating | 17,000.00 | | 272,864.18 |
| Check | 12/31/2011 | 2238 | | United States Treasury | #0177 R. Peter Griffithy withdrawal... | 1030 - Heritage - 3695 Operating | 0.00 | | 272,864.18 |
| Check | 12/31/2011 | 2239 | | Ulla Mendoza | #0177 R. Peter Griffithy withdrawal... | 1030 - Heritage - 3695 Operating | 0.00 | | 272,864.18 |
| Check | 01/01/2012 | 2100 | | IRA Services FBO Pete Peterson | Pete Peterson Jan 2012 Withdrawal | 1020 - Torrey Pines - 2470 - Distrib | 2,500.00 | | 275,364.18 |
| General Journal | 01/01/2012 | CJO 2... | | IRA Services FBO Pete Peterson | Close out 2011 Equity | 3010 - Contributions | | 272,864.18 | 2,500.00 |
| Check | 01/30/2012 | 2242 | | John W. Steil | #0204 John W. Steil Withdrawal | 1030 - Heritage - 3695 Operating | 459.02 | | 2,959.02 |
| General Journal | 02/01/2012 | | | | #0121 The Philip Roger Tirey Liv... | 3 | | | |

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08/18/16

SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-----------|-----|-----------------------------------|---|-------------------------------------|---------------|---------------|----------------|
| Check | 05/10/2012 | 2275 | | The Pete & Judith Petersen Liv... | Final Withdrawal | 1030 - Heritage - 3695 Operating | 34,548.27 | | 2,441,370.33 |
| Check | 05/18/2012 | 5162012 | | Anthony Gee | Transfer to SBC Senior Commer... | 1030 - Heritage - 3695 Operating | 10,374.97 | | 2,451,745.30 |
| Check | 06/12/2012 | 3002 | | Indian Muslim Relief & Charities | Withdrawal | 1030 - Heritage - 3695 Operating | 55,000.00 | | 2,506,745.30 |
| Total 3040 - Withdrawals | | | | | | | 4,741,088.07 | 2,234,342.77 | 2,506,745.30 |
| 3050 - C/Y Investor Earnings Equity | | | | | | | | | 0.00 |
| Total 3050 - C/Y Investor Earnings Equity | | | | | | | | | 0.00 |
| 3999 - Retained Members Equity | | | | | | | | | 0.00 |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Bal on ne... | 1010 - Wells Fargo - 8602 | 0.00 | | 0.00 |
| General Journal | 12/31/2009 | Openi... | | | Set up 01/01/10 Opening Balances ... | 1010 - Wells Fargo - 8602 | | 2,284,995.73 | -2,284,995.73 |
| General Journal | 12/31/2009 | Close ... | | | Close 2009 Contrib to Retained Ma... | 3010 - Contributions | | 1,395,933.89 | -3,680,929.71 |
| General Journal | 12/31/2009 | Close ... | | | Close out 2009 Distributions to RE | 3030 - Distributions | 773,108.59 | | -2,907,023.12 |
| General Journal | 01/01/2011 | PAY C... | | | Close 2010 Contributions into Retal... | 3010 - Contributions | | 2,044,550.61 | -4,852,373.73 |
| General Journal | 01/01/2011 | PAY R... | | | Close 2010 Reinv Distributions into... | 3015 - Reinvested Distributions | | 90,429.71 | -5,042,803.44 |
| General Journal | 01/01/2011 | PAY DI... | | | Close 2009 Distributions into Retal... | 3030 - Distributions | 252,717.31 | | -4,790,086.13 |
| General Journal | 01/01/2011 | PAY W... | | | Close 2010 Withdrawals into Retal... | 3040 - Withdrawals | 1,961,478.59 | | -2,828,607.54 |
| General Journal | 01/01/2011 | 2010 RE | | | Re-class 2010 income in RE to Ret... | 32000 - Retained Earnings | | 333,452.49 | -3,162,050.03 |
| General Journal | 01/01/2012 | C/O 2... | | | Close out 2011 Equity | 3010 - Contributions | | 7,078,182.91 | -10,240,242.04 |
| Total 3999 - Retained Members Equity | | | | | | | 2,987,302.49 | 13,227,544.53 | -10,240,242.04 |
| 3000 - Members Equity - Other | | | | | | | | | 0.00 |
| Total 3000 - Members Equity - Other | | | | | | | | | 0.00 |
| Total 3000 - Members Equity | | | | | | | 20,822,663.68 | 30,559,126.45 | -9,736,462.77 |
| 30000 - Opening Balance Equity | | | | | | | | | 0.00 |
| Total 30000 - Opening Balance Equity | | | | | | | | | 0.00 |
| 32000 - Retained Earnings | | | | | | | | | 0.00 |
| General Journal | 01/01/2011 | 2010 RE | | | Re-class 2010 income in RE to Ret... | 3899 - Retained Members Equity | 333,452.49 | | 333,452.49 |
| General Journal | 01/01/2012 | C/O 2... | | | Close out 2011 Equity | 3010 - Contributions | 436,988.54 | | 770,441.03 |
| Total 32000 - Retained Earnings | | | | | | | 770,441.03 | 0.00 | 770,441.03 |
| 4000 - Fund Income | | | | | | | | | 0.00 |
| Total 4000 - Fund Income | | | | | | | | | 0.00 |
| 4010 - Loan Interest Income | | | | | | | | | 0.00 |
| General Journal | 01/01/2010 | Mand ... | | | 12/31/09 Mandatory Music neg bala... | 1110 - Fund Loans | | 315.00 | -315.00 |
| General Journal | 01/02/2010 | Y/E aj... | | | Reversal of y/e accrual for Int Inco... | 1150 - Fund Loans Interest Recei... | 31,829.49 | | 31,514.49 |
| General Journal | 01/02/2010 | #8647... | | | Oct-Dec 09 PA Lodge Deferred p... | 1110 - Fund Loans | | 2,491.74 | 29,022.75 |
| Deposit | 01/05/2010 | 7351 | | Campbell Revocable Living Trust | Loan act #864727 | 1030 - Heritage - 3695 Operating | | 544.86 | 28,477.89 |
| Deposit | 01/05/2010 | 3113 | | Manuel W. & Dana J. Cabello | Loan Act #51-1 | 1030 - Heritage - 3695 Operating | | 1,952.14 | 30,430.03 |
| Deposit | 01/05/2010 | 2110 | | 695 Oak Grove Associates | Loan Act #65 | 1030 - Heritage - 3695 Operating | | 3,666.67 | 34,096.70 |
| Deposit | 01/05/2010 | 494 | | James E. Finnegan | Loan Act #82 | 1030 - Heritage - 3695 Operating | | 416.67 | 34,513.37 |
| General Journal | 01/29/2010 | 01/10... | | | Loan Act# 864727 Campbell Revoc... | 1210 - Due Fr SBC Cap Brokers ... | 0.00 | | 34,930.04 |
| General Journal | 02/01/2010 | 02/10... | | | Loan Act#35 1350 Natoma pmt dep... | 1210 - Due Fr SBC Cap Brokers ... | 0.00 | | 34,930.04 |
| Deposit | 02/01/2010 | 1002 | | SB Capital Brokers Trust | Daniel Sims loan #2 | 1030 - Heritage - 3695 Operating | | 230.14 | 35,160.18 |
| Deposit | 02/01/2010 | 1004 | | SB Capital Brokers Trust | Manje Patel loan #864726 | 1030 - Heritage - 3695 Operating | | 822.50 | 35,982.68 |
| General Journal | 02/02/2010 | 02/10... | | | Loan #65-1 Lipari pmt | 1210 - Due Fr SBC Cap Brokers ... | 0.00 | | 36,805.18 |
| Deposit | 02/19/2010 | 1018 | | SB Capital Brokers Trust | Lipari #65-1 loan pmt int | 1030 - Heritage - 3695 Operating | | 1,102.06 | 37,907.24 |
| Deposit | 02/19/2010 | 1017 | | SB Capital Brokers Trust | Cabell loan #5101 int | 1030 - Heritage - 3695 Operating | | 1,980.56 | 39,887.80 |
| Deposit | 02/19/2010 | 1016 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 7,365.28 | 47,253.08 |
| Deposit | 02/19/2010 | 1013 | | SB Capital Brokers Trust | Manje loan#864726 due 2/1/10 | 1030 - Heritage - 3695 Operating | | 846.90 | 48,099.98 |
| Deposit | 02/19/2010 | 1014 | | SB Capital Brokers Trust | 695 Oak Grove #56 pmt due 1/1/10 | 1030 - Heritage - 3695 Operating | | 3,666.67 | 51,766.65 |
| Deposit | 02/19/2010 | 1012 | | SB Capital Brokers Trust | Finnegan #82 pmt due 2/2/10 | 1030 - Heritage - 3695 Operating | | 416.67 | 52,183.32 |
| Deposit | 02/19/2010 | 1009 | | SB Capital Brokers Trust | Lipari #65-1 due 1/1/10 | 1030 - Heritage - 3695 Operating | | 1,138.82 | 53,322.14 |
| Deposit | 02/19/2010 | 1007 | | SB Capital Brokers Trust | Campbell #864727 due 2/1/10 | 1030 - Heritage - 3695 Operating | | 505.17 | 53,827.31 |
| Deposit | 03/01/2010 | 1029 | | SB Capital Brokers Trust | 695 Oak Grove #56 due 3/1/10 | 1030 - Heritage - 3695 Operating | | 3,666.67 | 57,493.98 |
| Deposit | 03/12/2010 | 1038 | | SB Capital Brokers Trust | Manje Patel #864726 due 3/1/10 | 1030 - Heritage - 3695 Operating | | 846.90 | 58,340.88 |
| Deposit | 03/12/2010 | 1035 | | SB Capital Brokers Trust | King #50 due 4/1/10 | 1030 - Heritage - 3695 Operating | | 1,222.22 | 59,563.10 |
| Deposit | 03/12/2010 | 1036 | | SB Capital Brokers Trust | Amy Lin #0100 due 3/1/10 | 1030 - Heritage - 3695 Operating | | 2,311.25 | 61,874.35 |
| Deposit | 03/12/2010 | 1032 | | SB Capital Brokers Trust | Campbell #864727 due 3/1/10 | 1030 - Heritage - 3695 Operating | | 465.13 | 62,339.48 |
| Deposit | 03/18/2010 | 1042 | | SB Capital Brokers Trust | Finnegan #82 due 3/1/10 | 1030 - Heritage - 3695 Operating | | 416.67 | 62,756.15 |
| Deposit | 03/18/2010 | 1041 | | SB Capital Brokers Trust | Cabell #51-1 due 3/1/10 int | 1030 - Heritage - 3695 Operating | | 1,944.81 | 64,700.96 |
| Deposit | 04/14/2010 | 1063 | | SB Capital Brokers Trust | Campbell loan#864727 due 4/1/10... | 1030 - Heritage - 3695 Operating | | 424.73 | 65,125.69 |
| Deposit | 04/14/2010 | 1064 | | SB Capital Brokers Trust | Amy Lin loan#0100 pmt due 3/31/10 | 1030 - Heritage - 3695 Operating | | 2,311.25 | 67,436.94 |
| Deposit | 04/14/2010 | 1065 | | SB Capital Brokers Trust | 695 Oak Grove loan#56 pmt due 4/1... | 1030 - Heritage - 3695 Operating | | 3,666.67 | 71,103.61 |
| Deposit | 04/14/2010 | 1068 | | SB Capital Brokers Trust | Patel loan#864727 | 1030 - Heritage - 3695 Operating | | 846.90 | 71,950.51 |
| Deposit | 04/14/2010 | 1067 | | SB Capital Brokers Trust | Finnegan 98 Trust-Loan #82 pmt d... | 1030 - Heritage - 3695 Operating | | 402.78 | 72,353.29 |
| Deposit | 04/20/2010 | 1069 | | SB Capital Brokers Trust | Cabell loan#51-1 int pmt | 1030 - Heritage - 3695 Operating | | 1,915.78 | 74,269.07 |
| Deposit | 04/30/2010 | 1075 | | SB Capital Brokers Trust | King loan#50 pmt due 5/1/10 | 1030 - Heritage - 3695 Operating | | 1,833.33 | 76,102.40 |
| Deposit | 04/30/2010 | 1071 | | SB Capital Brokers Trust Act | Cabell loan #51-1 due 4/1/10 int | 1030 - Heritage - 3695 Operating | | 1,915.78 | 78,018.18 |
| Deposit | 05/05/2010 | 1077 | | SB Capital Brokers Trust | 695 Oak Grove Loan#56 int pmt du... | 1030 - Heritage - 3695 Operating | | 3,666.67 | 81,684.85 |
| Deposit | 05/05/2010 | 1076 | | SB Capital Brokers Trust | Campbell loan#864727 int due 5/1/... | 1030 - Heritage - 3695 Operating | | 383.97 | 82,068.82 |
| Check | 05/11/2010 | 2021 | | SB Capital Brokers Trust Act | Reimb clst#1071 fr SBC BT a duplic... | 1030 - Heritage - 3695 Operating | 1,915.78 | | 83,984.60 |
| Deposit | 05/21/2010 | 1068 | | SB Capital Brokers Trust | Amy Lin loan #0100 pmt due 5/1/1... | 1030 - Heritage - 3695 Operating | | 2,311.25 | 86,295.85 |
| Deposit | 05/21/2010 | 1069 | | SB Capital Brokers Trust | Patel loan#864726 int pmt due 5/1/10 | 1030 - Heritage - 3695 Operating | | 846.90 | 87,142.75 |
| Deposit | 05/21/2010 | 1090 | | SB Capital Brokers Trust | Finnegan loan#82 pmt due 5/1/10 ... | 1030 - Heritage - 3695 Operating | | 416.55 | 87,559.30 |
| Deposit | 05/21/2010 | 1091 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 10,621.50 | 98,180.80 |
| Deposit | 05/28/2010 | 1102 | | SB Capital Brokers Trust | Campbell #864727 Campbell pmt due 6... | 1030 - Heritage - 3695 Operating | | 342.84 | 98,523.64 |
| Deposit | 06/17/2010 | 1103 | | SB Capital Brokers Trust | #50 695 Oak Grove int pmt due 6/1... | 1030 - Heritage - 3695 Operating | | 3,666.67 | 102,190.31 |
| Deposit | 06/17/2010 | 1107 | | SB Capital Brokers Trust | #82 Finnegan int pmt due 6/1/10 | 1030 - Heritage - 3695 Operating | | 416.67 | 102,606.98 |
| Deposit | 06/17/2010 | 1108 | | SB Capital Brokers Trust | #35 1350 Natoma int pmt due 5/1/1... | 1030 - Heritage - 3695 Operating | | 3,500.00 | 106,106.98 |
| Deposit | 06/17/2010 | 1109 | | SB Capital Brokers Trust | #864726 Pate int pmt due 6/1/10 | 1030 - Heritage - 3695 Operating | | 846.90 | 106,953.88 |
| Deposit | 06/17/2010 | 1110 | | SB Capital Brokers Trust | #50 Clarence King int pmt due 6/1/10 | 1030 - Heritage - 3695 Operating | | 1,833.33 | 108,787.21 |
| Deposit | 06/17/2010 | 1112 | | SB Capital Brokers Trust | #51-1 Cabell int pmt due 6/9/10 | 1030 - Heritage - 3695 Operating | | 1,980.56 | 110,767.77 |
| Deposit | 07/08/2010 | 1123 | | SB Capital Brokers Trust | #50 King pmt due 6/23/10 | 1030 - Heritage - 3695 Operating | | 1,405.56 | 112,173.33 |
| Deposit | 07/14/2010 | 1127 | | SB Capital Brokers Trust | #35 Natoma int pmt due 6/14/10 | 1030 - Heritage - 3695 Operating | | 3,500.00 | 115,673.33 |
| Deposit | 07/28/2010 | 1131 | | SB Capital Brokers Trust | #56 695 Oak Grove int pmt due 7/1... | 1030 - Heritage - 3695 Operating | | 3,666.67 | 119,340.00 |
| Deposit | 07/28/2010 | 1132 | | SB Capital Brokers Trust | #82 Finnegan int pmt due 7/1/10 | 1030 - Heritage - 3695 Operating | | 416.67 | 119,756.67 |
| Deposit | 07/28/2010 | 1136 | | SB Capital Brokers Trust | #51-1 Cabell pmt due 6/1/10 int | 1030 - Heritage - 3695 Operating | | 1,978.18 | 121,734.85 |
| Deposit | 07/28/2010 | 1137 | | SB Capital Brokers Trust | #864727 Campbell pmt due 7/1/10... | 1030 - Heritage - 3695 Operating | | 301.34 | 122,036.19 |
| Deposit | 07/30/2010 | 1144 | | SB Capital Brokers Trust | #35 Natoma int pmt due 1/14/10 to ... | 1030 - Heritage - 3695 Operating | | 3,500.00 | 125,536.19 |
| General Journal | 08/02/2010 | REC... | | | Foreclosure on Lipari Loan | 1810 - REC-Bay Road | | 8,297.04 | 133,833.23 |
| Deposit | 08/04/2010 | 1149 | | SB Capital Brokers Trust | #35 1350 Natoma pmt due 7/14/10 | 1030 - Heritage - 3695 Operating | | 3,500.00 | 137,333.23 |
| Deposit | 08/09/2010 | 1153 | | SB Capital Brokers Trust | #50 695 Oak Grove int pmt due 8/1... | 1030 - Heritage - 3695 Operating | | 3,666.67 | 141,000.00 |
| Deposit | 08/09/2010 | 1155 | | SB Capital Brokers Trust | #82 REPURCH pmt due 8/1/10 int | 1030 - Heritage - 3695 Operating | | 259.47 | 141,259.47 |
| Deposit | 08/13/2010 | 1159 | | SB Capital Brokers Trust | #82 Finnegan pmt due 8/1/10 | 1030 - Heritage - 3695 Operating | | 416.67 | 141,676.14 |
| Check | 08/18/2010 | 2071 | | investors Prime Fund, LLC | Loan owned by IPF-int rovd by ... | 1030 - Heritage - 3695 Operating | 6,933.75 | | 138,742.39 |
| Deposit | 08/24/2010 | 1165 | | SB Capital Brokers Trust | 1350 Natoma loan#35 int pmt due ... | 1030 - Heritage - 3695 Operating | | 3,500.00 | 142,242.39 |
| Deposit | 09/02/2010 | 1169 | | SB Capital Brokers Trust | #864727 Campbell pmt due 9/1/10... | 1030 - Heritage - 3695 Operating | | 217.23 | 142,459.62 |
| Deposit | 09/08/2010 | 1175 | | SB Capital Brokers Trust | #82 int pmt | 1030 - Heritage - 3695 Operating | | 416.67 | 142,876.29 |
| Deposit | 09/08/2010 | 1172 | | SB Capital Brokers Trust | #56 int pmt due 8/1/10 | 1030 - Heritage - 3695 Operating | | 3,666.67 | 146,542.96 |
| Deposit | 09/21/2010 | 1184 | | SB Capital Brokers Trust | #51-1 Cabell pmt due 7/1/10 | 1030 - Heritage - 3695 Operating | | 2,082.29 | 148,625.25 |
| Deposit | 09/28/2010 | 1190 | | SB Capital Brokers Trust | #864726 Patel reinstatement - int | 1030 - Heritage - 3695 Operating | | 4,069.82 | 152,695.07 |
| Deposit | 09/28/2010 | 1192 | | SB Capital Brokers Trust | #50 Oak Grove pmt due 10/1/10 | 1030 - Heritage - 3695 Operating | | 3,665.87 | 156,360.94 |
| General Journal | 09/30/2010 | Sep In... | | | Re-class to reflect Int Rec @ 9/30/10 | 1150 - Fund Loans Interest Recei... | | 78,358.06 | 234,719.00 |
| General Journal | 09/30/2010 | Adv In... | | | Plug unknown L/Rec bal discrepan... | 1110 - Fund Loans | | 14.01 | 234,733.01 |
| General Journal | 09/30/2010 | Adv In... | | | Acc Int on HSquare PLM Chgs @ 9... | 1154 - Acc Int on Adv Exp Rec | | 553.89 | 235,286.90 |
| Deposit | 10/13/2010 | 1205 | | SB Capital Brokers Trust | #82 Finnegan pmt due 10/1/10 - int | 1030 - Heritage - 3695 Operating | | 416.67 | 235,703.57 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|-----------------|------------|------------|-----|------------------------------|--|------------------------------------|----------|-----------|-------------|
| Deposit | 10/26/2010 | 1209 | | SB Capital Brokers Trust | #35 1350 Natoma pmt due 9/27/10 | 1030 - Heritage - 3695 Operating | | 3,500.00 | -173,175.77 |
| Deposit | 10/26/2010 | 1211 | | SB Capital Brokers Trust | #35 1350 Natoma pmt due 10/14/10... | 1030 - Heritage - 3695 Operating | | 1,983.33 | -175,159.10 |
| Deposit | 10/26/2010 | 1210 | | SB Capital Brokers Trust | #864726 Patel pmt due 10/1/10 | 1030 - Heritage - 3695 Operating | | 846.90 | -176,006.00 |
| Deposit | 10/26/2010 | 1215 | | SB Capital Brokers Trust | #51-1 Patel pmt due 6/1/10 | 1030 - Heritage - 3695 Operating | | 1,978.18 | -177,984.18 |
| General Journal | 10/31/2010 | Int Rec | | | Adj to Int Receivable @ 10/31/10 | 1150 - Fund Loans Interest Recd... | 3,358.52 | | -174,625.66 |
| General Journal | 10/31/2010 | Svng ... | | | Aje to reflect Svng Trust Bal @ 10... | 1155 - Servicing Trust Balance | | 4,432.56 | -179,058.22 |
| General Journal | 11/30/2010 | Svng ... | | | Servicing Trust Bal @ 11/30/10 | 1155 - Servicing Trust Balance | | 3,388.49 | -182,426.71 |
| General Journal | 11/30/2010 | Int Rec | | | AJE to Fund Loan Int Rec @ 11/30... | 1150 - Fund Loans Interest Recd... | | 3,938.31 | -186,365.02 |
| General Journal | 11/30/2010 | Loan ... | | | AJE to Loans Rec @ 11/30/10 | 1110 - Fund Loans | | 1,516.67 | -187,881.69 |
| Deposit | 12/14/2010 | 1261 | | SB Capital Brokers Trust | #113 Gupta pmt due 12/1/10 | 1030 - Heritage - 3695 Operating | | 54.17 | -187,935.86 |
| Deposit | 12/14/2010 | 1260 | | SB Capital Brokers Trust | #82 Finnegan pmt due 12/1/10 | 1030 - Heritage - 3695 Operating | | 13.90 | -187,949.76 |
| Deposit | 12/31/2010 | 1271 | | SB Capital Brokers Trust | #103 Luna pmt due 12/1/10 - Int | 1030 - Heritage - 3695 Operating | | 32.59 | -187,982.35 |
| General Journal | 12/31/2010 | 1267 | | SB Capital Brokers Trust | #121 Bragato loan principal paydo... | 1030 - Heritage - 3695 Operating | | 174.10 | -188,156.45 |
| General Journal | 12/31/2010 | Svng ... | | | Servicing Trust bal @ 12/31/10 | 1155 - Servicing Trust Balance | | 3,726.97 | -191,883.42 |
| General Journal | 12/31/2010 | Int Rec | | | Aje to reflect Int Rec @ 12/31/10 | 1150 - Fund Loans Interest Recd... | | 6,383.54 | -198,276.96 |
| General Journal | 12/31/2010 | #51-1 ... | | | Int on P/LM Fees due on Cabello #5... | 1154 - Acc Int on Adv Exp Rec | | 18.70 | -198,295.66 |
| Deposit | 01/20/2011 | 1282 | | SB Capital Brokers Trust | #113 Gupta pmt due 1/1/11 | 1030 - Heritage - 3695 Operating | | 1,625.00 | -199,920.66 |
| Deposit | 01/20/2011 | 1283 | | SB Capital Brokers Trust | #82 Finnegan pmt due 1/1/11 | 1030 - Heritage - 3695 Operating | | 416.67 | -200,337.33 |
| Deposit | 01/20/2011 | 1284 | | SB Capital Brokers Trust | #864726 Patel pmt due 1/1/11 | 1030 - Heritage - 3695 Operating | | 846.90 | -201,184.23 |
| General Journal | 01/31/2011 | Int Acc | | | Jan 2011 Accrued interest on outst... | 1154 - Acc Int on Adv Exp Rec | | 32.70 | -201,216.93 |
| General Journal | 01/31/2011 | Svc Trst | | | #103 & #56 pmt in Servicing Trst ... | 1155 - Servicing Trust Balance | | 4,803.34 | -206,020.27 |
| General Journal | 01/31/2011 | Int Rec | | | Adjust to reflect Interest Rec @ 01/... | 1150 - Fund Loans Interest Recd... | | 10,888.12 | -217,008.39 |
| Deposit | 02/15/2011 | 1307 | | SB Capital Brokers Trust | #82 Finnegan pmt due 2/1/11 | 1030 - Heritage - 3695 Operating | | 416.67 | -217,425.06 |
| Deposit | 02/15/2011 | 1309 | | SB Capital Brokers Trust | #113 Gupta pmt due 2/1/11 | 1030 - Heritage - 3695 Operating | | 1,625.00 | -219,050.06 |
| Deposit | 02/15/2011 | 1308 | | SB Capital Brokers Trust | #103 Luna pmt due 2/1/11 | 1030 - Heritage - 3695 Operating | | 1,136.67 | -220,186.73 |
| General Journal | 02/28/2011 | Int Rec | | | Adjust to Interest Rec per Mortgage... | 1150 - Fund Loans Interest Recd... | | 14,158.67 | -234,345.40 |
| General Journal | 02/28/2011 | Svc Trst | | | Servicing Trust Bal per Mortgage P... | 1155 - Servicing Trust Balance | | 846.90 | -235,222.60 |
| General Journal | 02/28/2011 | Exp A... | | | Feb 2011 accrued int on loan exp a... | 1154 - Acc Int on Adv Exp Rec | | 167.41 | -235,390.01 |
| Deposit | 03/10/2011 | 1317 | | SB Capital Brokers Trust | #103 Luna payoff | 1030 - Heritage - 3695 Operating | | 1,136.67 | -236,526.68 |
| Deposit | 03/18/2011 | 1321 | | SB Capital Brokers Trust | #130 Zelaya pmt due 2/28/11 | 1030 - Heritage - 3695 Operating | | 722.22 | -237,248.90 |
| Deposit | 03/18/2011 | 1322 | | SB Capital Brokers Trust | #82 Finnegan pmt due 3/1/11 | 1030 - Heritage - 3695 Operating | | 416.67 | -237,665.57 |
| Deposit | 03/18/2011 | 1324 | | SB Capital Brokers Trust | #113 Gupta pmt due 3/1/11 | 1030 - Heritage - 3695 Operating | | 1,625.00 | -239,290.57 |
| Deposit | 03/29/2011 | 1329 | | SB Capital Brokers Trust | #864726 Patel pmt due 03-01-11 | 1030 - Heritage - 3695 Operating | | 846.90 | -240,137.47 |
| Deposit | 03/29/2011 | 1330 | | SB Capital Brokers Trust | #56 Oak Grove pmt due 3/01/11 | 1030 - Heritage - 3695 Operating | | 3,666.67 | -243,804.14 |
| Deposit | 03/29/2011 | 1325 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 9,955.32 | -253,759.46 |
| General Journal | 03/31/2011 | Exp A... | | | Mar 2011 accrued int on loan exp a... | 1154 - Acc Int on Adv Exp Rec | | 235.56 | -254,035.02 |
| General Journal | 03/31/2011 | svc trst | | | #56 pmt in Servicing Trst Bal @ 03... | 1155 - Servicing Trust Balance | | 3,666.67 | -257,701.69 |
| General Journal | 04/30/2011 | EXP A... | | | APR 2011 accrued int on loan exp ... | 1154 - Acc Int on Adv Exp Rec | | 235.52 | -257,937.21 |
| General Journal | 04/30/2011 | INTR ... | | | ADJUST APRIL 2011 FUND INTE... | 1150 - Fund Loans Interest Recd... | | 17,289.85 | -275,227.06 |
| General Journal | 04/30/2011 | Late fe... | | | adjust late fees receivable to April ... | 1151 - Late Charges Receivable | | 42.35 | -275,279.41 |
| General Journal | 05/31/2011 | adv ex... | | | may 2011 accrued int on loan exp ... | 1154 - Acc Int on Adv Exp Rec | | 253.47 | -275,532.88 |
| General Journal | 05/31/2011 | adv ex... | | | adjust late fees receivable to May 2... | 1151 - Late Charges Receivable | | 42.35 | -275,575.23 |
| General Journal | 05/31/2011 | INTR ... | | | ADJUST MAY 2011 FUND INTE... | 1150 - Fund Loans Interest Recd... | | 18,767.22 | -294,342.45 |
| General Journal | 06/30/2011 | SVC T... | | | ADJUST JUNE 2011 FUND INTE... | 1150 - Fund Loans Interest Recd... | | 15,375.43 | -310,717.88 |
| General Journal | 06/30/2011 | REVS... | | | SERVICING TRUST BALANCE TO... | 1155 - Servicing Trust Balance | | 2,540.70 | -313,258.78 |
| General Journal | 06/30/2011 | ACCIN... | | | JUNE 2011 accrued int on loan exp... | 1154 - Acc Int on Adv Exp Rec | | 267.68 | -313,526.46 |
| General Journal | 07/01/2011 | REVS... | | | REV SERVICING TRUST BALANC... | 1155 - Servicing Trust Balance | 2,540.70 | | -316,067.16 |
| General Journal | 07/31/2011 | SVC T... | | | SERVICING TRUST BALANCE TO... | 1155 - Servicing Trust Balance | | 4,513.57 | -320,580.73 |
| General Journal | 07/31/2011 | int rec | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 20,045.18 | -340,625.91 |
| General Journal | 08/01/2011 | REV ... | | | REV SERVICING TRUST BALANC... | 1155 - Servicing Trust Balance | 4,513.57 | | -345,139.48 |
| Deposit | 08/28/2011 | 16871... | | 47300 KATO, LLC | SBA participation fee - pass thr... | 1030 - Heritage - 3695 Operating | | 9,562.50 | -354,701.98 |
| General Journal | 08/31/2011 | int rec | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 32,452.56 | -387,154.54 |
| General Journal | 08/30/2011 | svc trst | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 28,639.81 | -415,794.35 |
| Deposit | 10/16/2011 | 19020... | | Rajinder Gupta / Gupta Oil | #113 Rajinder Gupta / Gupta Oil - ... | 1030 - Heritage - 3695 Operating | | 2,040.31 | -417,834.66 |
| General Journal | 10/31/2011 | smort | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 35,521.02 | -453,355.68 |
| General Journal | 11/14/2011 | 1456 | | SB Capital Brokers Trust Act | marcoed interest | 1030 - Heritage - 3695 Operating | | 3,046.95 | -456,402.63 |
| General Journal | 11/30/2011 | smort | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 28,405.93 | -484,808.56 |
| General Journal | 11/30/2011 | smort | | | servicing trust balance as of 10-31-... | 1155 - Servicing Trust Balance | | 3,333.34 | -488,141.90 |
| General Journal | 12/30/2011 | smort | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 46,862.60 | -534,954.50 |
| General Journal | 12/31/2011 | GAAP ... | | | -MULTIPLE- | 1117 - Deferred Expense/Reven... | | 94,583.17 | -629,537.67 |
| Deposit | 01/17/2012 | 1512 | | SB Capital Brokers Trust | #166 White Walls-Interest on 2nd ... | 1030 - Heritage - 3695 Operating | | 4,666.67 | -634,204.34 |
| Deposit | 01/19/2012 | | | SB Capital Brokers Trust | 349 First Street, LLC-interest income | 1030 - Heritage - 3695 Operating | | 3,000.10 | -637,204.44 |
| Deposit | 01/25/2012 | 1528 | | SB Capital Brokers Trust | #108 David Sherwin- January Pay... | 1030 - Heritage - 3695 Operating | | 1,866.88 | -639,071.32 |
| Deposit | 01/25/2012 | 1527 | | SB Capital Brokers Trust | #155 47500 Kato, LLC- January Pa... | 1030 - Heritage - 3695 Operating | | 4,162.43 | -643,233.75 |
| Deposit | 01/25/2012 | 1526 | | SB Capital Brokers Trust | #150000 Valley Produce-January P... | 1030 - Heritage - 3695 Operating | | 150.00 | -643,383.75 |
| Deposit | 01/25/2012 | 1525 | | SB Capital Brokers Trust | #149 Aung San, LLC- January Pay... | 1030 - Heritage - 3695 Operating | | 3,767.23 | -647,150.98 |
| General Journal | 01/31/2012 | | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 25,516.60 | -672,667.58 |
| General Journal | 01/31/2012 | Servic... | | | #151 David Sherwin Interest Income | 1155 - Servicing Trust Balance | | 1,003.12 | -673,670.70 |
| General Journal | 01/31/2012 | GAAP... | | | -MULTIPLE- | 1150 - Fund Loans Interest Recd... | | 14,892.18 | -688,562.88 |
| Deposit | 02/16/2012 | 1544 | | SB Capital Brokers Trust | Manoj Patel | 1030 - Heritage - 3695 Operating | | 846.90 | -689,409.78 |
| Deposit | 02/16/2012 | 1540 | | SB Capital Brokers Trust | Justin Gierla dba White Wall- Princ... | 1030 - Heritage - 3695 Operating | | 3,918.75 | -693,328.53 |
| Deposit | 02/16/2012 | 1546 | | SB Capital Brokers Trust | #82 Finnegan 1998 Trust-February ... | 1030 - Heritage - 3695 Operating | | 416.67 | -693,745.20 |
| Deposit | 02/16/2012 | 1547 | | SB Capital Brokers Trust | Valley Produce February Payment | 1030 - Heritage - 3695 Operating | | 1,442.73 | -695,187.93 |
| Deposit | 02/16/2012 | 1542 | | SB Capital Brokers Trust | #108 Marwood | 1030 - Heritage - 3695 Operating | | 1,320.34 | -696,508.27 |
| Deposit | 02/16/2012 | 1541 | | SB Capital Brokers Trust | David Sherwin January | 1030 - Heritage - 3695 Operating | | 1,003.12 | -697,511.39 |
| Deposit | 02/21/2012 | 1698 | | SB Capital Brokers Trust | #170 Senese Family Trust Dated-In... | 1030 - Heritage - 3695 Operating | | 7,795.92 | -705,307.31 |
| Deposit | 02/28/2012 | 1684 | | SB Capital Brokers Trust | #151 David Sherwin | 1030 - Heritage - 3695 Operating | | 2,089.62 | -707,396.93 |
| General Journal | 02/28/2012 | | | | True up Servicing Trust Balance 02... | 1110 - Fund Loans | | 24,087.88 | -731,484.81 |
| Deposit | 03/22/2012 | 1711 | | SB Capital Brokers Trust | #864726 Manoj Patel | 1030 - Heritage - 3695 Operating | | 846.90 | -732,331.71 |
| Deposit | 03/22/2012 | 1701 | | SB Capital Brokers Trust | #149 Aung San, LLC | 1030 - Heritage - 3695 Operating | | 1,888.85 | -734,220.56 |
| Deposit | 03/22/2012 | 1722 | | SB Capital Brokers Trust | #155 Kato, LLC | 1030 - Heritage - 3695 Operating | | 771.84 | -734,992.40 |
| Deposit | 03/22/2012 | 1723 | | SB Capital Brokers Trust | #156 Airport Blvd | 1030 - Heritage - 3695 Operating | | 1,947.37 | -736,939.77 |
| Deposit | 03/22/2012 | 1724 | | SB Capital Brokers Trust | #165 Justin Gierla, dba White Walls | 1030 - Heritage - 3695 Operating | | 1,065.45 | -738,005.22 |
| Deposit | 03/22/2012 | 1715 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 1,579.43 | -739,584.65 |
| Deposit | 03/22/2012 | 1709 | | SB Capital Brokers Trust | #82 Finnegan 1998 Trust | 1030 - Heritage - 3695 Operating | | 416.67 | -740,001.32 |
| Deposit | 03/22/2012 | 1713 | | SB Capital Brokers Trust | #155 Kato, LLC | 1030 - Heritage - 3695 Operating | | 1,597.15 | -741,598.47 |
| Deposit | 03/22/2012 | 1712 | | SB Capital Brokers Trust | #156 Airport Blvd | 1030 - Heritage - 3695 Operating | | 4,026.71 | -745,625.18 |
| Deposit | 03/22/2012 | 1705 | | SB Capital Brokers Trust | #106 David Sherwin | 1030 - Heritage - 3695 Operating | | 655.31 | -746,280.49 |
| Deposit | 03/22/2012 | 1719 | | SB Capital Brokers Trust | #149 Aung San, LLC | 1030 - Heritage - 3695 Operating | | 820.88 | -747,101.37 |
| Deposit | 03/22/2012 | 1718 | | SB Capital Brokers Trust | #108 David Sherwin | 1030 - Heritage - 3695 Operating | | 338.22 | -747,439.59 |
| General Journal | 03/31/2012 | True up | | | True up Servicing Trust Balance 03... | 1110 - Fund Loans | | 21,300.22 | -768,739.81 |
| Deposit | 04/13/2012 | 1743 | | SB Capital Brokers Trust | #56 695 Oak Grove Associates, LLC | 1030 - Heritage - 3695 Operating | | 3,666.67 | -772,406.48 |
| Deposit | 04/13/2012 | 1752 | | SB Capital Brokers Trust | #864726 Manoj Patel | 1030 - Heritage - 3695 Operating | | 846.90 | -773,253.38 |
| Deposit | 04/13/2012 | 1747 | | SB Capital Brokers Trust | #178 Senese Family Trust | 1030 - Heritage - 3695 Operating | | 23,291.67 | -796,545.05 |
| Deposit | 04/13/2012 | 1750 | | SB Capital Brokers Trust | #177 Auto Spa Express Wash | 1030 - Heritage - 3695 Operating | | 5,533.33 | -802,078.38 |
| Deposit | 04/13/2012 | 1749 | | SB Capital Brokers Trust | 173 Auto Spa Express Wash | 1030 - Heritage - 3695 Operating | | 3,731.25 | -805,809.63 |
| Deposit | 04/13/2012 | 1744 | | SB Capital Brokers Trust | #56 695 Oak Grove Associates, LLC | 1030 - Heritage - 3695 Operating | | 3,666.67 | -809,476.30 |
| Deposit | 04/13/2012 | 1758 | | SB Capital Brokers Trust | #82 Finnegan 1998 Trust | 1030 - Heritage - 3695 Operating | | 416.67 | -810,892.97 |
| Deposit | 04/18/2012 | 1758 | | | | | | | |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-----------------|-----|------------------------------------|---|----------------------------------|------------|------------|-------------|
| General Journal | 05/31/2012 | True up | | | True up Servicing Trust Balance 5/3... | 1110 - Fund Loans | | 34,428.65 | -803,919.40 |
| Deposit | 06/18/2012 | 1839 | | SB Capital Brokers Trust | Milken-Napa Creek, LLC | 1030 - Heritage - 3695 Operating | | 11,788.19 | -815,707.59 |
| Deposit | 06/18/2012 | 1840 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 4,083.34 | -819,790.93 |
| Deposit | 06/18/2012 | 1828 | | SB Capital Brokers Trust | Valley Produce | 1030 - Heritage - 3695 Operating | | 717.76 | -820,508.69 |
| Deposit | 06/21/2012 | Transfer | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 33,635.07 | -854,143.76 |
| Total 4010 - Loan Interest Income | | | | | | | 124,187.77 | 978,331.53 | -854,143.76 |
| 4020 - Loan Fee Income | | | | | | | | | |
| Deposit | 02/10/2011 | 24002... | | Old Republic Title | Zelnya new loan fee | 1030 - Heritage - 3695 Operating | | 5,000.00 | -5,000.00 |
| General Journal | 02/28/2011 | Svc Trst | | | Servicing Trust Bal per Mortgage P... | 1155 - Servicing Trust Balance | | 250.00 | -5,250.00 |
| Deposit | 03/14/2011 | 2542 | | 695 Oak Grove Associates | #56 loan extension fee | 1030 - Heritage - 3695 Operating | | 4,000.00 | -9,250.00 |
| Deposit | 03/25/2011 | 24002... | | Robert S. Bosco | Bosco loan origination fee income ... | 1030 - Heritage - 3695 Operating | | 6,000.00 | -15,250.00 |
| Deposit | 03/28/2011 | 1325 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 2,350.00 | -17,600.00 |
| Deposit | 04/11/2011 | 24002... | | Robert S. Bosco | Bosco loan origination fee | 1030 - Heritage - 3695 Operating | | 900.00 | -18,500.00 |
| Deposit | 04/11/2011 | 24002... | | Robert S. Bosco | Bosco loan fee income - document... | 1030 - Heritage - 3695 Operating | | 250.00 | -18,750.00 |
| Deposit | 04/21/2011 | 1343 | | Campbell Revocable Living Trust | Lender Fees | 1030 - Heritage - 3695 Operating | | 2.01 | -18,752.01 |
| Deposit | 05/11/2011 | 24003... | | MLK Commercial | MLK Commercial Loan Fee Income | 1030 - Heritage - 3695 Operating | | 5,500.00 | -24,252.01 |
| Deposit | 05/11/2011 | 24000... | | MLK Commercial | Documentation Fee | 1030 - Heritage - 3695 Operating | | 500.00 | -24,752.01 |
| Deposit | 06/02/2011 | 2562 | | 695 Oak Grove Associates | Loan Extension Fee | 1030 - Heritage - 3695 Operating | | 4,000.00 | -28,752.01 |
| Deposit | 06/30/2011 | 1704 | | Mang Patel - Palo Alto Lodge | extension / documentation fees | 1030 - Heritage - 3695 Operating | | 250.00 | -29,002.01 |
| Deposit | 07/15/2011 | Wire | | Aung San, LLC | 2 point fee on loan initiation - San L... | 1030 - Heritage - 3695 Operating | | 45,750.00 | -74,752.01 |
| Deposit | 07/19/2011 | 20701 | | Aung San, LLC | Aung San, LLC - Underwriting/Pack... | 1030 - Heritage - 3695 Operating | | 1,000.00 | -75,752.01 |
| Deposit | 07/21/2011 | 24003... | | Old Republic Title | Underwriting and Doc fee income - ... | 1030 - Heritage - 3695 Operating | | 300.00 | -76,052.01 |
| Deposit | 07/21/2011 | 24003... | | Old Republic Title | Sherwin #2 loan origination fee | 1030 - Heritage - 3695 Operating | | 1,200.00 | -77,252.01 |
| Deposit | 08/29/2011 | 47300 KATO, LLC | | 47300 KATO, LLC | CREDIT | 1030 - Heritage - 3695 Operating | | 19,125.00 | -96,377.01 |
| Deposit | 08/29/2011 | 16871... | | Small Business Capital LLC | underwriting fee for 47300 Kato Road | 1030 - Heritage - 3695 Operating | | 1,000.00 | -97,377.01 |
| Deposit | 08/30/2011 | 2210 | | MLK Commercial | from 4172 for loan origination fees | 1030 - Heritage - 3695 Operating | | 9,562.50 | -106,939.51 |
| Check | 09/02/2011 | 2210 | | MLK Commercial | rebate of loan fee income | 1030 - Heritage - 3695 Operating | 2,060.00 | | -104,879.51 |
| Deposit | 09/23/2011 | 2583 | | 695 Oak Grove Associates | extension fee - Courtland Bohack ... | 1030 - Heritage - 3695 Operating | | 500.00 | -105,379.51 |
| Deposit | 09/28/2011 | 1745 | | Palo Alto Lodge | loan extension fee = 654726 Palo ... | 1030 - Heritage - 3695 Operating | | 250.00 | -105,629.51 |
| Deposit | 10/12/2011 | 24003... | | Airport Blvd Realty LLC / Sprin... | loan packaging fees on Airport Blv... | 1030 - Heritage - 3695 Operating | | 2,500.00 | -108,129.51 |
| Deposit | 10/12/2011 | 24003... | | Airport Blvd Realty LLC / Sprin... | Airport Blvd Realty LLC / Springhill ... | 1030 - Heritage - 3695 Operating | | 60,000.00 | -168,129.51 |
| Deposit | 10/12/2011 | 24003... | | Airport Blvd Realty LLC / Sprin... | Airport Blvd Realty LLC / Springhill ... | 1030 - Heritage - 3695 Operating | | 40,000.00 | -208,129.51 |
| Deposit | 10/18/2011 | 19620... | | Rajinder Gupta / Gupta Oil | #113 Rajinder Gupta / Gupta Oil - ... | 1030 - Heritage - 3695 Operating | | 100.00 | -208,229.51 |
| Deposit | 11/03/2011 | 719 | | James E. Finnegan | documentation fee for loan extension | 1030 - Heritage - 3695 Operating | | 250.00 | -208,479.51 |
| Deposit | 11/15/2011 | 2593 | | 695 Oak Grove Associates | Courtland loan extension fees | 1030 - Heritage - 3695 Operating | | 12,000.00 | -220,479.51 |
| Deposit | 12/08/2011 | 24003... | | White Walls / Justin Giarla | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 6,200.00 | -226,679.51 |
| Deposit | 12/08/2011 | 24003... | | White Walls / Justin Giarla | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 15,250.00 | -241,929.51 |
| Deposit | 12/08/2011 | 24003... | | White Walls / Justin Giarla | credit for overpayment on third part... | 1030 - Heritage - 3695 Operating | 400.00 | | -241,529.51 |
| Deposit | 12/08/2011 | 1462 | | SB Capital Brokers Trust | excess 3rd party fees applied as cr... | 1030 - Heritage - 3695 Operating | | 400.00 | -241,929.51 |
| Deposit | 12/21/2011 | GAAP... | | SB Capital Brokers Trust | underwriting / doc fee on loan mod | 1030 - Heritage - 3695 Operating | | 300.00 | -242,229.51 |
| General Journal | 12/31/2011 | GAAP... | | | Deferred Revenue on LHFS and Se... | 1117 - Deferred Expense/Reven... | 75,562.50 | | -166,567.01 |
| General Journal | 12/31/2011 | GAAP... | | | Amortization of Net Deferred Reven... | 1117 - Deferred Expense/Reven... | | 926.04 | -167,503.05 |
| Deposit | 01/03/2012 | 76258 | | Fidelity National Title | Documentation fees - Southcoast C... | 1030 - Heritage - 3695 Operating | | 500.00 | -168,003.05 |
| General Journal | 01/13/2012 | GAAP... | | | Amortization of Deferred Income o... | 5040 - Loan Fees | | 189.58 | -168,192.63 |
| General Journal | 01/13/2012 | GAAP... | | | January 2012 Amortization of Defe... | 1118 - Accum Amort Def (Expen... | | 169.58 | -168,362.21 |
| Deposit | 02/23/2012 | 28312 | | Caliber Escrow | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 8,860.00 | -177,332.21 |
| Deposit | 02/24/2012 | 8058 | | Trenem, Kamper, Scharf etc. | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 28,717.00 | -206,049.21 |
| Deposit | 02/28/2012 | 1690 | | SB Capital Brokers Trust | #177 Auto Spa- Origination Fee Inc... | 1030 - Heritage - 3695 Operating | | 8,640.00 | -214,689.21 |
| Deposit | 02/28/2012 | 1689 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 17,250.00 | -231,939.21 |
| General Journal | 02/28/2012 | GAAP... | | | Payoff on David Sherwin, Aung Sa... | 1112 - Fund Loans-100 bps | | 75,563.00 | -307,502.21 |
| Deposit | 03/30/2012 | WIRE | | First American Title Company | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 24,137.50 | -331,639.71 |
| Deposit | 04/05/2012 | 2205 | | Small Business Capital LLC | Auto Spa Express- UAW Fee Earned | 1030 - Heritage - 3695 Operating | | 600.00 | -332,239.71 |
| Deposit | 04/19/2012 | 2632 | | 695 Oak Grove Associates | 695 Oak Grove Associates, LLC - L... | 1030 - Heritage - 3695 Operating | | 8,000.00 | -340,239.71 |
| Deposit | 05/09/2012 | 1775 | | SB Capital Brokers Trust | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 104,040.00 | -444,279.71 |
| Deposit | 05/16/2012 | 1762 | | SB Capital Brokers Trust | Milken-Napa Creek- Origination an... | 1030 - Heritage - 3695 Operating | | 5,600.00 | -449,879.71 |
| General Journal | 06/11/2012 | int | | | added advance for loan fees to SB... | 1110 - Fund Loans | | 47,200.00 | -517,079.71 |
| Deposit | 06/11/2012 | 12120... | | Fidelity National Title | -MULTIPLE- | 1030 - Heritage - 3695 Operating | | 24,475.00 | -541,554.71 |
| Total 4020 - Loan Fee Income | | | | | | | 78,022.50 | 597,577.21 | -519,554.71 |
| 4030 - Bank & Investment Interest | | | | | | | | | |
| Deposit | 01/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 7.95 | -7.95 |
| Deposit | 02/28/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 7.88 | -15.83 |
| Deposit | 03/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 9.27 | -24.90 |
| Deposit | 04/30/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 8.43 | -33.33 |
| Deposit | 05/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 3.49 | -36.82 |
| Deposit | 06/30/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 1.67 | -38.49 |
| Deposit | 07/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.83 | -39.32 |
| Deposit | 08/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.04 | -39.36 |
| Deposit | 09/30/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.04 | -39.20 |
| Deposit | 10/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.03 | -39.23 |
| Deposit | 11/30/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.04 | -39.27 |
| Deposit | 12/31/2010 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.03 | -39.30 |
| Deposit | 12/31/2010 | | | | Interest | 1030 - Heritage - 3695 Operating | | 0.01 | -39.31 |
| Deposit | 01/31/2011 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.03 | -39.34 |
| Deposit | 02/28/2011 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.03 | -39.37 |
| Deposit | 03/31/2011 | | | | Interest | 1031 - Heritage - 3554 - MM | | 0.03 | -39.40 |
| Deposit | 01/31/2012 | | | | Interest | 1030 - Heritage - 3695 Operating | | 0.80 | -40.20 |
| Total 4030 - Bank & Investment Interest | | | | | | | 0.00 | 40.20 | -40.20 |
| 4040 - Loan Servicing Income | | | | | | | | | |
| Deposit | 09/19/2011 | 1420 | | SB Capital Brokers Trust Act | #106 David Sherwin loan servicing ... | 1030 - Heritage - 3695 Operating | | 1,809.17 | -1,809.17 |
| Deposit | 10/21/2011 | 1442 | | SB Capital Brokers Trust Act | #149 Aung San - net servicing inco... | 1030 - Heritage - 3695 Operating | 1,822.82 | | -36.25 |
| Deposit | 10/31/2011 | 1448 | | SB Capital Brokers Trust Act | sherwin loan servicing | 1030 - Heritage - 3695 Operating | | 1,848.28 | -1,834.53 |
| Deposit | 11/21/2011 | 1462 | | SB Capital Brokers Trust | #149 Aung San - 7 days of loan serv... | 1030 - Heritage - 3695 Operating | | 850.66 | -2,785.19 |
| Deposit | 11/21/2011 | 1461 | | SB Capital Brokers Trust | #47300 Kato - 7 days of loan serv... | 1030 - Heritage - 3695 Operating | | 946.94 | -3,732.13 |
| Deposit | 11/21/2011 | 1459 | | SB Capital Brokers Trust | #106 Sherwin 504 note - loan servi... | 1030 - Heritage - 3695 Operating | | 1,866.31 | -5,598.44 |
| Deposit | 12/21/2011 | 1465 | | SB Capital Brokers Trust | Aung San loan servicing income | 1030 - Heritage - 3695 Operating | | 3,645.70 | -9,244.14 |
| Deposit | 12/21/2011 | 1468 | | SB Capital Brokers Trust | Kato loan servicing | 1030 - Heritage - 3695 Operating | | 4,053.30 | -13,297.44 |
| Deposit | 12/21/2011 | 1467 | | SB Capital Brokers Trust | assisted medical transport - loan se... | 1030 - Heritage - 3695 Operating | | 1,847.73 | -15,145.17 |
| General Journal | 12/31/2011 | GAAP... | | | Reclass of Servicing Income for lo... | 1117 - Deferred Expense/Reven... | 16,968.09 | | -1,822.82 |
| General Journal | 12/31/2011 | GAAP... | | | Reclass servicing income paid to C... | 1117 - Deferred Expense/Reven... | | 1,822.82 | 0.00 |
| Deposit | 01/25/2012 | 1529 | | SB Capital Brokers Trust | #156 Airport Blvd. Realty LLC-Serv... | 1030 - Heritage - 3695 Operating | | 10,457.82 | -10,457.82 |
| General Journal | 02/28/2012 | | | | True up Servicing Trust Balance 02... | 1110 - Fund Loans | | 17,079.41 | -27,536.03 |
| Deposit | 03/22/2012 | 1761 | | SB Capital Brokers Trust | #149 Aung San, LLC | 1030 - Heritage - 3695 Operating | | 3,767.22 | -31,303.25 |
| Deposit | 03/22/2012 | 1713 | | SB Capital Brokers Trust | #155 Kato, LLC | 1030 - Heritage - 3695 Operating | | 4,177.17 | -35,480.42 |
| Deposit | 03/22/2012 | 1712 | | SB Capital Brokers Trust | #156 Airport Blvd. | 1030 - Heritage - 3695 Operating | | 8,134.02 | -44,614.44 |
| Deposit | 05/18/2012 | 1785 | | SB Capital Brokers Trust | Airport Blvd Realty | 1030 - Heritage - 3695 Operating | | 14,083.50 | -58,697.94 |
| Deposit | 06/18/2012 | 1833 | | SB Capital Brokers Trust | Focus Hospitality, LLC | 1030 - Heritage - 3695 Operating | | 3,179.67 | -61,877.61 |
| Total 4040 - Loan Servicing Income | | | | | | | 16,791.01 | 80,668.82 | -61,877.61 |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|------------|-----|------------------------------|---|--------------------------------------|-------|--------|---------|
| 4050 - Gain on Sale-Premium | | | | | | | | | |
| Deposit | 02/17/2012 | WIRE | | investors Prime Fund, LLC | Premium Received on Sale of Kato... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 02/17/2012 | WIRE | | investors Prime Fund, LLC | Premium Received from Sale of A... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 02/17/2012 | WIRE | | investors Prime Fund, LLC | Premium received on the Sale of ... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 02/17/2012 | WIRE | | investors Prime Fund, LLC | Premium received from the sale of D... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 03/12/2012 | TRAN... | | investors Prime Fund, LLC | Premium Received from the sale of ... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 03/30/2012 | TRAN... | | investors Prime Fund, LLC | Premium Received from the sale of ... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 04/11/2012 | ACH | | investors Prime Fund, LLC | Premium Received from the sale of ... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 05/18/2012 | ACH | | investors Prime Fund, LLC | SouthCoast Cabinet-Gain on Sale L... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 05/23/2012 | ACH | | investors Prime Fund, LLC | Premium Received on the Note Sol... | 1030 - Heritage - 3695 Operating | | | |
| | | | | investors Prime Fund, LLC | Premium Received on Sell of Suna... | 1030 - Heritage - 3695 Operating | | | |
| | | | | investors Prime Fund, LLC | Mitten-Napa Creek- Gain on Sale | 1030 - Heritage - 3695 Operating | | | |
| Total 4050 - Gain on Sale-Premium | | | | | | | | | |
| 4060 - Lender Participation Fee | | | | | | | | | |
| Deposit | 02/28/2012 | 1889 | | SB Capital Brokers Trust | Sensae Family Trust/SouthCoast C... | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 03/30/2012 | 1685 | | SB Capital Brokers Trust | Auto Spa- SBA Guarantee Fee | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 05/09/2012 | WIRE | | First American Title Company | Auge Salvage- Participation Fee | 1030 - Heritage - 3695 Operating | | | |
| Deposit | 06/11/2012 | 1212... | | Fidelity National Title | 186 Mitten-Napa Creek, LLC-Part... | 1030 - Heritage - 3695 Operating | | | |
| | | | | | Clover Hotel Partners | 1030 - Heritage - 3695 Operating | | | |
| Total 4060 - Lender Participation Fee | | | | | | | | | |
| 4100 - Other Fund Income | | | | | | | | | |
| 4105 - Interest Income on Manager Note | | | | | | | | | |
| General Journal | 03/31/2011 | Mgr In... | | | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 04/30/2011 | MGR L... | | | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 05/31/2011 | amort | | | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| Deposit | 06/30/2011 | | | SB Capital Corp. | CREDIT | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 07/31/2011 | int rec | | SB Capital Corp. | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| Deposit | 08/31/2011 | svc tret | | | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 09/29/2011 | 3383 | | SB Capital Corp. | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 10/31/2011 | amort | | | Interest earned on due from for Sept | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 11/30/2011 | 3610 | | SB Capital Brokers Trust | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| Deposit | 12/21/2011 | 3610 | | | Interest due on Manager Note Rec... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 12/31/2011 | Interest | | | Interest income on manager due f... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 01/31/2012 | int. Mg... | | | 2.5% shortage during the year on d... | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 02/29/2012 | int. Mg... | | | Interest Due on Mgr Due From Note | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 03/31/2012 | True up | | | March Interest from SB Capital on M... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 04/30/2012 | int | | | April Interest from SB Capital on M... | 1215 - Int. Receivable on Mgr Du... | | | |
| General Journal | 05/31/2012 | int | | | Interest from SB Capital on Manag... | 1215 - Int. Receivable on Mgr Du... | | | |
| Total 4105 - Interest Income on Manager Note | | | | | | | | | |
| 4110 - Late Fee Income | | | | | | | | | |
| Deposit | 01/05/2010 | 3113 | | Manuel W. & Dana J. Cabello | Loan Act #51-1 | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 02/02/2010 | 02/10 ... | | | Loan #65-1 Lipari pmt | 1210 - Due Fr SBC Cap Brokers ... | | | |
| Deposit | 07/26/2010 | 1136 | | SB Capital Brokers Trust | Forfeiture on Lipari Loan | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 08/02/2010 | REC... | | | Late Charges Receivable @ 8/30/10 | 1810 - REO-Bay Road | | | |
| General Journal | 09/30/2010 | LC Rec | | | #73 H Square loan payoff-late chgs | 1151 - Late Charges Receivable | | | |
| Deposit | 10/05/2010 | 1215 | | SB Capital Brokers Trust | #51-1 Patel pmt due 8/1/10 - late c... | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 10/26/2010 | LC Rec | | | Late Charges Rec @ 11/30/10 | 1151 - Late Charges Receivable | | | |
| General Journal | 10/31/2010 | LC Rec | | | Late Chgs Rec @ 12/31/10 | 1151 - Late Charges Receivable | | | |
| General Journal | 11/30/2010 | LC Rec | | | Adj to reflect Late Chgs receivable ... | 1151 - Late Charges Receivable | | | |
| General Journal | 12/31/2010 | LC Rec | | | Adjust to LC rec per Mortgage Pool... | 1151 - Late Charges Receivable | | | |
| General Journal | 01/31/2011 | LC Rec | | | #51-1 CABELLO LATE FEE INCO... | 1030 - Heritage - 3695 Operating | | | |
| General Journal | 02/28/2011 | LC Rec | | | ADJUST JUNE 2011 LATE FEE R... | 1150 - Fund Loans Interest Recei... | | | |
| General Journal | 03/29/2011 | INTREC | | SB Capital Brokers Trust | ADJUST JULY 2011 LATE FEE R... | 1150 - Fund Loans Interest Recei... | | | |
| General Journal | 07/31/2011 | int rec | | | ADJUST Aug 2011 FUND LATE C... | 1150 - Fund Loans Interest Recei... | | | |
| General Journal | 08/31/2011 | int rec | | | | | | | |
| Total 4110 - Late Fee Income | | | | | | | | | |
| 4100 - Other Fund Income - Other | | | | | | | | | |
| Deposit | 03/28/2011 | 1325 | | SB Capital Brokers Trust | #51-1 CABELLO REIMBURSE FO... | 1030 - Heritage - 3695 Operating | | | |
| Total 4100 - Other Fund Income - Other | | | | | | | | | |
| 5000 - Fund Related Expenses | | | | | | | | | |
| Total 5000 - Fund Related Expenses | | | | | | | | | |
| Total 4100 - Other Fund Income | | | | | | | | | |
| 5010 - Bank Fees | | | | | | | | | |
| Check | 01/28/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 01/31/2010 | | | | Service Charge | 1010 - Wells Fargo - 8602 | | | |
| Check | 02/28/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 02/28/2010 | | | | Service Charge | 1010 - Wells Fargo - 8602 | | | |
| Deposit | 03/28/2010 | | | Wells Fargo Bank - 8602 | Fees charged off | 1010 - Wells Fargo - 8602 | | | |
| Check | 03/31/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 04/30/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 05/01/2010 | 2023 | | Yeh Family Trust | Reimb investor for bank fees | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 05/31/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 06/07/2010 | Bank ... | | Torrey Pines Bank | ACH Correction Fee | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 06/30/2010 | Bank ... | | Torrey Pines Bank | Maintenance Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 06/30/2010 | Bank ... | | Torrey Pines Bank | ACH File Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 07/31/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 08/31/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 09/30/2010 | | | | Service Charge | 1031 - Heritage - 3554 - MM | | | |
| Check | 10/31/2010 | | | | Service Charge | 1031 - Heritage - 3554 - MM | | | |
| Check | 11/30/2010 | | | | Service Charge | 1031 - Heritage - 3554 - MM | | | |
| Check | 12/31/2010 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 01/31/2011 | Bank St... | | Torrey Pines Bank | Service Charge | 1031 - Heritage - 3554 - MM | | | |
| Check | 01/31/2011 | | | | Service Charge | 1031 - Heritage - 3554 - MM | | | |
| Check | 02/28/2011 | | | | Service Charge | 1031 - Heritage - 3554 - MM | | | |
| Check | 02/28/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 02/28/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 03/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 03/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 03/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 03/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 04/28/2011 | bankfe... | | Torrey Pines Bank | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 04/28/2011 | | | Torrey Pines Bank | ACH FILE CHARGE ACH FILE C... | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 04/28/2011 | | | Torrey Pines Bank | 1DIRECT DEPOSIT TRANSACTION... | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 04/28/2011 | | | Torrey Pines Bank | 1DIRECT DEBIT TRANSACTION... | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 05/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | | | |
| Check | 06/23/2011 | | | Heritage Bank | DELUXE BUS SYS. BUS PRODS ... | 1030 - Heritage - 3695 Operating | | | |

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SBC Portfolio Fund, LLC
General Ledger

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Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-----------|-----|---------------------------------|---|--------------------------------------|--------------|------------|--------------|
| Check | 06/30/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 6.40 | | 1,103.72 |
| Check | 07/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 81.70 | | 1,185.42 |
| Check | 08/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 82.10 | | 1,267.52 |
| Check | 09/30/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 102.10 | | 1,369.62 |
| Check | 10/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 102.60 | | 1,472.22 |
| Check | 11/30/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 102.80 | | 1,575.02 |
| Check | 12/19/2011 | | | Heritage Bank | DELUXE BUS SYS. BUS PRODS ... | 1030 - Heritage - 3695 Operating | 56.91 | | 1,631.93 |
| Check | 12/31/2011 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 105.00 | | 1,736.93 |
| Check | 01/31/2012 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 108.30 | | 1,845.23 |
| Check | 02/29/2012 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 108.40 | | 1,953.63 |
| Check | 03/31/2012 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 108.40 | | 2,062.03 |
| Check | 04/30/2012 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 103.20 | | 2,165.23 |
| Check | 05/31/2012 | | | | Service Charge | 1020 - Torrey Pines - 2470 - Distrib | 103.10 | | 2,268.33 |
| Total 5010 - Bank Fees | | | | | | | 2,313.33 | 45.00 | 2,268.33 |
| 5011 - Wire Charges | | | | | | | | | |
| Total 5011 - Wire Charges | | | | | | | | | |
| 5012 - Bank Analysis Fees | | | | | | | | | |
| Total 5012 - Bank Analysis Fees | | | | | | | | | |
| 5015 - Loan Interest Expense | | | | | | | | | |
| General Journal | 12/31/2011 | GAAP... | | | -MULTIPLE- | 1117 - Deferred Expense(Reven... | 79,448.00 | | 79,448.00 |
| General Journal | 01/31/2012 | GAAP... | | | -MULTIPLE- | 4010 - Loan Interest Income | 14,902.18 | | 94,350.18 |
| Total 5015 - Loan Interest Expense | | | | | | | 94,350.18 | 0.00 | 94,350.18 |
| 5020 - Fund Management Fees | | | | | | | | | |
| General Journal | 12/31/2011 | GAAP... | | | Management Fee at the end of 201... | 1117 - Deferred Expense(Reven... | 16,594.98 | | 16,594.98 |
| General Journal | 01/31/2012 | GAAP... | | | Below Preferred Rate Earnings | 1220 - Due From Manager | | 25,747.79 | -8,152.81 |
| Check | 02/17/2012 | ACH | | SB Capital Corp. | Excess Earnings to Fund Manager | 1030 - Heritage - 3695 Operating | 95,000.00 | | 85,847.19 |
| Check | 02/17/2012 | ACH | | SB Capital Corp. | Excess Earnings to Fund Manager | 1030 - Heritage - 3695 Operating | 60,000.00 | | 145,847.19 |
| General Journal | 02/29/2012 | mgmt ... | | | Excess Earnings-February | 1220 - Due From Manager | 225,115.34 | | 370,962.53 |
| Check | 03/12/2012 | TRAN... | | SB Capital Corp. | 132019 HERITAGE DIRECT ONL... | 1030 - Heritage - 3695 Operating | 46,969.00 | | 417,931.53 |
| Check | 03/12/2012 | TRAN... | | SB Capital Corp. | Fund Management Fee | 1030 - Heritage - 3695 Operating | 25,989.00 | | 443,920.53 |
| Check | 03/30/2012 | ACH | | SB Capital Corp. | 231383 HERITAGE DIRECT ONL... | 1030 - Heritage - 3695 Operating | 123,825.00 | | 567,745.53 |
| Check | 04/12/2012 | ACH | | SB Capital Corp. | Management Fee | 1030 - Heritage - 3695 Operating | 81,444.00 | | 649,189.53 |
| Check | 05/18/2012 | ACH | | SB Capital Corp. | Management Fee | 1030 - Heritage - 3695 Operating | 315,000.00 | | 964,189.53 |
| Check | 05/23/2012 | ACH | | SB Capital Corp. | Management Fee to SB Capital | 1030 - Heritage - 3695 Operating | 175,000.00 | | 1,139,189.53 |
| General Journal | 05/31/2012 | int | | | management fees for SBC PF base... | -SPLIT- | 46,718.89 | | 1,185,908.42 |
| Total 5020 - Fund Management Fees | | | | | | | 1,211,356.21 | 25,747.79 | 1,185,608.42 |
| 5025 - Fund Syndication Expenses | | | | | | | | | |
| Check | 08/30/2011 | | | The Mortgage Capital Develop... | SBA loan participation fee | 1030 - Heritage - 3695 Operating | 9,562.50 | | 9,562.50 |
| Deposit | 08/31/2011 | wire | | Mercantile Capital | 1 point sell fee to Mercantile | 1030 - Heritage - 3695 Operating | 17,500.00 | | 27,062.50 |
| Check | 12/31/2011 | 2235 | | White Walls / Justin Giarla | SBA loan participation fee on Whit... | 1030 - Heritage - 3695 Operating | 3,562.50 | | 30,625.00 |
| General Journal | 12/31/2011 | GAAP... | | | Deferred Costs on LHFS and Secur... | 1117 - Deferred Expense(Reven... | | 30,625.00 | 0.00 |
| Total 5025 - Fund Syndication Expenses | | | | | | | 30,625.00 | 30,625.00 | 0.00 |
| 5030 - Finders Fees | | | | | | | | | |
| Total 5030 - Finders Fees | | | | | | | | | |
| 5040 - Loan Fees | | | | | | | | | |
| Check | 12/29/2010 | 122910 | | SB Capital Corp. | Bay Road loan fee | 1030 - Heritage - 3695 Operating | 50,000.00 | | 50,000.00 |
| Check | 12/30/2010 | 2139 | | 349 First Street, LLC | lan's escrow fees part on closing stnt | 1030 - Heritage - 3695 Operating | 48,252.39 | | 98,252.39 |
| Check | 12/30/2010 | 2139 | | 349 First Street, LLC | Developer Fee | 1030 - Heritage - 3695 Operating | 50,000.00 | | 148,252.39 |
| General Journal | 12/30/2010 | Bay R... | | | -MULTIPLE- | 1110 - Fund Loans | | 100,000.00 | -1,747.61 |
| Check | 07/15/2011 | | | Small Business Lending | Third party loan fees to Small Busi... | 1030 - Heritage - 3695 Operating | 22,875.00 | | 21,127.39 |
| Check | 07/15/2011 | 2211 | | Blue Horse Finance | referral fee for 47300 Kato LLC / L... | 1030 - Heritage - 3695 Operating | 18,125.00 | | 40,252.39 |
| Check | 08/02/2011 | ach | | Horizon West Partners, LLC | referral fee for 47300 Kato LLC / L... | 1030 - Heritage - 3695 Operating | 19,125.00 | | 59,377.39 |
| Check | 10/12/2011 | 2219 | | EDF Resource Capital | Airport Blvd Realty, LLC - SBA Loa... | 1030 - Heritage - 3695 Operating | 40,000.00 | | 99,377.39 |
| Check | 10/12/2011 | 2218 | | Small Business Capital LLC | reimburse SBC LLC for commissio... | 1030 - Heritage - 3695 Operating | 1,750.00 | | 101,127.39 |
| Check | 10/31/2011 | 2222 | | Blue Horse Finance | referral fee for 47300 Kato LLC / L... | 1030 - Heritage - 3695 Operating | 18,125.00 | | 120,252.39 |
| Check | 12/16/2011 | 2234 | | Mark Feathers | loan fees to Mark's Sole prop for Ja... | 1030 - Heritage - 3695 Operating | 10,887.50 | | 131,139.89 |
| General Journal | 12/31/2011 | GAAP... | | | -MULTIPLE- | 1117 - Deferred Expense(Reven... | | 90,937.50 | 40,202.39 |
| General Journal | 01/31/2012 | GAAP... | | | Amortization of Deferred Commissi... | -SPLIT- | 3,804.24 | | 43,906.63 |
| General Journal | 01/31/2012 | GAAP... | | | Reclass of Accum. Amort of Prepa... | 1118 - Accum Amort Def (Expen... | 3,804.24 | | 47,710.87 |
| Check | 02/28/2012 | 2251 | | Trinity Finance Group | Commission on Edge Partner/Flam... | 1030 - Heritage - 3695 Operating | 11,785.00 | | 59,395.87 |
| Check | 02/28/2012 | 2250 | | Aaron Hodgkins | 1pt for Edge Partner/Flammingo | 1030 - Heritage - 3695 Operating | 11,785.00 | | 71,180.87 |
| General Journal | 02/28/2012 | GAAP... | | | Payoff on David Sherwin, Aung Sa... | 1112 - Fund Loans-100 lps | 121,563.00 | | 192,743.87 |
| Check | 03/02/2012 | 2253 | | Golden State Business Capital | 1 pt Commission on Auto Spa | 1030 - Heritage - 3695 Operating | 7,960.00 | | 200,703.87 |
| Check | 03/31/2012 | 2261 | | Small Business Lending | 1pt Referral Fee on Solvang Garde... | 1030 - Heritage - 3695 Operating | 15,425.00 | | 216,128.87 |
| Check | 05/04/2012 | 2276 | | Brent Walters | Referral Fee-Miliken-Napa Creek | 1030 - Heritage - 3695 Operating | 33,640.00 | | 249,768.87 |
| Check | 05/23/2012 | ach | | DLS I, LLC | Referral on Sunshine Hospitality | 1030 - Heritage - 3695 Operating | 125,000.00 | | 374,768.87 |
| Check | 06/11/2012 | 3004 | | Aaron Hodgkins | 1.5% referral fee on Clover Rd. Hotel | 1030 - Heritage - 3695 Operating | 23,475.00 | | 398,243.87 |
| Check | 06/18/2012 | 3008 | | CT Lien Solutions | | 1030 - Heritage - 3695 Operating | 1,410.94 | | 399,654.81 |
| Check | 06/18/2012 | 3008 | | CT Lien Solutions | | 1030 - Heritage - 3695 Operating | 311.00 | | 399,965.81 |
| Total 5040 - Loan Fees | | | | | | | 592,650.92 | 192,885.11 | 399,965.81 |
| 5050 - Net Loan Foreclosure Costs | | | | | | | | | |
| Check | 08/04/2010 | 2066 | | PLM Lender Services | Lipari Loan #61-1 inv#24000 collec... | 1030 - Heritage - 3695 Operating | 1,613.55 | | 1,613.55 |
| Deposit | 08/20/2010 | 37170 | | PLM Lender Services | Lipari loan refund of expenses | 1030 - Heritage - 3695 Operating | | 79.00 | 1,534.55 |
| Deposit | 08/28/2010 | 1180 | | SB Capital Brokers Trust | #684728 Patel reinstatement - PLM... | 1030 - Heritage - 3695 Operating | | 2,564.23 | -1,029.68 |
| General Journal | 09/30/2010 | H Squa... | | | H Square PLM Fees pd 11/19/09 #... | 1113 - Fund Loan Exp Advance ... | | 2,245.00 | -3,274.68 |
| Check | 10/01/2010 | 2088 | | PLM Lender Services | Patel loan#684728 | 1030 - Heritage - 3695 Operating | 637.18 | | -2,637.50 |
| Deposit | 10/05/2010 | | | SB Capital Brokers Trust | #73 H Square loan payoff-Lender F... | 1030 - Heritage - 3695 Operating | | 16,011.50 | -10,649.00 |
| Check | 10/06/2010 | 2102 | | PLM Lender Services | H Square Foreclosure Trustee fee | 1030 - Heritage - 3695 Operating | 150.00 | | -10,499.00 |
| Check | 10/06/2010 | 2103 | | Silicon Valley Law Group | H Square Legal Fee | 1030 - Heritage - 3695 Operating | 16,000.95 | | -2,498.05 |
| Check | 02/15/2011 | 2156 | | PLM Lender Services | #51-1 Cabello foreclosure fees | 1030 - Heritage - 3695 Operating | 1,400.00 | | -1,098.05 |
| General Journal | 02/15/2011 | Exp Adv | | | AddIt PLM Fee for Cabello | 1153 - Fund Loan Exp Advance ... | | 1,400.00 | -2,498.05 |
| Check | 04/29/2011 | 2177 | | PLM Lender Services | Cabello 2120 Broadway, Oakland - ... | 1030 - Heritage - 3695 Operating | 167.85 | | -2,330.20 |
| Total 5050 - Net Loan Foreclosure Costs | | | | | | | 19,969.53 | 22,299.73 | -2,330.20 |
| 5060 - Lender Services Costs | | | | | | | | | |
| Check | 01/25/2011 | 2151 | | Old Republic Title | Bragato reconveyance fee | 1030 - Heritage - 3695 Operating | 45.00 | | 45.00 |
| Check | 03/21/2012 | 3212013 | | SB Capital Brokers Trust | Cordland Fees | 1030 - Heritage - 3695 Operating | 4,800.00 | | 4,845.00 |
| Check | 05/23/2012 | 2281 | | Cordland | Focus Hospitality | 1030 - Heritage - 3695 Operating | 413.18 | | 5,258.18 |
| Total 5060 - Lender Services Costs | | | | | | | 5,258.18 | 0.00 | 5,258.18 |
| 5100 - Filing Fees | | | | | | | | | |
| Check | 03/19/2012 | 2256 | | Florida UCC, Inc. | UCC Filings for Edge Partners, Inc. | 1030 - Heritage - 3695 Operating | 4.00 | | 4.00 |
| Check | 03/28/2012 | | | Kern County Recorder | Auto Spa Express Wash-Assignme... | 1030 - Heritage - 3695 Operating | 24.00 | | 28.00 |
| Check | 03/28/2012 | | | Ken Burke | Edge Partners, LLC- Assignment of... | 1030 - Heritage - 3695 Operating | 19.50 | | 47.50 |
| Check | 05/04/2012 | 2277 | | Napa County Recorder-County ... | Assign of DOT-Airport Blvd. Realty | 1030 - Heritage - 3695 Operating | 22.00 | | 69.50 |
| Check | 06/18/2012 | 3010 | | San Mateo County Recorder | Recording Fee for 349 First Street, ... | 1030 - Heritage - 3695 Operating | 16.00 | | 85.50 |
| Total 5100 - Filing Fees | | | | | | | 87.50 | 0.00 | 87.50 |

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SBC Portfolio Fund, LLC
General Ledger

08/18/16

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|--|------------|-----------|-----|-----------------------------------|--|--------------------------------------|-----------|----------|-----------|
| 5200 - Lender Participation | | | | | | | | | |
| Check | 02/28/2012 | 2249 | | Colson Services Corporation | For Auto Spa Express | 1030 - Heritage - 3695 Operating | 3,980.00 | | 3,980.00 |
| Check | 02/28/2012 | 2248 | | Colson Services Corporation | Participation Fee for SouthCoast C... | 1030 - Heritage - 3695 Operating | 16,250.00 | | 20,230.00 |
| Check | 04/05/2012 | 2264 | | Superior California Economic D... | Participation Fee for Aung Solving... | 1030 - Heritage - 3695 Operating | 7,712.50 | | 27,942.50 |
| Check | 05/18/2012 | 2137 | | Superior California Economic D... | Participation Fee for Miliken-Napa ... | 1020 - Torrey Pines - 2470 - Distrib | 16,975.00 | | 44,917.50 |
| Check | 06/18/2012 | 3006 | | Colson Services Corporation | SBA Participation Fee for Clover H... | 1030 - Heritage - 3695 Operating | 7,825.00 | | 52,742.50 |
| Total 5200 - Lender Participation | | | | | | | 52,742.50 | 0.00 | 52,742.50 |
| 5900 - Provision for Loan Losses | | | | | | | | | |
| Total 5900 - Provision for Loan Losses | | | | | | | | | |
| 5901 - Actual Loan Loss Expenses | | | | | | | | | |
| Total 5901 - Actual Loan Loss Expenses | | | | | | | | | |
| 5999 - C/Y Inc./Growth Investor Earm. | | | | | | | | | |
| Total 5999 - C/Y Inc./Growth Investor Earm. | | | | | | | | | |
| 6510 - Lender Loan Fees | | | | | | | | | |
| Check | 12/29/2010 | 122910 | | Old Republic Title | Sellers net closing costs | 1030 - Heritage - 3695 Operating | 5,637.48 | | 5,637.48 |
| Check | 04/21/2011 | 2176 | | Cavallino Fund | Bosco Note Sale 1% of Note amou... | 1030 - Heritage - 3695 Operating | 2,569.50 | | 8,206.98 |
| Total 6510 - Lender Loan Fees | | | | | | | 8,206.98 | 0.00 | 8,206.98 |
| 6000 - Administrative & General | | | | | | | | | |
| Total 6000 - Administrative & General | | | | | | | | | |
| 6020 - Advertising & Promotion | | | | | | | | | |
| Total 6020 - Advertising & Promotion | | | | | | | | | |
| 6030 - Business Licenses & Fees | | | | | | | | | |
| Check | 06/21/2011 | 2189 | | Contra Costa County Clerk - Re... | filling / recording fees for Valley Pro... | 1030 - Heritage - 3695 Operating | 20.00 | | 20.00 |
| Check | 07/11/2011 | 2194 | | Secretary of State | Secretary of State - Statement of I... | 1030 - Heritage - 3695 Operating | 28.00 | | 48.00 |
| Check | 08/23/2011 | 2203 | | Clerk - Records Ofc of Santa ... | Recording Assignment of Deed of ... | 1030 - Heritage - 3695 Operating | 21.00 | | 69.00 |
| Check | 08/23/2011 | 2204 | | San Mateo County Recorder | Recording Assignment of Deed of ... | 1030 - Heritage - 3695 Operating | 18.00 | | 87.00 |
| Total 6030 - Business Licenses & Fees | | | | | | | 87.00 | 0.00 | 87.00 |
| 6050 - Meals & Entertainment | | | | | | | | | |
| Total 6050 - Meals & Entertainment | | | | | | | | | |
| 6051 - Shareholder Events | | | | | | | | | |
| Total 6051 - Shareholder Events | | | | | | | | | |
| 6060 - Insurance | | | | | | | | | |
| Total 6060 - Insurance | | | | | | | | | |
| 6080 - Computer Hardware & Software | | | | | | | | | |
| Total 6080 - Computer Hardware & Software | | | | | | | | | |
| 6100 - Professional Services | | | | | | | | | |
| Total 6100 - Professional Services | | | | | | | | | |
| 6101 - Legal | | | | | | | | | |
| Check | 02/26/2010 | 2008 | | Stein & Lubin | 12/31/09 inv#107140, file 09854-00... | 1030 - Heritage - 3695 Operating | 3,471.00 | | 3,471.00 |
| General Journal | 06/30/2010 | Doss L... | | | Doss Law Matter#0003 #64169 | 2510 - Misc. Other Liabilities | 1,500.00 | | 4,971.00 |
| Deposit | 07/20/2010 | | | Silicon Valley Law Group | Gospich Loan lawsuit recovery ath... | 1030 - Heritage - 3695 Operating | | 3,240.55 | 1,730.45 |
| Check | 08/18/2010 | 2074 | | SB Capital Corp. | Doss Law part of inv#64083863771 | 1030 - Heritage - 3695 Operating | 512.50 | | 2,242.95 |
| General Journal | 09/30/2010 | Doss L... | | | -MULTIPLE- | | 290.00 | | 2,502.95 |
| Check | 12/17/2010 | 2133 | | Doss Law | 12/31/10 #65676 Matter 2895-0003 | 1030 - Heritage - 3695 Operating | 448.55 | | 2,949.50 |
| Check | 12/30/2010 | 2140 | | Doss Law | Matter 0003 Inv #65226 11/5/10 | 1030 - Heritage - 3695 Operating | 602.89 | | 3,552.39 |
| Check | 05/04/2011 | 2179 | | Doss Law | INVOICE 10411 | 1030 - Heritage - 3695 Operating | 282.59 | | 3,834.98 |
| Total 6101 - Legal | | | | | | | 7,075.53 | 3,240.55 | 3,834.98 |
| 6102 - Accounting & Bookkeeping | | | | | | | | | |
| Check | 01/04/2010 | 1010 | | Dragon Software | | 1030 - Heritage - 3695 Operating | 227.50 | | 227.50 |
| Check | 01/19/2010 | 1021 | | Dragon Software | Invoice # SBC27 12/28/09-01/07/10 | 1030 - Heritage - 3695 Operating | 488.75 | | 726.25 |
| Check | 01/29/2010 | 1028 | | Dragon Software | | 1030 - Heritage - 3695 Operating | 402.50 | | 1,128.75 |
| Total 6102 - Accounting & Bookkeeping | | | | | | | 1,128.75 | 0.00 | 1,128.75 |
| 6103 - Audit & Tax | | | | | | | | | |
| Check | 04/02/2010 | 2009 | | Spiegel Accountancy Corp. | 3/3/10 inv#949 - progress billing thr... | 1030 - Heritage - 3695 Operating | 5,472.72 | | 5,472.72 |
| Check | 06/09/2010 | 2035 | | Spiegel Accountancy Corp. | 6/1/10 #1511, client #7939 | 1030 - Heritage - 3695 Operating | 2,975.00 | | 8,447.72 |
| Check | 07/23/2010 | 2062 | | Spiegel Accountancy Corp. | 09 SBC PF Audit/Tax inv#1618, #... | 1030 - Heritage - 3695 Operating | 6,785.70 | | 15,233.42 |
| Check | 01/13/2011 | 2147 | | Spiegel Accountancy Corp. | -MULTIPLE- | 1030 - Heritage - 3695 Operating | 3,890.00 | | 19,123.42 |
| Check | 02/17/2011 | 2158 | | Spiegel Accountancy Corp. | 2/18/11 #2349 12/31/10 Y/E Audit pr... | 1030 - Heritage - 3695 Operating | 3,890.00 | | 22,813.42 |
| Check | 03/11/2011 | 2166 | | Spiegel Accountancy Corp. | 2/18/11 inv#2441 Progress billing 1... | 1030 - Heritage - 3695 Operating | 7,985.00 | | 30,798.42 |
| Check | 03/11/2011 | 2167 | | Spiegel Accountancy Corp. | 3/4/11 inv#2540 12/31/10 Tax Return | 1030 - Heritage - 3695 Operating | 2,845.00 | | 33,643.42 |
| Check | 04/19/2011 | 2174 | | Spiegel Accountancy Corp. | Inv 2679 | 1030 - Heritage - 3695 Operating | 510.00 | | 34,153.42 |
| Check | 03/08/2012 | 2254 | | Spiegel Accountancy Corp. | Inv# 3863 2011 Tax Return | 1030 - Heritage - 3695 Operating | 4,880.00 | | 39,133.42 |
| Check | 03/19/2012 | 2255 | | Spiegel Accountancy Corp. | Inv# 3843 Progress Billing for 2011... | 1030 - Heritage - 3695 Operating | 4,565.00 | | 43,718.42 |
| Check | 06/05/2012 | 3001 | | Spiegel Accountancy Corp. | Inv#4356 | 1030 - Heritage - 3695 Operating | 11,520.00 | | 55,238.42 |
| Total 6103 - Audit & Tax | | | | | | | 55,638.42 | 0.00 | 55,638.42 |
| 6104 - Contractors & Consulting | | | | | | | | | |
| Total 6104 - Contractors & Consulting | | | | | | | | | |
| 66000 - Payroll Expenses | | | | | | | | | |
| Total 66000 - Payroll Expenses | | | | | | | | | |
| 6900 - Misc. Expense | | | | | | | | | |
| General Journal | 01/01/2010 | Other ... | | | Reverse unknown bal @ 12/31/09 | 2510 - Misc. Other Liabilities | | 135.31 | -135.31 |
| Total 6900 - Misc. Expense | | | | | | | 0.00 | 135.31 | -135.31 |
| 8010 - Depreciation Expense | | | | | | | | | |
| Total 8010 - Depreciation Expense | | | | | | | | | |

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SBC Portfolio Fund, LLC
General Ledger

Accrual Basis

All Transactions

| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
|---|------------|-----------|-----|--------------------------------|--|------------------------------------|-----------|------------|-------------|
| 8020 - Amortization Expense | | | | | | | | | |
| General Journal | 03/31/2010 | M/E A... | | | Mar 10 Amort- Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 833.33 |
| General Journal | 04/30/2010 | M/E A... | | | Apr 10 Amort- Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 1,666.66 |
| General Journal | 05/31/2010 | M/E A... | | | May 10 Amort- Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 2,499.99 |
| General Journal | 06/30/2010 | M/E A... | | | Jun 10 Amort of Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 3,333.32 |
| General Journal | 07/31/2010 | Amort | | | Jul 10 Amort of contract costs | 1690 - Accum. Amortization - Co... | 833.33 | | 4,166.65 |
| General Journal | 08/31/2010 | Amort | | | Aug 10 Amort of contract costs | 1690 - Accum. Amortization - Co... | 833.33 | | 4,999.98 |
| General Journal | 09/30/2010 | Amort... | | | Sep 10 Amort of contract costs | 1690 - Accum. Amortization - Co... | 833.33 | | 5,833.31 |
| General Journal | 10/31/2010 | Amort... | | | Oct 10 Amort of Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 6,666.64 |
| General Journal | 11/30/2010 | Amort... | | | Nov 10 Amort of Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 7,499.97 |
| General Journal | 12/31/2010 | AUD J... | | | Dec 10 Amort of Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 8,333.30 |
| General Journal | 01/31/2011 | Amort | | | Adj to auditors YTD Amort | 1,666.70 | | 10,000.00 | |
| General Journal | 02/28/2011 | Amort | | | Jan 2011 Amort of Contract | 1690 - Accum. Amortization - Co... | 833.33 | | 11,866.66 |
| General Journal | 04/01/2011 | Amort | | | Feb 2011 Amort of Contract Costs | 1690 - Accum. Amortization - Co... | 833.33 | | 12,499.99 |
| General Journal | 04/30/2011 | Amort | | | march amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 13,333.32 |
| General Journal | 05/31/2011 | Amort | | | April amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 14,166.65 |
| General Journal | 06/30/2011 | Amort | | | may amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 14,999.98 |
| General Journal | 07/31/2011 | AMORT | | | June amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 15,833.31 |
| General Journal | 09/01/2011 | Amort | | | July amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 16,666.64 |
| General Journal | 10/31/2011 | Amort | | | Aug amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 17,499.97 |
| General Journal | 11/30/2011 | Amort | | | Oct amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 18,333.30 |
| General Journal | 12/30/2011 | Amort | | | Nov amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 19,166.63 |
| General Journal | 01/31/2012 | Amort | | | Dec amortization of contracts | 1,666.66 | | 18,999.98 | |
| General Journal | 02/28/2012 | Amort | | | -MULTIPLE- | 1,807.97 | | 21,807.93 | |
| General Journal | 02/28/2012 | GAAP... | | | -MULTIPLE- | 1,807.97 | | 23,615.90 | |
| General Journal | 03/31/2012 | Amort | | | Payoff on David Sherwin, Aung Sa... | 1112 - Fund Loans-100 bps | | 15,363.34 | |
| General Journal | 04/30/2012 | Amort | | | amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 16,196.67 |
| General Journal | 05/31/2012 | True up | | | amortization of contracts | 1690 - Accum. Amortization - Co... | 833.33 | | 17,030.00 |
| | | | | | Amort of Loan Costs 05/31/2012 | 1110 - Fund Loans | 3,319.48 | | 20,349.48 |
| Total 8020 - Amortization Expense | | | | | | | 28,502.04 | 8,252.56 | 20,346.48 |
| 7000 - Other Income | | | | | | | | | |
| Deposit | 07/20/2010 | | | Silicon Valley Law Group | Gospich Loan lawsuit recovery cre... | 1030 - Heritage - 3695 Operating | | 79,585.45 | -79,585.45 |
| Total 7000 - Other Income | | | | | | | 0.00 | 79,585.45 | -79,585.45 |
| 7020 - REO Rental Income | | | | | | | | | |
| Check | 09/21/2010 | 2086 | | Tenant | Rent refund | 1030 - Heritage - 3695 Operating | 159.68 | | 159.68 |
| Check | 09/21/2010 | 2087 | | Tenant | partial rent refund | 1030 - Heritage - 3695 Operating | 152.42 | | 312.10 |
| Deposit | 09/22/2010 | misc | | SB Capital Brokers Trust | Tenant Rents on Bay Road Property | 1030 - Heritage - 3695 Operating | | 4,625.00 | -4,512.90 |
| Deposit | 10/13/2010 | 1204 | | SB Capital Brokers Trust | REO-Bay Road tenant rent | 1030 - Heritage - 3695 Operating | | 2,000.00 | -7,062.90 |
| Deposit | 06/30/2011 | 3641 | | Jamie Fougner | 1st Months rent on 1350 Natoma St... | 1030 - Heritage - 3695 Operating | | 2,000.00 | -9,062.90 |
| Deposit | 08/02/2011 | 1034 | | -MULTIPLE- | RENT ON NATOMA STREET | 1030 - Heritage - 3695 Operating | | 2,000.00 | -11,062.90 |
| Deposit | 09/02/2011 | 3301 | | Jamie Fougner | rent on Natoma street | 1030 - Heritage - 3695 Operating | | 2,000.00 | -13,062.90 |
| Deposit | 10/05/2011 | 3388 | | Jamie Fougner | RENTAL INCOME FROM NATOM... | 1030 - Heritage - 3695 Operating | | 2,000.00 | -15,062.90 |
| Deposit | 11/08/2011 | 3485 | | Jamie Fougner | Rent income for Natoma Street | 1030 - Heritage - 3695 Operating | | 2,000.00 | -17,062.90 |
| Deposit | 12/05/2011 | 1057 | | Jamie Fougner | Natoma Street Rent | 1030 - Heritage - 3695 Operating | | 2,000.00 | -19,062.90 |
| Deposit | 01/17/2012 | 3714 | | SB Capital Corp. | January Rent on Natoma | 1030 - Heritage - 3695 Operating | | 2,000.00 | -21,062.90 |
| Deposit | 02/07/2012 | | | Jamie Fougner | Natoma Rent-February 2012 | 1030 - Heritage - 3695 Operating | | 2,000.00 | -23,062.90 |
| Deposit | 03/06/2012 | 1080 | | Jamie Fougner | March Rental Income for Natoma S... | 1030 - Heritage - 3695 Operating | | 2,000.00 | -25,062.90 |
| Deposit | 04/05/2012 | 1087 | | Jamie Fougner | Rental income on Natoma | 1030 - Heritage - 3695 Operating | | 2,000.00 | -27,062.90 |
| Deposit | 05/02/2012 | 3685 | | Jamie Fougner | Rent on 1350 Natoma St SF | 1030 - Heritage - 3695 Operating | | 2,000.00 | -29,062.90 |
| Deposit | 06/05/2012 | 3670 | | Jamie Fougner | Rent on Natoma St. | 1030 - Heritage - 3695 Operating | | 2,000.00 | -31,062.90 |
| Total 7020 - REO Rental Income | | | | | | | 312.10 | 29,375.00 | -29,062.90 |
| 7050 - Gain/Loss on Sale of Assets | | | | | | | | | |
| General Journal | 12/30/2010 | Bay R... | | | Bay Road Sale - Gain on Sale | 1110 - Fund Loans | | 102,816.30 | -102,816.30 |
| Total 7050 - Gain/Loss on Sale of Assets | | | | | | | 0.00 | 102,816.30 | -102,816.30 |
| 6500 - Interest Expense | | | | | | | | | |
| Check | 08/18/2010 | 2073 | | Wells Fargo Bank | Int-Bay Road NP | 1030 - Heritage - 3695 Operating | 6,942.90 | | 6,942.90 |
| General Journal | 11/23/2010 | Natoma... | | | Int post due 12/1/10 made fr Int Re... | 1490 - Misc. Prepaids | 2,520.44 | | 9,463.34 |
| General Journal | 01/31/2011 | Natoma... | | | Int applied from Reserve - on 1/12/... | 1490 - Misc. Prepaids | 2,902.08 | | 12,365.42 |
| General Journal | 02/14/2011 | Natoma... | | | Natoma N/P fr Int Reserve applied ... | 1490 - Misc. Prepaids | 2,902.08 | | 15,267.50 |
| General Journal | 04/01/2011 | Natoma... | | | Natoma N/P fr Int Reserve applied ... | 1490 - Misc. Prepaids | 2,902.08 | | 18,169.58 |
| General Journal | 04/05/2011 | Natoma... | | | Natoma N/P fr Int Reserve applied ... | 1490 - Misc. Prepaids | 2,902.08 | | 21,071.66 |
| General Journal | 04/25/2011 | Natoma | | | Natoma N/P fr Int Reserve applied ... | 1490 - Misc. Prepaids | 2,902.08 | | 23,973.74 |
| Check | 05/31/2011 | 2150 | | Cavallino Fund | REO loan interest for Cavallino Fund | 1030 - Heritage - 3695 Operating | 2,902.08 | | 26,875.82 |
| Check | 08/29/2011 | 2190 | | Cavallino Fund | 1350 Natoma Street, #4 - Loan pay... | 1030 - Heritage - 3695 Operating | 2,902.08 | | 29,777.90 |
| Check | 07/18/2011 | 2196 | | Cavallino Fund | 1350 Natoma Street, #4 - Loan pay... | 1030 - Heritage - 3695 Operating | 2,902.08 | | 32,679.98 |
| Check | 08/22/2011 | 2200 | | Cavallino Fund | note on Natoma Street REO | 1030 - Heritage - 3695 Operating | 2,902.08 | | 35,582.06 |
| General Journal | 03/31/2012 | True up | | | Interest Expense on Natoma Prope... | 1490 - Misc. Prepaids | 4,145.85 | | 39,727.91 |
| General Journal | 06/28/2012 | True up | | | Interest Expense on Natoma Prope... | 1490 - Misc. Prepaids | 9,950.99 | | 49,678.90 |
| Total 6500 - Interest Expense | | | | | | | 49,678.90 | 0.00 | 49,678.90 |
| 6000 - Other Expense | | | | | | | | | |
| Total 6000 - Other Expense | | | | | | | | | |
| 8100 - Bay Road REO Expenses | | | | | | | | | |
| 8101 - Bay Rd REO - Taxes | | | | | | | | | |
| Check | 11/24/2010 | 2125 | | San Mateo County Tax Collector | Bay Road - 3062-064-140 Delinque... | 1030 - Heritage - 3695 Operating | 5,052.48 | | 5,052.48 |
| Check | 12/07/2010 | 2132 | | San Mateo County Tax Collector | -MULTIPLE- | 1030 - Heritage - 3695 Operating | 4,586.40 | | 9,638.88 |
| Check | 11/09/2011 | 2223 | | San Mateo County Tax Collector | SUPPLEMENTAL TAXES ON BAY... | 1030 - Heritage - 3695 Operating | 0.00 | | 9,638.88 |
| Total 8101 - Bay Rd REO - Taxes | | | | | | | 9,638.88 | 0.00 | 9,638.88 |
| 8102 - Bay Rd REO - Insurance | | | | | | | | | |
| Check | 09/27/2010 | 2094 | | Allied Insurance Brokers | 09/24/10 - 09/24/11 | 1030 - Heritage - 3695 Operating | 1,200.00 | | 1,200.00 |
| Total 8102 - Bay Rd REO - Insurance | | | | | | | 1,200.00 | 0.00 | 1,200.00 |
| 8103 - Bay Rd REO - Utilities | | | | | | | | | |
| Check | 11/05/2010 | 2112 | | PG&E | Bay Road acct#849150591-1 | 1030 - Heritage - 3695 Operating | 621.89 | | 621.89 |
| Check | 12/24/2010 | 2136 | | PG&E | Bay Road #6849150591-1 | 1030 - Heritage - 3695 Operating | 96.31 | | 718.20 |
| Check | 12/30/2010 | 2141 | | PG&E | Natoma #6959430353-7 to 12/8/10... | 1030 - Heritage - 3695 Operating | 62.40 | | 780.60 |
| Total 8103 - Bay Rd REO - Utilities | | | | | | | 780.60 | 0.00 | 780.60 |
| 8104 - Bay Rd REO - Licenses & Permits | | | | | | | | | |
| Check | 09/23/2010 | 2081 | | Ian Carney | Bay Road Structural Inspections | 1030 - Heritage - 3695 Operating | 4,150.00 | | 4,150.00 |
| Check | 12/31/2010 | 2142 | | Jeff Stairton | Bay Road Lot 3 Appraisal 12/22/10 ... | 1030 - Heritage - 3695 Operating | 275.00 | | 4,425.00 |
| Check | 12/31/2010 | 2143 | | Jeff Stairton | Bay Road appraisal - Lot 2 12/22/1... | 1030 - Heritage - 3695 Operating | 275.00 | | 4,700.00 |
| Total 8104 - Bay Rd REO - Licenses & Permits | | | | | | | 4,700.00 | 0.00 | 4,700.00 |

[illegible]

| SBC Portfolio Fund, LLC | | | | | | | | | |
|-------------------------------------|------------|----------|-----|---------------------|--|----------------------------------|-----------|----------|----------------|
| General Ledger | | | | | | | | | |
| All Transactions | | | | | | | | | |
| Accrual Basis | 08/18/16 | 2:47 PM | | | | | | | |
| Type | Date | Num | Adj | Name | Memo | Split | Debit | Credit | Balance |
| 8299 - Natoma REO - Misc Exp | 11/04/2010 | 110410 | | Chicago Title | -MULTIPLE- | 1030 - Heritage - 3685 Operating | 11,064.00 | | 11,064.00 |
| Check | 11/02/2010 | 2196 | | James Fournier | Final Escrow amt refund Natoma ... | 1030 - Heritage - 3685 Operating | 100.00 | | 11,164.01 |
| Deposit | 06/14/2011 | 2193 | | James Fournier | reimbursement for window repair at ... | 1030 - Heritage - 3685 Operating | 287.31 | | 11,451.32 |
| Deposit | 12/05/2011 | on | | Stewart Title | reverse excess title fees | 1030 - Heritage - 3685 Operating | 687.43 | | 12,138.75 |
| Total 8299 - Natoma REO - Misc Exp | | | | | | | | | |
| | | | | | | | 11,451.31 | 717.42 | 10,733.89 |
| 8200 - Natoma REO Expenses - Other | | | | | | | | | |
| Total 8200 - Natoma REO Expenses | | | | | | | | | |
| | | | | | | | 48,271.58 | 820.90 | 47,450.68 |
| 9010 - Property Tax Expenses | | | | | | | | | |
| Total 9010 - Property Tax Expenses | | | | | | | | | |
| | | | | | | | 800.00 | | 800.00 |
| 9020 - LLC Fees & Franchise Taxes | | | | | | | | | |
| Check | 04/20/2010 | 2013 | | Franchise Tax Board | 2010 Form 3522 SOSM200720102... | 1030 - Heritage - 3685 Operating | 800.00 | | 800.00 |
| Check | 04/20/2010 | 2137 | | Franchise Tax Board | 2010 Form 3522 SOSM200720102... | 1030 - Heritage - 3685 Operating | 800.00 | | 1,600.00 |
| Check | 03/14/2011 | 2168 | | Franchise Tax Board | 2011 Form 3522 CA LLC annual ta... | 1030 - Heritage - 3685 Operating | 800.00 | | 2,400.00 |
| Check | 03/14/2011 | 2233 | | Franchise Tax Board | 2011 Form 3522 CA LLC annual ta... | 1030 - Heritage - 3685 Operating | 75.85 | | 2,475.85 |
| Check | 04/13/2012 | 2246 | | Franchise Tax Board | 2011 Form 3522 CA LLC annual ta... | 1030 - Heritage - 3685 Operating | 2,502.07 | | 4,977.92 |
| Deposit | 08/12/2012 | 67306... | | Franchise Tax Board | State Tax Refund | 1030 - Heritage - 3685 Operating | | 6,777.92 | 110,681,878.84 |
| | | | | | | | 6,777.92 | 800.00 | 110,681,878.84 |
| 9090 - Tax Related Expenses - Other | | | | | | | | | |
| | | | | | | | 5,977.92 | | 5,977.92 |
| Total 9090 - Tax Related Expenses | | | | | | | | | |
| | | | | | | | 5,977.92 | | 5,977.92 |
| No acount | | | | | | | | | |
| | | | | | | | 0.00 | | 0.00 |
| Total no acount | | | | | | | | | |
| | | | | | | | 0.00 | | 0.00 |
| TOTAL | | | | | | | | | |

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USA v. Feathers

Case No. CR 14-00531 RMW

EXHIBIT G

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08/21/16

Accrual Basis

SBC Portfolio Fund, LLC
Profit & Loss
 January through May 2012

| | Jan - May 12 |
|--|--------------|
| Ordinary Income/Expense | |
| Income | |
| 4010 • Loan Interest Income | 182,330.27 |
| 4020 • Loan Fee Income | 327,486.66 |
| 4030 • Bank & Investment Interest | 0.80 |
| 4040 • Loan Servicing Income | 58,697.94 |
| 4050 • Gain on Sale- Premium | 1,469,145.83 |
| 4060 • Lender Participation Fee | 44,917.50 |
| 4100 • Other Fund Income | |
| 4105 • Interest Income on Manager Note | 23,993.79 |
| Total 4100 • Other Fund Income | 23,993.79 |
| Total Income | 2,106,572.79 |
| Cost of Goods Sold | |
| 5010 • Bank Fees | 531.40 |
| 5015 • Loan Interest Expense | 14,902.18 |
| 5020 • Fund Management Fees | 1,169,013.44 |
| 5040 • Loan Fees | 334,766.48 |
| 5060 • Lender Services Costs | 5,213.18 |
| 5100 • Filing Fees | 69.50 |
| 5200 • Lender Participation | 44,917.50 |
| Total COGS | 1,569,413.68 |
| Gross Profit | 537,159.11 |
| Expense | |
| 6103 • Audit & Tax | 9,565.00 |
| 8020 • Amortization Expense | 349.52 |
| Total Expense | 9,914.52 |
| Net Ordinary Income | 527,244.59 |
| Other Income/Expense | |
| Other Income | |
| 7020 • REO Rental Income | 10,000.00 |
| Total Other Income | 10,000.00 |
| Other Expense | |
| 6500 • Interest Expense | 4,145.85 |
| 8200 • Natoma REO Expenses | |
| 8201 • Natoma REO - Taxes | 2,593.04 |
| 8260 • Natoma St REO - Interest on N/P | 5,804.18 |
| Total 8200 • Natoma REO Expenses | 8,397.22 |
| 9000 • Tax Related Expenses | |
| 9020 • LLC Fees & Franchise Taxes | 2,502.07 |
| Total 9000 • Tax Related Expenses | 2,502.07 |
| Total Other Expense | 15,045.14 |
| Net Other Income | -5,045.14 |
| Net Income | 522,199.45 |

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08/21/16

Accrual Basis

SBC Portfolio Fund, LLC
Transaction Detail By Account
 January through May 2012

| Type | Date | Num | Name | Memo | Split | Debit | Credit | Balance |
|------------------------------------|------------|-------------|------------------|---|----------------------------|---------------------|------------------|---------------------|
| 5020 - Fund Management Fees | | | | | | | | |
| General Journal | 01/31/2012 | GAAP01-2012 | | Below Preferred Rate Earnings | 1220 - Due From Mana... | | 25,747.79 | -25,747.79 |
| Check | 02/17/2012 | ACH | SB Capital Corp. | Excess Earnings to Fund Manager | 1030 - Heritage - 3695 ... | 95,000.00 | | 69,252.21 |
| Check | 02/17/2012 | ACH | SB Capital Corp. | Excess Earnings to Fund Manager | 1030 - Heritage - 3695 ... | 60,000.00 | | 129,252.21 |
| General Journal | 02/29/2012 | mgmt fee | | Excess Earnings-February | 1220 - Due From Mana... | 225,115.34 | | 354,367.55 |
| Check | 03/12/2012 | | SB Capital Corp. | 132019 HERITAGE DIRECT ONLINE TRANSFER TO 541003604 ... | 1030 - Heritage - 3695 ... | 46,669.00 | | 401,036.55 |
| Check | 03/12/2012 | TRANSFER | SB Capital Corp. | Fund Management Fee | 1030 - Heritage - 3695 ... | 25,989.00 | | 427,025.55 |
| Check | 03/30/2012 | | SB Capital Corp. | 231383 HERITAGE DIRECT ONLINE TRANSFER TO 541003604 ... | 1030 - Heritage - 3695 ... | 123,825.00 | | 550,850.55 |
| Check | 04/11/2012 | ACH | SB Capital Corp. | Management Fee | 1030 - Heritage - 3695 ... | 61,444.00 | | 632,294.55 |
| Check | 05/18/2012 | ACH | SB Capital Corp. | Management Fee | 1030 - Heritage - 3695 ... | 315,000.00 | | 947,294.55 |
| Check | 05/23/2012 | ACH | SB Capital Corp. | Management Fee to SB Capital | 1030 - Heritage - 3695 ... | 175,000.00 | | 1,122,294.55 |
| General Journal | 05/31/2012 | int | | management fees for SBC PF based upon Bay Road Premium | -SPLIT- | 45,718.89 | | 1,169,013.44 |
| Total 5020 - Fund Management Fees | | | | | | 1,194,761.23 | 25,747.79 | 1,169,013.44 |
| TOTAL | | | | | | 1,194,761.23 | 25,747.79 | 1,169,013.44 |